

3113 Differential Geometry Notes

Based on the 2013 autumn lectures by Prof R Halburd

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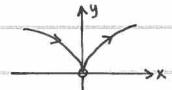
Office Hours - Mon 11am, Thu 10am.

Chapter 1

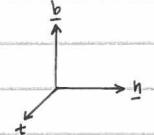
LOCAL THEORY OF CURVES.

[Definition] A (parameterised) differentiable curve is a differentiable map $\gamma: I \rightarrow \mathbb{R}^3$. The set $\gamma(I) \subset \mathbb{R}^3$ is called the trace of γ .**[Definition]** A differentiable curve γ is said to be regular if $\gamma'(t) \neq 0 \forall t \in I$.Remark - Here, $\gamma'(t)$ is a tangent vector.

Examples -

• The helix $\gamma: \mathbb{R} \rightarrow \mathbb{R}^3$ given by $\gamma(t) = (a \cos t, a \sin t, bt)$ where $a, b \neq 0$ is a regular curve $\because \gamma'(t) = (-a \sin t, a \cos t, b) \neq 0$.• $\gamma: (-1, 1) \rightarrow \mathbb{R}^3$ given by $\gamma(t) = (t^3, t^2, 0)$ is not regular, since $\gamma'(t) = (3t^2, 2t, 0) = 0$ if $t=0$.For any curve $\gamma: I \rightarrow \mathbb{R}^3$ and any $t_0 \in I$, the arclength of γ from $\gamma(t_0)$ is $s = s(t) = \int_{t_0}^t |\gamma'(u)| du$.Here, if $\gamma(t) = (x(t), y(t), z(t))$, then $|\gamma'| = \sqrt{x'^2 + y'^2 + z'^2}$.Example - Let $\gamma(t) = (a \cos t, a \sin t, bt)$, then $s = \int^t | \gamma'(u) | du = \sqrt{a^2 + b^2} t$. (plus constant of integration).We can re-parameterise to get $\bar{\gamma}(s) = \gamma(t) = (a \cos \frac{s}{\sqrt{a^2+b^2}}, a \sin \frac{s}{\sqrt{a^2+b^2}}, \frac{bs}{\sqrt{a^2+b^2}})$ in terms of arc length.

Frenet frame.

Consider $s = \int |\gamma'(t)| dt \Rightarrow s = \int |\gamma'(s)| ds \Rightarrow 1 = |\gamma'(s)|$. Then let the unit tangent vector be $\hat{t} = \gamma'(s)$ [notation - also denoted $\pm t$].Then $\hat{t} \cdot \hat{t} = 1 \Rightarrow \hat{t} \cdot \hat{t}' = 0 \Rightarrow \hat{t}'$ is orthonormal to \hat{t} .Let $k(s) = |\hat{t}'(s)|$ be the curvature. If $k(s) \neq 0$, we define the principal normal $n(s) = \frac{\hat{t}'(s)}{k(s)}$.With these two vectors, we can also define the unit binormal vector, $b = \hat{t} \times n$.This gives us the basis $\{\hat{t}, n, b\}$ that defines a Frenet frame, which is a right-handed orthonormal frame.Moreover, $b = \hat{t} \times n \Rightarrow b' = \hat{t}' \times n + \hat{t} \times n' = k n \times n + \hat{t} \times n' = \hat{t} \times n'$. Dotting both sides with \hat{t} , we get $b' \cdot \hat{t} = 0$.Also, $b' \cdot b = 0$, so $b' = \pm n$ for some scalar $\pm \tau(s)$, which is called the torsion.Then, $n = b \times \hat{t} \Rightarrow n' = b' \times \hat{t} + b \times \hat{t}' = \mp n \times \hat{t} + b \times kn = -k\hat{t} - \tau b$

Together, these combine to give the Frenet-Serret formulas:

$$\begin{aligned} \hat{t}' &= kn \\ n' &= -k\hat{t} - \tau b \\ b' &= \pm n \end{aligned} \quad \Leftrightarrow \quad F(s) = \begin{pmatrix} \hat{t} \\ n \\ b \end{pmatrix} = \begin{pmatrix} \hat{t} \\ n \\ b \end{pmatrix}^T, \text{ then if } A(s) = \begin{pmatrix} 0 & k(s) & 0 \\ -k(s) & 0 & -\tau(s) \\ 0 & \tau(s) & 0 \end{pmatrix}, \quad F'(s) = A(s)F(s).$$

[Ex] Recall the earlier helix parameterised by $\gamma(s) = (a \cos \frac{s}{\sqrt{a^2+b^2}}, a \sin \frac{s}{\sqrt{a^2+b^2}}, \frac{bs}{\sqrt{a^2+b^2}})$, with $a > 0, b \neq 0$.Find \hat{t}, n, b and the curvature and torsion of the system.Ans: Unit tangent \hat{t} is found by normalisation. $\hat{t}(s) = \gamma'(s) = \frac{1}{\sqrt{a^2+b^2}} (-a \sin \frac{s}{\sqrt{a^2+b^2}}, a \cos \frac{s}{\sqrt{a^2+b^2}}, b)$, $\hat{t}'(s) = -\frac{1}{a^2+b^2} (a \cos \frac{s}{\sqrt{a^2+b^2}}, a \sin \frac{s}{\sqrt{a^2+b^2}}, 0) = -\frac{a}{a^2+b^2} (\cos \frac{s}{\sqrt{a^2+b^2}}, \sin \frac{s}{\sqrt{a^2+b^2}}, 0)$. Thus, since $\hat{t}' = kn$, $k(s) = \frac{a}{a^2+b^2}$, and $n = -(\cos \frac{s}{\sqrt{a^2+b^2}}, \sin \frac{s}{\sqrt{a^2+b^2}}, 0)$, $b(s) = \hat{t}(s) \times n(s) = \frac{1}{\sqrt{a^2+b^2}} (b \sin \frac{s}{\sqrt{a^2+b^2}}, -b \cos \frac{s}{\sqrt{a^2+b^2}}, a)$, then $b'(s) = \frac{b}{a^2+b^2} (\cos \frac{s}{\sqrt{a^2+b^2}}, \sin \frac{s}{\sqrt{a^2+b^2}}, 0) = -\frac{b^2}{a^2+b^2} n \Rightarrow \tau(s) = -\frac{b}{a^2+b^2}$.If $\gamma: I \rightarrow \mathbb{R}^3$ is a curve (regular curve, i.e. $\gamma'(t) \neq 0$), we have defined arclength $\frac{ds}{dt} = |\gamma'(t)|$. We have also defined the Frenet frame,which is a right-handed coordinate system with unit coordinate vectors \hat{t}, n, b .**[Theorem]** (Fundamental theorem of local theory of curves).Given differential functions $k: I \rightarrow \mathbb{R}_{>0}$ and $\tau: I \rightarrow \mathbb{R}$, there exists a regular curve $\gamma: I \rightarrow \mathbb{R}^3$ st. $k(s)$ and $\tau(s)$ are the curvature and torsion respectively of γ as functions of arclength. Furthermore, γ is unique up to a rigid motion in \mathbb{R}^3 . (i.e. $x \mapsto p \cdot x + \frac{c}{k}$)

$\in SO(3)$
(rotation)
constant vector
(translation)



Proof — We start our with the Frenet equations to construct an orthonormal frame. $E' = AE$, i.e. $\begin{matrix} \hat{t}' \\ \hat{n}' \\ \hat{b}' \end{matrix} = \begin{matrix} kn \\ kt - tb \\ tn \end{matrix}$ — ①.

① has a unique solution with specified initial values. Let $(\hat{t}_0, \hat{n}_0, \hat{b}_0)$ be any three orthonormal vectors with $\hat{b}_0 = \hat{t}_0 \times \hat{n}_0$ (right-handed).

The initial value problem ① with $\hat{t}(s_0) = \hat{t}_0$, $\hat{n}(s_0) = \hat{n}_0$ and $\hat{b}(s_0) = \hat{b}_0$ for some $s_0 \in I$. has a unique solution $(\hat{t}(s), \hat{n}(s), \hat{b}(s))$.

This is from the theory of differential equations. We need to show that these solution vectors remain orthonormal:

Consider $M = \begin{pmatrix} \hat{t} \cdot \hat{t} & \hat{t} \cdot \hat{n} & \hat{t} \cdot \hat{b} \\ \hat{n} \cdot \hat{t} & \hat{n} \cdot \hat{n} & \hat{n} \cdot \hat{b} \\ \hat{b} \cdot \hat{t} & \hat{b} \cdot \hat{n} & \hat{b} \cdot \hat{b} \end{pmatrix}$ — ②

We must establish that $M = I_3$. since $E = \begin{pmatrix} \hat{t} \\ \hat{n} \\ \hat{b} \end{pmatrix}$, $E^T = \begin{pmatrix} 1 & 1 & 1 \\ \hat{t} & \hat{n} & \hat{b} \end{pmatrix} \Rightarrow M = E E^T$.

Then $M' = (FF^T)' = F'E^T + F(F^T)' = AFF^T + F(AF)^T = AFF^T + FFF^TA^T = AM + MAT^T = AM - MA$

Hence, $M' = AM - MA$, which is a linear differential equation. Then $M(s_0) = I$ by definition.

Thus ③, ④ is a regular IVP. Note that I is a solution of ③, ④, so by uniqueness, $M(s) = I \Rightarrow$ system is orthonormal.

We are only left with proving right-handedness, i.e. NTP: $\det(E) = +1$. Since $\hat{t}, \hat{n}, \hat{b}$ are orthonormal, $\det E = \pm 1$.

Also, $\det(F(s_0)) = 1$. since $\det(F)$ is continuous, then $\det E = 1 \Rightarrow$ right-handed system ~~is not~~.

Now, $\gamma(s) = \int_{s_0}^s \hat{t}(\hat{s}) d\hat{s}$, and Frenet equations are satisfied. This $\gamma(s)$ is a desired regular curve, q.e.d.

For uniqueness, suppose we have two curves $\gamma, \tilde{\gamma}: I \rightarrow \mathbb{R}^3$ that satisfy the conditions of theorem.

Let $(\hat{t}, \hat{n}, \hat{b})$ and $(\tilde{t}, \tilde{n}, \tilde{b})$ be Frenet frames. choose $s_0 \in I$. \exists rotation $p \in SO(3)$ st. $\tilde{t}(s_0) = p \circ \hat{t}(s_0)$, $\tilde{n}(s_0) = p \circ \hat{n}(s_0)$, $\tilde{b}(s_0) = p \circ \hat{b}(s_0)$

Define $(\hat{t}(s), \hat{n}(s), \hat{b}(s)) = (p^{-1} \circ (\tilde{t}(s)), p^{-1} \circ \tilde{n}(s), p^{-1} \circ \tilde{b}(s))$. Note that $\hat{t}(s_0) = \tilde{t}(s_0)$, $\hat{n}(s_0) = \tilde{n}(s_0)$, $\hat{b}(s_0) = \tilde{b}(s_0)$.

Consider $\frac{d}{ds} \{ |\hat{t}(s) - \tilde{t}(s)|^2 + |\hat{n}(s) - \tilde{n}(s)|^2 + |\hat{b}(s) - \tilde{b}(s)|^2 \} = \frac{d}{ds} \{ (\hat{t} - \tilde{t}) \cdot (\hat{t} - \tilde{t}) + (\hat{n} - \tilde{n}) \cdot (\hat{n} - \tilde{n}) + (\hat{b} - \tilde{b}) \cdot (\hat{b} - \tilde{b}) \}$

$= 2 \{ (\hat{t} - \tilde{t}) \cdot (\hat{t} - \tilde{t}) + (\hat{n} - \tilde{n}) \cdot (\hat{n} - \tilde{n}) + (\hat{b} - \tilde{b}) \cdot (\hat{b} - \tilde{b}) \} = 2 \{ (\hat{t} - \tilde{t}) \cdot k(n - \tilde{n}) + (n - \tilde{n}) \cdot k(n - \tilde{n}) - k(t - \tilde{t}) \cdot (b - \tilde{b}) + (b - \tilde{b}) \cdot (t - \tilde{t}) \} = 0$.

Then $f(s) = |\hat{t} - \tilde{t}|^2 + |\hat{n} - \tilde{n}|^2 + |\hat{b} - \tilde{b}|^2$ is constant. But $f(s_0) = 0 \Rightarrow f(s) \equiv 0$ everywhere $\Rightarrow \hat{t} \equiv \tilde{t}$, $\hat{n} \equiv \tilde{n}$, $\hat{b} \equiv \tilde{b}$.

$\Rightarrow \tilde{t}(s) = p \circ \hat{t}(s) = p \circ t(s)$, $\tilde{n}(s) = p \circ n(s)$, $\tilde{b}(s) = p \circ b(s)$. Then $\tilde{\gamma}(s) = p \circ \gamma(s) + c$. [because $\tilde{\gamma}'(s) = \tilde{t}(s) = t(s)$] q.e.d.

Theorem The torsion of a regular curve vanishes if and only if the trace of the curve lies in a plane.

Proof — Suppose $\gamma(I)$ is contained in a plane. Then \hat{t} and \hat{n} are parallel to that plane, and \hat{b} is a unit normal to the plane.

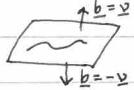
Hence, $b = v$ or $b = -v$ for some unit normal (constant) v . However, $b(s)$ is continuous but takes only discrete values, so b is constant.

Thus, $b' = 0 \Rightarrow \tau n = 0 \Rightarrow \tau = 0$, q.e.d.

Now suppose $\tau = 0$. Using Frenet equation, $b' = \tau n \equiv 0$, then $b(s) = b_0$. Consider $\gamma(s) \cdot b$.

$\frac{d}{ds} (\gamma(s) \cdot b) = \frac{d}{ds} (\gamma'(s) \cdot b_0) = \gamma'(s) \cdot b_0 = \hat{t} \cdot b_0 = 0$ by orthogonality $\Rightarrow \gamma(s) \cdot b = \text{const} \Rightarrow (x, y, z) \cdot b = \text{const}$.

This is an equation for a plane \Rightarrow trace of $\gamma(s)$ lies in plane perpendicular to b_0 , q.e.d.



Chapter 2. SURFACES.

Differentiable functions $f: \mathbb{R}^m \rightarrow \mathbb{R}^n$.

Definition let U be an open subset of \mathbb{R}^m and let $f: U \rightarrow \mathbb{R}$ be a real-valued function on U .

For any unit vector $\mathbf{v} \in \mathbb{R}^m$, the directional derivative of f at $x \in U$ in the direction \mathbf{v} is $\lim_{h \rightarrow 0} \frac{f(x+h\mathbf{v}) - f(x)}{h}$.



If \mathbf{v} is one of the coordinate vectors, then the directional derivative is called a partial derivative. [e.g. $\mathbf{v} = (1, 0, 0)$, d.o.d. is $\frac{\partial f}{\partial x}$].

e.g. — The partial derivative for $f(x, y) = \begin{cases} \frac{xy}{x^2+y^2} & (x, y) \neq (0, 0) \\ 0 & (x, y) = (0, 0) \end{cases}$ exist $\forall (x, y) \in \mathbb{R}^2$. In particular, $f_x(0, 0) = 0$ and $f_y(0, 0) = 0$.

However, f is not continuous at $(0, 0)$: approach along line $y=kx$: for $x \neq 0$, $f(x, kx) = \frac{kx^2}{x^2+k^2x^2} = \frac{k}{1+k^2}$.

linearisation of
taylor series expansion

Definition let U be an open subset of \mathbb{R}^m and let $f: U \rightarrow \mathbb{R}$ be a real-valued function on U .

We say that f is (once) differentiable at a point $a = (a_1, \dots, a_m) \in U$ if $\exists b_1, \dots, b_m \in \mathbb{R}$ st. $\lim_{x \rightarrow a} \frac{f(x) - f(a) - \sum_{j=1}^m b_j(x_j - a_j)}{|x - a|} = 0$.

In fact, $b_j = \left. \frac{\partial f}{\partial x_j} \right|_{x=a}$.

Theorem Suppose $U \subset \mathbb{R}^m$, and that $f: U \rightarrow \mathbb{R}^n$ and its first order partial derivatives are continuous throughout U .

Then f is once differentiable throughout U .

Proof — omitted, to be covered in other courses.

From this point onwards, we take "differentiable" to mean infinitely differentiable (i.e. C^∞).

Consider function $F: U \subset \mathbb{R}^m \rightarrow \mathbb{R}^n$. If all partial derivatives exist, we define the differential of F as follows: if $x = \begin{pmatrix} x_1 \\ \vdots \\ x_m \end{pmatrix} \in U$, $F(x) = \begin{pmatrix} f_1(x) \\ \vdots \\ f_n(x) \end{pmatrix}$, then $F(x + \Delta x) = F(x) + (DF_x) \Delta x + R(x, \Delta x)$ where $\Delta x \in \mathbb{R}^m$ and $\lim_{\Delta x \rightarrow 0} \frac{|R(x, \Delta x)|}{|\Delta x|} = 0$ [i.e. $R(x, \Delta x)$ goes to 0 faster than Δx].

differential of F at x .

Hence, the differential $DF_x: \mathbb{R}^m \rightarrow \mathbb{R}^n$ is a linear map. It can be represented by the matrix $\begin{pmatrix} \frac{\partial f_1}{\partial x_1} & \dots & \frac{\partial f_1}{\partial x_m} \\ \vdots & \ddots & \vdots \\ \frac{\partial f_n}{\partial x_1} & \dots & \frac{\partial f_n}{\partial x_m} \end{pmatrix}$.

This is called the Jacobian matrix.

In the special case where $m=n$, then the determinant of DF is denoted by $\frac{\partial(f_1, \dots, f_n)}{\partial(x_1, \dots, x_n)}$. This is called the Jacobian determinant.

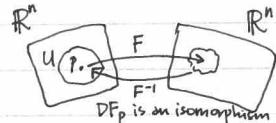
Theorem (Inverse Function Theorem)

Let $F: U \subset \mathbb{R}^n \rightarrow \mathbb{R}^n$ be a smooth map, and suppose that at $p \in U$, the differential DF_p is an isomorphism.

(i.e. the corresponding matrix has non-zero determinant). Then there is a neighbourhood V of p in U ,

and a neighbourhood W of $F(p)$ in \mathbb{R}^n s.t. the restriction of F to $V \rightarrow W$ has an inverse $F^{-1}: W \rightarrow V$.

Proof - Omitted, covered in other courses.

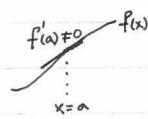


Consider the function $F: \mathbb{R}^n \rightarrow \mathbb{R}^n$, $\begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix} \rightarrow \begin{pmatrix} f_1(x) \\ \vdots \\ f_n(x) \end{pmatrix}$. Recall we defined Jacobian determinant $\frac{\partial(f_1, \dots, f_n)}{\partial(x_1, \dots, x_n)} = \det \begin{pmatrix} \frac{\partial f_1}{\partial x_1} & \dots & \frac{\partial f_1}{\partial x_n} \\ \vdots & \ddots & \vdots \\ \frac{\partial f_n}{\partial x_1} & \dots & \frac{\partial f_n}{\partial x_n} \end{pmatrix}$.

In 1 dimension, if $f'(a) \neq 0$, there exists an inverse function. Likewise, the Jacobian determinant plays a similar role.

This is outlined in the Inverse Function Theorem.

7 October 2013
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Cruciform B401.



Regular surfaces

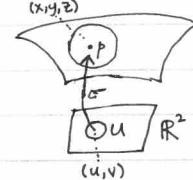
Definition A non-empty set $\Sigma \subset \mathbb{R}^3$ is called a regular surface if for each $p \in \Sigma$, there is an open set $U \subset \mathbb{R}^2$ and an open neighbourhood V of p in \mathbb{R}^3 , and (surjective) onto map $\sigma: U \rightarrow V \cap \Sigma$ such that

(i) σ is a smooth function (C^∞). i.e. $\sigma(u, v) = (x(u, v), y(u, v), z(u, v)) \Leftrightarrow x, y, z$ are smooth functions.

(ii) σ is a homeomorphism ($\sigma: V \cap \Sigma \rightarrow U$ exists and is continuous), and

(iii) The differential $D\sigma: \mathbb{R}^2 \rightarrow \mathbb{R}^3$ is one-to-one (injective). [Recall that $D\sigma = \begin{pmatrix} \frac{\partial x}{\partial u} & \frac{\partial x}{\partial v} \\ \frac{\partial y}{\partial u} & \frac{\partial y}{\partial v} \\ \frac{\partial z}{\partial u} & \frac{\partial z}{\partial v} \end{pmatrix}$.]

Remark - Condition (iii) can also be stated as $D\sigma_u \times D\sigma_v \neq 0$, or at least one of $\frac{\partial(x,y)}{\partial(u,v)}, \frac{\partial(y,z)}{\partial(u,v)}, \frac{\partial(z,x)}{\partial(u,v)}$ is non-zero.



Examples of regular surfaces -

The paraboloid $z = x^2 + y^2$ is the image of $\sigma(u, v) = (u, v, u^2 + v^2)$, $\sigma: \mathbb{R}^2 \rightarrow \mathbb{R}^3$. [Note: parametrisations are not unique!]

Clearly (i) is true as coordinates are polynomials, so they are C^∞ functions. (ii) $\sigma^{-1}(x, y, z) = (u, v)$ exists and is smooth.

For (iii), $\frac{\partial(x,y)}{\partial(u,v)} = \frac{\partial(u,v)}{\partial(u,v)} = \det(D\sigma) = 1 \neq 0$. Thus, this is a regular surface.



We can generalise this to a theorem:

Theorem If $f: U \rightarrow \mathbb{R}$ is a smooth function on an open subset $U \subset \mathbb{R}^2$, then the graph of f [i.e. $\{(x, y, z) : z = f(x, y), (x, y) \in U\}$] is a regular surface.

Proof - As above. (i) is true as f is smooth, it is locally invertible by $f^{-1}(x, y, z) = (x, y)$ and $\frac{\partial(x,y)}{\partial(u,v)} = 1$. All conditions are met \Rightarrow regular surface, q.e.d.

(Example, cont'd) • Sphere: $S^2 = \{(x, y, z) : x^2 + y^2 + z^2 = 1\}$. We need to split the sphere to simplify topology. Cut at equator to produce two hemispheres.

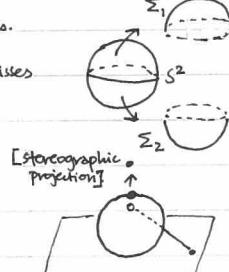
let $U = \{(x, y) \in \mathbb{R}^2 : x^2 + y^2 < 1\}$. Then $\sigma_1(u, v) = (u, v, \sqrt{1-u^2-v^2})$, $\sigma_2(u, v) = (u, v, -\sqrt{1-u^2-v^2})$. However, this misses

out the equator. We thus need to split it again, to take in all but 2 points, which we split again to obtain.

$$\begin{aligned} \sigma_3(u, v) &= (u, \sqrt{1-u^2-v^2}, v) \\ \sigma_4(u, v) &= (u, -\sqrt{1-u^2-v^2}, v) \\ \sigma_5(u, v) &= (\sqrt{1-u^2-v^2}, u, v) \\ \sigma_6(u, v) &= (-\sqrt{1-u^2-v^2}, u, v). \end{aligned}$$

At each point $p \in S^2$, the surface can be parametrised as a graph (smooth), so we see that: graph is smooth since $x^2 + y^2 < 1$.

For σ_1, σ_2 , $\frac{\partial(x,y)}{\partial(u,v)} \neq 0$. For σ_3, σ_4 , $\frac{\partial(x,y)}{\partial(u,v)} \neq 0$ and for σ_5, σ_6 , $\frac{\partial(x,y)}{\partial(u,v)} \neq 0 \Rightarrow$ regular surface.



Recall the inverse function theorem - for $F: U \rightarrow \mathbb{R}^m$, if $DF|_a \neq 0$, then locally around a , an inverse function $\mathbb{R}^m \rightarrow U$ exists.

10 October 2013
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Theorem Let $\Sigma \subset \mathbb{R}^3$ be a regular surface. For each $p \in \Sigma$, \exists a neighbourhood V of p in Σ st. V is the graph of a smooth function in one of the following forms:

$z = f(x, y)$, $y = f(z, x)$ or $x = f(y, z)$.

Proof - let $\sigma: U \rightarrow \mathbb{R}^3$ be a parametrisation of Σ in a neighbourhood of p . Writing $\sigma(u, v) = (x(u, v), y(u, v), z(u, v))$, then w.l.o.g., renaming axes if necessary,

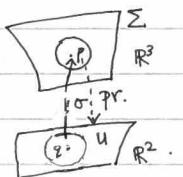
$\frac{\partial(u,v)}{\partial(u,v)} \neq 0$ where $q = \sigma^{-1}(p)$. Let $\text{pr}: \mathbb{R}^3 \rightarrow \mathbb{R}^2$ be the projection onto xy -plane: $\text{pr}(x,y,z) = (x,y)$.

Hence, $\text{pr} \circ \sigma: U \rightarrow \mathbb{R}^2$ and since $\frac{\partial(u,v)}{\partial(u,v)} \neq 0$, $\text{pr} \circ \sigma$ has a (local) differentiable inverse.

$(u,v) = (\text{pr} \circ \sigma)^{-1}(x,y) = (\tilde{u}(x,y), \tilde{v}(x,y))$. However, from our parametrisation,

$(u,v) \rightarrow \sigma(u,v) = (x(u,v), y(u,v), z(u,v))$ so $z = z(u,v) = z(\tilde{u}(x,y), \tilde{v}(x,y)) \Rightarrow z = p(x,y)$.

thus, z is a function of x and $y \Rightarrow z$ is a graph.



[Q] Show that the cone $z = \sqrt{x^2 + y^2}$, $(x,y) \in \mathbb{R}^2$ is not a regular surface.

Soln. If cone were a regular surface, it would be the graph of a regular function in the neighbourhood of any point,

w.r.t. one of the coordinate axes. $z = f(x,y) = \sqrt{x^2 + y^2}$, but this is not smooth at $(0,0)$.

For other options, $y = g(z,x)$ or $x = h(y,z)$, g and h are multi-valued and would not be functions. \Rightarrow cone is not regular surface, q.e.d.



[Theorem] Let $f: U \rightarrow \mathbb{R}$ be a smooth function on open set $U \subseteq \mathbb{R}^3$, and let $a \in f(U)$. If for all $p \in f^{-1}(a)$: $f(x,y,z) = a$.

If $f_x(p), f_y(p), f_z(p)$ are not all zero, then $f^{-1}(a)$ is a regular surface.

Example - Let $f: \mathbb{R}^3 \rightarrow \mathbb{R}$, $f(x,y,z) = x^2 + y^2 + z^2$. Sphere, $S^2 = f^{-1}(1) = \{(x,y,z) : x^2 + y^2 + z^2 = 1\}$. Verify: $f_x = 2x$, $f_y = 2y$, $f_z = 2z$.

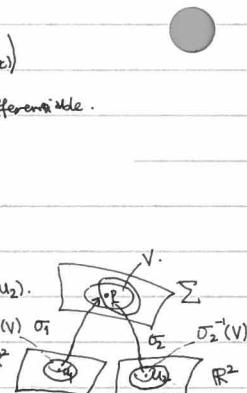
Now $f_x = f_y = f_z = 0 \Leftrightarrow (x,y,z) = (0,0,0) \notin S^2$. f is differentiable $\Rightarrow S^2$ is a regular surface.

Proof - WLOG, let $f_z(p) \neq 0$ for some $p \in U$, $p \neq 0$. Say $f_z(p) = 0$, and define $F: U \rightarrow \mathbb{R}^3$ by $F\left(\begin{pmatrix} x \\ y \\ z \end{pmatrix}\right) = \left(\begin{pmatrix} x \\ y \\ f(x,y,z) \end{pmatrix}\right)$

$Df = \begin{vmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & f_z \end{vmatrix} \det(Df)|_p = f_z(p) = 0$. By the inverse function theorem, F^{-1} exists locally and is differentiable.

$\left(\begin{pmatrix} x \\ y \\ z \end{pmatrix}\right) = F^{-1}\left(\begin{pmatrix} x \\ y \\ t \end{pmatrix}\right) \Rightarrow$ gives $x = u, y = v, z = g(u, v, t)$, where g is some smooth function.

At $t = a$ i.e. $f(u, v, a) = a$, we have $z = g(u, v, a)$ i.e. a smooth graph \Rightarrow regular surface.



[Theorem] Let p be a point on a regular surface Σ and let $\sigma_1: U_1 \rightarrow \Sigma$ and $\sigma_2: U_2 \rightarrow \Sigma$ [i.e. 2 parametrisations s.t. $p \in \sigma_1(U_1) \cap \sigma_2(U_2)$].

then the "change of coordinates" $f := \sigma_1^{-1} \circ \sigma_2: \sigma_2^{-1}(V) \rightarrow \sigma_1^{-1}(V)$ is a diffeomorphism.

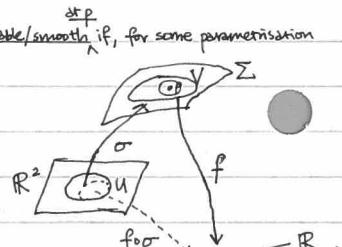
[i.e. differentiable function with a differentiable inverse].

Proof - To be covered later.

Functions on surfaces.

[Definition] Let $f: V \rightarrow \mathbb{R}$ be a function defined on an open subset V of a regular surface Σ . Then f is said to be differentiable/smooth if, for some parametrisation $\sigma: U \rightarrow \Sigma$ with $p \in \sigma(U) \subset V$, the composition $f \circ \sigma: U \rightarrow \mathbb{R}$ is differentiable at $\sigma^{-1}(p)$.

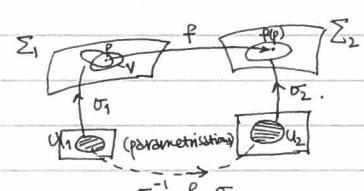
We say that f is differentiable if it is differentiable at all $p \in V$.



[Definition] Let Σ_1, Σ_2 both be regular surfaces, and let V be a subset of Σ_1 . A continuous map $f: V \rightarrow \Sigma_2$

is said to be differentiable at $p \in V$ if \exists parametrisations $\sigma_1: U_1 \rightarrow \Sigma_1$ and $\sigma_2: U_2 \rightarrow \Sigma_2$ with

$p \in \sigma_1(U_1)$ and $f(\sigma_1(U_1)) \subset \sigma_2(U_2)$ such that $\sigma_2^{-1} \circ f \circ \sigma_1: U_1 \rightarrow U_2$ is differentiable at $\sigma_1^{-1}(p)$.

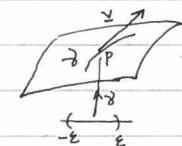


[Definition] Let $\Sigma \subset \mathbb{R}^3$ be a regular surface. $\forall p \in \Sigma$, a vector $v \in \mathbb{R}^3$ is called tangent to Σ at p if \exists a curve

$\gamma: (-\epsilon, \epsilon) \rightarrow \Sigma$ for some $\epsilon > 0$ s.t. $\gamma(0) = p$, $\gamma'(0) = v$.

The set of all vectors tangent to Σ at p is called the tangent plane at p , which is denoted by $T_p \Sigma$.

Remark - A lot of differential geometry relates to the behaviour of the tangent plane.

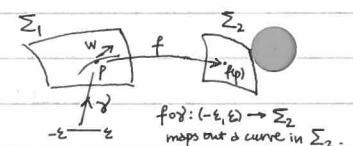


[Definition] Let $f: \Sigma_1 \rightarrow \Sigma_2$ be a differentiable function between regular surfaces Σ_1 and Σ_2 . For any point $p \in \Sigma_1$ and

vector $w \in T_p \Sigma_1$, let $\gamma: (-\epsilon, \epsilon) \rightarrow \Sigma_1$ be a curve s.t. $\gamma(0) = p$ and $\gamma'(0) = w$.

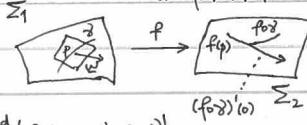
Then the map $(Df)_p: T_p \Sigma_1 \rightarrow T_{f(p)} \Sigma_2$ given by $(Df)_p w = (f \circ \gamma)'(0) = \left(\frac{d}{dt}(f \circ \gamma)\right)|_{t=0}$

is called the differential of f at p .



14 October 2013 ·
Prof Rod HALBURD ·
Cruiform B401 ·

$$w \in T_p \Sigma \Rightarrow \exists \gamma : (-\varepsilon, \varepsilon) \rightarrow \Sigma \text{ s.t. } \dot{\gamma}(0) = p, \dot{\gamma}'(0) = w. \text{ So } (Df)_p : T_p \Sigma_1 \rightarrow T_{f(p)} \Sigma_2, (Df)_p(w) = (f \circ \gamma)'(0).$$



[Lemma] The differential $(Df)_p$ defined above is independent of the choice of ϑ .

Proof - choose $p \in \Sigma_1$, let $\sigma: U \rightarrow \Sigma_1$ be a parametrisation s.t. $p = \sigma(q)$ for some $q \in U$. We have $(Df)p.w = (f \circ \sigma)'(q) = \frac{d}{dt}(f \circ \sigma \circ (\sigma^{-1} \circ \gamma(t)))|_{t=0}$
 $\Rightarrow (Df)p(w) = (D(f \circ \sigma))|_q(\sigma^{-1} \circ \gamma)'(0) \quad \text{--- } \textcircled{2}, \text{ which is the differential of a function from a subset of } \mathbb{R}^2 \text{ to } \mathbb{R}^3.$

Now, $\phi \circ (\psi^{-1} \circ \varphi) = \gamma^3$ (rearranging to use chain rule) $\Rightarrow (D\phi)_q \circ (\psi^{-1} \circ \varphi)'(v) = \gamma^3(v) = w$, which is an invertible mapping as it is a regular surface.

Thus from ④, $(Df)_{p w} = (D(f \circ g))_q \circ (Dg)_q w$, which is independent of σ_f . q.e.d.

We now return to the omitted proof from last lecture: to show that $f: \sigma_1^{0\sigma_2} \rightarrow \sigma_2^{0\sigma_1}$.

WLDG, $\frac{\partial(x,y)}{\partial(u,v)} \neq 0$. Define $F: \Omega_1^{-1}(V) \times \mathbb{R} \rightarrow \mathbb{R}^3$. $F(u,v,t) = (x(u,v), y(u,v), z(u,v) + t)$. Then $D\bar{F}|_{(u,v)} = \begin{pmatrix} \frac{\partial x}{\partial u} & \frac{\partial x}{\partial v} & 0 \\ \frac{\partial y}{\partial u} & \frac{\partial y}{\partial v} & 0 \\ \frac{\partial z}{\partial u} & \frac{\partial z}{\partial v} & 1 \end{pmatrix} \Rightarrow \det(D\bar{F}) = \frac{\partial(x,y)}{\partial(u,v)} \neq 0$.

Apply the inverse function theorem, so F^{-1} exists (locally) and is differentiable. So $\sigma_2: \sigma_2^{-1}(V) \rightarrow V$ and $F^{-1}: W \rightarrow \sigma_1^{-1}(W) \times \mathbb{R}$ where $w = F(u \times r)$.

So f is the composition of these maps restricted to $t=0 \Rightarrow$ differentiable. So locally there is a differentiable inverse everywhere \Rightarrow diffeomorphism $_1$, q.e.d.

Theorem (Chain Rule) [Proof - In notes]

Let $f: \Sigma_1 \rightarrow \Sigma_2$ and $g: \Sigma_2 \rightarrow \Sigma_3$ be two differentiable maps where Σ_1, Σ_2 and Σ_3 are regular surfaces in \mathbb{R}^3 . For any $p \in \Sigma_1$, $(D(g \circ f))_p = (Dg)_{f(p)} \circ (Df)_p$.

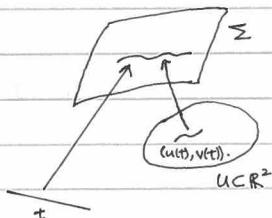
Chapter 3

FIRST FUNDAMENTAL FORM

$$\text{let } I_P(w) = \langle w, w \rangle = |w|^2, \quad I_P : T_P \Sigma \rightarrow \mathbb{R}, \quad \vec{\gamma}(t) = \gamma(\omega(t), v(t)), \quad w = \sigma'(0) = \sigma_u(u(0), v(0)) \dot{u}(0) + \sigma_v(u(0), v(0)) \dot{v}(0)$$

$q = \sigma^{-1}(p)$. $(\sigma_u(q), \sigma_v(q))$ standard basis for $T_p \Sigma$

$$\Rightarrow \langle w, w \rangle = \langle \sigma_u(q) \dot{u} + \sigma_v(q) \dot{v}, \sigma_u(q) \dot{u} + \sigma_v(q) \dot{v} \rangle$$



definition The first fundamental form (FFF) is the function $I_p: T_p \Sigma \rightarrow \mathbb{R}$ defined by $I_p(w) = \langle w, w \rangle = \|w\|^2$ for all $w \in T_p \Sigma$.

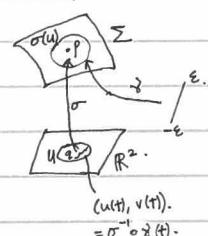
Let $p \in \Sigma$, $\sigma: U \rightarrow \Sigma$, $p \in \sigma(U)$. If $w \in Tp\Sigma$, $\exists \gamma: (-\varepsilon, \varepsilon) \rightarrow \Sigma$ s.t. $\gamma(0) = p$, $\gamma'(0) = w$.

Let $g = \sigma^{-1}(p)$ and $(u(t), v(t)) = \sigma^{-1} \circ g(t)$. Then $\dot{g}(t) = \sigma'(u(t), v(t)) \cdot \dot{u}(t) = \sigma_u u'(t) + \sigma_v v'(t) \Rightarrow w = g'(t) = \sigma_u u'(t) + \sigma_v v'(t)$.

$$T_1(u) = \langle w, u \rangle = \langle \sigma_1 u^1 + \sigma_2 v^1, \sigma_1 u^1 + \sigma_2 v^1 \rangle = (\sigma_1(u^1)) ^2 \leq \sigma_1(q), \sigma_1(q) \rangle + 2 \sigma_1(u^1) \sigma_1(v^1) \langle \sigma_1(q), \sigma_1(v^1) \rangle + (\sigma_1(v^1))^2 \leq \sigma_1(q), \sigma_1(v^1) \rangle.$$

$E = \langle U_1'(o) \rangle^2 + 2F\langle U_1'(o) v'(o) \rangle + G\langle v'(o) \rangle^2$ where $E = \langle \bar{U}_1, \bar{U}_1 \rangle$, $F = \langle \bar{U}_1, \bar{v} \rangle$, $G = \langle \bar{v}, \bar{v} \rangle$ are called the components of FFF.

When this is written as $Edu^2 + 2Edu dy + 6dy^2$, the metric



Consider part of the unit sphere covered by the parametrisation $\sigma(\theta, \varphi) = (\sin \theta \cos \varphi, \sin \theta \sin \varphi, \cos \theta)$. Find the F.F.F.

Then $\sigma_{\theta} = (\cos \theta \cos \varphi, \cos \theta \sin \varphi, -\sin \theta)$, $\sigma_{\varphi} = (-\sin \theta \sin \varphi, \sin \theta \cos \varphi, 0)$. Then $E = \langle \sigma_{\theta}, \sigma_{\varphi} \rangle = |\sigma_{\theta}|^2 = (\cos \theta)^2 + (\cos^2 \varphi + \sin^2 \varphi) + \sin^2 \theta = 1$.

$$F = \langle \sigma_{\theta}, \sigma_{\theta} \rangle = 0 \quad \text{and} \quad G = \langle \sigma_{\theta}, \sigma_{\phi} \rangle = \sin^2 \theta (\sin^2 \varphi + \cos^2 \varphi) = \sin^2 \theta. \quad \text{We could write this as } 1 d\theta^2 + \sin^2 \theta d\varphi^2.$$

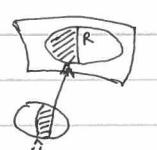
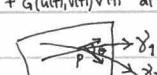
Any property or quantity that can be calculated from the FFF is called intrinsic.

Examples of intrinsic properties—

1. Lengths of curves: Let $\vec{y}(t) = \sigma(u(t), v(t)) = (x(t), y(t), z(t))$. Then $s = \int_{t_0}^{t_1} \sqrt{x'(t)^2 + y'(t)^2 + z'(t)^2} dt = \int_{t_0}^{t_1} \sqrt{E(u(t), v(t)) u'(t)^2 + 2F(u(t), v(t)) u'(t)v'(t) + G(u(t), v(t)) v'(t)^2} dt$.

2. Angles between curves: Suppose we have two curves $\vec{s}_1: (a_1, b_1) \rightarrow \Sigma$ and $\vec{s}_2: (a_2, b_2) \rightarrow \Sigma$, and $\exists t_1 \in (a_1, b_1)$, $t_2 \in (a_2, b_2)$ s.t. $\vec{s}_1(t_1) = \vec{s}_2(t_2) = p$ (i.e. intersection of curves at point p). Then, the angle between \vec{s}_1 and \vec{s}_2 at p is given by $\theta = \cos^{-1} \left(\frac{\langle \vec{s}_1'(t_1), \vec{s}_2'(t_2) \rangle}{\|\vec{s}_1'(t_1)\| \|\vec{s}_2'(t_2)\|} \right)$. Note that $\langle \vec{s}_1'(t_1), \vec{s}_2'(t_2) \rangle = E u_1'(t_1) u_2'(t_2) + F u_1'(t_1) v_2'(t_2) + u_2'(t_2) v_1'(t_1) + G v_1'(t_1) v_2'(t_2)$.

3. Areas of regions: $A(R) = \iint_R |\sigma_u \times \sigma_v| du dv$. To show that $\sigma_u \times \sigma_v$ follows from FFF, note that we have the identity: $|\sigma_u \times \sigma_v|^2 + \langle \sigma_u, \sigma_v \rangle^2 = |\sigma_u|^2 |\sigma_v|^2$ since $|\sigma_u|^2 |\sigma_v|^2 \sin^2 \theta + |\sigma_u|^2 |\sigma_v|^2 \cos^2 \theta = |\sigma_u|^2 |\sigma_v|^2$. Then we obtain: $|\sigma_u \times \sigma_v| = \sqrt{|\sigma_u|^2 |\sigma_v|^2 - \langle \sigma_u, \sigma_v \rangle^2} = \sqrt{EG - F^2}$.



[Ex] The helicoid is the image of \mathbb{R}^2 under the mapping $\sigma(u, v) = (v \cos u, v \sin u, au)$ where a is a positive constant. Construct the FFF, and calculate its length and area of image.

(Alternate method for FFF).

soln. $(dx)^2 + (dy)^2 + (dz)^2$ where $x = v \cos u, y = v \sin u, z = au$. Then $(dx)^2 + (dy)^2 + (dz)^2 = (-v \sin u du + \cos u dv)^2 + (v \cos u du + \sin u dv)^2 + (a du)^2$
 $= (-v \sin u)^2 + (v \cos u)^2 + a^2 du^2 + 0 du dv + (\cos^2 u + \sin^2 u) dv^2 = (v^2 + a^2) du^2 + dv^2$. [$\Leftrightarrow E = v^2 + a^2, F = 0, G = 1$].

The image of the curve $\vec{\gamma}(t) = (\cos t, \sin t, at)$, $0 < t < 2\pi$ lies on this helicoid. Use FFF to calculate its length -

$$s = \int_0^{2\pi} \sqrt{E u'(t)^2 + F u'(t)v'(t) + G v'(t)^2} dt \text{ where } u(t) = t, v(t) = 1 = \int_0^{2\pi} \sqrt{(1+a^2) + 0 + 0} dt = 2\pi \sqrt{1+a^2}.$$

Also, we can calculate area of image of region. $U = \{(u, v) : 0 < u < 2\pi, 0 < v < 1\}$. this is $A(U) = \iint_U \sqrt{EG-F^2} du dv = \int_0^{2\pi} \int_0^1 \sqrt{v^2 + a^2} dv du$
 $\Rightarrow A(U) = 2\pi \int_0^1 \sqrt{v^2 + a^2} dv$.

Isometries:

[Definition] A diffeomorphism $f: \Sigma_1 \rightarrow \Sigma_2$ is called an isometry if $\forall p \in \Sigma_1$ and all $w_1, w_2 \in T_p \Sigma_1$, we have $\langle w_1, w_2 \rangle_p = \langle (Df)_p(w_1), (Df)_p(w_2) \rangle_{f(p)}$.

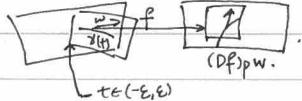
The surfaces Σ_1 and Σ_2 are then said to be isometric.

Note - This is equivalent to $I_p(w) = I_{f(p)}((Df)_p w) \quad \forall w \in T_p \Sigma_1$.

Also, we observe that $\langle w_1 + w_2, w_1 + w_2 \rangle$ can be expanded s.t. $2 \langle w_1, w_2 \rangle = \langle w_1 + w_2, w_1 + w_2 \rangle - \langle w_1, w_1 \rangle - \langle w_2, w_2 \rangle$

i.e. $2 \langle w_1, w_2 \rangle = I_p(w_1 + w_2) - I_p(w_1) - I_p(w_2)$.

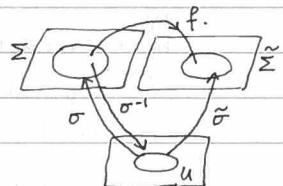
So if $I_p(w) = I_{f(p)}((Df)_p w) \quad \forall w \in T_p \Sigma_1$, then $\langle w_1, w_2 \rangle_p = \langle (Df)_p w_1, (Df)_p w_2 \rangle \Rightarrow$ isometries are diffeomorphisms that preserve FFF.



[Definition] A function $f: V \rightarrow \Sigma_2$ of a neighbourhood V of a point $p \in \Sigma_1$ is called a local isometry if \exists a neighbourhood \tilde{V} of $f(p)$ in Σ_2 s.t. $f: V \rightarrow \tilde{V}$ is an isometry.

If $\forall p \in \Sigma_1$, \exists a local isometry to Σ_2 , then Σ_1 is locally isometric to Σ_2 .

If $f: \Sigma_1 \rightarrow \Sigma_2$ is a diffeomorphism and a local isometry $\forall p \in \Sigma_1$, then f is an isometry (globally).



[Theorem] Let $\sigma: U \rightarrow \Sigma$ and $\tilde{\sigma}: \tilde{U} \rightarrow \tilde{\Sigma}$ be parametrisations of the regular surfaces Σ and $\tilde{\Sigma}$ s.t. $E = \tilde{E}$, $F = \tilde{F}$, $G = \tilde{G}$.

Then the map $f := \tilde{\sigma} \circ \sigma^{-1}: \sigma(U) \rightarrow \tilde{\sigma}(\tilde{U})$ is a local isometry.

Proof - choose $p \in \sigma(U)$ and $w \in T_p \Sigma$. $\exists \gamma: (-\epsilon, \epsilon) \rightarrow \Sigma$ s.t. $\gamma(0) = p, \gamma'(0) = w$. We write $\gamma(t) = \sigma(u(t), v(t)) \Rightarrow$

$$w = \gamma'(0) = \sigma_u(q) u'(0) + \sigma_v(q) v'(0) \text{ where } q = \sigma^{-1}(p) = (u(0), v(0)). \text{ Therefore, } (Df)_p(w) = (Df)_p(\gamma'(0)) = \frac{d}{dt} (f \circ \gamma)(t) \Big|_{t=0} = \frac{d}{dt} (\tilde{\sigma} \circ \sigma^{-1} \circ \sigma(u(t), v(t))) \Big|_{t=0} = \frac{d}{dt} (\tilde{\sigma}_u(u(t), v(t)), \tilde{\sigma}_v(u(t), v(t))) \Big|_{t=0} = \tilde{\sigma}_u(q) u'(0) + \tilde{\sigma}_v(q) v'(0).$$

$$\text{To check that it is a local isometry, we note that } I_{f(p)}((Df)_p w) = \langle \tilde{\sigma}_u u' + \tilde{\sigma}_v v', \tilde{\sigma}_u u' + \tilde{\sigma}_v v' \rangle = \langle \tilde{\sigma}_u \tilde{\sigma}_u (u')^2 + 2 \tilde{\sigma}_u \tilde{\sigma}_v u' v' + \tilde{\sigma}_v \tilde{\sigma}_v (v')^2 \rangle = E(u')^2 + 2F u' v' + G(v')^2 = \langle \sigma_u u' + \sigma_v v', \sigma_u u' + \sigma_v v' \rangle = \langle w, w \rangle = I_p(w).$$

$$\text{Then, } I_{f(p)}((Df)_p w, (Df)_p w) = \tilde{E}(u')^2 + 2\tilde{F} u' v' + \tilde{G}(v')^2 = E(u')^2 + 2F u' v' + G(v')^2 = \langle \sigma_u u' + \sigma_v v', \sigma_u u' + \sigma_v v' \rangle = \langle w, w \rangle = I_p(w).$$

$\Rightarrow f$ is a local isometry. q.e.d.

[Ex] Consider the cone (without its vertex) given by $\Sigma = ap$ in polar coordinates ($p \geq 0$) where a is a constant. (Note: if $a=0$, this is a plane).

Using the parametrisation $\sigma(p, \theta) = (p \cos \theta, p \sin \theta, ap)$, find the FFF. Show that all cones are locally isometric to plane \mathbb{R}^2 .

soln. $\sigma_p = (\cos \theta, \sin \theta, 0)$, $\sigma_\theta = (-p \sin \theta, p \cos \theta, 0)$. The FFF is $(a^2+1) dp^2 + p^2 d\theta^2$.

In terms of related variables $\hat{p} = \sqrt{a^2+1} p$, $\hat{\theta} = \frac{\theta}{\sqrt{a^2+1}}$, we get FFF becoming $d\hat{p}^2 + \hat{p}^2 d\hat{\theta}^2$. i.e. $\hat{E}=1, \hat{F}=0, \hat{G}=\hat{p}^2$.

\Rightarrow by previous theorem, all cones are locally isometric to a plane.

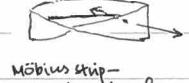
Chapter 4
CURVATURE AND THE SECOND FUNDAMENTAL FORM.

21 October 2013
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[Definition] An orientation on a surface Σ is a continuous map $N: \Sigma \rightarrow \mathbb{R}^3$ s.t. $\forall p \in \Sigma$, $N(p)$ is a unit normal to $T_p \Sigma$.

If a surface Σ admits an orientation, then it is called orientable.

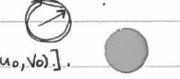
From here on, all surfaces that we consider are orientable.



Any coordinate neighbourhood $\sigma(U)$ is always orientable. [Recall - let $\vec{\gamma}(t) = (u_0 + t, v_0)$, $\vec{\gamma}'(t) = (u_0, v_0 + t) \Rightarrow \vec{\gamma}'_1(t) = \sigma_u(u_0, v_0)$, $\vec{\gamma}'_2(t) = \sigma_v(u_0, v_0)$].

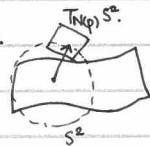
Define $N = \pm \frac{\sigma_u \times \sigma_v}{|\sigma_u \times \sigma_v|}$, where the sign denotes the "standard" orientation.

Since we defined $N: \Sigma \rightarrow \mathbb{R}^3$, and N is the set of unit vectors, we can think of N as a map $N: \Sigma \rightarrow S^2$ (the 2-sphere), with points in S^2 identified with their position vectors).



Consider the differential $(DN)_p: T_p \Sigma \rightarrow T_{N(p)} S^2$. Note that $T_{N(p)} S^2 \cong T_p \Sigma$, so they are parallel planes, which are the same as vector spaces.

Considered as a map from Σ to S^2 , N is called the Gauss map. The differential $(DN)_p$ is an endomorphism on $T_p \Sigma$, i.e. $(DN)_p: T_p \Sigma \rightarrow T_p \Sigma$.



Self-adjoint maps:

Let V be a real 2D vector space with an inner product $\langle \cdot, \cdot \rangle$ (e.g. \mathbb{R}^2).

Definition A linear map $A: V \rightarrow V$ is self-adjoint if $\langle Av, w \rangle = \langle v, Aw \rangle \quad \forall v, w \in V$. To each self-adjoint map $A: V \rightarrow V$, there is a symmetric bilinear map $B: V \times V \rightarrow \mathbb{R}$,

defined by $B(v, w) = \langle Av, w \rangle$. If $\{e_1, e_2\}$ is an orthonormal basis for V , then the matrix $(b_{ij})_{2 \times 2}$ given by $b_{ij} = \langle Ae_i, e_j \rangle$ is symmetric.

Furthermore, to each symmetric bilinear form B on V , there is a quadratic form $Q: V \rightarrow \mathbb{R}$ given by $Q(v) = B(v, v)$.

Q determines B uniquely, by $B(u, v) = \frac{1}{2}(Q(u+v) - Q(u) - Q(v))$, so \exists a 1-1 correspondence between symmetric bilinear maps and quadratic forms.

Theorem Let $A: V \rightarrow V$ be a self-adjoint linear map on V . Then the unit eigenvectors of A , e_1 and e_2 , form an orthonormal basis for V .

the corresponding eigenvalues λ_1, λ_2 are real and are the maximum and minimum values of $Q(v) = \langle Av, v \rangle$ lie on the unit circle of V .

Theorem The differential $(DN)_p: T_p \Sigma \rightarrow T_p \Sigma$ of the Gauss map is self-adjoint.

$$\text{WLOG } \langle v, (DN)_p w \rangle = \langle (DN)_p v, w \rangle$$

Proof - let $q = \sigma^{-1}(p) = (u_0, v_0)$. Since $\{\sigma_u(q), \sigma_v(q)\}$ is a basis for $T_p \Sigma$, it is sufficient to show that $\langle (DN)_p \sigma_u(q), \sigma_v(q) \rangle = \langle \sigma_u(q), (DN)_p \sigma_v(q) \rangle$

let $\tilde{v}(t) = \sigma(u_0 + t, v_0)$, $\tilde{v}'(0) = p$. Then $\tilde{v}'(0) = \sigma_u(u_0, v_0) = \sigma_u(t)$; $(DN)_p \sigma_u(q) = (\text{No}^2)(0) = \frac{1}{4}(\text{No}^2(u_0 + t, v_0))|_{t=0} = (\text{No}^2)u(q)$

$= \tilde{N}_u(q)$, where $\tilde{N} = \text{No}^2$; $\tilde{N}: U \rightarrow S^2$. Since $\tilde{N} \perp T_p \Sigma$, $\sigma_u \in T_p \Sigma$, then $\langle \tilde{N}, \sigma_u \rangle = 0$. Differentiating w.r.t. v ,

$\langle \tilde{N}_v, \sigma_u \rangle + \langle \tilde{N}, \sigma_{uv} \rangle = 0$. Likewise, $\langle \tilde{N}, \sigma_v \rangle = 0 \Rightarrow \langle \tilde{N}_u, \sigma_v \rangle + \langle \tilde{N}, \sigma_{vu} \rangle = 0 \Rightarrow$ together, this gives that

$\langle \tilde{N}_u, \sigma_v \rangle = \langle \sigma_u, \tilde{N}_v \rangle \Leftrightarrow \langle (DN)_p \sigma_u, \sigma_v \rangle = \langle \sigma_u, (DN)_p \sigma_v \rangle$, q.e.d.

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Definition The quadratic form $\text{II}_p: T_p \Sigma \rightarrow \mathbb{R}$ given by $\text{II}_p(w) = -\langle (DN)_p w, w \rangle$ $\forall w \in T_p \Sigma$ is called the 2nd fundamental form.

The eigenvalues k_1, k_2 of $-(DN)_p$ are called the principal curvatures of Σ at p . Also, $K = k_1 k_2 = \det((DN)_p)$ is called the Gauss curvature, and the quantity

$H = \frac{1}{2}(k_1 + k_2) = -\frac{1}{2} \text{Tr}[(DN)_p]$ is the mean curvature.

For any $w \in T_p \Sigma$, $\tilde{v}(0) = p$, $\tilde{v}'(0) = w$, $\tilde{v}''(0) = \sigma_u(u_0 + t, v_0)$, $w = \tilde{v}'(0) = u'(0) \sigma_u(q) + v'(0) \sigma_v(q)$. Then $\text{II}_p(w) = -\langle (DN)_p w, w \rangle$, and expanding it gives no self-adjointness

$\text{II}_p(w) = -\langle u'(0) (DN)_p \sigma_u + v'(0) (DN)_p \sigma_v, u'(0) \sigma_u + v'(0) \sigma_v \rangle = -\langle u' \tilde{N}_u + v' \tilde{N}_v, u' \sigma_u + v' \sigma_v \rangle \Rightarrow (DN)_p \sigma_u = \tilde{N}_u, -(u')^2 \langle \tilde{N}_u, \sigma_u \rangle - v' u' \langle \tilde{N}_v, \sigma_v \rangle - (v')^2 \langle \tilde{N}_v, \sigma_v \rangle$

$= -[(u')^2 \langle \tilde{N}_u, \sigma_u \rangle + 2u'v' \langle \tilde{N}_u, \sigma_v \rangle + (v')^2 \langle \tilde{N}_v, \sigma_v \rangle] = e(u')^2 + 2f(u')v' + g(v')^2$ where $e = -\langle (DN)_p \sigma_u, \sigma_u \rangle$, $f = -\langle (DN)_p \sigma_u, \sigma_v \rangle = -\langle \tilde{N}_u, \sigma_v \rangle = -\langle \tilde{N}_v, \sigma_u \rangle$.

Then e, f, g are called the components of the second fundamental form, which can also be expressed as $e du^2 + 2f du dv + g dv^2$.

Recall that $\langle \tilde{N}, \sigma_u \rangle = 0 \Rightarrow \langle \tilde{N}_u \sigma_u + \tilde{N}_v \sigma_{uv} \rangle = 0 \Rightarrow e = -\langle \tilde{N}_u, \sigma_u \rangle = \langle \tilde{N}_v, \sigma_{uv} \rangle$. Likewise, $f = -\langle \tilde{N}_u, \sigma_v \rangle = \langle \tilde{N}_v, \sigma_{vv} \rangle$, $g = -\langle \tilde{N}_v, \sigma_v \rangle = \langle \tilde{N}_u, \sigma_{uu} \rangle$.

Also, remember that $\tilde{N} = \pm \frac{\sigma_u \times \sigma_v}{|\sigma_u \times \sigma_v|}$. \tilde{N} is a unit vector $\Rightarrow \langle \tilde{N}_u, \tilde{N} \rangle = 1 \Rightarrow \langle \tilde{N}_u, \tilde{N}_v \rangle = \langle \tilde{N}_v, \tilde{N} \rangle = 0$, $\{\sigma_u, \sigma_v, \tilde{N}\}$ is a basis for \mathbb{R}^3 . So,

\exists functions a_{ij} st. $\tilde{N}_u = a_{11} \sigma_u + a_{21} \sigma_v$, $\tilde{N}_v = a_{12} \sigma_u + a_{22} \sigma_v$. Note for any $w = \alpha \sigma_u + \beta \sigma_v \in T_p \Sigma$, $(DN)_p w = \alpha (DN)_p \sigma_u + \beta (DN)_p \sigma_v$

$\Rightarrow (DN)_p w = \alpha \tilde{N}_u + \beta \tilde{N}_v = (\alpha a_{11} + \beta a_{12}) \sigma_u + (\alpha a_{21} + \beta a_{22}) \sigma_v \Rightarrow (DN)_p$ maps $(\alpha, \beta) \mapsto (\alpha a_{11} + \beta a_{12}, \alpha a_{21} + \beta a_{22})$, i.e. $(\alpha, \beta) \mapsto \begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix} \begin{pmatrix} \alpha \\ \beta \end{pmatrix}$.

The Gauss and mean curvatures are: $K = \det(a_{ij})$ and $H = \frac{1}{2}(a_{11} + a_{22})$. Then $\langle \tilde{N}_u, \sigma_u \rangle = a_{11} \langle \sigma_u, \sigma_u \rangle + a_{21} \langle \sigma_v, \sigma_u \rangle \Rightarrow -e = a_{11} E + a_{21} F$.

We end up with four equations: $\langle \tilde{N}_u, \sigma_v \rangle = -f = a_{11}F + a_{21}G$; $\langle \tilde{N}_v, \sigma_u \rangle = -f = a_{12}E + a_{22}F$ and $\langle \tilde{N}_v, \sigma_v \rangle = -g = a_{12}F + a_{22}G$.

Four equations in four unknowns can be expressed in matrices: $\begin{pmatrix} E & F \\ F & G \end{pmatrix} \begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix} = \begin{pmatrix} e & f \\ f & g \end{pmatrix} \Rightarrow \begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix} = \frac{-1}{EG-F^2} \begin{pmatrix} G & -F \\ -F & E \end{pmatrix} \begin{pmatrix} e & f \\ f & g \end{pmatrix}$

then $K = \frac{eg-f^2}{EG-F^2}$, and $H = \frac{1}{2} \frac{eg-2f+gf}{EG-F^2}$. Also, $\tilde{N}_u \times \tilde{N}_v = (a_{11} \sigma_u + a_{21} \sigma_v) \times (a_{12} \sigma_u + a_{22} \sigma_v) = a_{11} a_{22} \sigma_u \times \sigma_v + a_{21} a_{12} \sigma_v \times \sigma_u = \det(A) \sigma_u \times \sigma_v = K \sigma_u \times \sigma_v$.

$\leftarrow 1 \leftrightarrow u, 2 \leftrightarrow v$

$(\sigma_u)_v, (\sigma_v)_u$ are in \mathbb{R}^3 , which is spanned by $\{\sigma_u, \sigma_v, \tilde{N}\}$. Thus, \exists scalar functions of (u, v) , Γ_{ij}^k , λ, μ, ν st.

$$\cdot \sigma_{uu} = \Gamma_{11}^1 \sigma_u + \Gamma_{11}^2 \sigma_v + \lambda \tilde{N} \quad \cdot \sigma_{uv} = \sigma_{vu} = \Gamma_{12}^1 \sigma_u + \Gamma_{12}^2 \sigma_v + \mu \tilde{N} \quad \cdot \sigma_{vv} = \Gamma_{22}^1 \sigma_u + \Gamma_{22}^2 \sigma_v + \nu \tilde{N} \quad \text{--- (5)}$$

Γ_{ij}^k are called Christoffel symbols. Then $\langle \tilde{N}, \tilde{N} \rangle = \langle \sigma_{uu}, \tilde{N} \rangle = 0 + 0 + \lambda \langle \tilde{N}, \tilde{N} \rangle = \lambda \Rightarrow \lambda = e \quad \therefore e = -\langle \tilde{N}_u, \sigma_u \rangle = \langle \tilde{N}, \sigma_u \rangle$.

Similarly, we can get that $\mu = f$, $\nu = g$. For the Christoffel symbols, note for instance that $\langle \tilde{N}_v, \sigma_u \rangle = \langle \sigma_{uu}, \sigma_v \rangle = \Gamma_{11}^1 \langle \sigma_u, \sigma_u \rangle + \Gamma_{11}^2 \langle \sigma_v, \sigma_u \rangle = \Gamma_{11}^1 E + \Gamma_{11}^2 F$.

$$\Rightarrow \frac{1}{2} E_u = \Gamma_{11}^1 E + \Gamma_{11}^2 F. \quad \langle \tilde{N}_v, \sigma_v \rangle = \langle \sigma_{uu}, \sigma_v \rangle = \Gamma_{11}^1 F + \Gamma_{11}^2 G. \quad \text{Note } F_u = \langle \sigma_u, \sigma_v \rangle_u = \langle \sigma_{uu}, \sigma_v \rangle + \langle \sigma_u, \sigma_{uv} \rangle = \langle \sigma_{uu}, \sigma_v \rangle + \frac{1}{2} E_v.$$

$$\Rightarrow F_u - \frac{1}{2} E_v = \Gamma_{11}^1 F + \Gamma_{11}^2 G. \quad \text{The other four equations are eventually derived; together these give: } \begin{pmatrix} E & F \\ F & G \end{pmatrix} \begin{pmatrix} \Gamma_{11}^1 & \Gamma_{11}^2 \\ \Gamma_{12}^1 & \Gamma_{12}^2 \end{pmatrix} = \begin{pmatrix} \frac{1}{2} E_u & \frac{1}{2} E_v \\ -F_u & F_v - \frac{1}{2} G_u \end{pmatrix}.$$

$$\text{Thus we get: } \begin{pmatrix} \Gamma_{11}^1 & \Gamma_{11}^2 \\ \Gamma_{12}^1 & \Gamma_{12}^2 \\ \Gamma_{22}^1 & \Gamma_{22}^2 \end{pmatrix} = \frac{1}{EG-F^2} \begin{pmatrix} G & -F \\ -F & E \end{pmatrix} \begin{pmatrix} \frac{1}{2} E_u & \frac{1}{2} E_v \\ F_u - \frac{1}{2} E_u & F_v - \frac{1}{2} G_u \end{pmatrix} = \begin{pmatrix} \frac{1}{2} E_u & \frac{1}{2} E_v \\ F_u - \frac{1}{2} E_u & F_v - \frac{1}{2} G_u \end{pmatrix}.$$

Remarks - $EG - F^2 \neq 0$, so this is well-defined.

All Christoffel symbols depend solely on the 1st fundamental form.

We do not always need to calculate these for lower dimensions, but these are applicable in general.

We obtain three compatibility conditions on parameters: $(\sigma_{uv})_v = (\sigma_{uv})_u$, $(\sigma_{uv})_v, (\sigma_{uv})_u$, $(\tilde{N}_u)_v = (\tilde{N}_v)_u$.

$$\text{Now, } (\sigma_{uv})_v = (\Gamma_{11}^1)_v \sigma_u + \Gamma_{11}^1 (\Gamma_{21}^1 \sigma_u + \Gamma_{21}^2 \sigma_v + f \tilde{N}) + (\Gamma_{11}^2)_v \sigma_v + \Gamma_{11}^2 (\Gamma_{21}^1 \sigma_u + \Gamma_{21}^2 \sigma_v + g \tilde{N}) + e_v \tilde{N} + e (\alpha_{12} \sigma_u + \alpha_{22} \sigma_v).$$

so, from $(\sigma_{uv})_v = (\sigma_{uv})_u$ condition, the coefficient of σ_v : $(\Gamma_{11}^2)_v - (\Gamma_{12}^1)_u + \Gamma_{11}^1 \Gamma_{12}^2 - \Gamma_{12}^1 \Gamma_{11}^2 + \Gamma_{11}^2 \Gamma_{22}^2 - \Gamma_{12}^2 \Gamma_{21}^2 = EK$ (the K is Gauss curvature).

A similar thing can be done to the other two compatibility conditions to create two other relationships between the 1st and 2nd fundamental forms: $(e_v - f_{uu}, f_v - g_{uv})$.

These relations are called the Mainardi-Codazzi equations.

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[Ex] Analyse the curve $\gamma = \gamma(x, y)$, calculating its fundamental forms and Gauss curvature.

Adm. $\sigma(u, v) = (u, v, \varphi(u, v))$. $\sigma_u = (1, 0, \varphi_u)$, $\sigma_v = (0, 1, \varphi_v)$. $E = \langle \sigma_u, \sigma_u \rangle = 1 + \varphi_u^2$, $F = \langle \sigma_u, \sigma_v \rangle = \varphi_u \varphi_v$, $G = \langle \sigma_v, \sigma_v \rangle = 1 + \varphi_v^2$ thus, we obtain that the 1st fundamental form:

$$FFF = (1 + \varphi_u^2) du^2 + 2\varphi_u \varphi_v du dv + (1 + \varphi_v^2) dv^2, \quad \sigma_u \times \sigma_v = (-\varphi_u, -\varphi_v, 1). \quad \tilde{N} = \frac{(-\varphi_{u1}, -\varphi_{v1}, 1)}{\sqrt{1 + \varphi_u^2 + \varphi_v^2}} \quad e = -\langle D\sigma_u, \sigma_u \rangle = -\langle \tilde{N}, \sigma_u \rangle = \tilde{N} \cdot \sigma_{uu} \quad [\because \langle \tilde{N}, \sigma_u \rangle = 0]$$

$$\sigma_{uu} = (0, 0, \varphi_{uu}), \quad \sigma_{uv} = (0, 0, \varphi_{uv}), \quad \sigma_{vv} = (0, 0, \varphi_{vv}). \quad e = \langle \tilde{N}, \sigma_{uu} \rangle = \frac{\varphi_{uu}}{\sqrt{1 + \varphi_u^2 + \varphi_v^2}}, \quad f = \frac{\varphi_{uv}}{\sqrt{1 + \varphi_u^2 + \varphi_v^2}}, \quad g = \frac{\varphi_{vv}}{\sqrt{1 + \varphi_u^2 + \varphi_v^2}}$$

$$SFF = \frac{\varphi_{uu} du^2 + 2\varphi_{uv} du dv + \varphi_{vv} dv^2}{\sqrt{1 + \varphi_u^2 + \varphi_v^2}} \quad \text{and by Gauss, } K = \frac{eg - F^2}{EG - F^2} = \frac{\varphi_{uu} \varphi_{vv} - \varphi_{uv}^2}{(1 + \varphi_u^2 + \varphi_v^2)^2}.$$

Chapter 5 GEODESICS.

Covariant derivative

[Definition] Let Σ be an open set in a regular surface. A vector field on Σ is a smooth function $w: \Sigma \rightarrow \mathbb{R}^3$ s.t. $\forall p \in \Sigma$, $w(p) \in T_p \Sigma$. $w(t) = a(t) \sigma_u(u(t), v(t)) + b(t) \sigma_v(u(t), v(t))$

$$\text{Then } \frac{dw}{dt} = a' u + a(\sigma_{uu} \dot{u} + \sigma_{uv} \dot{v}) + b' v + b(\sigma_{vu} \dot{u} + \sigma_{vv} \dot{v}) = \{a + a \Gamma_{11}^1 \dot{u} + a \Gamma_{12}^1 \dot{v} + b \Gamma_{21}^1 \dot{u} + b \Gamma_{22}^1 \dot{v}\} \sigma_u + \{b + b \Gamma_{11}^2 \dot{u} + b \Gamma_{12}^2 \dot{v} + a \Gamma_{21}^2 \dot{u} + a \Gamma_{22}^2 \dot{v}\} \sigma_v + \{au + bv + (a\dot{u} + b\dot{v}) + (a\sigma_{uu} \dot{u} + b\sigma_{vv} \dot{v})\}$$

The projection of $\frac{dw}{dt}$ in the tangent plane is called the covariant derivative of w in the direction \vec{v} . $\nabla_{\vec{v}} w = (a + \dots + \Gamma_{12}^1 b \dot{v}) \sigma_u + (b + \dots + \Gamma_{22}^1 b \dot{v}) \sigma_v$. —④

[Definition] A smooth vector field is said to be parallel along $\gamma: I \rightarrow \Sigma$ if $\nabla_{\vec{v}} w = 0 \ \forall t \in I$ ($\vec{v}: I \rightarrow \Sigma$).

[Theorem] Let w_1 and w_2 be parallel vector fields along $\gamma: I \rightarrow \Sigma$. Then $\langle w_1, w_2 \rangle$ is constant. In particular, $\langle w_1, w_2 \rangle$ and the angle between them is constant.

[Proof] $w_1, w_2 \in T_p \Sigma$ but $w_1, w_2 \perp$ to $T_p \Sigma$. So $\langle w_1, w_2 \rangle = 0$. Now $\frac{d}{dt} \langle w_1, w_2 \rangle = \langle w_1', w_2 \rangle + \langle w_1, w_2' \rangle = 0$, q.e.d.

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[Theorem] Let $\gamma: I \rightarrow \Sigma$ be a parametrised curve, and choose $w_0 \in T_{\gamma(t_0)} \Sigma$ for some $t_0 \in I$. Then there is a unique parallel vector field $w(t)$ along $\gamma(t)$,

with $w(t_0) = w_0$.

[Definition] A non-constant parametrised curve $\gamma: I \rightarrow \Sigma$ is said to be geodesic if γ' is parallel along γ , i.e. $\nabla_{\gamma'} \gamma' = 0$.

Note - $\gamma' = \sigma_u u' + \sigma_v v'$ (i.e. $a = u'$, $b = v'$) from ④: $\dot{u} + \Gamma_{11}^1 \dot{u}^2 + 2\Gamma_{12}^1 \dot{u} \dot{v} + \Gamma_{22}^1 \dot{v}^2 = 0$, $\dot{v} + \Gamma_{11}^2 \dot{u}^2 + 2\Gamma_{12}^2 \dot{u} \dot{v} + \Gamma_{22}^2 \dot{v}^2 = 0$.

[Theorem] Another form of the geodesic equation is $\frac{d}{dt}(E u + F v) = \frac{1}{2}(E_u \dot{u}^2 + 2F_u \dot{u} \dot{v} + G_u \dot{v}^2)$, $\frac{d}{dt}(F u + G v) = \frac{1}{2}(E_v \dot{u}^2 + 2F_v \dot{u} \dot{v} + G_v \dot{v}^2)$.

Note - $\nabla_{\gamma'} \gamma' = 0 \Leftrightarrow \gamma''$ has no component in tangent plane $\Leftrightarrow \gamma'' = (\dots) \tilde{N}$.

[Proof] $0 = \gamma' \cdot \sigma_u$ (no component of γ' in the σ_u direction) = $\frac{1}{2} \left\{ (u \sigma_u + v \sigma_v) \cdot \sigma_u \right\} = \frac{1}{2} \left\{ \frac{\partial}{\partial u} (u \sigma_u + v \sigma_v) \cdot \sigma_u \right\} - (u \sigma_u + v \sigma_v) \frac{\partial \sigma_u}{\partial u}$
 $= \frac{1}{2} \left\{ u \dot{E} + v \dot{F} \right\} - (u \sigma_u + v \sigma_v) \cdot (\sigma_u u' + \sigma_v v') = \frac{1}{2} (E u + F v) - (u \sigma_u u' + (\sigma_u \sigma_{uu} u^2 + (\sigma_u \sigma_{uv} + \sigma_v \sigma_{uu}) u' v) + \sigma_v \sigma_{uv} v^2) \Rightarrow \frac{d}{dt} (E u + F v) = \frac{1}{2} (E_u \dot{u}^2 + 2F_u \dot{u} \dot{v} + G_u \dot{v}^2)$, qed!

[Recap] parallel $\Leftrightarrow \nabla_{\gamma'} w = 0$, geodesics are where $\nabla_{\gamma'} \gamma' = 0$. We know that $\nabla_{\gamma'} w = 0 \Leftrightarrow w$ is constant. $\nabla_{\gamma'} w_0 = 0$, $w_1, w_2 = 0$, $\therefore (w_1, w_2)' = 0$.

[Lemma] choose $w \in T_p \Sigma$. Then \exists unique geodesic γ on Σ passing through p with tangent vector w .

Geodesics on rotationally symmetric surfaces.

Any surface that is rotationally symmetric about the z -axis has a parametrisation of the form $\sigma(u, v) = (f(v) \cos u, f(v) \sin u, g(v))$.

Curve in the $p-z$ plane is unit speed by parametrisation, so $(f')^2 + (g')^2 = 1$. This simplifies our calculations.

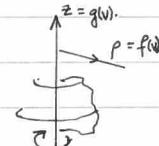
$\sigma_u = (-f \sin u, f \cos u, 0)$, $\sigma_v = (f' \cos u, f' \sin u, g')$. $E = \langle \sigma_u, \sigma_u \rangle = f^2$, $F = \langle \sigma_u, \sigma_v \rangle > 0$, $G = \langle \sigma_v, \sigma_v \rangle = (f')^2 + (g')^2 = 1$. Then first fundamental form is $f^2 du^2 + dv^2$.

Apply "standard" geodesic equations: $\frac{d}{dt}(E u + F v) = \frac{1}{2}(E_u \dot{u}^2 + 2F_u \dot{u} \dot{v} + G_u \dot{v}^2) \Leftrightarrow \frac{d}{dt}(f^2 \dot{u}) = 0$ [as f is a function of v , no u term]. Also, our other equation is

$\frac{d}{dt}(F u + G v) = \frac{1}{2}(E_v \dot{u}^2 + 2F_v \dot{u} \dot{v} + G_v \dot{v}^2) \Leftrightarrow \frac{d}{dt}(v) = \dot{v} = f'(v) f'(v) \dot{u}^2 - ②$. start by considering geodesics of the form $u = u_0$ [i.e. a slice of the plane]. This satisfies ①.

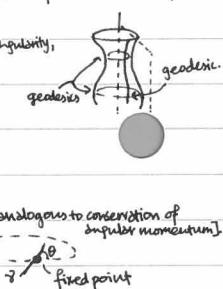
② becomes $\dot{v} = 0 \Rightarrow v = dt + \beta$. the intersection of Σ with any plane containing the z -axis is the image of a geodesic.

$f(v) \neq 0$ by non-singularity,



Next, we look for geodesics of form $v = v_0$. ① becomes $f(v_0) \dot{u} = 0$, ②: $0 = f(v_0) f'(v_0) \dot{u}^2 \Rightarrow \dot{u} \neq 0$, else we have no curve, $f'(v_0) = 0$.

$\Rightarrow \dot{u} = 0$ and $u = dt + \beta$. \Rightarrow hence geodesics occur where $f'(v_0) = 0 \Rightarrow v_0$ is a local extremum of f (max and min distances from z -axis).



In the general case, note $\langle \sigma_u, \dot{\gamma} \rangle = \langle \sigma_u, \dot{u} \sigma_u + \dot{v} \sigma_v \rangle = \dot{u} \langle \sigma_u, \sigma_u \rangle + \dot{v} \langle \sigma_u, \sigma_v \rangle = E \dot{u} + F \dot{v} = f^2 \dot{v}$ [Note: this is conserved by ①, which is analogous to conservation of angular momentum].

①: $\langle \sigma_u, \dot{\gamma} \rangle = \text{const} \Rightarrow |\sigma_u| |\dot{\gamma}| \cos \theta = \text{const}$. $|\dot{\gamma}|$ is also constant. Also, $|\sigma_u| = \sqrt{E} = f(v)$ = distance r from p to z -axis.

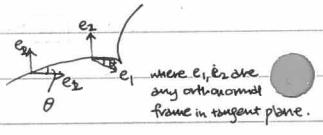
Theorem (Turning tangents):

$$\sum_{j=0}^n [\theta(S_j^-) - \theta(S_j^+)] + \sum_{i=0}^n d_i = \pm 2\pi. \quad (2)$$

Proof - Topology omitted.

Definition A region R of an oriented surface Σ is said to be simple if it is homeomorphic to the unit circle, and its boundary ∂R is the trace of a simple closed piecewise regular curve (orthogonal to Σ)

$\gamma: I \rightarrow \Sigma$. At each point of ∂R , apart from the vertices, there is a unique unit vector v s.t. the point $\gamma + tv$ is in R for all sufficiently small $t > 0$. The curve γ is said to be positively oriented if $\dot{\gamma} \times v$ points in the same direction as the orientation N .



Theorem (Gauss-Bonnet Theorem - local version):

Let $U \subset \mathbb{R}^2$ be homeomorphic to an open disc and let Σ be a regular surface with an orientation compatible with a parametrisation $\sigma: U \rightarrow \Sigma$.

Let $R \subset \sigma(U)$ be a simple region of Σ and suppose that there is a closed simple piecewise regular curve $\gamma: I \rightarrow \Sigma$ parametrised by arclength, s.t. $\gamma(I)$ is the boundary ∂R of R . Let $\gamma(s_0), \dots, \gamma(s_n)$ and d_0, \dots, d_n be the vertices and exterior angles of γ respectively. Then

$$\sum_{j=0}^n \int_{S_j^-}^{S_j^+} K ds + \iint_R K da + \sum_{i=0}^n d_i = 2\pi. \quad (3)$$

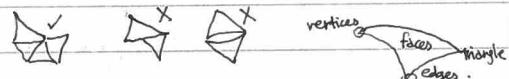
where K is the geodesic curvature of γ and K is the Gauss curvature.

$$\text{Proof - From (1), } \sum_{j=0}^n \int_{S_j^-}^{S_j^+} K ds = \sum_{j=0}^n (\int_{S_j^-}^{S_j^+} \dot{\theta} ds - \int_{S_j^-}^{S_j^+} e_1 \cdot \dot{e}_2 ds) = \sum_{j=0}^n [\theta(S_j^-) - \theta(S_j^+)] - \sum_{j=0}^n \int_{S_j^-}^{S_j^+} e_1 \cdot \dot{e}_2 ds. \text{ Now use (2). Then (3) is equivalent to } \sum_{j=0}^n \int_{S_j^-}^{S_j^+} e_1 \cdot \dot{e}_2 ds = \iint_R K da. \text{ Now } \sum_{j=0}^n \int_{S_j^-}^{S_j^+} e_1 \cdot \dot{e}_2 ds = \sum \{ e_1 \cdot [e_2 u \dot{u} + (e_2)_v \dot{v}] \} ds = \sum \{ (e_1 \cdot (e_2)_u) \dot{u} + (e_1 \cdot (e_2)_v) \dot{v} \} ds. \text{ Using Green's Theorem, } \int_P du + Q dv = \int P \frac{du}{ds} ds + Q \frac{dv}{ds} ds = \iint_R (\frac{\partial Q}{\partial u} - \frac{\partial P}{\partial v}) du dv. \text{ Thus, (3) is } \iint_R (e_1 \cdot (e_2)_u - (e_1 \cdot (e_2)_v)) du dv, \text{ and expanding terms out, } = \iint_R [(e_1)_u (e_2)_v - (e_1)_v (e_2)_u] du dv = \iint_{\sigma^{-1}(R)} \frac{eg - p^2}{(Eg - F^2)} du dv = \iint_{\sigma^{-1}(R)} K \sqrt{Eg - F^2} du dv = \iint_R K da. \text{ q.e.d.}$$



Definition A triangulation of a regular region $R \subset \Sigma$ is a finite family Γ of triangles T_1, \dots, T_n s.t.

(1) $\cup T_i = R$ and (2) $\forall i \neq j$, $T_i \cap T_j$ is empty, or a single common vertex, or a single common edge.



Definition The Euler characteristic $\chi(R)$ is given by $\chi(R) = F - E + V$, where $F = \# \text{faces}$, $E = \# \text{edges}$, $V = \# \text{vertices}$.

Example - consider a (topological) sphere, $S^2 \leftrightarrow$ tetrahedron. Then by this choice of triangulation, $\chi(S^2) = 4 - 6 + 4 = 2$.



This allows us to generalise the Gauss-Bonnet theorem in more complicated topologies, then we have

$$\sum_i \int_{C_i} K ds + \iint_R K da + \sum_i d_i = 2\pi \chi(R). \text{ This will be further elaborated upon.}$$



Consider the torus, T^2 .

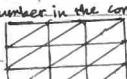


We attempt to find a triangulation, and first, a representation

consider a rectangle. Then we identify edges (as denoted by arrows):



then, we triangulate the rectangle:

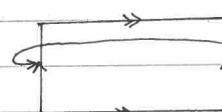


this does not work, as more than two vertices are shared.

Likewise, neither is this: However, for an odd number in the complexity, this works. Then $\chi(T^2) = F - E + V$

$$18 - 27 + 9 = 0.$$

(note, one long horizontal & vertical edge of rectangle is repeated and should not be counted!).



26 November 2013
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Circumlocution

Theorem (Gauss-Bonnet Theorem - Global Version):

Let $R \subset \Sigma$ be a regular region of an oriented surface, and let C_1, \dots, C_p be closed regular curves which form the boundaries of R . Suppose that each C_i is positively oriented, and let d_1, \dots, d_n be exterior angles of the curves C_1, \dots, C_p . Then

$$\sum_{i=1}^p \int_{C_i} K ds + \iint_R K da + \sum_{i=1}^n d_i = 2\pi \chi(R).$$

Remark - n, p have no correlation! Depends on smoothness of C_i .

Proof - consider a triangulation. We assume the following facts of the Euler characteristic: (1) every regular region admits a triangulation. (2) χ is independent of

choice of triangulation. (3) let Σ be an oriented surface, and $\sigma: \Delta \rightarrow \Sigma$ be a parametrisation compatible with its orientation. Then \exists a triangulation Γ of Δ s.t.

for each triangle $T \in \Gamma$, $T \in \sigma^{-1}(U_d)$ for some d . Furthermore, if the boundary of every triangle is positively oriented, then adjacent triangles determine

opposite directions on the common edge. Assume that R has a triangulation Γ as described in (1), (2), (3). Then let

(d_1, d_2, d_3, d_4) be the exterior angles of triangle T_j . Now apply local Gauss-Bonnet theorem to each triangle,

and add up the results. The integrals of K along any common edge cancel because of the opposite directions of integration in the sum.

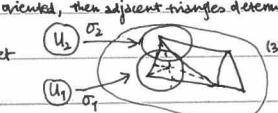
$$\Rightarrow \sum_{j=1}^F \int_{C_j} K ds + \iint_R K da + \sum_{j=1}^F \sum_{k=1}^3 d_{jk} = 2\pi F. \quad (4)$$

Thus, we need to understand the term $\sum_{j=1}^F \sum_{k=1}^3 d_{jk} K$. Then, in terms of the normal internal angles $\psi_{jk} = \pi - d_{jk}$, we have $\sum_{j=1}^F \sum_{k=1}^3 d_{jk} = 3\pi F - \sum_{j=1}^F \sum_{k=1}^3 \psi_{jk}$. Let $E_e = \# \text{exterior edges of } T$ since C_j are closed curves.

$E_i = \# \text{internal edges of } T$, $V_e = \# \text{exterior vertices of } T$, $V_i = \# \text{internal vertices of } T$. Clearly, $E_e = E_i + V_e$. Moreover,

suppose that we count number of edges (3) for each triangle, giving $3F$. Each internal edge has been counted twice, external edge only once. i.e. $3F = 2E_i + E_e$

$$\therefore \sum_{j=1}^F \sum_{k=1}^3 d_{jk} = 2\pi E_i + \pi E_e - \sum_{j=1}^F \sum_{k=1}^3 \psi_{jk} \text{ by (3). We then analyse vertices into three types - internal, and two types of external vertices. Then we let}$$



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$V_{\text{ext}} = \# \text{ vertices from triangulation that are not vertices of } C_{\text{int}}$, and also $V_{\text{ext}} = \# \text{ external vertices that are vertices of } c_i : n = \# \text{ vertices of } C_{\text{int}}$.

$$\begin{aligned} \text{The sum of internal angles at each interior vertex is } 2\pi, \text{ sum of internal angles at each vertex of } T \text{ (that is not a vertex of } C) \text{ is } \pi, \text{ sum at vertices of } C_{\text{int}} \text{ is interior angle } \\ \text{of vertex.} \\ \text{So } \sum_{i=1}^F \sum_{k=1}^3 \varphi_{ijk} = 2\pi V_i + \pi V_{\text{ext}} + \sum_{i=1}^F (n - d_i) = 2\pi V_i + \pi V_{\text{ext}} + \pi V_{\text{ext}} - \sum_{i=1}^F d_i = 2\pi V_i + \pi V_{\text{ext}} - \sum_{i=1}^F d_i. \text{ then since } \sum_{i=1}^F \sum_{k=1}^3 \varphi_{ijk} = 2\pi E_i + \pi E_{\text{ext}} - \sum_{i=1}^F \sum_{k=1}^3 \varphi_{ijk}, \\ \sum_{i=1}^F \sum_{k=1}^3 \varphi_{ijk} = 2\pi E_i + \pi E_{\text{ext}} - 2\pi V_i - \pi V_{\text{ext}} + \sum_{i=1}^F d_i = 2\pi E_i + 2\pi E_{\text{ext}} - 2\pi V_i - 2\pi V_{\text{ext}} + \sum_{i=1}^F d_i = 2\pi (E - V) + \sum_{i=1}^F d_i. \text{ substitute this into } ①, \text{ then we get:} \\ \sum_{i=1}^F \int_{C_i} K ds + \iint_R K da + \sum_{i=1}^F d_i = 2\pi \chi(R) = 2(F - E + V) // \text{q.e.d.} \end{aligned}$$

A compact surface is a surface that is bounded in \mathbb{R}^3 and has no edges. e.g. a sphere, torus but not a paraboloid.

Theorem For any compact surface, $2\pi \chi(\Sigma) = \iint_{\Sigma} K da$.

Proof - From Gauss-Bonnet Theorem.

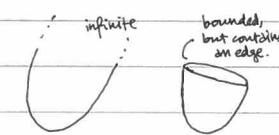
Applications: For any compact connected (i.e. one piece) surface Σ , the quantity $g = \frac{2 - \chi(\Sigma)}{2}$ is called the genus. Roughly speaking, this is the number of holes.

Topologically, we have the following: $\begin{array}{c} \textcircled{0} \\ g=0 \end{array} \cong \text{ (sphere) } \quad \begin{array}{c} \textcircled{1} \\ g=1 \end{array} \cong \text{ (sphere with handle) } \quad \begin{array}{c} \textcircled{2} \\ g=2 \end{array} \cong \text{ (double torus) } \quad \dots \quad \begin{array}{c} \textcircled{n} \\ g=n \end{array} \cong \text{ (n-holed torus) }$

Theorem Let $\Sigma \subset \mathbb{R}^3$ be a compact connected surface, then $\chi(\Sigma)$ takes a one of the values $2, 0, -2, \dots, (g = 0, 1, 2, \dots \therefore g = \frac{2 - \chi(\Sigma)}{2})$.

Furthermore, if $\tilde{\Sigma} \subset \mathbb{R}^3$ is a second compact connected surface s.t. $\chi(\tilde{\Sigma}) = \chi(\Sigma)$, then Σ is homeomorphic to $\tilde{\Sigma}$.

Proof - Omitted. (This theorem concerns deformation of structures).



Lemma (Jordan curve lemma)

Any simple closed curve in \mathbb{R}^2 is the boundary of two disjoint regions, one bounded (interior) and one unbounded (exterior).

proof

Proof - Also assumed, omitted.

Corollary (of Local G-B) the local Gauss-Bonnet theorem holds even when $R \notin \sigma(U)$.

Proof - omitted.

Corollary Any compact connected surface with positive Gauss curvature is homeomorphic to the sphere.

Proof - GB theorem $\Rightarrow 2\pi \chi(\Sigma) = \iint_{\Sigma} K da > 0$ since $K > 0$. $2\pi \chi(\Sigma) > 0 \Rightarrow$ since $\chi(\Sigma)$ can only take discrete values, only positive one is 2 $\Rightarrow \chi(\Sigma) = 2$, homeomorphic to S^2 .

Corollary let Σ be an orientable surface with $K \leq 0$. Two geodesics cannot meet twice in such a way that they form the boundaries of a simple region.

By contradiction.

Proof - Trace the geodesics with a positive orientation. $Kg = 0$, and $\chi(R) = 1 \Rightarrow \iint_R K da + d_1 + d_2 = 2\pi$. Since $K \leq 0$, $\iint_R K da \leq 0 \Rightarrow d_1 + d_2 \geq 2\pi$.

Since each exterior angle is between 0 and π by definition, $d_1 = d_2 = \pi \Rightarrow$ since geodesics are unique in direction at each point, the fact that they share a tangent vector (up to sign) at the vertices \Rightarrow second geodesic is same as first, traced in opposite direction. Then $R = \emptyset$.

Corollary (Jacobi's Theorem)

let $\gamma: I \rightarrow \mathbb{R}^3$ be a closed regular curve with non-zero curvature. Assume that the curve $n: I \rightarrow S^2$ traced by the principal normal is simple. regions of Then $n(I)$ divides S^2 into two equal areas.

Proof - Since this is the unit sphere S^2 , $K = 1$. Gauss-Bonnet theorem is $\iint_{S^2} K ds + \sum_{i=1}^F d_i = 2\pi \chi(K) = \iint_{S^2} K ds + A + 0$.

Let \hat{s} be the arclength of the curve $\gamma(\hat{s}) = R$ (sphere curve). We apply Frenet formulae: $t_s = kn$, $n_s = -kt - tb$, $b_s = tn$

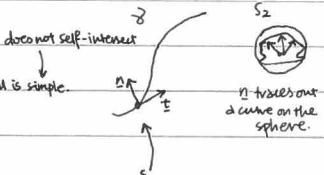
The geodesic curvature of $\gamma = \hat{s}$ is given by $K_g = (N \times \hat{s}) \cdot (\hat{s}) = (n \times \frac{dn}{d\hat{s}}) \cdot \frac{d^2 n}{d\hat{s}^2}$ since $N = n$, the position vector, dot w.r.t. \hat{s} .

Now $\frac{dn}{d\hat{s}} = \frac{ds}{d\hat{s}} \cdot \frac{dn}{ds} = -(kt + tb) \frac{ds}{d\hat{s}}$, since $|n| = 1$, $|\frac{ds}{d\hat{s}}| = \frac{1}{\sqrt{k^2 + t^2}}$ since \hat{s} is arclength of γ . Then $\frac{d^2 n}{d\hat{s}^2} = -(kt + tb) \frac{d^2 s}{d\hat{s}^2} - (ks + t + tb + k^2 + t^2) n \frac{ds}{d\hat{s}^2}$

$= -(kt + tb) \frac{d^2 s}{d\hat{s}^2} - (ks + t + tb + (k^2 + t^2)n) \frac{ds}{d\hat{s}^2}$. Then $K_g = (n \times \frac{dn}{d\hat{s}}) \cdot \frac{d^2 n}{d\hat{s}^2} = (kb - tc) \frac{ds}{d\hat{s}} \cdot [- (kt + tb) \frac{d^2 s}{d\hat{s}^2} - (ks + t + tb + (k^2 + t^2)n) \frac{ds}{d\hat{s}^2}] = - \frac{(ds)^2}{d\hat{s}^2} (kt + tb)$

$\Rightarrow K_g = \frac{tk - tb}{k^2 + t^2} \frac{ds}{d\hat{s}}$. Then $\iint_{S^2} K ds + A(R) = 2\pi \Rightarrow \iint_{S^2} K ds = \int_{\hat{s}}^{2\pi} \frac{tk - tb}{k^2 + t^2} ds = \int_{\hat{s}}^{2\pi} \frac{ds}{\arctan(\frac{tb}{tk})} ds = 0$ (\because integral of an exact derivative around closed curve)

\Rightarrow area of $R = \iint_R da = 2\pi - \iint_{S^2} K ds = 2\pi = \frac{1}{2}(4\pi)$ // q.e.d.



2 December 2013

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Geometric B.A.O.

Chapter 7.
GENERAL TOPOLOGY

Definition A topology on a set X is a collection \mathcal{T} of subsets of X satisfying the following conditions:

1. $\emptyset \in \mathcal{T}$, $X \in \mathcal{T}$ 2. \mathcal{T} is closed under arbitrary unions.

which lie in
the subsets of X , T are called the open sets of X . $\bigcup_{j=1}^n U_j$ is open if each U_j is open.

Example - Two topologies on any open set X are (1) trivial topology $T_1 = \{\emptyset, X\}$ and (2) discrete topology $T_2 = 2^X = \text{all subsets of } X$.

let $X = \{1, 2, 3\}$, then $T_1 = \{\emptyset, \{1, 2, 3\}\}$ is trivial topology, $T_2 = \{\emptyset, \{1\}, \{2\}, \{3\}, \{1, 2\}, \{1, 3\}, \{2, 3\}, \{1, 2, 3\}\}$ is also a topology; so is $T_3 = \{\emptyset, \{1\}, \{1, 2\}, \{1, 3\}, \{2, 3\}\}$

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Note - A collection of subsets consisting of $\{\emptyset, \{1\}, \{2\}, \{3\}, \{1, 2\}, \{1, 3\}, \{2, 3\}\}$ is not a topology as $\{1\} \cup \{2\} \notin \mathcal{T}$.

(X, \mathcal{T}) is called a topological space.

Definition let (X, τ) be a topological space and let $Y \subseteq X$ be any subset (not necessarily open). Then $\tau_Y = \{Y \cap U : U \in \tau\}$ is a topology on Y called the subspace topology.

Example - Consider $X = [0, 1] \subseteq \mathbb{R}$ with the subspace topology from \mathbb{R} (with standard topology). Then $[0, \frac{1}{2}]$ is open in X but not \mathbb{R} , since $[0, \frac{1}{2}] = X \cap [\frac{1}{2}, 1]$ is open in \mathbb{R} .

Definition A subset $A \subseteq X$ is closed if $X \setminus A$ is open.

Theorem let X be a topological space. Then (1) \emptyset, X are closed, (2) arbitrary intersections of closed sets are closed, (3) finite unions of closed sets are closed.

Lemma let Y be a subset of the topological space X . Then $A \subseteq Y$ is closed in Y with respect to subspace topology $\Leftrightarrow A = Y \cap C$, where C is closed in X .

Proof - Assume $A = Y \cap C$, C closed. $X \setminus C$ is open $\Rightarrow Y \setminus A = (X \setminus C) \cap Y = (\text{open set in } X) \cap Y \Rightarrow$ this is open in subspace topology.

conversely, assume that A is closed in Y . So $Y \setminus A$ is open in Y . Then $Y \setminus A = Y \cap U$ where U is some open set in X . $\Rightarrow X \setminus U$ is closed in X .

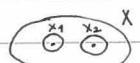
$\Rightarrow A = Y \cap (X \setminus U) = Y \cap C$ for closed C , q.e.d.

Definition let Y be a subset of a topological space X . The closure of Y is the set $\text{cl}(Y) = \bar{Y} = \bigcap \{ \text{all closed subsets containing } Y \}$. The interior of Y is $\text{int}(Y) = \overset{\circ}{Y} = \bigcup \{ \text{all open subsets of } Y \}$.

$x \in \bar{Y} \Leftrightarrow$ every open set U containing x intersects Y .

Definition For any x in a topological space X , a neighbourhood of x is any open set containing x .

Definition A topological space X is called Hausdorff (or a Hausdorff space) if for each pair of distinct points $x_1, x_2 \in X$, \exists neighbourhoods U_1, U_2 of x_1, x_2 respectively s.t. $U_1 \cap U_2 = \emptyset$.



Theorem Every finite point set in a Hausdorff space X is closed.

Proof - We only need to show that $\{x_0\}$ is closed for each $x_0 \in X$. Take $x \neq x_0$. \exists neighbourhood of x not containing x_0 $\therefore X \setminus \{x_0\}$ = union of all such sets, which is open $\Rightarrow \{x_0\}$ is closed.

Definition A sequence $x_1, x_2, \dots \in X$ is said to converge to $x \in X$ if given any neighbourhood U of x , $\exists N$ s.t. $x_n \in U, \forall n > N$.

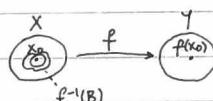
Theorem If X is a Hausdorff space, then the sequence $\{x_n\} \subset X$ converges to at most one point of X .

Proof - Suppose that there are two limit points $x, y \in X$. X is Hausdorff, so \exists open sets U_1, U_2 s.t. $x \in U_1, y \in U_2, U_1 \cap U_2 = \emptyset$. $\exists N > N$ s.t. $x_n \in U_1, y \in U_2, \forall n > N$ $\Rightarrow x_n \notin U_2$ $\forall n > N$ \Rightarrow contradiction, q.e.d.

Theorem If X, Y are metric spaces, $f: X \rightarrow Y$ is continuous $\Leftrightarrow f^{-1}(U)$ is open in X for all open subsets U of Y .

Proof - let U be a neighbourhood of $f(x_0)$. $\exists B \in \mathcal{B}(f(x_0)) \cap U$, $f^{-1}(B)$ is open and $x_0 \in f^{-1}(B) \therefore \exists S$ s.t. $B \subseteq f(S) \subseteq f^{-1}(B)$.

so $x \in S \therefore d(x, x_0) < \delta \therefore f(x) \in B$ since $B \subseteq f(S) \subseteq f^{-1}(B) \Leftrightarrow |f(x) - f(x_0)| < \epsilon$.



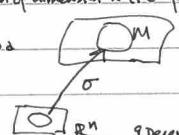
Definition let X, Y be topological spaces. Then $f: X \rightarrow Y$ is said to be continuous if $f^{-1}(U)$ is open in X whenever U is open in Y .

Definition let (X, τ) be a topological space and let \mathcal{B} be a collection of open subsets of X s.t. (1) $\forall x \in X, \exists B \in \mathcal{B}$ with $x \in B$, (2) if $x \in B_1 \cap B_2, B_1, B_2 \in \mathcal{B}$, then $B_1 \cap B_2 \in \mathcal{B}$.



Theorem $\exists B_3 \in \mathcal{B}$ s.t. $x \in B_3 \subseteq B_1 \cap B_2$. Then \mathcal{B} is the topology generated by \mathcal{B} , and \mathcal{B} is called a basis for T .

Definition A topological manifold of dimension n (n -manifold) is a topological space M s.t. (i) M is Hausdorff, (ii) M is locally Euclidean of dimension n (i.e. for $x \in M$, \exists neighbourhood U of x s.t. \exists a continuous function from U to an open set in \mathbb{R}^n with continuous inverse) and (iii) M has a countable basis of open sets.

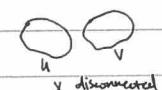


9 December 2013
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Conformal B401

Connectedness and compactness:

Definition let X be a topological space. If $X = U \cup V$ where U, V are open, disjoint ($U \cap V = \emptyset$) and non-empty ($U \neq \emptyset, V \neq \emptyset$), then X is disconnected.

otherwise, X is connected.



Theorem X is connected $\Leftrightarrow \emptyset, X$ are the only sets that are both open and closed.

Theorem suppose $X = U \cup V$ where U, V are open and disjoint. Then U, V are also closed.

Proof - $V = X \setminus U$ is closed, $U = X \setminus V$ is closed, q.e.d.

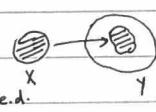
Proof - (\Leftarrow) suppose X were not connected, then we can find U, V as non-empty open and closed sets \Rightarrow contradiction.

(\Rightarrow) let U be open and closed, $U \neq \emptyset$ and $U \neq X$. Then $X = U \cup (X \setminus U)$, but $X \setminus U$ is open since U is closed $\Rightarrow X$ is disconnected \Rightarrow contradiction, q.e.d.

Theorem let X be a connected topological space, and $f: X \rightarrow Y$ be continuous. Then $f(X)$ is connected.

Proof - Suppose otherwise. then \exists nonempty disjoint open sets U, V s.t. $f^{-1}(U) = U \cup V$. Then $X = (f^{-1}(U)) \cup (f^{-1}(V))$. Since f is continuous,

$f^{-1}(U), f^{-1}(V)$ are continuous in X and disjoint (since U and V are disjoint). So X is not connected since $f^{-1}(U), f^{-1}(V)$ are non-empty, q.e.d.

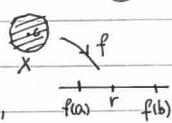


Theorem (Intermediate Value Theorem)

where X is a connected topological space.

let $f: X \rightarrow \mathbb{R}$ be a continuous function, and let $a, b \in X$, then for all r s.t. $f(a) < r < f(b)$, $\exists c \in X$ s.t. $f(c) = r$.

Proof - Suppose otherwise, then $f(c) \neq r \forall c \in X$. Then $f(X) = A \cup B$. $A = f(X) \cap (-\infty, r)$ open, $B = f(X) \cap (r, \infty)$ open. Clearly $A \cap B = \emptyset$. Moreover,



$f(a) \in A, f(b) \in B \Rightarrow A, B \neq \emptyset \Rightarrow$ By definition, $f(X)$ is not connected. However by previous theorem, the image of connected set X is connected \Rightarrow contradiction. q.e.d.

[Definition] A collection of sets $\{A_\alpha\} \subset \mathcal{E}_X$ is said to be a cover of a set A if $A \subset \bigcup_{\alpha \in A} A_\alpha$. If the A_α are all open, then $\{A_\alpha\}$ is called an open cover.

[Definition] A subset A of a topological space X is said to be compact if every open cover contains a finite subcover. i.e. if we have an open cover $\bigcup_{n \in \mathbb{N}} U_n$, then we only need finitely many U_n .

Example - \mathbb{R} (with the usual topology) is not compact. Cover \mathbb{R} with $A_n = (n, n+2) \subset \mathbb{N}$. Then $\mathbb{R} = \bigcup A_n$ is an open cover. Then $n+1 \in A_n$ but $n+1 \notin A_j$ for $j \neq n$. So if we remove any A_n from the cover, we no longer have a cover \Rightarrow not compact.

Theorem The image of a compact set under a continuous function is compact.

X compact,

Proof - Let $f: X \rightarrow Y$ be continuous, and suppose that $\bigcup_{d \in D} U_d$ is an open cover. Then $X = \bigcup_{d \in D} f^{-1}(U_d)$ is an open cover. Take a finite subcover, $f^{-1}(U_{d_1}), \dots, f^{-1}(U_{d_m})$.

So $U_{d_1}, U_{d_2}, \dots, U_{d_m}$ is a finite subcover for $f(X) \Rightarrow f(X)$ compact, q.e.d.

END OF SYLLABUS.

Review of 2012-2013 Exam Paper

12 December 2013
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Q1(a) Given $\Sigma(t)$, find t, n, k .

(1) Need to find $\frac{dt}{ds}$, $\frac{d}{dt} = \frac{d}{ds} \cdot \frac{1}{|v|}$

(b) For what values of constant c is $\Sigma = f(x, y, z) = x^2 + y^2 - z^2 = c$ a regular surface?

(1) i.e. $f(x, y, z) = x^2 + y^2 - z^2$, $\Sigma = f^{-1}(c)$. $\nabla f = (2x, 2y, -2z) = 0 \Leftrightarrow (x, y, z) = (0, 0, 0) \notin \Sigma$.

If $c \neq 0$, $(0, 0, 0) \notin \Sigma$. So Σ is the regular preimage of a smooth function \Rightarrow regular surface. If $c=0$, $\Sigma = \pm \sqrt{x^2 + y^2}$, which is a cone  vertex, not regular

If it were, then it could be written as $\Sigma = g(x, y)$, $y = g(x, z)$ or $x = g(y, z)$ for some g regular locally. $\Sigma = \pm \sqrt{x^2 + y^2}$ is not differentiable (and 2 valued). $y = \dots$ not functions!

(c) Show that if the 2nd FF of a regular surface Σ vanishes identically, then Σ is part of a plane.

(1) $e = -\langle \tilde{N}_u, \sigma_u \rangle$, $f = -\langle \tilde{N}_v, \sigma_u \rangle = -\langle \tilde{N}_u, \sigma_v \rangle$, $g = -\langle \tilde{N}_v, \sigma_v \rangle$.

$e=f=g=0$. Then $\tilde{N}_u, \tilde{N}_v \in T_p \Sigma$ since $\tilde{N} \perp T_p \Sigma$. Then $\tilde{N}_u = \alpha \sigma_u + \beta \sigma_v$, but $\langle \tilde{N}_u, \sigma_u \rangle = \langle \tilde{N}_u, \sigma_v \rangle = 0$, $\tilde{N}_u = 0$, $\tilde{N}_v = 0 \Rightarrow \tilde{N} = \text{const} = N_0$. Take arbitrary point on surface, σ , then $\frac{\partial}{\partial u} \langle \sigma, \tilde{N} \rangle = \langle \sigma_u, \tilde{N} \rangle + \langle \sigma, \tilde{N}_u \rangle = 0$. Likewise $\frac{\partial}{\partial v} \langle \sigma, \tilde{N} \rangle = 0 \Rightarrow \langle \sigma, \tilde{N} \rangle = \text{const} \Rightarrow$ equation of plane. [recall $\sigma(u, v) = (x(u, v), y(u, v), z(u, v))$].

Q2(a) For each $t \in \mathbb{C} \setminus \{0\}$, let $\{e_1(t), e_2(t), e_3(t)\}$ be a right-handed system of three orthonormal vectors in \mathbb{R}^3 (i.e. $e_i \cdot e_j = \delta_{ij}$, $e_3 = e_1 \times e_2$). If each $e_j(t)$ is a smooth function of t , show that \exists smooth functions $a(t), b(t), c(t)$ s.t. $\frac{dw}{dt} = A(t)w$ where $w(t) = \begin{pmatrix} e_1(t) \\ e_2(t) \\ e_3(t) \end{pmatrix}$ and $A(t) = \begin{pmatrix} 0 & c & b \\ -c & 0 & a \\ -b & -a & 0 \end{pmatrix}$.

(1) Since $\dot{e}_1, \dot{e}_2, \dot{e}_3 \in \mathbb{R}^3$, they can be expanded in basis e_1, e_2, e_3 . Then w satisfies an equation of form (1) for some $A(t)$. $\begin{pmatrix} \dot{e}_1 \\ \dot{e}_2 \\ \dot{e}_3 \end{pmatrix} = \begin{pmatrix} A_{11} & A_{12} & \dots \\ \vdots & \ddots & \vdots \\ A_{31} & A_{32} & A_{33} \end{pmatrix} \begin{pmatrix} e_1 \\ e_2 \\ e_3 \end{pmatrix}$.

$A_{ij} = \dot{e}_i \cdot e_j$. Since $e_i \cdot e_j = \delta_{ij}$, take time derivative $\Rightarrow \dot{e}_i \cdot e_j + e_i \cdot \dot{e}_j = 0 \Rightarrow \dot{e}_i \cdot e_j = -e_i \cdot \dot{e}_j \Rightarrow A_{ij} + A_{ji} = 0 \Rightarrow$ A skew-symmetric.

(b) Let $M(t)$ be the 3×3 matrix with components $M_{ij} = \langle e_i(t), e_j(t) \rangle$. Show that $M(t) = A(t)M(t) - M(t)A(t)$. $\begin{pmatrix} M \\ w \end{pmatrix} = \begin{pmatrix} e_1 & e_2 & e_3 \end{pmatrix} \begin{pmatrix} e_1 & e_2 & e_3 \end{pmatrix}^T$ where products are taken as dot products.

[or think of w as a 3×3 matrix, i^{th} row of which are components of e_i . Then $M = \dot{w}w^T + w\dot{w}^T = (Aww^T + w(Aw)^T) = Aww^T + w w^T A^T = Aw + Ma^T = AM - MA$]

(c) Suppose now that $\{e_1, e_2, e_3\}$ is any solution of the ODEs (1), (2) $\forall t \in \mathbb{C}$ s.t. $\{e_1(t), e_2(t), e_3(t)\}$ is a right-handed system of three orthonormal vectors for some $t \in \mathbb{C}$. Show that

$\{e_1(t), e_2(t), e_3(t)\}$ is a right-handed system of 3 orthonormal vectors. $\begin{pmatrix} M(t) \\ w(t) \end{pmatrix} = \begin{pmatrix} I_3 \\ 0 \end{pmatrix}$ since $\{e_1, e_2, e_3\}$ is an orthonormal frame at $t=t_0$. Also, $\frac{d}{dt} M(t) = A(t)M(t) - M(t)A(t) = (1)$.

∴ There is a unique solution of IVP (4) with (2). By uniqueness, $M \equiv I_3$ is the only solution, then $e_i \cdot e_j = \delta_{ij}$ so $\{e_1, e_2, e_3\}$ orthonormal. For right-handedness, NTP:

$\det w = \pm 1$, $\det w(t_0) = 1$, $\det w(t) = \pm 1$ (orthonormal). But det is continuous, so $\det w(t) = 1$.

Q3(a) Find the 1st and 2nd FFs of the surface parametrised by $\sigma(u, v) = (a+b \cos u) \cos v, (a+b \cos u) \sin v, b \sin u$ where $a > b > 0$ using standard orientation. Hence calculate mean curvature,

and show that Gauss curvature is $K = \frac{\cos u}{b(a+b \cos u)}$. $\begin{pmatrix} \sigma_u \\ \sigma_v \\ \sigma_{uv} \end{pmatrix} = (-b \sin u \cos v, -b \sin u \sin v, b \cos u)$, $\begin{pmatrix} \sigma_u \\ \sigma_v \\ \sigma_{uv} \end{pmatrix} = (-a+b \cos u) \sin v, (a+b \cos u) \cos v, 0$ (standard orientation).

$E = \langle \sigma_u, \sigma_u \rangle = b^2$, $F = \langle \sigma_u, \sigma_v \rangle = 0$, $G = \langle \sigma_v, \sigma_v \rangle = (a+b \cos u)^2$, [we can leave answer like this]. $\sigma_u \times \sigma_v = (a+b \cos u)(-b \cos u \cos v, -b \cos u \sin v, -b \sin u) \Rightarrow \sigma_u \times \sigma_v$

$\tilde{N}_u = -(\sin u \cos v, -\sin u \sin v, \cos u)$, $\tilde{N}_v = -(-\cos u \sin v, \cos u \cos v, 0)$. Then $e = -\langle \tilde{N}_u, \sigma_u \rangle = b(\sin^2 u \cos^2 v + \sin^2 u \sin^2 v + \cos^2 u) = b$. $f = -\langle \tilde{N}_u, \sigma_v \rangle = 0$.

$g = -\langle \tilde{N}_v, \sigma_u \rangle = (a+b \cos u) \cos u$, $H = \frac{1}{2} \frac{EG - 2F + gE}{EG - F^2}$, $K = \frac{eg - f^2}{EG - F^2}$. [formula will be provided]. $H = \frac{a+2b \cos u}{2b(a+b \cos u)}$.

(b) Is this surface isometric to a sphere? No. For a sphere of radius r , $K = \frac{1}{r^2}$ which is a positive constant $\neq K$ for above surface. Hence by Gauss's Theorem Egregium, they cannot be isometric - copy the theorem!.

Q4(a) Recall for a regular surface, $\sigma_{uu} = \Gamma_{11}^1 \sigma_u + \Gamma_{12}^1 \sigma_v + e \tilde{N}$... etc for $\sigma_{uv}, \sigma_{vv}, \tilde{N}_u, \tilde{N}_v$. Derive the equation $e_v - f_u = e \Gamma_{12}^1 + f(\Gamma_{12}^2 - \Gamma_{11}^2) - g \Gamma_{11}^2$. Use the fact that equations exhibit compatibility: $(\sigma_{uv})_v = (\sigma_{vv})_u$, $(\sigma_{vv})_v = (\sigma_{vv})_u$, $(\tilde{N}_u)_v = (\tilde{N}_v)_u$. We can just use first underlined equation, and pay attention to \tilde{N} term's coefficients only.

$(\sigma_{uv})_v = (\Gamma_{11}^1)_v \sigma_u + \Gamma_{11}^1 \sigma_{vv} + (\Gamma_{12}^1)_v \sigma_v + e \tilde{N}_v + e \tilde{N}_v = (\dots) \sigma_u + (\dots) \sigma_v + (\Gamma_{11}^1 f + \Gamma_{12}^2 g + e v) \tilde{N}$. $(\sigma_{vv})_u = (\dots) \sigma_u + (\dots) \sigma_v + (\Gamma_{12}^1 e + \Gamma_{12}^2 f + f_w) \tilde{N}$. Equating coefficients, we get

$e_v - f_u = \Gamma_{12}^1 e + \Gamma_{12}^2 f - \Gamma_{11}^1 f - \Gamma_{11}^2 g$, qed.

Q) Given some $E = \dots$, $F = \dots$, $G = \dots$, calculate the Gauss curvature etc.

END OF COURSE