

# 3113 Differential Geometry

## Notes

Based on the 2017 autumn lectures by Dr B Lambert

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03-10-17

# Differential Geometry

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Office hour: Mon 704 3-4pm

90% exam, 10% coursework

Hand in h/w on Tuesdays

Lecture notes available at the end of each chapter.

Book: Do Carmo - Differential Geometry

## Theory of Curves

### Def (Parameterised Curve)

- A parameterised curve is a continuously differentiable mapping from an interval  $I \subset \mathbb{R}$  to  $\mathbb{R}^n$ , i.e.

$$\gamma: I \rightarrow \mathbb{R}^n$$

- The trace of  $\gamma$   $\text{tr}(\gamma) := \gamma(I) \subset \mathbb{R}^n$

-  $\gamma$  is regular if  $\gamma'(t) = \frac{d\gamma(t)}{dt} \neq 0 \quad \forall t \in I$

- The tangent vector <sup>at  $t \in I$</sup>  of  $\gamma$  is  $\gamma'(t)$

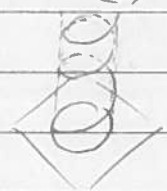
### Examples

i).  $\gamma(t) = (a \cos t, a \sin t, bt)$ ,  $t \in \mathbb{R}$

tangent vector:  $\gamma'(t) = (-a \sin t, a \cos t, b) \neq 0$

iff  $a^2 + b^2 \neq 0$

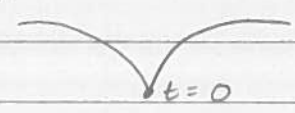
$\Leftrightarrow a \neq 0$  or  $b \neq 0$



ii).  $\gamma(t) = (t^3, t^2)$

not a regular curve

$\gamma'(t) = (3t^2, 2t) = 0$  if  $t = 0$



### Remarks:

- We could also define a  $C^k$ -curve, that is one that is  $k$  times continuously differentiable,  $k \geq 1$ .

### Def (Homeo- and Diffeomorphisms)

Let  $I, J \subset \mathbb{R}$  and suppose  $\phi: I \rightarrow J$  is bijective.

Then  $\phi$  is called

- i). a homeomorphism if both  $\phi$  and  $\phi^{-1}$  are continuous
- ii). a diffeomorphism if both  $\phi$  and  $\phi^{-1}$  are continuously differentiable.

Remark: By the Inverse Function Theorem, we can see that a bijection  $\phi: I \rightarrow J$  is a diffeo (diffeomorphism) iff  $\phi$  is continuously differentiable and  $\phi'(t) \neq 0 \forall t \in I$

### Def (Reparameterisation)

Let  $\gamma_1: I \rightarrow \mathbb{R}^n$  and  $\gamma_2: J \rightarrow \mathbb{R}^n$  be parameterised curves.

If there is a diffeomorphism  $\phi: I \rightarrow J$  satisfying

$\gamma_2 = \gamma_1 \circ \phi$  then  $\gamma_2$  is a reparameterisation of  $\gamma_1$

- A curve in  $\mathbb{R}^n$  is an equivalence class of parameterised curves, where two curves are equivalent  $\Leftrightarrow$  one is a reparameterisation of the other.

### Example

$$\gamma(t) = (\cos t, \sin t, t)$$

$$\tilde{\gamma}(t) = (\cos 2t, \sin 2t, 2t)$$

$$\phi(t) = 2t \text{ then } \tilde{\gamma}(t) = \gamma(\phi(t))$$

$\uparrow$  diffeo

03-10-17

Remarks:

- Regularity does not depend on the parameterisation:

$$\phi: I \rightarrow J \text{ diffeo}$$

$$\gamma_1: I \rightarrow \mathbb{R}^n$$

$$\gamma_2: J \rightarrow \mathbb{R}^n \text{ a reparameterisation of } \gamma_1$$

$$\text{then } \gamma_1 = \gamma_2 \circ \phi$$

$$\frac{d}{dt}(\gamma_1) = \frac{d}{dt}(\gamma_2 \circ \phi) = \gamma_2' \Big|_{\phi} \cdot \phi'(t)$$

$$\Rightarrow |\gamma_2'| \neq 0 \Leftrightarrow |\gamma_1'| \neq 0$$

Arc LengthDef

The arc length of a parameterised curve  $\gamma: I \rightarrow \mathbb{R}^n$  is  $L(\gamma) := \int_I |\gamma'(t)| dt$ .

$$\text{If we have that } L(\gamma|_{[t_1, t_2]}) = \int_{[t_1, t_2]} |\gamma'(t)| dt = t_2 - t_1$$

for all  $t_2 > t_1$ ,  $t_1, t_2 \in I$ , then  $\gamma$  is parameterised by arc length.

Notation

Denote a curve  $\gamma$  parameterised by arc length by  $\gamma(s)$ , derivatives w.r.t.  $s$  will be denoted  $\dot{\gamma}(s) = \frac{d}{ds}(\gamma(s))$ .

Prop

- i). Arc length does not depend on parameterisation.
- ii).  $\gamma$  is parameterised by arc length  $\Leftrightarrow |\dot{\gamma}(t)| = 1 \forall t \in I$
- iii). Any regular curve can be parameterised by arc length.



### Proof

i) Suppose  $I = [a, b]$  and  $\phi: I \rightarrow J$  be some diffeomorphism

s.t.  $\phi(a) < \phi(b)$ .

$$\int_a^b \gamma_1(\phi) \cdot \phi' dt$$

$\gamma_2 = \gamma_1 \circ \phi$ , then

$$L(\gamma_2) = \int_a^b |\gamma_2'(t)| dt = \int_a^b |\gamma_1'|_{\phi(t)} \cdot |\phi'(t)| dt$$
$$= \int_{\phi^{-1}(a)}^{\phi^{-1}(b)} \gamma_1'(r) dr = L(\gamma_1).$$

If  $\phi(a) > \phi(b)$  then  $\phi'(t)$  is negative then the change of sign is cancelled out by change of orientation.

ii) Suppose  $|\gamma'(t)| = 1$  then

$$L(\gamma|_{[t_1, t_2]}) = \int_{t_1}^{t_2} |\gamma'(t)| dt = t_2 - t_1$$

$$\text{Suppose } L(\gamma|_{[t_1, t]}) = t - t_1$$

$$\text{then } \frac{d}{dt} L(\gamma|_{[t_1, t]}) = \frac{d}{dt} \int_{t_1}^t |\gamma'(r)| dr$$
$$= |\gamma'(t)| = 1$$

iii)  $\gamma: I \rightarrow \mathbb{R}^n$  is regular.

$$\gamma'(t) \neq 0 \quad \forall t \in I = [a, b]$$

$$\Psi(t) := L(\gamma|_{[a, t]}) \quad , \quad \Psi: I \rightarrow [0, L(\gamma)]$$

$$\Psi'(t) \neq 0$$

$\Rightarrow \Psi$  is a diffeomorphism.

$$\bar{\gamma}(s) = \gamma \circ \Psi^{-1}(s). \text{ Choose } t_1, t_2 \in I,$$

set  $s_1 = \Psi(t_1)$ ,  $s_2 = \Psi(t_2)$  assume for now that  $s_1 < s_2$ , so:

$$s_1 - s_2 = \Psi(t_1) - \Psi(t_2) = L(\gamma|_{[a, t_2]}) - L(\gamma|_{[a, t_1]})$$
$$= L(\gamma|_{[t_1, t_2]}) = L(\bar{\gamma}|_{[s_1, s_2]})$$

therefore  $\bar{\gamma}$  is a reparameterisation of  $\gamma$  by arc length.

Case of  $s_2 < s_1$  similar.  $\square$

03-10-17

Examples

$$i) \gamma(t) = (a \cos t, a \sin t, bt), \quad t \in \mathbb{R}$$

$$\text{tangent vector: } \gamma'(t) = (-a \sin t, a \cos t, b)$$

$$\bar{\gamma}(s) = \gamma \circ \psi^{-1}(s), \quad \psi(t) = \mathcal{L}(\gamma|_{[a,t]})$$

$$|\gamma'(t)|^2 = a^2(\sin^2 t + \cos^2 t) + b^2 = a^2 + b^2$$

$$\psi(t) = \int_0^t |\gamma'(t)| dt = \sqrt{a^2 + b^2} t \quad \Rightarrow \quad \psi^{-1}(s) = \frac{s}{\sqrt{a^2 + b^2}}$$

$$\bar{\gamma}(s) = \gamma\left(\frac{s}{\sqrt{a^2 + b^2}}\right)$$

$$|\bar{\gamma}'| = \frac{1}{\sqrt{a^2 + b^2}} |\gamma'| = \frac{\sqrt{a^2 + b^2}}{\sqrt{a^2 + b^2}} = 1$$

$$ii) \gamma(t) = (\cos^2 t, \sin^2 t, 1 + \sin^2 t) \quad [= (r, 1-r, 2-r)]$$

$$\gamma'(t) = (-2 \cos t \sin t, 2 \sin t \cos t, 2 \sin t \cos t) \\ = \sin 2t (-1, 1, 1)$$

$$\Rightarrow |\gamma'(t)| = |\sin 2t| \sqrt{3}$$

$\gamma$  is only regular if  $t \in \mathbb{R} / \frac{\pi}{2} \mathbb{Z}$ .  $t < \pi/2$

$$\psi(t) = \int_0^t \sqrt{3} |\sin 2r| dr = \frac{\sqrt{3}}{2} (\cos 2t - 1)$$

$$\psi^{-1}(s) = \frac{1}{2} \cos^{-1}\left(1 - \frac{2}{\sqrt{3}} s\right)$$

$$\left[ \sin \arccos(s) = \sqrt{1-s^2} \right]$$

$$\bar{\gamma}(s) = \gamma(\psi^{-1}(s))$$

$$|\bar{\gamma}'| = |(\psi^{-1}(s))'| \cdot |\gamma'|$$

$$= \frac{\sqrt{3} \left| \sin \left( \arccos \left( 1 - \frac{2}{\sqrt{3}} s \right) \right) \right|}{\sqrt{3} \sqrt{1 - \left( 1 - \frac{2}{\sqrt{3}} s \right)^2}} = 1$$

## The Local Theory of Curves

- Specialise: Regular curves in  $\mathbb{R}^3$
- Everything is parameterised by arc length.
- At least twice differentiable.

### Frenet-Serret Apparatus

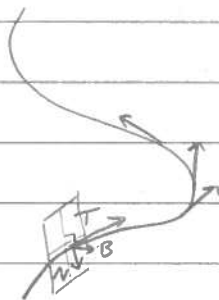
We know that  $|\dot{\gamma}(s)| = 1$

Def

The unit tangent vector:  $T(s) = \dot{\gamma}(s)$

Observe  $0 = \frac{d}{ds} 1 = \frac{d}{ds} |T(s)|^2 = \langle \dot{T}, T \rangle + \langle T, \dot{T} \rangle = 2\langle \dot{T}, T \rangle$

$\Rightarrow \dot{T}$  is perpendicular to  $T$



Def (Frenet-Serret Frame)

$\gamma: I \rightarrow \mathbb{R}^3$  regular, parameterised by arc length.  
Define the scalar function  $\kappa: I \rightarrow \mathbb{R}$ ,  
 $\kappa(s) := |\dot{T}|$  which is called the curvature of  $\gamma$  at  $s$

If  $\kappa(s) \neq 0$  then define  $N(s) := \frac{1}{\kappa(s)} \dot{T}(s) = \frac{\dot{T}}{|\dot{T}|}$

(this is a unit vector on  $\gamma$ )

$N(s)$  is the normal vector to  $\gamma$  at  $s$

$B(s) := T(s) \times N(s)$  (cross product) called the binormal of  $\gamma$  at  $s$ .

03-10-17

We have:

$$\dot{T} = \kappa N \quad \text{and} \quad B = T \times N$$

$$\begin{aligned} \text{so } \dot{B} &= \dot{T} \times N + T \times \dot{N} \\ &= \cancel{\kappa N \times N} + T \times \dot{N} \\ \Rightarrow \dot{B} &= T \times \dot{N} \end{aligned}$$

Since  $\dot{N}$  is a vector crossed with  $T$ ,  $\dot{B}$  is  $\perp$  to  $T$ .

Differentiating  $\frac{d}{ds} |\dot{B}(s)|^2$  (as with  $T$ ),  $\dot{B}$  is  $\perp$  to  $B$ .

$$\Rightarrow \dot{B} = \tau(s) N(s)$$

↑  
some real number

Def

$\gamma: I \rightarrow \mathbb{R}^3$  parameterised by arc length at points s.t.  $\kappa(s) \neq 0$  then define  $\tau(s)$  by  $\dot{B} = \tau(s) N(s)$ .  
At points where  $\kappa(s) = 0$  define  $\tau(s) = 0$ .

04-10-17

Last time:

- Parameterised curve
- homeo- and diffeomorphisms
- Reparameterisations
- Curves
- Parameterising by arc length
- Curves in  $\mathbb{R}^3$

From here on  $\gamma: I \rightarrow \mathbb{R}^3$  regular, parameterised by arc length.

Frenet-Serret Frame

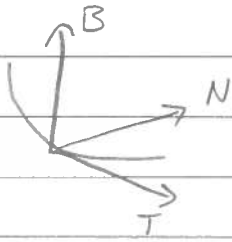
$$T = \dot{\gamma}$$

$$\kappa = |\dot{T}| \quad (\text{curvature})$$

$$\text{If } \kappa \neq 0 \quad N = \dot{T} / |\dot{T}| \quad (\text{normal})$$

$$B = T \times N \quad (\text{binormal})$$

$T, N, B$  form a right-handed set.



$$\dot{B} = \tau N \quad (\tau = \text{torsion})$$

(only if  $\kappa \neq 0$ ,  $\tau = 0$  otherwise).

$$\dot{T} = \kappa N$$

$$\dot{N} = ?$$

Observe  $N = B \times T$   $\left[ \langle v, w \times z \rangle = \det \begin{pmatrix} 1 & 1 & 1 \\ w & z & v \\ 1 & 1 & 1 \end{pmatrix} \right]$

$$\langle N, B \times T \rangle = \det \begin{pmatrix} 1 & 1 & 1 \\ B & T & N \\ 1 & 1 & 1 \end{pmatrix}$$

$$= (-1)^2 \det \begin{pmatrix} 1 & 1 & 1 \\ T & N & B \\ 1 & 1 & 1 \end{pmatrix} = 1 \cdot 1 = 1$$

Def

An ordered basis  $\{v_1, v_2, v_3\}$  is right handed

$$\Leftrightarrow \det \begin{pmatrix} 1 & 1 & 1 \\ v_1 & v_2 & v_3 \\ 1 & 1 & 1 \end{pmatrix} > 0$$

$$\begin{aligned} \dot{N} &= \dot{B} \times T + B \times \dot{T} \\ &= \tau N \times T + \kappa B \times N \\ &= -\tau T \times N - \kappa N \times B \\ &= -\tau B - \kappa T \end{aligned}$$

So  $\dot{N} = -\tau B - \kappa T$

Frenet Trihedron

$$\dot{B} = \tau N, \quad \dot{T} = \kappa N, \quad \dot{N} = -\tau B - \kappa T$$

04-10-17

We could write in Matrix form

$$F := \begin{pmatrix} -T & - \\ -N & - \\ -B & - \end{pmatrix}$$

$$\dot{F}(s) = A(s) F(s), \quad A(s) := \begin{pmatrix} 0 & \kappa & 0 \\ -\kappa & 0 & -\tau \\ 0 & \tau & 0 \end{pmatrix}$$

$$F \in SO(3)$$

A is skew symmetric

Example

$$\gamma(s) = \left( a \cos\left(\frac{s}{\sqrt{a^2+b^2}}\right), a \sin\left(\frac{s}{\sqrt{a^2+b^2}}\right), \frac{b}{\sqrt{a^2+b^2}} s \right) \quad a, b \geq 0$$

$$T(s) = \frac{1}{\sqrt{a^2+b^2}} \left( -a \sin\left(\frac{s}{\sqrt{a^2+b^2}}\right), a \cos\left(\frac{s}{\sqrt{a^2+b^2}}\right), \frac{b}{\sqrt{a^2+b^2}} \right)$$

$$\dot{\gamma} = \frac{1}{a^2+b^2} \left( -a \cos\left(\frac{s}{\sqrt{a^2+b^2}}\right), -a \sin\left(\frac{s}{\sqrt{a^2+b^2}}\right), 0 \right)$$

$$\kappa = |\dot{\gamma}| = \frac{a}{a^2+b^2} \quad \text{if } a \neq 0$$

$$N = \frac{\dot{\gamma}}{\kappa} = \frac{1}{a} \left( -a \cos\left(\frac{s}{\sqrt{a^2+b^2}}\right), -a \sin\left(\frac{s}{\sqrt{a^2+b^2}}\right), 0 \right)$$

$$= - \left( \cos\left(\frac{s}{\sqrt{a^2+b^2}}\right), \sin\left(\frac{s}{\sqrt{a^2+b^2}}\right), 0 \right)$$

$$B = T \times N = \left( \frac{b}{\sqrt{a^2+b^2}} \sin\left(\frac{s}{\sqrt{a^2+b^2}}\right), -\frac{b}{\sqrt{a^2+b^2}} \cos\left(\frac{s}{\sqrt{a^2+b^2}}\right), \frac{a}{\sqrt{a^2+b^2}} \right)$$

$$\dot{B} = \frac{1}{a^2+b^2} \left( b \cos\left(\frac{s}{\sqrt{a^2+b^2}}\right), b \sin\left(\frac{s}{\sqrt{a^2+b^2}}\right), 0 \right)$$

$$= \frac{-b}{a^2+b^2} N \quad \Rightarrow \quad \tau = -\frac{b}{a^2+b^2}$$

### Proposition

Let  $\gamma: I \rightarrow \mathbb{R}^3$ , regular, parameterised by arc length.  
Then the torsion  $\tau$  of  $\gamma$  vanishes identically  
iff  $\text{tr}(\gamma)$  is contained in a plane.

### Proof

Suppose  $\gamma$  is contained in a plane  $P$  with unit normal  $\nu$ . Then  $T$  and  $N$  are parallel to  $P$ .

$$\langle \gamma, \nu \rangle = c \Rightarrow \langle \dot{\gamma}, \nu \rangle = 0, \quad \langle \ddot{\gamma}, \nu \rangle = 0$$
$$\begin{array}{ccc} & \downarrow & \\ \langle T, \nu \rangle = 0 & & \langle \kappa N, \nu \rangle = 0 \end{array}$$

$\Rightarrow$  when it exists  $B(s) = \pm \nu$

$\Rightarrow \dot{B}(s) = 0$  on any open interval

where  $B$  is defined.  $\Rightarrow \tau = 0$ .

Now suppose  $\tau(s) \equiv 0$ .

Then  $\dot{B}(s) = \tau(s)N \equiv 0$  and  $B(s) = B_0 \leftarrow$  constant!

Pick  $s_0 \in I$  and consider  $\frac{d}{ds} (\langle \gamma(s) - \gamma(s_0), B_0 \rangle) = \langle T(s), B_0 \rangle = 0$

$$\Rightarrow \langle \gamma(s) - \gamma(s_0), B_0 \rangle = c$$

sub in  $s = s_0 \Rightarrow c = 0$

$$\langle \gamma(s), B_0 \rangle = \langle \gamma(s_0), B_0 \rangle$$

$\Rightarrow \gamma$  is contained in a plane

(normal to the plane is  $B_0$ , goes through  $\gamma(s_0)$ ).

□

A Euclidean rigid motion on  $\mathbb{R}^n$  is a mapping

$$T: \mathbb{R}^n \rightarrow \mathbb{R}^n \text{ given by } T(x) = px + v$$

where  $v$  is some constant vector and  $p \in SO(n)$



04-10-17

Theorem (The Fundamental Theorem of Curves)

Let  $I \subset \mathbb{R}$  be an interval and suppose there are continuous functions  $\kappa, \tau: I \rightarrow \mathbb{R}$  s.t.  $\kappa(s) \neq 0 \forall s \in I$

Then

- i). There is a regular curve parameterized by arc length s.t. the curvature & torsion are  $\kappa$  and  $\tau$  respectively
- ii). Given  $s_0 \in I$ ,  $\gamma_0, T_0, N_0 \in \mathbb{R}^3$  s.t.  $T_0 \perp N_0$  then there is a unique curve  $\gamma: I \rightarrow \mathbb{R}^3$  parameterized by arc length, with curvature & torsion given by  $\kappa$  &  $\tau$  respectively s.t.  $\gamma(s_0) = \gamma_0$ ,  $T(s_0) = T_0$ ,  $N(s_0) = N_0$ .

So far take  $I$  open.

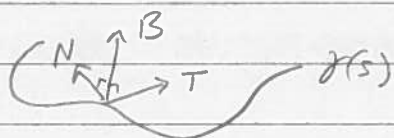
Suppose not,  $f: [a, b) \rightarrow \mathbb{R}$

Then differentiable on this interval now means that  $\exists \varepsilon > 0$  s.t.  $f$  may be extended to a differentiable function on  $(a - \varepsilon, b)$ .

$I$  needs to be a connected interval with non-zero interior.



10-10-17



$$\dot{T} = \kappa N, \quad \dot{N} = -\kappa T - \tau B, \quad \dot{B} = \tau N$$

Frenet-Serret Trihedron

$$\dot{T} = \kappa N, \quad \dot{N} = -\kappa T - \tau B, \quad \dot{B} = \tau N$$

Alternatively  $\dot{F}(s) = A(s) \circ F(s)$  (\*)

$$F = \begin{pmatrix} T \\ N \\ B \end{pmatrix}, \quad A = \begin{pmatrix} 0 & \kappa & 0 \\ -\kappa & 0 & -\tau \\ 0 & \tau & 0 \end{pmatrix}$$

special orthogonal

anti symmetric.

[Existence of ODE's Picard-Lindlof ← revise]

Proof of Fundamental Thm of Curves

We have  $(T_0, N_0, B_0)^T = F_0$  is a R-H orthonormal frame and (\*) is a linear system of 9 ODE's.

Picard-Lindlof Thm

⇒ Given initial data  $F_0$  at  $s_0$  then  $\forall s \in I$  there exists a <sup>unique</sup> solution to (\*) s.t.

$$T(s_0) = T_0, \quad N(s_0) = N_0, \quad B(s_0) = B_0$$

Q: Is our solution a right handed orthonormal frame?

⇔ is  $F(x) \in SO(3)$ 

$$\Leftrightarrow M(s) = F(s) \circ F^T(s) \equiv I$$

symmetric

$$\dot{M}(s) = \dot{F} \circ F^T + F \circ \dot{F}^T$$

$$= A \circ F \circ F^T + F \circ F^T \circ A^T$$

$$= A \circ M - M \circ A \quad (+)$$

anti symmetric

$$M(s_0) = I$$

However  $M(s) = I$  is a solution to the above

⇒ by uniqueness of solution to (+),

$$M(s) = I \text{ is the only sol } \Rightarrow F(s) \circ F^T(s) \equiv I \quad \forall s \in I$$

Next: Is there a curve compatible with these frames?

A: Integrate

Define  $\gamma: I \rightarrow \mathbb{R}^3$  by  $\gamma(s) = \int^s T(r) dr + \gamma_0$   
(integral taken component by component).

- Fundamental Thm of calculus  $\Rightarrow T$  is the tangent vector to  $\gamma$ . [and  $\kappa$  is its curvature]

- Since  $T$  is a solution to (\*) then  $N$  is  $\gamma$ 's unit normal

- Due to right-handedness we have that  $B$  is the binormal to  $\gamma$ .

- Due to (\*)  $\tau$  is the torsion of  $\gamma$

Uniqueness:

Let  $\bar{\gamma}: I \rightarrow \mathbb{R}^3$  be a second curve with torsion and curvature given by  $\tau$  and  $\kappa$  respectively. Write the Frenet-Serret Frame w.r.t.  $\bar{\gamma}$  by  $\bar{F}$ .

Since any two right-handed frames may be related by a rotation, we may write: there is a  $\rho \in SO(3)$  s.t.  $\bar{F}(s_0) = (\rho \circ F)(s_0)$

Define  $\hat{F}(s) = (\rho^{-1} \circ \bar{F})(s)$

WTS:  $\hat{F}(s) = F(s) \quad \forall s \in I$ .

$$Q(s) = \underbrace{|\hat{F}(s) - F(s)|^2}_{\text{matrix norm}} =: \underbrace{|\hat{T} - T|^2 + |\hat{N} - N|^2 + |\hat{B} - B|^2}_{\text{Euclidean norm on vectors}}$$

$$\begin{aligned} \frac{d}{ds} Q(s) &= \frac{d}{ds} (|\hat{T} - T|^2 + |\hat{N} - N|^2 + |\hat{B} - B|^2) \\ &= 2(\langle \hat{T} - T, \hat{T} - \dot{T} \rangle + \langle \hat{N} - N, \hat{N} - \dot{N} \rangle + \langle \hat{B} - B, \hat{B} - \dot{B} \rangle) \\ &= 2(\kappa \langle \hat{T} - T, \hat{N} - N \rangle + \tau \langle \hat{B} - B, \hat{N} - N \rangle \\ &\quad + \langle \hat{N} - N, -\kappa(\hat{T} - T) - \tau(\hat{B} - B) \rangle) \\ &= 0 \end{aligned}$$

$\Rightarrow Q(s) = c$  independent of  $s$ .

However  $F(s_0) = \hat{F}(s_0) \Rightarrow c = 0$

$\Rightarrow F(s) = \hat{F}(s) \quad \forall s \in I$

Therefore  $\bar{T}(s) = \rho T(s)$  and so  $\dot{\bar{\gamma}}(s) = \rho \dot{\gamma}(s) \quad \forall s \in I$

Integrating w.r.t.  $s$  (as before)

10-10-17

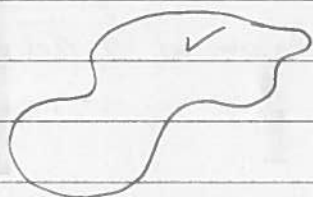
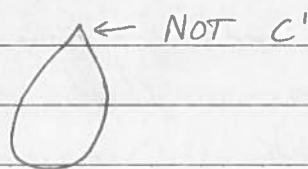
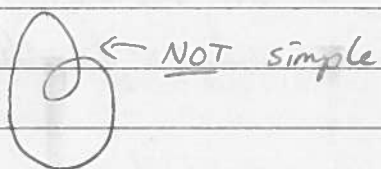
we see that  $\bar{\gamma}(s) = p\gamma(s) + v$  (for some constant vector  $v \in \mathbb{R}^3$ )  
 $\Rightarrow$  uniqueness up to Euclidean motion.  $\square$

## The Global Theory of Plane Curves

Def (Simple closed curve)

Let  $\gamma: [a, b] \rightarrow \mathbb{R}^2$  be a <sup>regular</sup>  $C^k$ -parameterised curve  
 $(C^k$ -curve). We say that  $\gamma$  is simple if

$\gamma|_{[a, b]}$  is injective and  $\gamma$  is closed if  $\gamma(a) = \gamma(b)$ .  
 It is a  $C^k$ -closed curve if additionally  
 $\gamma'(a) = \gamma'(b)$  and  $\gamma^{(l)}(a) = \gamma^{(l)}(b)$ ,  $1 \leq l \leq k$ .



## Three Classical Theorems

Theorem Jordan Curve Theorem (JCT)

Let  $\gamma$  be a simple  $C^1$ -closed plane curve.

Then the set  $\mathbb{R}^2 \setminus \text{tr}(\gamma)$  is the disjoint union of two open path-connected sets, exactly one of which is bounded.

Def

The bounded set from the JCT will be called the interior of  $\gamma$  and will be denoted  $\text{int}(\gamma)$

If  $\gamma$  is regular, closed,  $C^1$ , parameterised by arc length in such a way that  $\exists$  a point  $s_0 \in I$  s.t.  $\text{int}(\gamma)$  lies on the side of  $\{\gamma(s_0) + \lambda \gamma'(s_0) \mid \lambda \in \mathbb{R}\}$  that also contains the  $90^\circ$  rotation of  $\gamma$ ; then the curve is said to be positively parameterised.

Q: what closed curve of a given length contains the maximum area?

A: a circle.

Theorem The Isoperimetric Inequality.

Let  $\gamma$  be a  $C^1$ , closed, simple, plane curve of length  $l(\gamma)$ . Then  $4\pi \text{Area}(\text{int}\gamma) \leq l(\gamma)^2$

and equality holds iff  $\gamma$  is a parameterised circle of radius  $\frac{1}{2\pi} l$ .

Def

An orthonormal frame on  $\mathbb{R}^2$  is an ordered pair  $\{V, W\}$  of vector valued continuously differentiable functions so that  $\forall x \in \mathbb{R}^2$ ,

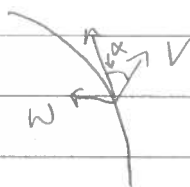
$\{V(x), W(x)\}$  is an oriented orthonormal frame.

(oriented  $\Rightarrow \det \begin{pmatrix} -V \\ -W \end{pmatrix} > 0$ . orthonormal  $\Rightarrow \det \begin{pmatrix} -V \\ -W \end{pmatrix} = 1$ ).

Def

let  $\gamma: I \rightarrow \mathbb{R}^2$  be a regular,  $C^1$  parameterised curve,  $\{V, W\}$  an orthonormal frame on  $\mathbb{R}^2$ .

A function  $\alpha: I \rightarrow \mathbb{R}$  is called an angular function of  $\gamma$  w.r.t.  $\{V, W\}$  if it is continuously differentiable and 
$$\frac{\gamma'(t)}{|\gamma'(t)|} = \cos(\alpha(t))V(\gamma(t)) + \sin(\alpha(t))W(\gamma(t)).$$



Of course we have,  $a, b \in I$ ,  
$$\int_a^b \alpha'(t) dt = \alpha(b) - \alpha(a).$$

Theorem (Hopf's Umkehratz) (turning tangent)

Let  $\gamma: [a, b] \rightarrow \mathbb{R}^2$  be a regular, closed,  $C^2$  plane curve and let  $\alpha$  be an angular function of  $\gamma$  w.r.t. an orthonormal basis  $\{V, W\}$  on  $\mathbb{R}^2$ .



10-10-17

Then  $\exists n_f \in \mathbb{Z}$  so that

$$\int_a^b \alpha'(t) dt = 2\pi n_f. \text{ If } \gamma \text{ is simple then } n_f = \pm 1.$$

In fact: if  $\{V, W\}$  are  $\{e_1, e_2\}$  then  $\dot{\alpha} = \alpha_\sigma = \pm \alpha$

$$\left[ \int_{\gamma} \alpha_\sigma = 2\pi n_f \right]$$

Remark:

The turning number of a Jordan curve is  $+1$  iff it is positively parameterised.

Def

A Jordan curve is a simple closed curve.

Example

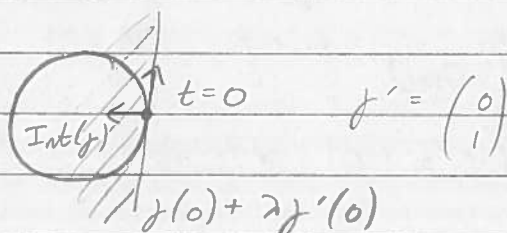
The circle:  $\gamma(t) = (\cos t, \sin t)$ ,  $t \in [-\pi, \pi]$

$$\text{int}(\gamma) = \{(x, y) \in \mathbb{R}^2 : x^2 + y^2 < 1\}$$

$$\gamma'(t) = (-\sin t, \cos t) = (\cos(t + \frac{\pi}{2}), \sin(t + \frac{\pi}{2})) \quad \nabla$$

$R = 90^\circ$  anticlockwise rotation

$$R = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$$



so  $\gamma$  is positively parameterised.

$\alpha$  - angular function w.r.t.  $\{e_1, e_2\}$

$$\Rightarrow \gamma' = \cos(\alpha) e_1 + \sin(\alpha) e_2$$

$$\text{so } \alpha = t + \frac{\pi}{2}$$

$$\int_{-\pi}^{\pi} \alpha' = \int_{-\pi}^{\pi} 1 = 2\pi$$

so the circle is a simple curve.



## Chains and Turning Tangents

Def (Chains & polygons)

i). A piecewise  $C^k$  closed plane curve or a plane chain (of class  $C^k$ ) is a continuous plane curve

$\gamma: [a, b] \rightarrow \mathbb{R}^2$  for which there are numbers  
 $a = t_1 < t_2 < \dots < t_n < t_{n+1} = b$

so that  $\gamma|_{[t_i, t_{i+1}]}$  is a  $C^k$ -regular curve.

ii). The points  $\gamma(t_i)$  are called vertices and the arcs  $\gamma((t_i, t_{i+1}))$  are edges for  $1 \leq i \leq n$ .

iii). If  $\gamma$  is a simple plane chain we call  $P_\gamma = \text{int}(\gamma)$  a generalised polygon.

Def Exterior Angles.

Let  $\gamma: I \rightarrow \mathbb{R}^2$  be a  $C^2$  regular plane chain and  $\{V, W\}$  an orthonormal frame on  $\mathbb{R}^2$ . An angular function w.r.t.  $\{V, W\}$  is a function  $\alpha: I \rightarrow \mathbb{R}^2$

i).  $\alpha|_{(t_i, t_{i+1})}$  is an angular function for  $\gamma|_{(t_i, t_{i+1})}$  w.r.t.  $\{V, W\} \quad \forall 1 \leq i \leq n$ .

ii).  $\theta_i := \lim_{\varepsilon \rightarrow 0} (\alpha(t_i + \varepsilon) - \alpha(t_i - \varepsilon)) \in [-\pi, \pi]$  for  $2 \leq i \leq n$

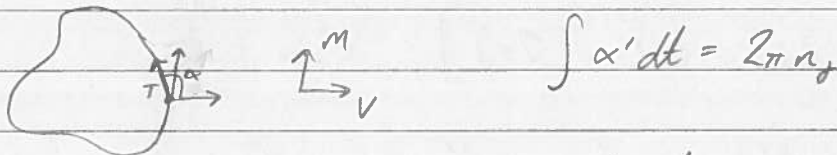
iii). If  $|\theta_i| = \pi$  then  $\theta_i$  is positive iff  $\{\gamma'(t_i + \varepsilon), \gamma'(t_i - \varepsilon)\}$  is right handed  $\forall 0 < \varepsilon < \varepsilon_0$  for some  $\varepsilon_0 > 0$ .

The angles  $\theta_i$  are called exterior angles of the chain at  $\gamma(t_i)$   
the angle at  $\gamma(a) = \gamma(b)$  is the (unique) representative  $\theta_1 \in [-\pi, \pi]$   
of  $\lim_{\varepsilon \rightarrow 0} (\alpha(a + \varepsilon) - \alpha(b - \varepsilon)) = \theta_1 \pmod{2\pi}$  with sign convention as above.

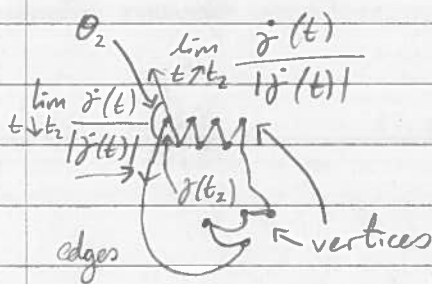
11-10-17



$$4\pi \text{Area}(\text{int} \gamma) \leq L(\gamma)^2$$



$n_\gamma = \pm 1$  if  $\gamma$  is simple & closed.



Theorem (Return of the Umlaufsatz)

Let  $\gamma: [a, b] \rightarrow \mathbb{R}^2$  be a closed plane chain of class  $C^2$  with angular function  $\alpha$  and exterior angles  $\theta_i$ ,  $1 \leq i \leq n$ , then there is an  $n_\gamma \in \mathbb{Z}$  s.t.

$$\int_a^b \alpha'(t) dt + \sum_{i=1}^n \theta_i = 2\pi n_\gamma.$$

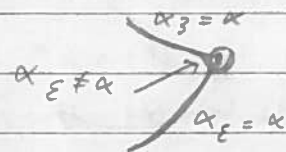
+ve parametrised

Proof (simple <sup>curve</sup> case only) (sketch)

$\gamma: [a, b] \rightarrow \mathbb{R}^2$  is the chain from the theorem.

We approximate  $\gamma$  by  $\gamma_\epsilon: [a, b] \rightarrow \mathbb{R}^2$  ( $\gamma_\epsilon$  is  $C^2$ , simple, closed) so that

1.  $\gamma_\epsilon \rightarrow \gamma$  uniformly on  $[a, b]$  as  $\epsilon \rightarrow 0$
2.  $\gamma_\epsilon(t) = \gamma(t)$  if  $|t - t_i| > \epsilon$ ,  $1 \leq i \leq n$
3.  $\text{tr}(\gamma_\epsilon) \subset \text{int}(\gamma)$



Let  $U_i^\epsilon = \{t \in [a, b] \mid |t - t_i| < 2\epsilon\}$

$$V^\epsilon = [a, b] \setminus \bigcup_{i=1}^{n+1} U_i^\epsilon$$

Then  $2\pi \int_{[a, b]} \alpha'_\epsilon = \sum_{i=1}^{n+1} \int_{U_i^\epsilon} \alpha'_\epsilon + \int_{V^\epsilon} \alpha'_\epsilon dt$

$$= \sum_{i=1}^{n+1} \left[ \alpha_\varepsilon(t_i + 2\varepsilon) - \alpha_\varepsilon(t_i - 2\varepsilon) \right] + \int_{V^\varepsilon} \alpha'_\varepsilon dt$$

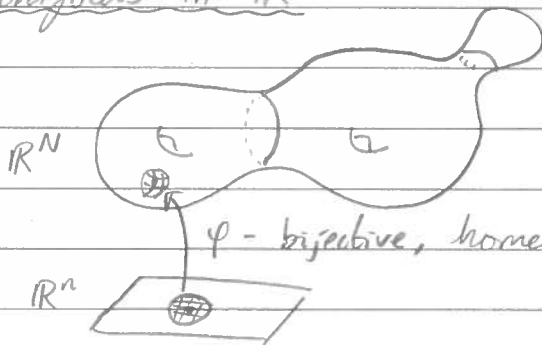
$$= \sum_{i=1}^{n+1} \left[ \alpha(t_i + 2\varepsilon) - \alpha(t_i - 2\varepsilon) \right] + \int_{V^\varepsilon} \alpha' dt$$

$$\lim_{\varepsilon \rightarrow 0} \left[ \alpha(t_i + 2\varepsilon) - \alpha(t_i - 2\varepsilon) \right] = 0_i$$

$$\lim_{\varepsilon \rightarrow 0} \int_{V^\varepsilon} \alpha' dt = \int_V \alpha' dt \quad (\text{from Measure Theory})$$

$$\text{So } 2\pi = \sum_{i=1}^{n+1} 0_i + \int_{[a,b]} \alpha' dt \quad \square$$

### (Sub) Manifolds in $\mathbb{R}^N$



$\varphi$  - bijective, homeomorphism, "diffeomorphism".

### Def (Submanifolds of $\mathbb{R}^N$ )

A subset  $M \subset \mathbb{R}^N$  is an  $n$ -dimensional submanifold of  $\mathbb{R}^N$  if it is locally diffeomorphic to  $\mathbb{R}^n$ .

That is,  $\forall p \in M \exists$  an open neighbourhood  $V \ni p$  and an open subset  $U \subset \mathbb{R}^n$  and a map  $\varphi: U \rightarrow \mathbb{R}^N$  s.t.

i.  $\varphi: U \rightarrow \mathbb{R}^N$  is continuously differentiable

ii.  $\varphi: U \rightarrow V$  is a homeomorphism (continuous, bijective, continuous inverse)

iii.  $D\varphi|_u: \mathbb{R}^n \rightarrow \mathbb{R}^N$  is injective  $\forall u \in U$ .

The map  $\varphi^{-1}$  is called a chart and the neighbourhood  $V$  is

11-10-17

called its coordinate patch.

The component functions  $\varphi^{-1} = (u_1, \dots, u_n)$  are called local coordinates.

If  $\{V_i\}$  are an open covering of  $M$  by coordinate patches & associated charts  $\varphi_i: U_i \rightarrow V_i$  then the collection of triples  $\{(\varphi_i, U_i, V_i)\}$  is called an atlas.

17-10-17

Def

A subset  $M \subset \mathbb{R}^n$  is an  $n$ -dim differential submanifold of  $\mathbb{R}^n$  if it is "locally diffeomorphic to  $\mathbb{R}^n$ ".

That is for  $p \in M$  there is an open neighbourhood  $V \subset M$  and an open set  $U \subset \mathbb{R}^n$  and a map  $\varphi: U \rightarrow V$  st.

1.  $\varphi: U \rightarrow \mathbb{R}^n$  is continuously differentiable
2.  $\varphi: U \rightarrow V$  is homeomorphism
3.  $D\varphi|_u: \mathbb{R}^n \rightarrow \mathbb{R}^n$  is injective  $\forall u \in U$ .

Recall: For any set  $M \subset \mathbb{R}^n$  a subset  $V \subset M$  is open in  $M$  iff there is an open set  $\tilde{V} \subset \mathbb{R}^n$  st.  $\tilde{V} \cap M = V$ .

Notation

$\varphi: U \rightarrow V$  is a local parameterisation

$\varphi^{-1}: V \rightarrow U$  is a chart

$\varphi^{-1} = (u_1, \dots, u_n)$  are called local coordinates

$V$  - sometimes called a coordinate patch.

If  $\{V_i\}$  is a covering of  $M$  by coordinate patches with local parameterisations  $\varphi_i: U_i \rightarrow V_i$  then the collection of triples  $\{(\varphi_i, U_i, V_i)\}$  is called an atlas of  $M$  (atlas of parameterisation).

## Examples

1).  $n \leq N$ ,  $\mathbb{R}^n$  can be considered as a submanifold of  $\mathbb{R}^N$ . Take  $\iota: \mathbb{R}^n \rightarrow \mathbb{R}^N$ ,  $(x_1, \dots, x_n) \mapsto (x_1, \dots, x_n, 0, \dots, 0)$

2). (i) For  $I$  an open interval,  $\gamma: I \rightarrow \mathbb{R}^N$  is a regular simple curve then  $\text{tr } \gamma$  is a 1-dimensional sub manifold.

① ✓ ② ✓ ③  $\Leftrightarrow |\gamma'| \neq 0$  (regular) ✓

(ii) Closed simple curves are also 1-dimensional submanifolds.

3).

$\infty \subset \mathbb{R}^2$

Not a submanifold.

Need a mapping  $\varphi: \ominus \rightarrow \otimes$

$\left[ \begin{array}{l} \ominus \text{ has 2 path connected pieces} \\ \otimes \text{ " 4 " " " "} \end{array} \right]$

4). The unit circle in  $\mathbb{R}^2$

Local parameterisations  $\varphi_i: (0, 2\pi) \rightarrow \mathbb{R}^2$ ,  $\varphi_1(t) = (\cos t, \sin t)$

$\varphi_2(t) = (-\cos t, -\sin t)$



Both are continuously differentiable.

$D\varphi_1|_t = \begin{pmatrix} -\sin t \\ \cos t \end{pmatrix} = -D\varphi_2|_t$  are linear maps  $\mathbb{R}^1 \rightarrow \mathbb{R}^2$

These are injective for  $a \in \mathbb{R}$

$\left[ D\varphi_1|_t(a) = a \cdot \begin{pmatrix} -\sin t \\ \cos t \end{pmatrix} = 0 \Leftrightarrow a = 0 \right]$

$\varphi_1: (0, 2\pi) \mapsto V \stackrel{\leftarrow \text{open}}{=} S^1 \setminus \{(1, 0)\}$

$\varphi_1$  maps open intervals to open intervals.

(calculation left as exercise).

$\Leftrightarrow \varphi_1$  is a homeomorphism

$S_1$  is clearly covered by  $\varphi_1 \cup \varphi_2$ .

17-10-17

Remarks

- 1). Locally diffeomorphic to be properly defined later.
- 2). We may <sup>also</sup> define  $C^k$  manifolds (the above is  $C^1$ ).  
 $M^n$  is a  $C^k$  manifold if in addition to ①, ②, ③,  
 $\varphi$  needs to be  $k$  times continuously differentiable.
- 3). The following are equivalent:

(i)  $D\varphi|_u$  is injective.(ii)  $J\varphi|_u$  has rank  $n$   $J\varphi|_u = \left( \frac{\partial \varphi^I}{\partial u_i} \right)_{1 \leq I \leq N, 1 \leq i \leq n}$ .

$$\begin{pmatrix} \frac{\partial \varphi^1}{\partial u_1} & \dots & \frac{\partial \varphi^1}{\partial u_n} \\ \vdots & & \vdots \\ \frac{\partial \varphi^N}{\partial u_1} & \dots & \frac{\partial \varphi^N}{\partial u_n} \end{pmatrix} = J\varphi|_u$$

(iii)  $\frac{\partial \varphi}{\partial u_1}, \dots, \frac{\partial \varphi}{\partial u_n}$  are linearly independent.Remark on homeomorphisms:If we want to show  $\varphi$  is a homeomorphism, options include:1). Showing  $\varphi$  is open, that is, a set  $O$  is open iff  $\varphi(O) \subset V$  is open ( $\varphi: U \rightarrow V$ ).2). For any sequence  $\{p_i\} \subset V$  converges in  $V$ , say to a point  $p$ , then the preimages  $q_i = \varphi^{-1}(p_i)$  also converge to  $q = \varphi^{-1}(p)$ .(prove bijective, continuous, then the above shows  $\varphi^{-1}$  is cont.).

3). Atlases are not unique.

e.g.  $\varphi: U \rightarrow V$  and we have a diffeomorphism $\psi: U \rightarrow U$  then  $\varphi \circ \psi: U \rightarrow V$  is another parameterisation.



## Change of Coordinates

Suppose we have  $\varphi: U \rightarrow V$ ,  $\varphi': U' \rightarrow V'$  local parameterizations.

Suppose  $V' \cap V \neq \emptyset$ . Let  $W = V' \cap V$ .

Since  $\varphi$  and  $\varphi'$  are homeomorphisms, define

$h = \varphi'^{-1} \circ \varphi: \varphi^{-1}(W) \rightarrow \varphi'^{-1}(W)$  also a homeomorphism.

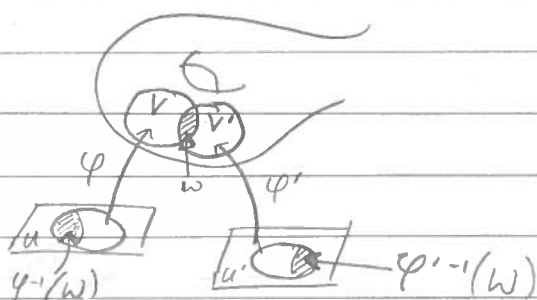
Write in local coordinates  $u = (u_1, \dots, u_n)$  coordinates on

$\varphi^{-1}(W)$ ,  $u' = (u'_1, \dots, u'_n)$  coords on  $\varphi'^{-1}(W)$ .

$$h \circ u(p) = (\varphi'^{-1} \circ \varphi \circ \varphi^{-1})(p).$$

$$= (\varphi'^{-1})(p)$$

$$= u'(p)$$



[coordinates : functions determining points]

Prop:

Changes of coordinates are diffeomorphisms.

Graphs:

A graph of a function  $g: \mathbb{R}^n \rightarrow \mathbb{R}^m$  is the set

$$\left\{ \underset{\substack{\uparrow \\ \mathbb{R}^n}}{x}, \underset{\substack{\uparrow \\ \mathbb{R}^m}}{y} \in \mathbb{R}^{n+m} \mid g(x) = y \right\}.$$

Prop (Submanifolds as graphs)

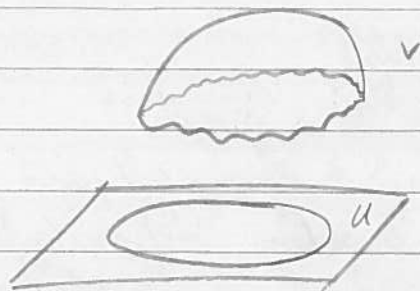
A set  $M \subset \mathbb{R}^n$  is a  $n$ -dim submanifold of  $\mathbb{R}^n$  iff  $M$  is locally the graph of a continuously differentiable function, that is, iff:  $\forall p \in M \exists$  open neighbourhood

$V$  of  $p$  in  $M$  and an open set  $U \subset \mathbb{R}^n$  and a permutation  $\pi$  of  $\{1, \dots, n\}$  and a continuously differentiable function  $g: U \rightarrow \mathbb{R}^{n-n}$  st.  $V = \{(x_{\pi(1)}, \dots, x_{\pi(n)}) \mid (x_1, \dots, x_n) \in U, (x_{n+1}, \dots, x_n) = g(x_1, \dots, x_n)\}$



17-10-17

i.e.



Prop (Submanifolds as level sets)

A set  $M \subset \mathbb{R}^N$  is an  $n$ -dim submanifold of  $\mathbb{R}^N$  iff  $M$  is locally given as the solution set of  $N-n$  independent equations (at a regular point).

That is,  $\forall p \in M$  there is an open set  $V$  of  $M$  and an open set  $W$  of  $\mathbb{R}^N$  s.t.  $V \subset W$  and a continuously differentiable function  $f: W \rightarrow \mathbb{R}^{N-n}$ ,  $f = (f_1, \dots, f_{N-n})$  so that:

(i)  $V = f^{-1}(\{0\}) = \{x \in W \mid f(x) = 0\}$

(ii)  $Df|_p: \mathbb{R}^N \rightarrow \mathbb{R}^{N-n}$  is surjective  $\forall p \in V$ .

Example  $S^2$

The sphere is given by  $\{(x, y, z) \mid x^2 + y^2 + z^2 = 1\}$

$f(x) = x^2 + y^2 + z^2 - 1$ ,  $S^2 = f^{-1}(0)$

Here  $W = \mathbb{R}^3 \setminus \{0\}$ ,  $V = S^2$

$f$  is continuously differentiable,  $S^2 = f^{-1}(0)$

$Df|_{(x,y,z)} = \begin{pmatrix} 2x \\ 2y \\ 2z \end{pmatrix}$  (as a linear mapping  $\mathbb{R}^3 \rightarrow \mathbb{R}$ ).

$Df|_{(x,y,z)}$  is surjective iff  $(x, y, z) \neq 0$ ,  $0 \notin S^2$

$\Rightarrow S^2$  is a 2-dimensional manifold.

[ Inverse fn Thm I.10  
Implicit fn Thm I.12 ]

### Proof (Graphs as submanifolds)

[ $\Leftarrow$ ] Suppose  $M \subset \mathbb{R}^n$  is given locally as a graph.

[ $\Leftarrow$ ] <sup>w.l.o.g.</sup>  $p \in M$ , there is an open  $V \subset M$ ,  $p \in V$  and an open set  $U \subset \mathbb{R}^n$  and a continuously differentiable mapping  $g: U \rightarrow \mathbb{R}^{n-n}$  so that for  $x = (x', x'')$ ,  $x' = (x_1, \dots, x_n)$ ,  $x'' = (x_{n+1}, \dots, x_n)$

we have  $V = \{x \in \mathbb{R}^n \mid x' \in U, x'' = g(x')\}$ .

We define the map  $\varphi: U \rightarrow \mathbb{R}^n$ ,  $\varphi(u) = (u, g(u))$ . We now show  $\varphi$  is a local parameterisation.

Since  $g$  is continuously differentiable, so is  $\varphi$ .

$\varphi: U \rightarrow V$  is a bijection. Moreover if  $Q \subset U$  is

open then  $\varphi(Q) = (Q \times \mathbb{R}^{n-n}) \cap V$  is also open.

Also since  $\varphi$  is continuous, if  $W$  open in  $V$  then  $\varphi^{-1}(W)$  is also open.

Finally,  $D\varphi|_u = \begin{pmatrix} \text{id} \\ Dg|_u \end{pmatrix} \quad \forall u \in U$

which has rank  $n$ .

$\therefore \varphi$  is a local parameterisation.

[ $\Rightarrow$ ] Suppose  $M$  is an  $n$ -dimensional submanifold of  $\mathbb{R}^n$ .

Pick  $p \in M$ , then there exists open  $V$  in  $M$  st.  $p \in V$ , and open  $U \subset \mathbb{R}^n$  and a parameterisation  $\varphi: U \rightarrow V$ .

Let  $q \in U$  st.  $\varphi(q) = p$ .

By assumption (3) of def.)

$D\varphi|_q: \mathbb{R}^n \rightarrow \mathbb{R}^n$  has rank  $n$ , and so w.l.o.g. we may assume that the first  $n$ -rows are linearly independent.

[  $D\varphi|_q = \begin{pmatrix} \xrightarrow{n} \\ \downarrow \\ \mathbb{R}^n \end{pmatrix} (1) \xrightarrow{\text{invertible.}}$  ]

Write  $\varphi = (\varphi', \varphi'')$  where  $\varphi'$  is the first  $n$  components of  $\varphi$  and  $\varphi''$  is the last  $n-n$  components of  $\varphi$ .

$D\varphi'|_q: \mathbb{R}^n \rightarrow \mathbb{R}^n$  is invertible, and so by inverse function theorem  $\exists$  open sets  $U', U'' \subset \mathbb{R}^n$  st.

$\varphi': U' \rightarrow U''$  is a diffeomorphism.

17-10-17

Let  $g = \varphi'' \circ \varphi'^{-1} : U'' \rightarrow \mathbb{R}^{N-n}$ .

Then define  $V' = \varphi(U')$ .

$$\begin{aligned} \text{So } V' = \varphi''(U') &= \left\{ \begin{pmatrix} \varphi'(u) \\ \varphi''(u) \end{pmatrix} \mid u \in U' \right\} \\ &= \left\{ \begin{pmatrix} v \\ \varphi''(\varphi'^{-1}(v)) \end{pmatrix} \mid v \in U'' \right\} \end{aligned}$$

$\Leftrightarrow V'$  is locally a graph  $\square$

18-10-17

Implicit Function Theorem

Let  $U \subset \mathbb{R}^N$  be open and  $f: U \rightarrow \mathbb{R}^n$  a continuously differentiable function. Suppose  $x_0 \in \mathbb{R}^{N-n}, y_0 \in \mathbb{R}^n$  s.t.  $(x_0, y_0) \in U$ . Let  $c = f(x_0, y_0)$ .

If the matrix  $\left( \frac{\partial f_i}{\partial y_j} \right)_{i,j}$  is invertible then  $\exists$  open set

$x_0 \in U' \subset \mathbb{R}^{N-n}, y_0 \in U'' \subset \mathbb{R}^n$  and a continuously differentiable map  $g: U' \rightarrow U''$  s.t.  $\forall x \in U', y \in U''$ ,  $f(x, y) = c \Leftrightarrow y = g(x)$ .

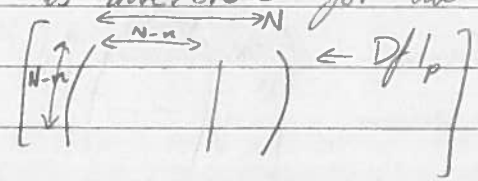
Proof (of Level set proposition).

$\Leftarrow$  Suppose  $M$  is given locally as a level set in an open set  $V \subset M$  as the level set of  $f: W \rightarrow \mathbb{R}^{N-n}$

$Df|_p : \mathbb{R}^N \rightarrow \mathbb{R}^{N-n}$  is surjective.

So reordering coordinates and writing  $p = (u, v), u \in \mathbb{R}^n, v \in \mathbb{R}^{N-n}$ ,

then  $\left( \frac{\partial f_i}{\partial v_j} \right)_{i,j}$  is invertible for all  $p \in V$ .



Implicit function theorem  $\Rightarrow \exists$  open neighbourhood  $U'$  of  $u(p)$  in  $\mathbb{R}^n$  and open neighbourhood  $U''$  of  $v(p)$  in  $\mathbb{R}^{N-n}$  and a continuously differentiable function  $g: U' \rightarrow U''$  s.t.  $f(u, v) = 0 \Leftrightarrow v = g(u) \forall u \in U'$  and  $v \in U''$ .

[A neighbourhood  $U$  of a point  $p$  is a set such that  $\exists$  an open set  $V \subset U$  s.t.  $p \in V \subset U$ .]

Now  $M$  is locally written as a graph.

$\Rightarrow M$  may be locally written as a graph everywhere

$\Rightarrow M$  is a submanifold.

[ $\Rightarrow$ ] Suppose  $M$  a submanifold, then write it locally as a graph.

$\exists V$  a neighbourhood of  $p$  in  $M$ , an open set  $U \subset \mathbb{R}^n$ , and a continuously differentiable  $g: U \rightarrow \mathbb{R}^{N-n}$  s.t.

$$V = \{(u, v) \in \mathbb{R}^N \mid v = g(u)\}. \quad \underbrace{\subset \mathbb{R}^N \text{ open.}}$$

$$\text{Let } f(u, v) = v - g(u), \quad f: U \times \mathbb{R}^{N-n} \rightarrow \mathbb{R}^{N-n}.$$

Clearly  $f^{-1}(0) = V$  and  $Df|_p = \begin{pmatrix} -Dg|_u & id \end{pmatrix}$  which is surjective.

$\Rightarrow f$  is a suitable level set function.  $\square$

Proof (changes of coordinates are diffeomorphisms)

From proof of local graph proposition we know we can construct functions  $g$  &  $g'$  on suitably small neighbourhoods

$$Z \text{ and } Z' \text{ s.t. } \varphi(Z) = \{(x_1, \dots, x_N) \mid (x_1, \dots, x_n) \in Z, (x_{n+1}, \dots, x_N) = g(x_1, \dots, x_n)\}$$

$$\varphi(Z') = \{(x'_1, \dots, x'_N) \mid (x'_1, \dots, x'_n) \in Z', (x'_{n+1}, \dots, x'_N) = g(x'_1, \dots, x'_n)\}$$

where  $\pi$  is a permutation.

$$\text{w.l.o.g., } \varphi(Z) = \varphi(Z').$$

But now, change of coordinates is given by

$$(x_1, \dots, x_n) \xrightarrow{\varphi} (x_1, \dots, x_n, g(x_1, \dots, x_n)) = (y_1, \dots, y_n) \xrightarrow{\varphi^{-1}} (y_{\pi(1)}, \dots, y_{\pi^{-1}(n)})$$

$\varphi$  is continuously differentiable,  $\varphi^{-1}$  is a linear map

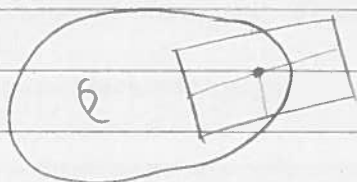
so  $h$  is continuously differentiable.

However, we could do the same with  $h^{-1}$ .

$\Rightarrow h^{-1}$  is also continuously differentiable.

$\therefore h$  is a diffeomorphism.  $\square$

18-10-17

DefLet  $M \subset \mathbb{R}^N$  be a submanifold,  $p \in M$ .The set  $T_p M := \{v \in \mathbb{R}^N \mid \exists \varepsilon > 0, \gamma: (-\varepsilon, \varepsilon) \rightarrow M, C^1, \text{ s.t. } \gamma(0) = p, \gamma'(0) = v\}$ In fact  $T_p M$  is a vector space spanned by $\left\{ \frac{\partial \varphi}{\partial u_i} \Big|_u, \dots, \frac{\partial \varphi}{\partial u_n} \Big|_u \right\}$  where  $\varphi: U \rightarrow M$  is a local parameterisationnear  $p$  where  $\varphi(u) = p$ . $\frac{\partial \varphi}{\partial u_i}$  is contained in  $T_p M$ :

$$\gamma := \varphi(u_1, \dots, u_i + t, u_{i+1}, \dots, u_n)$$

$$\gamma'(0) = \frac{\partial \varphi}{\partial u_i}$$

Conversely suppose  $\gamma$  is a curve s.t.  $\gamma(0) = p$ .

$$\text{Then } \gamma'(0) = \frac{d}{dt} \Big|_{t=0} (\varphi \circ \varphi^{-1} \circ \gamma)(t)$$

$$= D\varphi \Big|_u \frac{d}{dt} \Big|_{t=0} (\varphi^{-1} \circ \gamma)(t)$$

$$= \frac{\partial \varphi}{\partial u_i} \cdot (u_i \circ \gamma)'(0)$$

 $\Rightarrow \gamma'(0)$  is a linear combination of  $\frac{\partial \varphi}{\partial u_i}$ 's with coefficients $(u_i \circ \gamma)'(0)$ .

24-10-17

Tangent space is  $T_p M = \{v \in \mathbb{R}^n \mid \exists \varepsilon > 0, C^1 \text{ function } \gamma: (-\varepsilon, \varepsilon) \rightarrow M : \gamma(0) = p, \gamma'(0) = v\}$

For  $\gamma$  st.  $\gamma \subset M$ ,

$$\gamma'(0) = \sum_{j=1}^n \frac{\partial \varphi}{\partial u_j} \Big|_u \circ (u_j \circ \gamma)'(0)$$

$$\Rightarrow \frac{\partial \varphi}{\partial u_j} \text{ span } T_p M$$

in fact they form a basis.

### Prop (Bases of Tangent Spaces)

Let  $M$  be an  $n$ -dim manifold of  $\mathbb{R}^n$ . Then  $T_p M$  is an  $n$ -dim linear subspace of  $\mathbb{R}^n$ .

Moreover.

i). If  $\varphi$  is a local chart of  $M$  near  $p$  satisfying  $\varphi(u) = p$ , then we have  $T_p M = \text{range } D\varphi|_u$  and  $\left\{ \frac{\partial \varphi}{\partial u_1}, \dots, \frac{\partial \varphi}{\partial u_n} \right\}$  is a basis of  $T_p M$ .

ii). If  $M$  is the graph of a function  $g$  near  $p$ ,  $p = (x, g(x))$  then a basis of  $T_p M$  is given by  $\left\{ \begin{pmatrix} e_1 \\ \frac{\partial g}{\partial x_1} \end{pmatrix}, \dots, \begin{pmatrix} e_n \\ \frac{\partial g}{\partial x_n} \end{pmatrix} \right\}$  where  $e_j$  is the  $j$ th standard coordinate in  $\mathbb{R}^n$ .

iii). If  $M$  is the level set of a function  $f$  near  $p$ , then we have  $T_p M = \text{Ker } Df|_p$ .

$$\left[ \begin{pmatrix} e_1 \\ \frac{\partial g}{\partial x_1} \end{pmatrix} = \begin{pmatrix} 0 \\ \vdots \\ 0 \\ \frac{\partial g}{\partial x_1} \\ \vdots \\ \frac{\partial g_{n-n}}{\partial x_1} \end{pmatrix} \right]$$



24-10-17

Proof

$$i). \left\{ \frac{\partial \varphi}{\partial u_1}, \dots, \frac{\partial \varphi}{\partial u_n} \right\} \text{ span } T_p M$$

By ③  $D\varphi|_u$  is injective

$$\Leftrightarrow \frac{\partial \varphi}{\partial u_1}, \dots, \frac{\partial \varphi}{\partial u_n} \text{ are linearly independent} \Rightarrow \text{they are a basis}$$

This  $\Rightarrow \dim T_p M = n \quad \forall p \in M$ 

$$ii). \exists \text{ local param. } U \subset \mathbb{R}^n, \varphi(x) = (x_1, \dots, x_n, g_1(x), \dots, g_{n-n}(x))^T$$

$$\text{Then } \frac{\partial \varphi}{\partial x_i} = \begin{pmatrix} 0 \\ \vdots \\ 0 \\ \vdots \\ \frac{\partial g_1}{\partial x_i} \\ \vdots \\ \frac{\partial g_{n-n}}{\partial x_i} \end{pmatrix} \leftarrow i\text{th component}$$

iii).  $M$  is locally the level set of  $f$ , let  $\gamma$  be a continuously differentiable curve in  $M$ .  $\gamma: (-\varepsilon, \varepsilon) \rightarrow M$ ,  $\gamma(0) = p$ .

$$f(\gamma(t)) = 0$$

$$\Rightarrow Df|_p(\gamma'(0)) = 0.$$

Since this holds for all  $\gamma$  we see that

$$T_p M \subset \text{Ker } Df|_p$$

But  $Df|_p: \mathbb{R}^N \rightarrow \mathbb{R}^{N-n}$  is surjective

$$\Rightarrow \dim \text{Ker } Df|_p = \dim \mathbb{R}^N - \dim \mathbb{R}^{N-n} \\ = N - (N-n) = n$$

$$\Rightarrow T_p M = \text{Ker } Df|_p \quad \square$$

Examples

i).  $\gamma: I \rightarrow \mathbb{R}^N$  injective regular <sup>parameterised</sup> curve then  $\gamma$  is a parameterisation  $\forall p \in \text{tr } \gamma$ . By Tangent Space Prop. (TSP)

$$\text{part i } T_p \text{tr}(\gamma) = \text{span} \left\{ \frac{\partial \gamma}{\partial t} \Big|_p \right\} = \left\{ \lambda \gamma'(t_0) \mid \lambda \in \mathbb{R}, \gamma(t_0) = p \right\}$$



ii).  $S^2 \cap \mathbb{R}^3_+$   
 $\left\{ \begin{pmatrix} x \\ y \\ z \end{pmatrix} \in \mathbb{R}^3 \mid z > 0 \right\}$

is a graph  $g(x, y) = \sqrt{1 - x^2 - y^2}$   
 where  $g: B_1(0) \rightarrow \mathbb{R}$ .

$$T_p S^2 \cap \mathbb{R}^3 = \text{span} \left\{ \begin{pmatrix} 1 \\ 0 \\ \frac{\partial g}{\partial x} \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \\ \frac{\partial g}{\partial y} \end{pmatrix} \right\}$$

$$\left[ \frac{\partial g}{\partial x} = \frac{-x}{\sqrt{1-x^2-y^2}} \right]$$

$g$  symmetric.



$$T_{(\alpha, \beta, 1)} S^2 \cap \mathbb{R}^3_+ = \text{span} \left\{ \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} \right\}$$

$\varphi(\alpha, \beta) = (\alpha, \beta, g(\alpha, \beta)) = \varphi(\alpha, \beta)$

iii). Paraboloid  $z = x^2 + y^2$ ,  $f: \mathbb{R}^3 \rightarrow \mathbb{R}$

$$f(x, y, z) = x^2 + y^2 - z$$

$$Df|_{(x, y, z)}(v) = (2x, 2y, -1) \begin{pmatrix} v_1 \\ v_2 \\ v_3 \end{pmatrix}$$

$Df$  is surjective everywhere on all  $\mathbb{R}^3$ .

Kernel:

$$T_{(x, y, z)} M = \text{Ker } Df|_{(x, y, z)}$$

$$= \left\{ v \in \mathbb{R}^3 \mid v \cdot \begin{pmatrix} 2x \\ 2y \\ -1 \end{pmatrix} = 0 \right\}$$

$$= \text{span} \left\{ \begin{pmatrix} 1 \\ 0 \\ 2x \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \\ 2y \end{pmatrix} \right\}$$

### Functions on Submanifolds

Def: Let  $M_j$  be  $n_j$ -dim submanifolds of  $\mathbb{R}^{N_j}$   $j=1, 2$ .

Let  $f: M_1 \rightarrow M_2$ . We say  $f$  is continuously differentiable

at  $p \in M_1$  if for parameterisations  $\varphi_1$  of  $M_1$  near  $p$  and

$\varphi_2$  of  $M_2$  near  $f(p)$ , the composition  $\varphi_2^{-1} \circ f \circ \varphi_1 = \tilde{f}$  is

continuously differentiable at  $\varphi_1^{-1}(p)$ .  $f$  is continuously differentiable

on all of  $M_1$  if  $\forall p \in M_1$  the above holds.

24-10-17

More precisely:  $f$  is differentiable if

$$\varphi_1: U_1 \rightarrow V_1 \ni p, \quad V_1 \subset M_1,$$

$$\varphi_2: U_2 \rightarrow V_2 \ni f(p), \quad V_2 \subset M_2,$$

then there exists open neighbourhoods  $\tilde{U}_i \subset U_i$ ,  $\tilde{V}_i \subset V_i$  s.t.  $f(\tilde{V}_1) \subset \tilde{V}_2$  and  $\tilde{f}$  is continuously differentiable where  $\tilde{f}$  is given by

$$\mathbb{R}^{N_1} \supset \tilde{V}_1 \xrightarrow{f} \tilde{V}_2 \subset \mathbb{R}^{N_2}$$

$$\varphi_1 \uparrow \quad \quad \quad \uparrow \varphi_2$$

$$\tilde{U}_1 \xrightarrow{\tilde{f}} \tilde{U}_2$$

$$\tilde{f} = \varphi_2^{-1} \circ f \circ \varphi_1 : \tilde{U}_1 \rightarrow \tilde{U}_2.$$

Well-defined?

Need to show def is independent of choice of  $\varphi_i$ 's.

Suppose we have overlapping coordinates  $\varphi_i$ 's.

Then we have coordinate changes

$$h_1 = \varphi_1'^{-1} \circ \varphi_1, \quad h_2 = \varphi_2'^{-1} \circ \varphi_2.$$

$$\begin{aligned} \tilde{f}' &:= \varphi_2'^{-1} \circ f \circ \varphi_1' = \varphi_2'^{-1} \circ \varphi_2 \circ \varphi_2^{-1} \circ f \circ \varphi_1 \circ \varphi_1^{-1} \circ \varphi_1' \\ &= h_2 \circ \tilde{f} \circ h_1^{-1} \end{aligned}$$

But since  $h_1$  and  $h_2$  are diffeomorphisms then  $\tilde{f}'$  continuously differentiable  $\Leftrightarrow \tilde{f}$  is.

Def (Differential)

Let  $f: M_1 \rightarrow M_2$  be continuously differentiable

where  $M_i$  is  $n_i$ -dimensional and is a submanifold of  $\mathbb{R}^{N_i}$ . For any  $p \in M_1$  and  $v \in T_p M_1$ , let  $\gamma$  be a curve s.t.  $\gamma(0) = p$ ,  $\gamma'(0) = v$ . ( $\gamma: (-\epsilon, \epsilon) \rightarrow M_1$ )

The map  $Df|_p: T_p M_1 \rightarrow T_{f(p)} M_2$ ,  $v \mapsto Df_p(v) := \left. \frac{d}{dt} \right|_{t=0} f(\gamma(t))$  is called the differential of  $f$ .

Well-defined?

If  $\gamma$  represents  $v \in T_p M_1$ , i.e.  $\frac{d}{dt} \Big|_{t=0} \gamma = v$ ,  $\gamma(0) = p$ ,

then  $f(\gamma(t))$  is a curve in  $M_2$  and so

$\frac{d}{dt} \Big|_{t=0} f(\gamma(t))$  is a vector in  $T_{f(p)} M_2$ .

Also: need to check  $Df$  is independent of choice of  $\gamma$ .

$\varphi: U \rightarrow V$  is a local parameterisation near  $p$

$$u(p) = \varphi^{-1}(p).$$

$D\varphi|_u: \mathbb{R}^n \rightarrow T_p M$  is a linear isomorphism.

Differentiate  $\gamma = \varphi \circ \varphi^{-1} \circ \gamma$  at  $t=0$ .

$$v = \gamma'(0) = D\varphi|_u \frac{d}{dt} \Big|_{t=0} (\varphi^{-1} \circ \gamma)$$

$$\text{Then } Df|_p(v) = \frac{d}{dt} \Big|_{t=0} (f \circ \varphi \circ \varphi^{-1} \circ \gamma)$$

$$= D(f \circ \varphi)|_u \circ (\varphi^{-1} \circ \gamma)'(0)$$

$$= (D(f \circ \varphi)|_u \circ D\varphi|_p^{-1})(v)$$

$\Rightarrow$  well-defined.

Prop

Let  $f: M_1 \rightarrow M_2$  be a continuously differentiable mapping and  $p \in M_1$ ,  $Df|_p$  is a linear map and if  $\varphi_1$  and  $\varphi_2$  are local parameterisations for  $M_1$  near  $p$  and for  $M_2$  near  $f(p)$ , then

$$Df|_p \left( \frac{\partial \varphi_1}{\partial u_j} \right) = \sum_{i=1}^{n_2} \frac{\partial \tilde{f}_i}{\partial u_j} \frac{\partial \varphi_2}{\partial v_i}$$

for all  $1 \leq j \leq \dim M_1$ , where  $p = \varphi_1(u)$ ,  $n_2 = \dim M_2$  and  $\tilde{f} = \varphi_2^{-1} \circ f \circ \varphi_1$ .

24-10-17

Proof

$$\varphi^{-1} \circ \varphi = \text{id}$$

Differentiating and using that  $D\varphi(e_j) = \frac{\partial \varphi}{\partial u_j}$

$$D\varphi^{-1}\left(\frac{\partial \varphi}{\partial u_j}\right) = D(\varphi^{-1} \circ \varphi)(e_j) = e_j$$

$$\begin{aligned} Df|_p \left( \frac{\partial \varphi_1}{\partial u_j} \right) &= D(\varphi_2 \circ \tilde{f} \circ \varphi_1^{-1})|_p \left( \frac{\partial \varphi_1}{\partial u_j} \right) \\ &= \left( D\varphi_2|_{\tilde{f}(u)} \circ D\tilde{f}|_u \circ D\varphi_1^{-1}|_p \right) \left( \frac{\partial \varphi_1}{\partial u_j} \right) \\ &= \left( D\varphi_2|_{\tilde{f}(u)} \circ D\tilde{f}|_u \right) (e_j) \\ &= D\varphi_2|_{\tilde{f}(u)} \left( \frac{\partial \tilde{f}}{\partial u_j} \right) \\ &= \sum_{i=1}^{n_2} \frac{\partial \tilde{f}_i}{\partial u_j} \frac{\partial \varphi_2}{\partial v_i} \end{aligned}$$

Since  $Df|_p$  may be written as matrices in this form it is indeed a linear mapping.  $\square$

Prop (Chain rule):

Let  $M_j$ ,  $j=1,2,3$ , be submanifolds of  $\mathbb{R}^{N_j}$ , and  $f: M_1 \rightarrow M_2$ ,  $g: M_2 \rightarrow M_3$  differentiable, then  $g \circ f$  is differentiable at  $p \in M_1$ , and its derivative is given by

$$D(g \circ f)|_p = Dg|_{f(p)} \circ Df|_p$$

$$: T_p M_1 \rightarrow T_{g(f(p))} M_3.$$

Proof

Using local parameterisations we see that  $\tilde{g \circ f} = \tilde{g} \circ \tilde{f}$   
 $\Rightarrow g \circ f$  is continuously differentiable.

$$Df|_p : T_p M_1 \rightarrow T_{f(p)} M_2$$

$$Dg|_{f(p)} : T_{f(p)} M_2 \rightarrow T_{g(f(p))} M_3$$

$\Rightarrow$  mappings are well-defined.

Let  $\gamma : (-\varepsilon, \varepsilon) \rightarrow M_1$  be a curve with  $\gamma(0) = p$ ,  $\gamma'(0) = v$ .

$f \circ \gamma : (-\varepsilon, \varepsilon) \rightarrow M_2$  is a curve in  $M_2$  st.

$$f \circ \gamma(0) = f(p), \quad (f \circ \gamma)' = Df|_p$$

$$D(g \circ f)|_p(v) = \frac{d}{dt}(g \circ f \circ \gamma)(0)$$

$$= Dg|_{f(p)} (f \circ \gamma)'(0)$$

$$= Dg|_{f(p)} \circ Df|_p(v) \quad \square$$

### Examples

i). Let  $\gamma : I \rightarrow \mathbb{R}^3$  be a regular  $C^1$  curve, injective. Parameterized by arc length  
Then  $T, N, B : \text{tr } \gamma \rightarrow \mathbb{R}^3$ .

Parameterization:  $\varphi_1 = \gamma$

$$\frac{\partial \varphi_1}{\partial s} \Big|_p = \dot{\gamma}(s_0) \quad p = \gamma(s_0)$$

Parameterization for  $\mathbb{R}^3$ :  $\varphi_2(x, y, z) = xT(s_0) + yN(s_0) + zB(s_0)$

$$T_p \mathbb{R}^3 = \text{span} \{ T(s_0), N(s_0), B(s_0) \}$$

$$\tilde{T} : I \rightarrow \mathbb{R}^3, \quad \tilde{T}(s) = \left( \langle T(s), T(s_0) \rangle, \langle T(s), N(s_0) \rangle, \langle T(s), B(s_0) \rangle \right)$$

$$\tilde{T} = \varphi_2^{-1} \circ T \circ \varphi_1$$

$$D\tilde{T}|_s = \frac{\partial \tilde{T}}{\partial s}(s_0) = \left( \langle \dot{T}(s_0), T(s_0) \rangle, \langle \dot{T}(s_0), N(s_0) \rangle, \langle \dot{T}(s_0), B(s_0) \rangle \right) \\ = (0, \kappa(s_0), 0)$$

25-10-17

Example

Take  $S^2$  with atlas of 6 graphs as in hw 3.

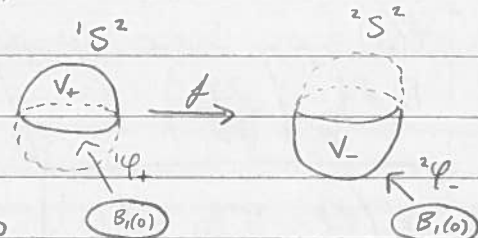
$f: S^2 \rightarrow S^2, x \mapsto -x$

(well-defined:  $|x|=1 \iff |-x|=1$ )

Continuously differentiable?

Pick  $p=(x,y,z) \in S^2$ .

wlog, assume  $z > 0$ .



We have local parameterisations

$\varphi_{\pm}: B_{\pm}(0) \rightarrow V_{\pm}$

$\varphi_{\pm}(u,v) = (u,v, \pm \sqrt{1-u^2-v^2})$

$V_+ = S^2 \cap \{(x,y,z) \in \mathbb{R}^3 \mid z > 0\}$

$V_- = S^2 \cap \{(x,y,z) \in \mathbb{R}^3 \mid z < 0\}$

$V_{\pm}$  is open.

Observe  $p \in V_+$  and  $f(p) = (-x, -y, -z) \in V_-$ .

In this case,  $\tilde{f}: B_+(0) \rightarrow B_-(0)$

$$\begin{aligned} \tilde{f}(u,v) &= (\varphi_-^{-1} \circ f \circ \varphi_+)(u,v) \\ &= (\varphi_-^{-1} \circ f)(u,v, \sqrt{1-u^2-v^2}) \\ &= \varphi_-^{-1}(-u, -v, -\sqrt{1-u^2-v^2}) \\ &= (-u, -v) \end{aligned}$$

Clearly this is continuously differentiable.

$J\tilde{f} = -I$

$Df|_p \left( \frac{\partial \varphi_+}{\partial u_j} \right) = \sum_{i=1}^2 \frac{\partial \tilde{f}_i}{\partial u_j} \frac{\partial \varphi_-}{\partial u_i} = - \frac{\partial \varphi_-}{\partial u_j}$

e.g.  $p=(0,0,1) \Rightarrow f(p)=(0,0,-1)$

$T_p S^2 = \text{span} \left\{ \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} \right\} = \text{span} \left\{ \frac{\partial \varphi_+}{\partial u}, \frac{\partial \varphi_+}{\partial v} \right\}$

$T_{f(p)} S^2 = \text{span} \left\{ \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} \right\} = \text{span} \left\{ \frac{\partial \varphi_-}{\partial u}, \frac{\partial \varphi_-}{\partial v} \right\}$

$Df|_p \left( \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} \right) = - \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}, Df|_p \left( \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} \right) = - \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}$   
 $\overset{T_p S^2}{\text{}} \quad \overset{T_{f(p)} S^2}{\text{}}$

$Df|_p: T_p S^2 \rightarrow T_{f(p)} S^2$



$M \subset \mathbb{R}^N$  submanifold

$p_0 \in \mathbb{R}^N \setminus M$  then the squared distance to  $p_0$ ,

$d: M \rightarrow \mathbb{R}, p \mapsto |p - p_0|^2$ , is  $C^1$ .

Let  $\varphi$  be a local parameterisation near  $p \in M$ ,

$\varphi(u) = p$ . Since  $|p - p_0|^2 = \langle p - p_0, p - p_0 \rangle$

$$Dd|_p \left( \frac{\partial \varphi}{\partial u_j} \right) = \frac{d}{dt} \Big|_{t=0} \left( \langle \varphi(u + te_j) - p_0, \varphi(u + te_j) - p_0 \rangle \right)$$

$$\left[ \gamma(t) = \varphi(u + te_j) \right] = \frac{\partial}{\partial u_j} \langle \varphi(u) - p_0, \varphi(u) - p_0 \rangle$$

$$= 2 \left\langle \frac{\partial \varphi}{\partial u_j}, \varphi(u) - p_0 \right\rangle$$

If  $X = \sum_{i=1}^n X^i \frac{\partial \varphi}{\partial u_i}$  general tangent vector.

$$Dd|_p(X) = \sum_{i=1}^n X^i Dd|_p \left( \frac{\partial \varphi}{\partial u_i} \right)$$

$$= \sum_{i=1}^n X^i 2 \left\langle \frac{\partial \varphi}{\partial u_i}, \varphi(u) - p_0 \right\rangle$$

$$= 2 \langle X, \varphi(u) - p_0 \rangle.$$

Def

$M_i$  is an  $n_i$ -dim submanifold of  $\mathbb{R}^{N_i}$ , then

$f: M_1 \rightarrow M_2$  is said to be

i) an immersion if  $Df|_p: T_p M_1 \rightarrow T_{f(p)} M_2$  is injective  $\forall p \in M_1$ .

ii) an embedding if  $f: M_1 \rightarrow f(M_1) \subset M_2$  is both an immersion and a homeomorphism.

iii) a submersion if  $Df|_p: T_p M_1 \rightarrow T_{f(p)} M_2$  is surjective  $\forall p \in M_1$ .

iv) a diffeomorphism if it satisfies all of the above.

25-10-17

The definition of a diffeo is equivalent to  $f$  and  $f^{-1}$  exist and are continuously differentiable.

If  $\dim M_1 > \dim M_2$  then there are no immersions.

If  $\dim M_1 < \dim M_2$  then there are no submersions.

$\Rightarrow$  the dimensions of two diffeomorphic manifolds are the same.

$\gamma: (a,b) \rightarrow \mathbb{R}^2$ ,  $\gamma$  is regular  $\Rightarrow$  it is an immersion  
 $\uparrow$   
 a manifold,  $M_1$ .

$\alpha \leftarrow$  immersion but not embedding

$\cap \leftarrow$  embedding

Now consider regular surfaces.

• 2-dim submanifold of  $\mathbb{R}^3$  usually denoted  $\Sigma$

• we will have local parameterisations about  $p = (x,y,z) \in \Sigma$

$U \subset \mathbb{R}^2$  open,  $V \subset \Sigma$  open

$\varphi: U \rightarrow V$

coordinates on  $U$  are  $(u,v)$ , I will use  $\partial_u = \partial_1 = D\varphi|_p(e_1) = \frac{\partial \varphi}{\partial u}$ ,  
 $\partial_v = \partial_2 = D\varphi|_p(e_2) = \frac{\partial \varphi}{\partial v}$ ,  $\{\partial_u, \partial_v\}$  form a basis  
 of  $T_p \Sigma$ .

### First Fundamental Form

For  $X \in T_p \Sigma \subset \mathbb{R}^3$  we can define  $|X|_p^2 = |X|_{\mathbb{R}^3}^2$ .

Suppose  $X$  is the tangent to a curve  $\gamma: (-\epsilon, \epsilon) \rightarrow \mathbb{R}^3$ ,  $\gamma(0) = p$ .

$X = \gamma'(0) = \frac{d}{dt} \Big|_{t=0} \varphi(\varphi^{-1} \circ \gamma(t))$  write  $\varphi^{-1} \circ \gamma(t) = (u(t), v(t))$

$$= D\varphi|_p \circ \frac{d}{dt} \Big|_{t=0} (u(t), v(t))$$

$\Rightarrow X = u'(0)\partial_u + v'(0)\partial_v$  using definition above.

$$\begin{aligned}
 |X|_p^2 &= \langle X, X \rangle_{\mathbb{R}^3} = u'(0)^2 \langle \partial_u, \partial_u \rangle + 2u'(0)v'(0) \langle \partial_u, \partial_v \rangle \\
 &\quad + v'(0)^2 \langle \partial_v, \partial_v \rangle \\
 &= u'(0)^2 E + 2u'(0)v'(0) F + v'(0)^2 G
 \end{aligned}$$

where  $E = \langle \partial_u, \partial_u \rangle$ ,  $F = \langle \partial_u, \partial_v \rangle$ ,  $G = \langle \partial_v, \partial_v \rangle$ .

Def (1st Fundamental Form)

$\Sigma$  a surface,  $p \in \Sigma$ .

Define the quadratic form

$$I_p: T_p \Sigma \rightarrow \mathbb{R}, \quad I_p(X) = \langle X, X \rangle$$

$I_p$  is the First Fundamental Form on  $\Sigma$ .

The component functions  $E, F, G$  are called the components of the 1st fundamental form in parameterization  $\mathcal{C}$ .

$$X = a^1 \partial_1 + a^2 \partial_2$$

$$I_p(X) = (a^1 \ a^2) \begin{pmatrix} E & F \\ F & G \end{pmatrix} \begin{pmatrix} a^1 \\ a^2 \end{pmatrix} = \sum_{i,j=1}^2 a^i g_{ij} a^j$$

$$\text{where } g_{ij} = \left\{ \langle \partial_i, \partial_j \rangle \right\}_{i,j=1,2} = \begin{pmatrix} E & F \\ F & G \end{pmatrix}$$

is called the metric in local coordinates.

31-10-17

$$X \in T_p \Sigma$$

$$X = X^1 \partial_1 + X^2 \partial_2$$

$$= X^1 \partial_u + X^2 \partial_v$$

$$\left[ \partial_u = \frac{\partial \varphi}{\partial u} \right]$$

$$I_p(X) = \langle X, X \rangle$$

$$\uparrow = (X^1)^2 E + 2X^1 X^2 F + (X^2)^2 G$$

Quadratic Form

$$g = \begin{pmatrix} E & F \\ F & G \end{pmatrix}$$

$$I_p(X) = \sum_{i,j=1}^2 X^i g_{ij} X^j$$

$$Y \in T_p \Sigma$$

$$\langle X, Y \rangle_p = \frac{1}{2} (I_p(X) + I_p(Y) - I_p(X-Y))$$

$$= \sum_{i,j=1}^2 X^i g_{ij} Y^j$$

Can define angle:

$$\angle(X, Y) = \arccos \left( \frac{\langle X, Y \rangle_p}{\sqrt{I_p(X) I_p(Y)}} \right)$$

Also: For a curve  $\gamma: I \rightarrow \Sigma$ 

$$L(\gamma) = \int_I \sqrt{I_{\gamma(t)}(\gamma'(t))} dt$$

Remark

Forget  $\mathbb{R}^3$ , just define  $C^1$  inner product functions  $g_{ij}(u)$  on  $\Sigma$  then we could define all previous quantities.

## Orientability and the Gauss map

Note: from now on  $\Sigma$  is  $C^2$ .

Def

Let  $\Sigma$  be a surface,  $p \in \Sigma$ .

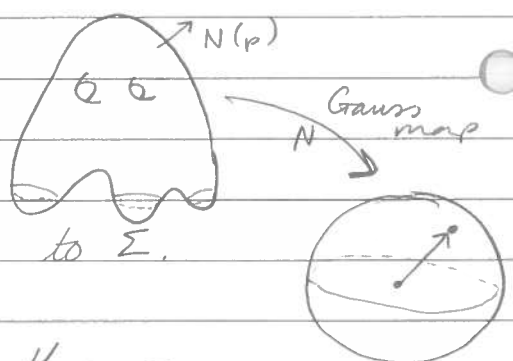
i). A unit normal at  $p$  is a vector  $N(p) \in \mathbb{R}^3$  st.

$$|N(p)| = 1 \text{ and } (\text{span} \{N(p)\})^\perp = T_p \Sigma$$

ii). A Gauss map for  $\Sigma$  is a continuously differentiable map

$$N: \Sigma \rightarrow S^2 \text{ st.}$$

$\forall p \in \Sigma$ ,  $N(p)$  is a unit normal to  $\Sigma$ .



iii). If there exists a Gauss map, then we call  $\Sigma$  orientable, and if we choose a Gauss map for  $\Sigma$ , this is called an orientation.

Remark

Locally a Gauss map always exists. Given a parameterisation  $\varphi$  then

$$N(p) = \frac{\left( \frac{\partial \varphi}{\partial u} \times \frac{\partial \varphi}{\partial v} \right)}{\left| \frac{\partial \varphi}{\partial u} \times \frac{\partial \varphi}{\partial v} \right|}$$

Example

The sphere:  $S^2 = f^{-1}(0)$ ,  $f(x, y, z) = x^2 + y^2 + z^2 - 1$

$$N(p) = \frac{\nabla f|_p}{|\nabla f|_p}, \quad \nabla f = Df^T, \quad f: \mathbb{R}^3 \rightarrow \mathbb{R}.$$

Suppose  $\exists X \in T_p \Sigma$  st.  $X$  is not  $\perp$  to  $\nabla f$ ,

$$\gamma(0) = p, \quad \gamma'(0) = X, \quad \gamma: I \rightarrow \Sigma$$

31-10-17

$$0 = \frac{d}{dt} \Big|_{t=0} f(\gamma(t)) = \langle \nabla f, \gamma'(0) \rangle \\ = \langle \nabla f, X \rangle$$

$$N(p) = p.$$

We now consider  $DN|_p : T_p \Sigma \rightarrow T_{N(p)} S^2$ .

If fact  $T_{N(p)} S^2 \cong T_p \Sigma$  since on the sphere  $N(p) = p$ .

Def (Weingarten map)

Let  $\Sigma$  be an oriented surface. Define the map

$$W|_p := -DN_p : T_p \Sigma \rightarrow T_p \Sigma$$

Prop  $\textcircled{B}$

The Weingarten map is self adjoint.

$$\Leftrightarrow \forall X, Y \in T_p \Sigma \quad \langle W_p(X), Y \rangle = \langle X, W_p(Y) \rangle$$

Proof

Consider in basis  $\partial_u, \partial_v$

$$\text{We have } \langle N, \frac{\partial \varphi}{\partial u} \rangle = 0 = \langle N, \partial_v \rangle.$$

$$\langle \partial_u, W_p(\partial_v) \rangle = \left\langle \frac{\partial \varphi}{\partial u}, -\frac{\partial N}{\partial v} \right\rangle$$

$$= + \left\langle \frac{\partial^2 \varphi}{\partial u \partial v}, N \right\rangle = - \left\langle \frac{\partial \varphi}{\partial v}, \frac{\partial N}{\partial u} \right\rangle$$

$$= \langle \partial_v, W|_p(\partial_u) \rangle$$

$\square$

The Second Fundamental Form

We now know that  $W|_p$  is self adjoint

w.r.t.  $\langle \cdot, \cdot \rangle$

$$\Rightarrow X \mapsto \langle X, W_p(X) \rangle$$

is a quadratic form.



Def

Let  $\Sigma$  be an oriented surface. The quadratic form  $\mathbb{I}_p: T_p \Sigma \rightarrow \mathbb{R}$

$$\mathbb{I}_p(X) = \langle X, W|_p(X) \rangle = - \langle X, DN_p(X) \rangle$$

is called the second fundamental form of  $\Sigma$  at  $p$ .

Identically as we saw earlier, if

$X = X^1 \partial_1 + X^2 \partial_2$  is any element of  $T_p \Sigma$  ( $p = \varphi(q)$ , a parameter)

$$\text{then } \mathbb{I}_p(X) = (X^1)^2 e(q) + 2X^1 X^2 f(q) + (X^2)^2 g(q)$$

$$\text{where } e(q) = \langle \partial_u, W|_p(\partial_u) \rangle$$

$$f(q) = \langle \partial_u, W|_p(\partial_v) \rangle$$

$$g(q) = \langle \partial_v, W|_p(\partial_v) \rangle$$

$$A = \begin{pmatrix} e & f \\ f & g \end{pmatrix}, \quad \mathbb{I}_p(X) = \sum_{i=1}^2 \sum_{j=1}^2 X^i A_{ij} X^j$$

By abuse of notation,  $Y = Y^1 \partial_1 + Y^2 \partial_2$

$$A(X, Y) = \sum_{i=1}^2 \sum_{j=1}^2 Y^i X^j A_{ij}$$

↑ bilinear form

Corollary of (B)

$$e(q) = \left\langle \frac{\partial^2 \varphi}{\partial u^2}, N|_p \right\rangle$$

$$[p = \varphi(q)]$$

$$f(q) = \left\langle \frac{\partial^2 \varphi}{\partial u \partial v}, N|_p \right\rangle$$

$$g(q) = \left\langle \frac{\partial^2 \varphi}{\partial v^2}, N|_p \right\rangle.$$

Theorem

Let  $V$  be a 2-dim Euclidean vector space and

$T: V \rightarrow V$  a self adjoint mapping w.r.t. scalar product on  $V$ . Then:

i)  $\exists$  an orthogonal basis  $\{e_1, e_2\}$  consisting of eigenvectors of  $T$  and the corresponding eigenvalues  $\lambda_1, \lambda_2$  are real.

31-10-17

- ii). Define  $Q(v) = \langle Tv, v \rangle$  then the eigenvalues of  $T$  are given by  $\lambda_1 = \min \{Q(v) \mid v \in V, |v|=1\}$ ,  
 $\lambda_2 = \max \{Q(v) \mid v \in V, |v|=1\}$ .

### Principle curvatures

Def

Let  $\Sigma$  be an oriented surface,  $p \in \Sigma$

- i). the eigenvalues  $\kappa_1(p), \kappa_2(p)$  of  $W|_p$  are called the principle curvatures of  $\Sigma$  at  $p$ .

If  $\kappa_1 \neq \kappa_2$  then the corresponding eigenvectors are called the principle directions.

- ii). The Gauss curvature of  $\Sigma$  at  $p$  is

$$K := \det W|_p = \kappa_1(p) \kappa_2(p)$$

- iii). The mean curvature of  $\Sigma$  at  $p$  is

$$H := \frac{\kappa_1(p) + \kappa_2(p)}{2} = \frac{1}{2} \operatorname{tr} W|_p.$$

wlog.  $\kappa_1 \leq \kappa_2$

$$\kappa_1 = \min \{ \mathbb{I}_p(X) \mid X \in T_p \Sigma, \mathbb{I}_p(X) = 1 \}$$

$$\kappa_2 = \max \{ \mathbb{I}_p(X) \mid X \in T_p \Sigma, \mathbb{I}_p(X) = 1 \}.$$

$g: \mathbb{R}^2 \rightarrow \mathbb{R}$

define the hessian as

$$\operatorname{Hess}(g) := \begin{pmatrix} \frac{\partial^2 g}{\partial u^2} & \frac{\partial^2 g}{\partial u \partial v} \\ \frac{\partial^2 g}{\partial u \partial v} & \frac{\partial^2 g}{\partial v^2} \end{pmatrix}$$

Prop

Let  $\Sigma$  be an oriented surface,  $p \in \Sigma$ . Then  $\exists$  a neighbourhood  $U$  of  $0$  in  $T_p \Sigma$ ,  $V$  of  $p$  in  $\Sigma$  and a twice differentiable function  $g: U \rightarrow \mathbb{R}$  st.

$$V = \{ p + X + g(X) N(p) \mid X \in U \} \text{ where } g \text{ satisfies}$$

$$g(0) = 0 \text{ and } Dg|_0 = 0.$$

Then:

$$X^T \cdot \text{Hess}(g)|_0 \cdot X = \mathbb{I}_p(X)$$

for  $X \in T_p \Sigma$ .

(locally  $\Sigma$  is a graph and  $A = \text{Hess}(g)|_0$ .)

Proof (part of) w.l.o.g.  $p = 0$ .

Suppose we have a graph function, then locally we have a parameterisation

$$\varphi(X) = (X^1 e_1 + X^2 e_2 + g(X) e_3)$$

$$\partial_1 = e_1 + \frac{\partial g}{\partial x_1} e_3$$

$$\partial_2 = e_2 + \frac{\partial g}{\partial x_2} e_3$$

$$N = \frac{-(Dg - e_3)}{\sqrt{1 + |Dg|^2}} \quad \text{where } Dg = \frac{\partial g}{\partial x_1} e_1 + \frac{\partial g}{\partial x_2} e_2$$

$$\langle N, \partial_i \rangle = 0 \quad i=1, 2.$$

$A$  is the matrix with entries

$$A_{ij} = \left\langle \frac{\partial^2 \varphi}{\partial x^i \partial x^j}, N \right\rangle$$

$$= \left\langle \frac{\partial^2 g}{\partial x^i \partial x^j} e_3, \frac{-(Dg - e_3)}{\sqrt{1 + |Dg|^2}} \right\rangle$$

$$= \frac{\left( \frac{\partial^2 g}{\partial x^i \partial x^j} \right)}{\sqrt{1 + |Dg|^2}}$$

But at  $p = \varphi(0)$ ,  $Dg = 0$

$$\Rightarrow A_{ij} = \frac{\partial^2 g}{\partial x^i \partial x^j} = \text{Hess}(g) \quad \leftarrow \text{graph, not metric}$$

□



31-10-17

Remarks / Def

- i). If  $\kappa_1|_p = \kappa_2|_p$  then  $p$  is called an umbilic point.  
("curvature like on  $S^2$ ")
- ii). If  $\kappa_1 = \kappa_2 = 0$  then this is called a flat point.
- iii). If  $K = \kappa_1 \kappa_2 > 0$  and  $\Sigma$  is entirely on one side of  $T_p \Sigma$  then  $p$  is an elliptical point.
- iv). If  $K = \kappa_1 \kappa_2 < 0$   $p$  is called a hyperbolic point.
- v). If  $K = 0$  but one  $\kappa_i \neq 0$  then this is a parabolic point.
- vi). If  $H = 0 \forall p \in \Sigma$  then  $\Sigma$  is called a minimal surface.

Examples

Consider local graphs  $g$  st.  $g(0) = 0$ ,  $Dg(0) = 0$ , then

- i).  $0$  is a flat point of the graph,  $g = x^4 + y^4$
- ii).  $0$  is umbilic for graph of  $g = x^2 + y^2$  (also elliptic) 
- iii).  $0$  is hyperbolic for  $g = x^2 - y^2$  

Remark Change of orientation.

Suppose we take  $-N$  instead of  $N$ .

$$\begin{aligned} I &\mapsto -I && \text{change of normal, } N \mapsto -N, \\ II &\mapsto -II, && K \mapsto K, \quad H \mapsto -H \end{aligned}$$

Calculations in Local Coordinates

Suppose at  $p = \varphi(q)$ ,  $\varphi$  a local parameterisation,

we have  $Y, X \in T_p \Sigma$  where  $Y = Y^1 \partial_1 + Y^2 \partial_2$  and

$X = X^1 \partial_1 + X^2 \partial_2$ . Define  $\tilde{W}$  as  $W$  in these coordinates.

Then, writing  $X$  as  $\begin{pmatrix} X^1 \\ X^2 \end{pmatrix}$  we have

$$\begin{aligned} X^T A Y &= \langle X, W|_p(Y) \rangle = X^T g \cdot \tilde{W} Y \\ \Rightarrow \tilde{W} &= g^{-1} A \end{aligned}$$

Recall: for  $X, Y \in T_p \Sigma$ ,  $\langle X, Y \rangle = \sum_{i,j=1}^2 X^i g_{ij} Y^j = X^T g Y = g(X, Y)$   
 $g$  = inner product on  $\mathbb{R}^3$  restricted to  $T_p \Sigma$  and written wrt basis  $\partial_u \partial_v$

01-11-17

last time:

$$N: \Sigma \rightarrow S^2, \quad p \in \Sigma$$

$$W_p: T_p \Sigma \rightarrow T_p \Sigma$$

$$W_p = -DN|_p$$

$$II_p: T_p \Sigma \rightarrow \mathbb{R} \quad (\text{quadratic form})$$

$$II_p(X) = \langle X, W_p(X) \rangle$$

$$X = X^1 \partial_1 + X^2 \partial_2, \quad \tilde{X} = \begin{pmatrix} X^1 \\ X^2 \end{pmatrix}, \quad A = \begin{pmatrix} e & f \\ f & g \end{pmatrix}$$

$$II_p(X) = \tilde{X}^T A \tilde{X} = \sum_{i,j} X^i A_{ij} X^j$$

We also have a bilinear form

$$\left[ Y = Y^1 \partial_1 + Y^2 \partial_2, \quad \tilde{Y} = \begin{pmatrix} Y^1 \\ Y^2 \end{pmatrix} \right]$$

$$A(X, Y) = \sum_{i,j=1}^2 Y^i A_{ij} X^j = \tilde{Y}^T A \tilde{X}$$

principle curvatures  $\kappa_1(p), \kappa_2(p)$  eigenvalues of  $W_p$ 

$$H_p = \frac{1}{2} (\kappa_1(p) + \kappa_2(p)) \quad \text{mean curvature}$$

$$= \frac{1}{2} \text{tr} DW \quad (\text{metric trace})$$

$$K_p = \kappa_1(p) \kappa_2(p) = \det W_p \quad \text{Gauss curvature.}$$

Now calculate in local coordinates.

Write everything in basis  $\partial_u = \partial_1, \partial_v = \partial_2$ .Write  $\tilde{W}: T_p \Sigma \rightarrow T_p \Sigma$  for  $W$  in these coordinates

(this is a matrix mapping)

$$\tilde{W} = g^{-1} \cdot A$$

$$g = \begin{pmatrix} E & F \\ F & G \end{pmatrix}, \quad A = \begin{pmatrix} e & f \\ f & g \end{pmatrix}$$

WARNING: These  $g$ 's are different!!!

$$\text{sometimes write } \tilde{g} = \begin{pmatrix} E & F \\ F & G \end{pmatrix}$$

$$\tilde{g}^{-1} = \frac{1}{EG - F^2} \begin{pmatrix} G & -F \\ -F & E \end{pmatrix}$$

01-11-17

In local coordinates:  $\varphi: U \rightarrow V$  a local parameterisation

$$\varphi(q) = p \in V$$

i). tangent vectors  $\partial_u = \frac{\partial \varphi}{\partial u} \Big|_q$ ,  $\partial_v = \frac{\partial \varphi}{\partial v} \Big|_q$

ii).  $E = \langle \partial_u, \partial_u \rangle$ ,  $F = \langle \partial_u, \partial_v \rangle$ ,  $G = \langle \partial_v, \partial_v \rangle$

entries of  $g$ . Entries of  $A$ :  $e = \langle \frac{\partial^2 \varphi}{\partial u^2}, N \rangle$ ,  $f, g$  etc.

iii). Gauss map  $N_p = \frac{\partial_u \times \partial_v}{|\partial_u \times \partial_v|}$

iv). The entries of  $\tilde{W} = \tilde{g}^{-1} \circ A$

v).  $K_p = \det \tilde{W} = \frac{\det A}{\det \tilde{g}} = \frac{eg - f^2}{EG - F^2}$  (Gauss curvature)

vi). Mean curvature:

$$H = \frac{1}{2} \text{tr } \tilde{W}$$

$$\text{tr } A^T B = \sum_{i,j=1}^2 A_{ij} B_{ij}$$

$$= \frac{1}{2} \frac{Ge - 2Ff + Eg}{EG - F^2}$$

vii). Principle curvatures are eigenvalues of  $\tilde{W}$

$$\Leftrightarrow \text{solutions of } x^2 - \text{tr } \tilde{W} x + \det \tilde{W} = \det(\tilde{W} - xI)$$

$$x_1 = H - \sqrt{H^2 - K}, \quad x_2 = H + \sqrt{H^2 - K}$$

viii). Principle directions are given by  $\tilde{e}_1, \tilde{e}_2$  where

$$\tilde{W} \tilde{e}_i = x_i \tilde{e}_i, \quad i=1, 2.$$

### Example

Cylinder.  $C = \{(x, y, z) \in \mathbb{R}^3 \mid x^2 + y^2 = 1\}$

$$\varphi: (-\pi, \pi) \times \mathbb{R} \rightarrow \mathbb{R}^3$$

$$(u, v) \longmapsto (\cos u, \sin u, v).$$

i).  $\partial_u = \begin{pmatrix} \sin u \\ \cos u \\ 0 \end{pmatrix}$ ,  $\partial_v = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$

ii).  $\tilde{g} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$

iii).  $N_p = \frac{\partial_u \times \partial_v}{|\partial_u \times \partial_v|} = -\begin{pmatrix} \cos u \\ \sin u \\ 0 \end{pmatrix}$



$$iv). \quad e = \left\langle \frac{\partial^2 \varphi}{\partial u \partial u}, N \right\rangle = \left\langle \begin{pmatrix} -\cos u \\ -\sin u \\ 0 \end{pmatrix}, \begin{pmatrix} -\cos u \\ -\sin u \\ 0 \end{pmatrix} \right\rangle = 1$$

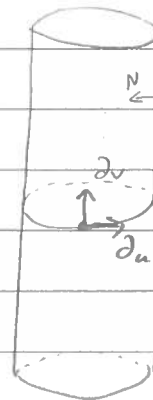
$$f = \langle 0, N \rangle = 0$$

$$g = \langle 0, N \rangle = 0$$

$$\Rightarrow A = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$$

$$v). \quad K = \frac{eg - f^2}{EG - F^2} = 0$$

$$vi). \quad H = \frac{1}{2} \frac{eG - 2fF + Eg}{EG - F^2} = \frac{1}{2}$$



$$vii). \quad \kappa_1 = H - \sqrt{H^2 - K} = \frac{1}{4} - \sqrt{1/4} = 0$$

$$\kappa_2 = \frac{1}{2} + \sqrt{1/4} = 1$$

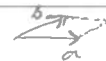
viii). We observe that

$$\tilde{W} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \quad \text{already in diagonal form.}$$

So in basis  $\partial_u, \partial_v$  the principle directions are  $e_1, e_2$   
i.e.  $\partial_u, \partial_v$  are principle directions.

Recall:

Parallelogram  $P(a,b)$  defined by  $a, b \in \mathbb{R}^2$ ,  
 $\text{area}(P(a,b)) = \sqrt{\det \begin{pmatrix} \langle a, a \rangle & \langle b, a \rangle \\ \langle a, b \rangle & \langle b, b \rangle \end{pmatrix}}$



Def (Surface Element)

Let  $\Sigma$  be a surface,  $\varphi: U \rightarrow V \subset \Sigma$  a local parameterisation,

then: i). the surface element w.r.t.  $\varphi$  is

$$dS = \sqrt{\det \tilde{g}} \, du \, dv = \sqrt{EG - F^2} \, du \, dv = |\partial_u \times \partial_v| \, du \, dv$$

ii). If  $W \subset V$  is open and  $\tilde{W} = \varphi^{-1}(W)$  then define

$$\text{area}(W) = \int_{\tilde{W}} dS = \int_{\tilde{W}} \sqrt{EG - F^2} \, du \, dv.$$

01-11-17

In homework we parameterised the upper half sphere

$$\Psi: (0, \pi) \times (0, \pi)$$

$$\tilde{g} = \begin{pmatrix} 1 & 0 \\ 0 & \sin^2 \theta \end{pmatrix}$$

calculate area of sphere

$$\text{area}(S^2) = 2 \int_0^\pi \int_0^\pi \sqrt{\sin^2 \theta} \, d\theta \, d\alpha$$

$$= 2\pi \int_0^\pi \sin \theta \, d\theta = 2\pi \cos \theta \Big|_0^\pi = 4\pi$$

14-11-17

## Isometry

Def

Let  $\Sigma_1, \Sigma_2$  be surfaces with first fundamental forms  $I_1, I_2$ .

(i) a diffeomorphism  $\phi: \Sigma_1 \rightarrow \Sigma_2$  is called an isometry if  $I_{1,p}(X) = I_{2,\phi(p)}(D\phi|_p(X))$ .

If such an isometry exists,  $\Sigma_1, \Sigma_2$  are isometric.

(ii) Let  $V_1 \subset \Sigma_1$  be open,  $p \in V_1$ , then a map  $\phi: V_1 \rightarrow \Sigma_2$  is called a local isometry if  $\exists$  an open set  $V_2 \subset \Sigma_2$  s.t.  $\phi: V_1 \rightarrow V_2$  is an isometry.

Similarly  $\Sigma_1, \Sigma_2$  are locally isometric if  $\forall p \in \Sigma_1$ ,

$\exists$  a local isometry  $\phi^1: V_1^{pp} \rightarrow \Sigma_2$  and  $\forall q \in \Sigma_2$

$\exists$  a local isometry  $\phi^2: V_2^{qq} \rightarrow \Sigma_1$ .

(iii) A surface is called flat if  $\forall p \in \Sigma \exists$  a local isometry  $\phi: V_1^{pp} \rightarrow \mathbb{R}^2$ .

Prop

Let  $\Sigma_1, \Sigma_2$  be surfaces and  $f: \Sigma_1 \rightarrow \Sigma_2$  a continuously differentiable bijective local isometry  $\forall p \in \Sigma_1$ . Then  $f$  is an isometry.

helpful  
for hw6  
2/6

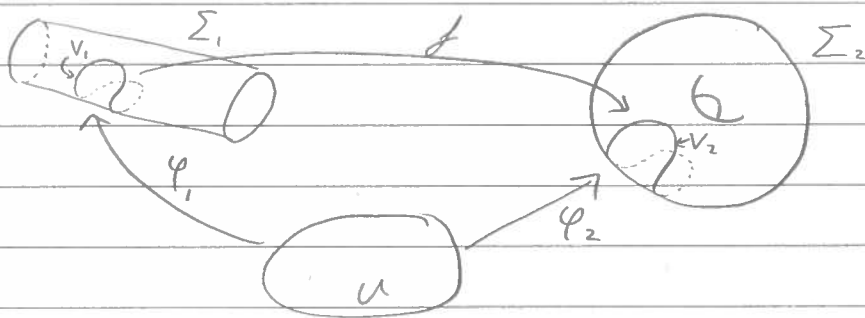
### Theorem

Let  $\varphi_j: U \rightarrow V_j \subset \Sigma_j$  be local parameterisations of surfaces  $\Sigma_1, \Sigma_2$ , and  $f := \varphi_2 \circ \varphi_1^{-1}: V_1 \rightarrow V_2$ .

Then the map  $f$  is an isometry iff

$$E_1 = E_2, F_1 = F_2, G_1 = G_2.$$

( $E_i, F_i, G_i$  from first fundamental form).



### Proof:

$$p \in V_1, X \in T_p \Sigma_1$$

Let  $\gamma$  be a curve representing  $X$ .

$$\gamma(t) = \varphi_1(u(t), v(t))$$

$$X = u'(0) \partial_{1,u} + v'(0) \partial_{1,v}$$

[ $\Leftarrow$ ]

$$\begin{aligned} Df|_p(X) &= \frac{d}{dt} \Big|_{t=0} f \circ \gamma = \frac{d}{dt} \Big|_{t=0} f \circ \varphi_1(u(t), v(t)) \\ &= \frac{d}{dt} \Big|_{t=0} \varphi_2(u(t), v(t)) = u'(0) \partial_{2,u} + v'(0) \partial_{2,v} \end{aligned}$$

$$\begin{aligned} I_{1,p}(X) &= \langle u'(0) \partial_{1,u} + v'(0) \partial_{1,v}, u'(0) \partial_{1,u} + v'(0) \partial_{1,v} \rangle \\ &= (u'(0))^2 E_1 + 2v'(0)u'(0)F_1 + (v'(0))^2 G_1 \\ &= (u'(0))^2 E_2 + 2v'(0)u'(0)F_2 + (v'(0))^2 G_2 \\ &= \langle u'(0) \partial_{2,u} + v'(0) \partial_{2,v}, u'(0) \partial_{2,u} + v'(0) \partial_{2,v} \rangle \\ &= I_{2,f(p)}(Df(X)) \end{aligned}$$

[ $\Rightarrow$ ]

Suppose  $f$  is an isometry.

Choose  $X = \partial_{1,u}$

$$E_1 = I_{1,p}(\partial_{1,u}) = I_{2,f(p)}(Df(\partial_{1,u})) = I_{2,f(p)}(\partial_{2,u}) = E_2$$

14-11-17

$G_1 = G_2$  follows identically ( $X = \partial_{u,v}$ )

$F_1 = F_2$  follows from polarisation identity

$$F_1 = \langle \partial_{uu}, \partial_{uv} \rangle = \frac{1}{2} (I_1(\partial_{uu} + \partial_{uv}) - I_1(\partial_{u,u}) - I_1(\partial_{v,v}))$$

Given  $V_1 \subset \Sigma_1$  and  $V_2 \subset \Sigma_2$  and a diffeo

$f: V_1 \rightarrow V_2$ , then if  $\varphi: U \rightarrow V_1$  is a parameterisation, then  $f \circ \varphi: U \rightarrow V_2$  is a parameterisation of  $V_2$ .

So  $f$  is an isometry  $\Leftrightarrow E_1 = E_2, F_1 = F_2, G_1 = G_2$  in these coordinates.

□

### Examples

(i) In hw we calculated  $E, F, G$  for half sphere for  $\varphi = (\text{trig. functions})$ ,  $(\theta, \alpha) \in (-\pi/2, \pi/2)^2$

$$E=1, F=0, G=\sin^2 \theta$$

This is not an isometry.

(ii) Previously we calculated the 1st fundamental form for a cylinder;  $E=1, F=0, G=1$ .

This is a local isometry

$$\varphi: \mathbb{R}^2 \rightarrow \mathbb{C}, (x, y) \mapsto (\cos x, \sin x, y)$$

(iii) Consider  $K_a = \{(r, w, z) \in \mathbb{R}^3 \mid z = ar^2\}$

$(r, w)$  are polar coordinates on  $\mathbb{R}^2$ .

Local parameterisation:

$$\varphi(r, w) = (r \cos w, r \sin w, ar)$$

on  $\mathbb{R}_{>0} \times (-\pi, \pi)$

In these coordinates, 1st fundamental form is

$$\tilde{g} = \begin{pmatrix} 1+a^2 & 0 \\ 0 & r^2 \end{pmatrix}$$

Let  $\hat{r} = \sqrt{1+a^2} r$ ,  $\hat{w} = w / \sqrt{1+a^2}$

Consider new parameterisation:

$$\hat{\varphi}(\hat{r}, \hat{w}) = \left( \frac{\hat{r}}{\sqrt{1+a^2}} \cos(\sqrt{1+a^2} \hat{w}), \frac{\hat{r}}{\sqrt{1+a^2}} \sin(\sqrt{1+a^2} \hat{w}), \frac{a \hat{r}}{\sqrt{1+a^2}} \right)$$

Calculate  $\hat{E}$ ,  $\hat{F}$ ,  $\hat{G}$  w.r.t.  $\hat{\psi}$ ,  
then  $\hat{E} = 1$ ,  $\hat{F} = 0$ ,  $\hat{G} = \hat{r}^2$ .

These are independent of  $a$  (the 'gradient' of cone)  
(away from 0).

This is an isometry.

### Remarks

- 1) We can use the above theorem to prove that the given  $f$  is an isometry.
- 2) It may be hard to find such an isometry.
- 3) To prove there is no isometry we look for quantities which "are invariant under isometries".

### Def

A vector field  $X$  of class  $C^k$  on a surface patch  $V \subset \Sigma$  is a  $k$ -times continuously differentiable function

$$X: V \rightarrow \mathbb{R}^3 \text{ st. } \forall p \in V \quad X|_p \in T_p \Sigma.$$

We denote the set of such vector fields (v.f.s) is denoted  $\mathfrak{X}^k(V)$ .

Given the parameterisation  $\psi: U \rightarrow V$  we will mostly consider  $X$  as  $X = X^u(p) \partial_u + X^v(p) \partial_v$ .

$$X \in \mathfrak{X}^k(V)$$

Given  $f \in C^l(V)$ , we may consider  $fX \in \mathfrak{X}^m(V)$  where  $(fX)(p) := f(p)X(p)$  and  $m = \min\{l, k\}$ .

We may also define  $Xf \in C^r(V)$

$$(Xf)(p) := Df|_p(X) = \left. \frac{d}{dt} \right|_{t=0} f(\gamma) \quad (\gamma'(0) = X)$$

and  $r = \min\{k, l-1\}$

14-11-17

Derivatives of v.f.s. w.r.t. v.f.s:

In  $\mathbb{R}^3$   $\checkmark$ : We may define

$$(\nabla_x^{\mathbb{R}^3} Y)(p) := DY|_p(X(p))$$

$$:= \frac{d}{dt} \Big|_{t=0} Y(\gamma(t)) \quad (\text{where } \gamma \text{ as above})$$

We want a derivative of v.f.s. on  $\Sigma$ .

Problem: If we use  $\nabla^{\mathbb{R}^3}$  then  $\nabla_x^{\mathbb{R}^3} Y|_p$  need not be in  $T_p \Sigma$ .

e.g. consider the equator circle contained in  $S^2$ .



$$\nabla_j^{\mathbb{R}^3} j = j = \pi n \notin T_p S^2$$

Def

Let  $\Sigma$  be an oriented surface,  $X, Y \in \mathfrak{X}^k(\Sigma)$ .

Then the covariant derivative of  $Y$  w.r.t.  $X$  is

the v.f.  $\nabla_X Y \in \mathfrak{X}^{k-1}(\Sigma)$  defined by

$$(\nabla_X Y)(p) = (\nabla_X^{\mathbb{R}^3} Y)(p) - \langle (\nabla_X^{\mathbb{R}^3} Y)(p), N(p) \rangle N(p)$$

$$= ((\nabla_X^{\mathbb{R}^3} Y)(p))^T \leftarrow \text{orthog. proj. to } T_p \Sigma.$$

Lemma

Let  $X, Y, X_1, X_2, Y_1, Y_2, Z \in \mathfrak{X}^k(\Sigma)$ ,  $f \in C^k(\Sigma)$ , then:

$$(i) \nabla_{X_1+X_2} Y = \nabla_{X_1} Y + \nabla_{X_2} Y$$

$$\nabla_{fX} Y = f \nabla_X Y$$

$$(ii) \nabla_X (Y_1 + Y_2) = \nabla_X Y_1 + \nabla_X Y_2$$

$$\nabla_X (fY) = X(f)Y + f \nabla_X Y$$

$$(iii) X(\langle Y, Z \rangle) = \langle \nabla_X Y, Z \rangle + \langle Y, \nabla_X Z \rangle$$



Proof

The map  $(X, Y) \mapsto \nabla_X^{\mathbb{R}^3} Y$  is linear in the first variable and "a derivative in the second".

$$\Rightarrow \text{(i) for } \nabla^{\mathbb{R}^3} \text{ and } D(Y_1 + Y_2)(X) = DY_1(X) + DY_2(X), \\ D(fY)(X) = Df(X)Y + fDY(X).$$

$\Rightarrow$  (i) follows since projection is a linear mapping.

(ii) follows " " " " " "

(iii):

$$\begin{aligned} X(\langle Y, Z \rangle) &= D(\langle Y, Z \rangle)(X) \\ &= \langle DY(X), Z \rangle + \langle Y, DZ(X) \rangle \\ &= \langle \nabla_X^{\mathbb{R}^3} Y, Z \rangle + \langle Y, \nabla_X^{\mathbb{R}^3} Z \rangle \end{aligned}$$

Recall now  $X, Y, Z \in \mathfrak{X}^k(\Sigma)$

$$\langle \nabla_X^{\mathbb{R}^3} Y + \lambda N, Z \rangle = \langle \nabla_X^{\mathbb{R}^3} Y, Z \rangle$$

$$\text{Choose } \lambda = -\langle N(p), \nabla_X^{\mathbb{R}^3} Y \rangle$$

$$X(\langle Y, Z \rangle) = \langle \nabla_X Y, Z \rangle + \langle Y, \nabla_X Z \rangle \quad \square$$

Technically:

$$\nabla: \mathfrak{X}^k(\Sigma) \times \mathfrak{X}^k(\Sigma) \rightarrow \mathfrak{X}^{k-1}(\Sigma)$$

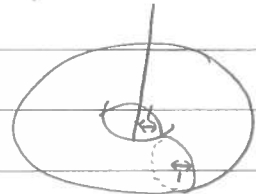
Example: The Torus

We consider the param.  $\varphi: U = (0, \pi)^2 \rightarrow V$

$$(u, v) \mapsto ((2 + \cos u) \cos v, (2 + \cos u) \sin v, \sin u)$$

$$\frac{\partial \varphi}{\partial u} = \begin{pmatrix} -\sin u \cos v \\ -\sin u \sin v \\ \cos u \end{pmatrix}$$

$$\frac{\partial \varphi}{\partial v} = (2 + \cos u) \begin{pmatrix} -\sin v \\ \cos v \\ 0 \end{pmatrix}$$



Want to calculate  $\nabla_{\partial_u} \partial_u$  etc.

$$\begin{aligned} \nabla_{\partial_u}^{\mathbb{R}^3} \partial_u &= (D\partial_u)(\partial_u) = (D\partial_u)(D\varphi(e_1)) \\ &= D(\partial_u \circ \varphi)(e_1) = \frac{\partial^2 \varphi}{\partial u^2} \end{aligned}$$

$$= \begin{pmatrix} -\cos u \cos v \\ -\cos u \sin v \\ -\sin u \end{pmatrix}$$

14-11-17

Identically:

$$\nabla_{\partial_u}^{\mathbb{R}^3} \partial_v = -\sin u \begin{pmatrix} -\sin v \\ \cos v \\ 0 \end{pmatrix}$$

$$\nabla_{\partial_v}^{\mathbb{R}^3} \partial_v = (2 + \cos u) \begin{pmatrix} -\cos v \\ -\sin v \\ 0 \end{pmatrix}$$

$$N = \frac{\partial_u \times \partial_v}{|\partial_u \times \partial_v|} = - \begin{pmatrix} \cos u \cos v \\ \cos u \sin v \\ \sin u \end{pmatrix}$$

$$\text{So } \langle \nabla_{\partial_u}^{\mathbb{R}^3} \partial_u, N \rangle = 1$$

$$\langle \nabla_{\partial_u}^{\mathbb{R}^3} \partial_v, N \rangle = 0$$

$$\langle \nabla_{\partial_v}^{\mathbb{R}^3} \partial_v, N \rangle = (2 + \cos u) \cos u$$

$$\nabla_{\partial_u} \partial_u = 0$$

$$\nabla_{\partial_v} \partial_u = \nabla_{\partial_u} \partial_v = \frac{-\sin u}{2 + \cos u} \partial_v$$

$$\nabla_{\partial_v} \partial_v = -(2 + \cos u) \begin{pmatrix} \cos v - \cos^2 u \cos v \\ \sin v - \cos^2 u \sin v \\ -\cos u \sin u \end{pmatrix}$$

$$= (2 + \cos u) \begin{pmatrix} -\cos v \sin^2 u \\ -\sin v \sin^2 u \\ \cos u \sin u \end{pmatrix} = (2 + \cos u) \sin u \partial_u$$

Def

Let  $\rho: U \rightarrow V \subset \Sigma$  be a local param. Then the Christoffel symbols <sup>(of the second kind)</sup> of  $\Sigma$  w.r.t.  $\mathcal{C}$  are the functions  $\Gamma_{ij}^k: U \rightarrow \mathbb{R}$  defined via

$$\nabla_{\partial_i} \partial_j = \sum_k \Gamma_{ij}^k \partial_k \quad \text{where } i, j, k \in \{u, v\}$$

The Christoffel symbols of the first kind are defined by

$$\Gamma_{ijk} = \langle \nabla_{\partial_i} \partial_j, \partial_k \rangle = \sum_l \Gamma_{ij}^l \langle \partial_l, \partial_k \rangle.$$

15-11-17

Recap:

$f: \Sigma_1 \rightarrow \Sigma_2$  diffeo

then  $f$  is an isometry if  $\forall p \in \Sigma_1, \forall X \in T_p \Sigma_1,$

$$I_{1,p}(X) = I_{2,f(p)}(Df(X)).$$

Suppose we have a diffeo  $f: \Sigma_1 \rightarrow \Sigma_2$ ,  $\varphi: U \rightarrow V$  is a local parameterisation of  $\Sigma_1$ , then  $f \circ \varphi$  is a parameterisation, and  $f$  is an isometry  $\Leftrightarrow E_1 = E_2, F_1 = F_2, G_1 = G_2$

where  $E_i, F_i, G_i$  are calculated w.r.t.  $\varphi_i$ ,  $\varphi_1 = \varphi, \varphi_2 = f \circ \varphi$ .

$X$  is a  $C^k$  vector field on  $\Sigma$  if  $\forall p \in \Sigma, X(p) \in T_p \Sigma$ .

$\mathfrak{X}^k(\Sigma) =$  set of all  $C^k$  vector fields.

Given  $f \in C^\infty(\Sigma), X \in \mathfrak{X}^\infty(\Sigma)$ ,  $\gamma$  a curve representing  $X$ , i.e.  $\gamma(0) = p, \gamma'(0) = X(p)$ , then

$$Xf = X(f), \quad X(f)|_p = \left. \frac{d}{dt} \right|_{t=0} f(\gamma) = Df(X)$$

$fX$  - multiplication.

$$\begin{aligned} \nabla_X^{\mathbb{R}^3} Y &= DY(X) \quad \text{v.f.s } X, Y \text{ defined on } \mathbb{R}^3 \\ &= \left. \frac{d}{dt} \right|_{t=0} Y(\gamma(t)) \quad \leftarrow \text{useful definition!!} \end{aligned}$$

Covariant Derivative:

$$\nabla_X Y = \nabla_X^{\mathbb{R}^3} Y - \langle \nabla_X^{\mathbb{R}^3} Y, N \rangle N$$

$$\begin{aligned} \nabla_{\frac{\partial}{\partial u}}^{\mathbb{R}^3} \frac{\partial}{\partial u} \Big|_{p=\varphi(u,v)} &= \left. \frac{d}{dt} \right|_{t=0} \frac{\partial}{\partial u} (\varphi(u+t, v)) \quad , \quad \frac{\partial}{\partial u} = \frac{\partial \varphi}{\partial u} \\ &= \left. \frac{d}{dt} \right|_{t=0} \frac{\partial \varphi}{\partial u} (u+t, v) = \frac{\partial^2 \varphi}{\partial u^2} \end{aligned}$$

$$\nabla_{\frac{\partial}{\partial v}}^{\mathbb{R}^3} \frac{\partial}{\partial u} = \frac{\partial^2 \varphi}{\partial u \partial v} = \nabla_{\frac{\partial}{\partial u}}^{\mathbb{R}^3} \frac{\partial}{\partial v}$$

$$\Rightarrow \forall i, j, k \quad \Gamma_{ij}^k = \Gamma_{ji}^k \quad (\text{using next definition})$$

15-11-17

Def: Christoffel symbols.

Let  $\varphi: U \rightarrow V$  be a local parameterisation.

Then the Christoffel symbols (of the second kind) are defined by  $\nabla_{\partial_i} \partial_j = \sum_{k \in \{u,v\}} \Gamma_{ij}^k \partial_k$

where from now on,  $i, j, k, l \in \{u, v\}$ .

The Christoffel symbols (of the first kind) are defined

$$\begin{aligned} \Gamma_{ij,k} &= \langle \nabla_{\partial_i} \partial_j, \partial_k \rangle = \sum_{l \in \{u,v\}} \Gamma_{ij}^l \langle \partial_l, \partial_k \rangle \\ &= \sum_{l \in \{u,v\}} \Gamma_{ij}^l \tilde{g}_{lk} \end{aligned}$$

Suppose  $Y = Y^1 \partial_1 + Y^2 \partial_2$ .

Calculate  $X = X^1 \partial_1 + X^2 \partial_2$ ,  $\nabla_X Y$ .

$$\nabla_X Y = X^1 \nabla_{\partial_1} Y + X^2 \nabla_{\partial_2} Y, \quad \nabla_X (Y^1 + Y^2) = \nabla_X Y^1 + \nabla_X Y^2,$$

$$\nabla_X f Y = X(f) Y + f \nabla_X Y$$

Calculate  $\nabla_{\partial_1} Y = \nabla_{\partial_1} (Y^1 \partial_1 + Y^2 \partial_2)$

$$\begin{aligned} &= \partial_1(Y^1) \partial_1 + Y^1 \nabla_{\partial_1} \partial_1 + \partial_1(Y^2) \partial_2 + Y^2 \nabla_{\partial_1} \partial_2 \\ &= \left[ \frac{\partial Y^1}{\partial u^1} + Y^1 \Gamma_{11}^1 + Y^2 \Gamma_{12}^1 \right] \partial_1 \end{aligned}$$

$$+ \left[ \frac{\partial Y^2}{\partial u^1} + Y^1 \Gamma_{11}^2 + Y^2 \Gamma_{12}^2 \right] \partial_2$$

Recall: (Torus example)

$$\nabla_{\partial_u} \partial_u = 0 \quad \textcircled{1}, \quad \nabla_{\partial_u} \partial_v = \frac{-\sin u}{2 + \cos u} \partial_v, \quad \nabla_{\partial_v} \partial_v = (2 + \cos u) \sin u \partial_u \quad \textcircled{3}$$

$$\textcircled{1} \Rightarrow \Gamma_{uu}^u = 0 = \Gamma_{uu}^v$$

$$\textcircled{2} \Rightarrow \Gamma_{uv}^u = 0, \quad \Gamma_{uv}^v = \frac{-\sin u}{2 + \cos u}$$

$$\textcircled{3} \Rightarrow \Gamma_{vv}^u = (2 + \cos u) \sin u, \quad \Gamma_{vv}^v = 0$$

Prop

Let  $i, j, k$  denote either  $u$  or  $v$ ,  $\Gamma_{ij,k}$  denote Christoffel symbols of the first kind. Then if  $\tilde{g}_{ij}$  is the matrix associated to 1st fundamental form w.r.t. some local param. then

$$\Gamma_{ijk} = \frac{1}{2} \left( \frac{\partial \tilde{g}_{ik}}{\partial u^j} + \frac{\partial \tilde{g}_{jk}}{\partial u^i} - \frac{\partial \tilde{g}_{ij}}{\partial u^k} \right)$$

$$X(\langle Y, Z \rangle) = \langle \nabla_X Y, Z \rangle + \langle Y, \nabla_X Z \rangle \quad (*)$$

Proof

Let  $X = \partial_i$ ,  $Y = \partial_j$ ,  $Z = \partial_k$

$$(*) \Rightarrow \frac{\partial}{\partial u^i} \tilde{g}_{jk} = \partial_i (\langle \partial_j, \partial_k \rangle) = \langle \nabla_{\partial_i} \partial_j, \partial_k \rangle + \langle \partial_j, \nabla_{\partial_i} \partial_k \rangle \\ = \Gamma_{ij,k} + \Gamma_{ik,j}$$

$$\Rightarrow \frac{\partial}{\partial u^i} \tilde{g}_{jk} + \frac{\partial}{\partial u^i} \tilde{g}_{ik} - \frac{\partial}{\partial u^k} \tilde{g}_{ij} = (\Gamma_{ij,k} + \cancel{\Gamma_{ik,j}}) + (\Gamma_{ij,k} + \cancel{\Gamma_{jk,i}}) \\ - (\cancel{\Gamma_{kj,i}} + \cancel{\Gamma_{ik,j}})$$

$$= 2\Gamma_{ij,k} \quad \square$$

21-11-17

$$\nabla_x^{\mathbb{R}^3} Y|_p = \frac{d}{dt} \Big|_{t=0} Y(\gamma(t)) \quad \gamma \text{ a curve, } \gamma(0)=p, \gamma'(0)=X$$

$$X, Y \in \mathfrak{X}^k(\Sigma)$$

$$\nabla_x^{\mathbb{R}^3} Y|_p \notin T_p \Sigma$$

$$\nabla_x Y = \nabla_x^{\mathbb{R}^3} Y - \langle \nabla_x^{\mathbb{R}^3} Y, N \rangle N$$

Christoffel Symbols:

$$\nabla_{\partial_i} \partial_j = \sum_k \Gamma_{ij}^k \partial_k \quad (2nd \text{ kind})$$

$$\langle \nabla_{\partial_i} \partial_j, \partial_k \rangle = \Gamma_{ij,k} \quad (1st \text{ kind})$$

$$= \sum_{l=1}^2 \langle \Gamma_{ij}^l \partial_l, \partial_k \rangle = \sum_{l=1}^2 \Gamma_{ij}^l \tilde{g}_{lk} \quad \text{since } \tilde{g}_{lk} = \langle \partial_l, \partial_k \rangle$$

We may write any  $\nabla_x Y$  in terms of these symbols.

e.g.  $X = \partial_u, Y = Y^u \partial_u + Y^v \partial_v$

$$\begin{aligned} \nabla_{\partial_u} (Y^u \partial_u + Y^v \partial_v) &= \partial_u (Y^u) \partial_u + Y^u \nabla_{\partial_u} \partial_u + \partial_u (Y^v) \partial_v + Y^v \nabla_{\partial_u} \partial_v \\ &= \frac{\partial Y^u}{\partial u} \partial_u + \frac{\partial Y^v}{\partial u} \partial_v + \sum_k [\Gamma_{uu}^k + \Gamma_{uv}^k] \partial_k \end{aligned}$$

Lemma

$$\Gamma_{ij,k} = \frac{1}{2} \left( \frac{\partial}{\partial_j} \tilde{g}_{ik} + \frac{\partial}{\partial_i} \tilde{g}_{jk} - \frac{\partial}{\partial_k} \tilde{g}_{ij} \right)$$

"C.S. of 1st kind depend only on 1st fundamental form."

Lemma

"C.S. of 2nd kind depend only on 1st fundamental form"

$$\Gamma_{ij}^k = \sum_{l=1}^2 (\tilde{g}^{-1})^{kl} \Gamma_{ij,l} = \frac{1}{\det \tilde{g}} (\Gamma_{ij,k} \tilde{g}^{\bar{k}k} - \Gamma_{ij,\bar{k}} \tilde{g}^{k\bar{k}})$$

where  $\bar{k} \neq k$  i.e.  $k=u \Rightarrow \bar{k}=v$   
 $k=v \Rightarrow \bar{k}=u$



Proof

$$\begin{aligned}\text{Consider } \sum_{l=1}^2 \Gamma_{ijl} (\tilde{g}^{-1})^{lk} &= \sum_{m=1}^2 \Gamma_{ijm} \tilde{g}_{mk} (\tilde{g}^{-1})^{lk} \\ &= \sum_{m=1}^2 \Gamma_{ijm} \underbrace{\sum_{l=1}^2 \tilde{g}_{mk} (\tilde{g}^{-1})^{lk}}_{= (\tilde{g} \circ \tilde{g}^{-1})^k_m = (\text{Id})^k_m = \delta_m^k} \\ &= \sum_{m=1}^2 \Gamma_{ij}^m \delta_m^k = \Gamma_{ij}^k\end{aligned}$$

(See notes for second part).  $\square$

Corollary

The covariant derivative is invariant under isometries.

That is, if  $f: \Sigma \rightarrow \hat{\Sigma}$  is an isometry and  $\Gamma_{ij}^k, \hat{\Gamma}_{ij}^k$  are Christoffel symbols of  $\Sigma, \hat{\Sigma}$  resp. w.r.t. parameterisations  $\varphi, f \circ \varphi$ , then  $\Gamma_{ij}^k(p) = \hat{\Gamma}_{ij}^k(f(p))$ .

Remark

Given such an isometry  $f: \Sigma \rightarrow \hat{\Sigma}$  we transform  $X \in T_p \Sigma$  to a vector in  $T_{f(p)} \hat{\Sigma}$  by  $f_* X|_{f(p)} := Df(X)|_p \in T_{f(p)} \hat{\Sigma}$ .  $f_*$  is called the "push forward".

$$\text{Corollary above } \Leftrightarrow f_*(\nabla_X Y) = \hat{\nabla}_{f_* X} f_* Y$$

We can also define the "pull back":

Suppose we have a function  $\Omega: \hat{\Sigma} \rightarrow \mathbb{R}$  then we define  $f^* \Omega: \Sigma \rightarrow \mathbb{R}$  by  $f^* \Omega(p) := \Omega(f(p))$ . We will say a function, e.g. Gauss curvature, is preserved under an isometry,  $f$ , if  $f^*(\hat{K}) = K$

$\begin{array}{ccc} & \uparrow & \uparrow \\ & \text{Gauss} & \text{Gauss} \\ & \text{in } \hat{\Sigma} & \text{in } \Sigma \end{array}$

21-11-17

Theorema Egregium - coming soon!

"From now on, everything is at least 3 times differentiable"

LemmaLet  $\varphi$  be a local parameterisation of an oriented surface with Gauss map  $N$ . Then if  $X \in \mathfrak{X}^k(\Sigma)$  then

$$(i) \quad \frac{\partial^2 \varphi}{\partial u^i \partial u^j} = \nabla_{\partial_j} \partial_i + A_{ij} N \quad \leftarrow \text{Weingarten formula}$$

$$= \begin{pmatrix} e & f \\ f & g \end{pmatrix}$$

$$(ii) \quad \nabla_{\partial_i}^{\mathbb{R}^3} X = \nabla_{\partial_i} X + \langle W(\partial_i), X \rangle N$$

Proof

$$(ii) \text{ By def: } \nabla_{\partial_i}^{\mathbb{R}^3} X = \nabla_{\partial_i} X + \langle \nabla_{\partial_i}^{\mathbb{R}^3} X, N \rangle N$$

$$\text{But } \langle X, N \rangle = 0$$

$$\Rightarrow 0 = \partial_i \langle X, N \rangle = \langle \nabla_{\partial_i}^{\mathbb{R}^3} X, N \rangle + \langle X, \underbrace{\nabla_{\partial_i}^{\mathbb{R}^3} N}_{DN(\partial_i)} \rangle$$

$$= \langle \nabla_{\partial_i}^{\mathbb{R}^3} X, N \rangle - \langle W(\partial_i), X \rangle$$

$$\Rightarrow (ii)$$

(i) write  $X = \partial_j$ 

□

$$\frac{\partial}{\partial u^i} \left( \frac{\partial^2 \varphi}{\partial u^j \partial u^k} \right) = \nabla_{\partial_i}^{\mathbb{R}^3} \frac{\partial^2 \varphi}{\partial u^j \partial u^k}$$

$$= \nabla_{\partial_i}^{\mathbb{R}^3} (\nabla_{\partial_j} \partial_k + A_{jk} N)$$

$$= \nabla_{\partial_i} \nabla_{\partial_j} \partial_k + \langle W(\partial_i), \nabla_{\partial_j} \partial_k \rangle N - A_{jk} W(\partial_i) + ? N$$

$$0 = \frac{\partial^3 \varphi}{\partial u^i \partial u^j \partial u^k} - \frac{\partial^3 \varphi}{\partial u^j \partial u^i \partial u^k}$$

$$= \nabla_{\partial_i} \nabla_{\partial_j} \partial_k - \nabla_{\partial_j} \nabla_{\partial_i} \partial_k - A_{jk} W(\partial_i) + A_{ik} W(\partial_j) + ? N$$

$$\Rightarrow \nabla_{\partial_i} \nabla_{\partial_j} \partial_k - \nabla_{\partial_j} \nabla_{\partial_i} \partial_k = A_{jk} W(\partial_i) - A_{ik} W(\partial_j)$$

(tangential part)

Def

Riemann curvature tensor

Let  $i, j, k, l \in \{u, v\}$  as before, then define

$$R_{kl ij} := \langle \nabla_{\partial_i} \nabla_{\partial_j} \partial_k - \nabla_{\partial_j} \nabla_{\partial_i} \partial_k, \partial_l \rangle$$

Gauss Equation

$$R_{kl ij} = A_{jl} A_{ik} - A_{il} A_{jk}$$

$$\begin{aligned} R_{uvuv} &= A_{vv} A_{uu} - A_{uv} A_{vu} \\ &= g^e - f^2 = K \det \tilde{g} (= \det A) \end{aligned}$$

Theorema Egregium

Gauss curvature ( $K$ ) is invariant under isometries, i.e. it is an intrinsic quantity.

Proof

$$K = \frac{R_{uvuv}}{\det \tilde{g}}$$

If the Riemann curvature tensor is intrinsic, then so is the Gauss curvature, but Riemann curvature tensor is defined only in terms of 1st fundamental forms and covariant derivative, which are intrinsic.  $\square$

Example

You cannot (isometrically) flatten a sphere.

$$\varphi := r(\cos\theta \cos\varphi, \sin\theta \cos\varphi, \sin\varphi)$$

$$\tilde{g} = \begin{pmatrix} r^2 & 0 \\ 0 & r^2 \cos^2\theta \end{pmatrix} \quad A = r \begin{pmatrix} 1 & 0 \\ 0 & \cos^2\theta \end{pmatrix}$$

$$K = \frac{\det A}{\det \tilde{g}} = \frac{1}{r^2} \neq 0$$

Since the Gauss curvature on an open subset is not equal

21-11-17

to zero, then due to the Theorema Egregium, there can be no isometry to a subset of  $\mathbb{R}^2$ .

Non  
Examinable

The normal part of the commutation of the derivatives earlier gives the Codazzi-Mainardi eqns, namely

$$\sum_k (\Gamma_{jk}^k A_{ik} - \Gamma_{ik}^k A_{jk}) - \frac{\partial}{\partial u^i} A_{jt} - \frac{\partial}{\partial u^j} A_{it} = 0$$

### Theorem (Bonnet)

Let  $U \subset \mathbb{R}^2$  be open and suppose  $\tilde{g}, A: U \rightarrow \mathbb{R}^{2 \times 2}$  are continuously differentiable st.

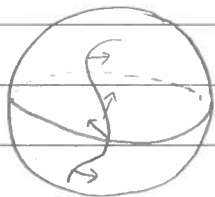
- (i)  $\forall q \in U$ ,  $\tilde{g}, A$  are symmetric
- (ii)  $\forall q \in U$ ,  $\tilde{g}$  is positive definite
- (iii)  $\tilde{g}, A$  satisfy the Gauss equation and Codazzi-Mainardi equations.

Then  $\forall q \in U \exists$  an open neighbourhood  $\tilde{U} \ni q$  and a parameterisation  $\Psi: \tilde{U} \rightarrow \Psi(\tilde{U}) \subset \mathbb{R}^3$  st.  $\Sigma = \text{union of images of the } \tilde{U}'s \subset \mathbb{R}^3$  is a manifold with 1st and 2nd fundamental forms given by  $\tilde{g}$  and  $A$  respectively.

Moreover if  $U$  is connected and  $\tilde{\Psi}$  is another such parameterisation, then  $\Psi$  and  $\tilde{\Psi}$  differ only by a Euclidean Isometry.

22-11-17

## Curves on Surfaces - Chapter 6



Def

Let  $\Sigma$  be a surface,  $\gamma: I \rightarrow \Sigma$  a regular parameterized curve.

A vector field along  $\gamma$  (of class  $C^k$ ) is a ( $k$ -times differentiable) map  $X: I \rightarrow \mathbb{R}^3$  s.t.  $X(t) \in T_{\gamma(t)} \Sigma \quad \forall t \in I$ . We may write  $X \in \mathcal{X}^k(\gamma)$ .

We may define

$$\frac{dX}{dt} = \nabla_{\gamma'(t)}^{\mathbb{R}^3} X \Big|_{\gamma(t)} = \frac{d}{dr} \Big|_{t=r} X(\gamma(r))$$

Want: derivative also in  $\mathcal{X}^{k-1}(\gamma)$ .

So we define

$$\frac{\nabla}{dt} X = \nabla_{\gamma'}^{\mathbb{R}^3} X - \langle \nabla_{\gamma'}^{\mathbb{R}^3} X, N \rangle N$$

$$(\nabla_{\gamma'} X) \leftarrow \text{if } X \text{ existed outside of } \gamma.$$

If there is an extension of  $\gamma'$  to a v.f.  $\tilde{\gamma}$  near  $\gamma$  and extension of  $X$  to  $\tilde{X}$  in a neighborhood of  $\gamma$  then

$$\frac{\nabla}{dt} X = \nabla_{\tilde{\gamma}} \tilde{X} \Big|_{\gamma(t)}$$

Def (Parallel vector fields)

Let  $\gamma$  be a curve on a surface  $\Sigma$ ,

(i) the map  $\frac{\nabla}{dt}: \mathcal{X}^k(\gamma) \rightarrow \mathcal{X}^{k-1}(\gamma)$  is called the covariant derivative along  $\gamma$ .

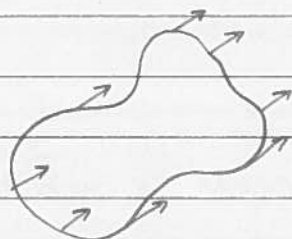
(ii) if  $\frac{\nabla}{dt} X = 0 \quad \forall t \in I$ , then  $X$  is said to be parallel along  $\gamma$ .

22-11-17

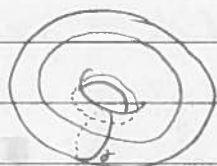
Examples

(i)  $\mathbb{R}^2$ : For any <sup>regular</sup> curve  $\gamma$  in  $\mathbb{R}^2$  we have that  $\frac{\nabla}{dt} X(t) = \frac{dX^1}{dt} e_1 + \frac{dX^2}{dt} e_2$  where  $X = X^1 e_1 + X^2 e_2$

$X$  parallel  $\Leftrightarrow \frac{dX^1}{dt} \equiv 0 \equiv \frac{dX^2}{dt}$ ,  $X$  is a constant vector field.



(ii)



$\varphi: (0, 2\pi)^2 \rightarrow V$

$(u, v) \mapsto ((2 + \cos u) \cos v, (2 + \cos u) \sin v, \sin u)$

$\partial_u = \begin{pmatrix} -\sin u \cos v \\ -\sin u \sin v \\ \cos u \end{pmatrix}, \partial_v = \begin{pmatrix} -(2 + \cos u) \sin v \\ (2 + \cos u) \cos v \\ 0 \end{pmatrix}$

$\nabla_{\partial_u} \partial_u = 0$

$\nabla_{\partial_u} \partial_v = \frac{-\sin u}{2 + \cos u} \partial_v$

$\nabla_{\partial_v} \partial_v = (2 + \cos u) \sin u \partial_u$

for some fixed  $r \in (0, 2\pi)$

Consider curves  $\gamma(t) = \varphi(t, \pi), \tilde{\gamma}(t) = \varphi(r, t)$

Q: Is  $\gamma'$  parallel along  $\gamma$ ?

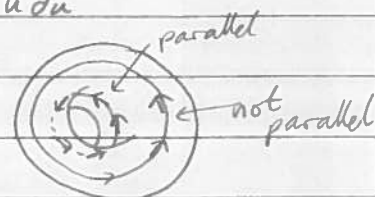
Is  $\tilde{\gamma}'$  parallel along  $\tilde{\gamma}$ ?

$\frac{\nabla}{dt} \gamma' = \nabla_{\gamma'} \gamma' = \nabla_{\partial_u} \partial_u \Big|_{\gamma(t)} = 0 \Rightarrow \gamma'$  is parallel along  $\gamma$ .



$\frac{\nabla}{dt} \tilde{\gamma}' = \nabla_{\tilde{\gamma}'} \tilde{\gamma}' = \nabla_{\partial_v} \partial_v \Big|_{\tilde{\gamma}(t)} = (2 + \cos u) \sin u \partial_u$

$= 0 \Leftrightarrow u = \pi$





### Two properties

$$X \in \mathbb{X}^k(\gamma), f \in C^\infty(\Sigma)$$

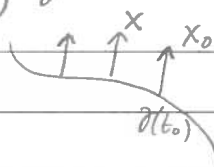
$$(i) \frac{d}{dt} fX = \frac{d}{dt} (f(\gamma))X + f \frac{\nabla}{dt} X$$

$$(ii) \frac{d}{dt} \langle X, Y \rangle = \left\langle \frac{\nabla}{dt} X, Y \right\rangle + \left\langle X, \frac{\nabla}{dt} Y \right\rangle$$

### Proposition

Let  $\gamma: I \rightarrow \Sigma$  be a parameterised curve in surface  $\Sigma$ ,  
let  $t_0 \in I, X_0 \in T_{\gamma(t_0)} \Sigma$ .

Then  $\exists$  a unique v.f.  $X$  along  $\gamma$  which is parallel  
and satisfies  $X(t_0) = X_0$ .



### Proof

local param.  $\varphi: U \rightarrow V, \gamma \subset V$

First assume that  $\gamma$  is in a single coordinate patch.

In local coordinates  $\gamma = \varphi(u(t), v(t)) \leftarrow$  local parameterisation.

Write a vector field along  $\gamma$  as  $X = X^u(t) \partial_u + X^v(t) \partial_v$ ,

$\gamma'(t) = u'(t) \partial_u + v'(t) \partial_v$ . Write  $u'(t) = \gamma^u(t), v'(t) = \gamma^v(t)$ .

$$\text{Then } \frac{\nabla}{dt} \partial_j = \nabla_{\gamma'} \partial_j = \sum_{i=1}^2 \gamma^i \nabla_{\partial_i} \partial_j$$

$$= \sum_{\substack{i=1 \\ k=1}}^2 \gamma^i \Gamma_{ij}^k \partial_k$$

We now may calculate  $\frac{\nabla}{dt} X$

$$\frac{\nabla}{dt} X = \sum_{j=1}^2 \nabla_{\gamma'} (X^j \partial_j) = \sum_{j=1}^2 [ (X^j)' \partial_j + X^j \nabla_{\gamma'} \partial_j ]$$

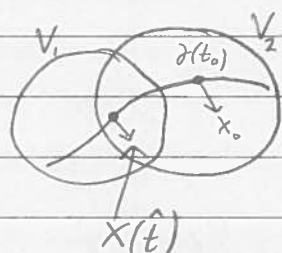
$$= \sum_{j=1}^2 [ (X^k)' + \sum_{i,j=1}^2 \gamma^i (X^j)' \Gamma_{ij}^k ] \partial_k$$

So  $X$  is parallel  $\Leftrightarrow (X^k)'(t) + \sum_{i,j=1}^2 \gamma^i (X^j)' \Gamma_{ij}^k = 0^{(*)}, k=u,v$ .

This is a system of linear 1st order ODEs, so by

22-11-17

Picard-Lindlöf theorem, given  $X_1, X_2$  at  $t_0 \in I$ !  
 solution to (\*)  $\forall t \in I$ .



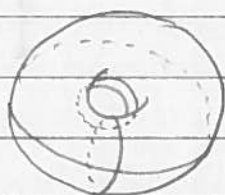
$$\gamma(\tilde{t}) \in V_1 \cap V_2$$

$$\varphi_i: U_i \rightarrow V_i$$

By uniqueness, and patching our vector field  $X$ , we have existence of a unique vector field along all of  $\gamma$ , even if it crosses to other coordinate patches.  $\square$

Def

Let  $\gamma: I \rightarrow \Sigma$  be a regular parameterised curve,  $\gamma$  is a geodesic if  $\gamma'$  is parallel along  $\gamma$ , i.e.  $\frac{\nabla}{dt} \gamma' = 0 \forall t \in I$ .



Geodesics on  $T^2$



not a geodesic!

28-11-17

Last time:

$$\frac{\nabla}{dt} X \quad X \text{ is a v.f. along } \gamma$$

$$\frac{\nabla}{dt} X \Big|_t = \left( \nabla_{\gamma'}^{\mathbb{R}^3} (X) \right)^T \leftarrow \text{tangent}$$

$$= \left( \frac{d}{dr} \Big|_{r=0} X(\gamma(t+r)) \right)^T$$

$$= \nabla_{\gamma'}^{\mathbb{R}^3} X - \langle \nabla_{\gamma'}^{\mathbb{R}^3} X, N \rangle N$$


$X$  is parallel along  $\gamma$  if  $\frac{\nabla}{dt} X = 0$

Def

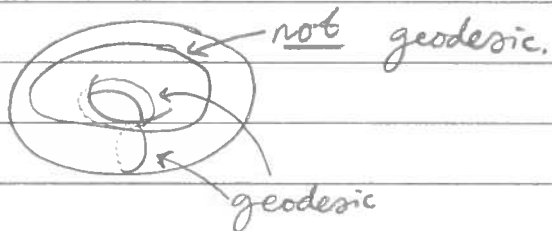
$\gamma$  is a geodesic iff  $\gamma'$  is parallel along  $\gamma$ ,  
iff  $\frac{\nabla}{dt} \gamma' = 0$ .

Examples

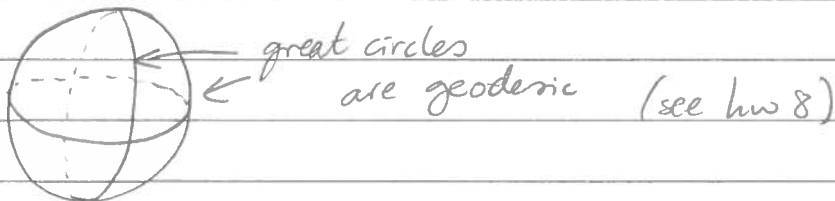
$\mathbb{R}^2$

 ← straight lines parameterised  
at constant speed.

$T^2$



$S^2$



Fact

If  $\gamma$  is a geodesic,  $|\gamma'|^2 = \text{const}$

Proof

$$\frac{d}{dt} |\gamma'|^2 = 2 \left\langle \frac{\nabla}{dt} \gamma', \gamma' \right\rangle \stackrel{=0}{=} 0 \quad \square$$

Fact

"Geodesics are invariant under isometries"

Why? Christoffel symbols are invariant under isometries  
+ eqn below...

(Suppose we have  $f: \Sigma_1 \rightarrow \Sigma_2$  an isometry,  
 $\gamma_1$  is a geodesic in  $\Sigma_1$ , then  $f \circ \gamma_1 = \gamma_2$  is a  
geodesic in  $\Sigma_2$ )

28-11-17

Prop (Local existence and uniqueness of geodesics).  
 Let  $\Sigma$  be a <sup>smooth</sup> surface,  $p \in \Sigma$ ,  $X \in T_p \Sigma$ , then  
 $\exists \varepsilon > 0$  and a <sup>smooth</sup> geodesic  $\gamma: (-\varepsilon, \varepsilon) \rightarrow \Sigma$  s.t.  
 $\gamma(0) = p$ ,  $\gamma'(0) = X$ .

Proof

Take  $\varphi: U \rightarrow V \subset \Sigma$  s.t.  $p \in V$ .

Suppose  $\gamma(t) = \varphi(\tilde{\gamma}(t)) = \varphi(\tilde{\gamma}_1(t)e_1 + \tilde{\gamma}_2(t)e_2)$

Recall if  $X = X^1 \partial_1 + X^2 \partial_2$  then

$$\frac{\nabla}{dt} X = \sum_{i=1}^2 \left( \frac{d}{dt} X^i + \sum_{k,j=1}^2 \frac{\partial \tilde{\gamma}^k}{\partial t} X^j \Gamma_{jk}^i \right) \partial_i$$

Applying to  $\gamma' = \frac{d\tilde{\gamma}_1}{dt} \partial_1 + \frac{d\tilde{\gamma}_2}{dt} \partial_2$

$$\frac{\nabla}{dt} \gamma' = 0, \quad \gamma'(0) = X, \quad \gamma(0) = p \iff \begin{aligned} &\iff \tilde{\gamma}(0) = \varphi^{-1}(p) \iff \frac{d\tilde{\gamma}_i}{dt}(0) = X^i \\ &\frac{d^2 \tilde{\gamma}_i}{dt^2} + \sum_{j,k=1}^2 \frac{\partial \tilde{\gamma}_i}{\partial t} \frac{d\tilde{\gamma}_k}{dt} \Gamma_{jk}^i = 0 \quad \text{for } i=1,2. \end{aligned}$$

non-linear

This is a 2nd order system of ODEs, so we may apply Picard-Lindlöf (Thm I.19) to obtain the existence of a unique solution on the above 2nd order ODE for some  $\varepsilon > 0$ .  $\square$

Example

Geodesics on cylinders.

$C$  - cylinder

$V \subset C$  parameterised by  $\varphi(\theta, z) = (\cos \theta, \sin \theta, z)$

$\varphi: \underbrace{(-\pi, \pi)}_U \times \mathbb{R} \rightarrow \mathbb{R}^3$  ( $\varphi$  is an isometry)

$V = \varphi(U)$

$p = (1, 0, 0) = \varphi(0, 0)$

$T_p \Sigma = \text{span} \left\{ \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} \right\}$   
 $\quad \quad \quad \parallel \quad \parallel$   
 $\quad \quad \quad \partial_\theta \quad \partial_z$

Pick  $V = V^0 \partial_\theta + V^z \partial_z$

$$\gamma(t) = \varphi(V^0 t, V^z t) \subset C$$

$$\gamma'(t) = V^0 \partial_\theta + V^z \partial_z = (V^0(-\sin(V^0 t)), V^0 \cos(V^0 t), V^z)$$

$$\nabla_{\gamma'}^{\mathbb{R}^3} \gamma' = \gamma''(t) = -\underbrace{(V^0)^2 (\cos(V^0 t), \sin(V^0 t), 0)}_{\ddot{N}}$$

$$= -(V^0)^2 N$$

$$\Rightarrow \frac{\nabla}{dt} \gamma' = 0$$

Prop

Writing  $\gamma = \varphi(u(t), v(t))$  and writing

$$E = E(u(t), v(t)), F = F(u(t), v(t)), G = G(u(t), v(t))$$

then the geodesic equations are equivalent to

$$\frac{d}{dt} (u'E + v'F) = \frac{1}{2} \left( (u')^2 \frac{\partial E}{\partial u} + 2u'v' \frac{\partial F}{\partial u} + (v')^2 \frac{\partial G}{\partial u} \right)$$

$$\frac{d}{dt} (u'F + v'G) = \frac{1}{2} \left( (u')^2 \frac{\partial E}{\partial v} + 2u'v' \frac{\partial F}{\partial v} + (v')^2 \frac{\partial G}{\partial v} \right)$$

### Geodesics and shortest connections

Given two points  $p, q \in \Sigma$  write  $\gamma: p \rightsquigarrow q$  if  $\gamma$  is a curve in  $\Sigma$  starting at  $p$  and ending at  $q$ .

Suppose  $\Sigma$  is path-connected, then we may define the distance from  $p$  to  $q$  by

$$\text{dist}(p, q) := \inf \{ l(\gamma) \mid \gamma: p \rightsquigarrow q \}$$

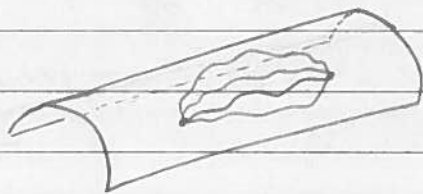
Exercise:

$\Sigma$  equipped with this metric is a metric space.

Want to study how length in a family of curves changes.

28-11-17

Suppose  $\gamma$  is parameterised by arc length,  $\gamma: p \rightarrow q$  <sup>of length  $L$</sup>   
 then define a variation of  $\gamma$  to be a mapping ( $C^2$ )  
 $h: [0, L] \times (-\epsilon, \epsilon) \rightarrow \Sigma$  s.t.  $h(s, 0) = \gamma(s)$   
 $h(0, t) = p$ ,  $h(L, t) = q$ .



We define the length of the variation  $L(t): (-\epsilon, \epsilon) \rightarrow \mathbb{R}$

$$L(t) = \int_0^L \left| \frac{\partial h}{\partial s}(s, t) \right| ds$$

$$= \int_0^L |\gamma'_t(s)| ds$$

where  $\gamma_t(s) = h(s, t)$ .

Prop (First Variation of arc length)

The function  $L: (-\epsilon, \epsilon) \rightarrow \mathbb{R}$  is continuously differentiable <sup>for  $\epsilon$  small enough</sup> and at  $t=0$  its derivative is given by

$$L'(0) = - \int_0^L \left\langle \nabla_{\frac{d}{ds}} \gamma'(s), \frac{d}{dt} \Big|_{t=0} \gamma_t(s) \right\rangle ds$$

"variation of arc length"

Proof

Since  $|\gamma'(s)| = 1$  then for  $\epsilon$  small enough  $\gamma_t$  is a regular curve.

We observe that since  $\frac{\partial^2 h}{\partial s \partial t} = \frac{\partial^2 h}{\partial t \partial s}$  we have that

$$\nabla_{\frac{\partial h}{\partial s}} \frac{\partial h}{\partial t} = \nabla_{\frac{\partial h}{\partial t}} \frac{\partial h}{\partial s} \quad \text{where we consider } \frac{\partial h}{\partial s}, \frac{\partial h}{\partial t} \text{ as}$$

vector fields along  $\gamma_t$ . So now

$$L'(t) = \frac{1}{2} \int_0^L \left| \frac{\partial h}{\partial s}(s, t) \right|^{-1} \frac{d}{dt} \left\langle \frac{\partial h}{\partial s}, \frac{\partial h}{\partial s} \right\rangle ds$$

$$= \frac{1}{2} \int_0^L \left| \frac{\partial h}{\partial s} \right|^{-1}(s, t) \left\langle \nabla_{\frac{\partial h}{\partial t}} \frac{\partial h}{\partial s}, \frac{\partial h}{\partial s} \right\rangle ds$$

$$= \frac{1}{2} \int_0^L \left| \frac{\partial h}{\partial s} \right|^{-1}(s, t) \left\langle \nabla_{\frac{\partial h}{\partial s}} \frac{\partial h}{\partial t}, \frac{\partial h}{\partial s} \right\rangle ds$$



We now evaluate at  $t=0$ :

$$\begin{aligned} L'(0) &= \int_0^L - \left\langle \frac{\partial h}{\partial t}, \frac{\nabla}{\partial s} \frac{\partial h}{\partial s} \right\rangle + \frac{\partial}{\partial s} \left\langle \frac{\partial h}{\partial t}, \frac{\partial h}{\partial s} \right\rangle ds \\ &= - \int_0^L \left\langle \frac{\partial h}{\partial t}, \frac{\nabla}{\partial s} \frac{\partial h}{\partial s} \right\rangle ds + \left\langle \frac{\partial h}{\partial t}, \frac{\partial h}{\partial s} \right\rangle \Big|_{s=0}^{s=L} \\ &= - \int_0^L \left\langle \frac{\partial}{\partial t} \Big|_{t=0} \gamma_+(s), \frac{\nabla}{\partial s} \gamma' \right\rangle ds \quad \text{as required.} \quad \square \end{aligned}$$

Suppose  $\gamma$  minimises the length between  $p$  and  $q$ .

Then  $L'(0) = 0$  for any variation.

e.g. we could pick a variation st.

$$\frac{d}{dt} \Big|_{t=0} \gamma_+(s) = \frac{\nabla}{\partial s} \gamma' \quad (\text{some details required})$$

$$\text{But now } L'(0) = - \int_0^L \left| \frac{\nabla}{\partial s} \gamma' \right|^2 ds = 0$$

$$\Leftrightarrow \frac{\nabla}{\partial s} \gamma' \equiv 0 \quad \Rightarrow \gamma \text{ is a geodesic.}$$

non-examinable

Similarly if we had a minimiser of area (i.e. fix the manifold outside  $V \subset \Sigma$  and vary as in hw 7)

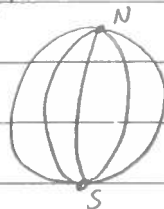
$$\frac{d}{dt} \text{Area}(\Sigma_t) = -2 \int aH ds$$

### Theorem

Let  $\Sigma$  be a complete path connected surface then

(i) if  $p, q \in \Sigma$  and  $\gamma: p \rightsquigarrow q$  and satisfies  $L(\gamma) = \text{dist}(p, q)$  then  $\gamma$  is a geodesic

(ii) furthermore,  $\forall p, q \in \Sigma \exists$  a minimising geodesic  $\gamma: p \rightsquigarrow q$  st.  $L(\gamma) = \text{dist}(p, q)$ .



← shows non-uniqueness  
( $p=N, q=S$ )

28-11-17

Geodesic and Normal Curvature

Suppose  $\gamma: I \rightarrow \Sigma$  is a curve parameterized by arc length.

From now on,  $\Sigma$  will be orientable.

Def (Normal curvature)

Let  $\Sigma$  be an orientable surface,  $\gamma$  regular, parameterized by unit speed. Then

$$\kappa_n(s) = \langle \gamma''(s), N(\gamma(s)) \rangle.$$

Proof

We have that the normal curvature satisfies

$$\kappa_n(s) = \langle \dot{\gamma}'(s), N(\gamma(s)) \rangle = \mathbb{I}_{\gamma(s)}(\dot{\gamma})$$

Proof

$$N(\gamma(s)) \perp \dot{\gamma}(s) \quad \forall s.$$

$$0 = \frac{d}{ds} \langle N(\gamma(s)), \dot{\gamma}(s) \rangle$$

$$= \langle N(\gamma(s)), \ddot{\gamma}(s) \rangle + \langle \dot{\gamma}(s), DN(\dot{\gamma}(s)) \rangle$$

$$= \langle N(\gamma(s)), \ddot{\gamma}(s) \rangle - \langle \dot{\gamma}(s), \omega(\dot{\gamma}(s)) \rangle$$

$$\Leftrightarrow \langle N(\gamma(s)), \ddot{\gamma}(s) \rangle = \mathbb{I}_{\gamma(s)}(\dot{\gamma}) \quad \square$$

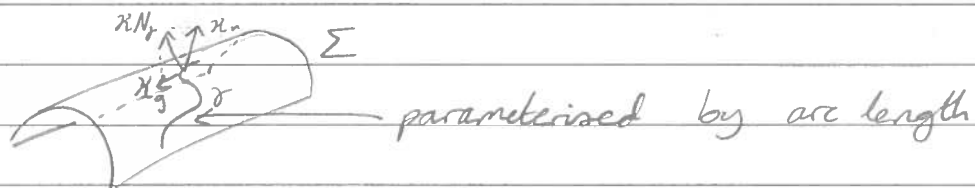
Def (Geodesic curvature)

The function  $\kappa_g(s) := \left\langle \frac{\nabla}{ds} \dot{\gamma}(s), N(\gamma(s)) \times \dot{\gamma}(s) \right\rangle$

and of course

$$\kappa^2(s) = \kappa_g^2(s) + \kappa_n^2(s).$$

29-11-17



$$\kappa_n = \langle N, \ddot{\gamma} \rangle$$

$$\kappa_g = \langle \ddot{\gamma}, N \times \dot{\gamma} \rangle$$

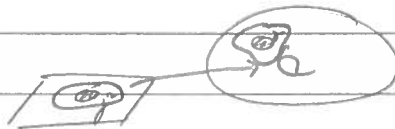
### Gauss - Bonnet Theorem

#### Local Gauss Bonnet

← Contained in an open set  $V$  st.  $\exists$  param.  $\varphi: U \rightarrow V$

#### Def (local closed curves)

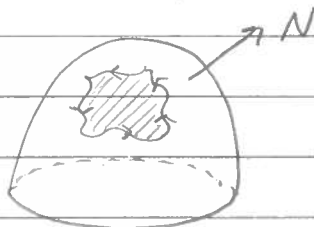
- (i) Parameterised curve  $\gamma: [a, b] \rightarrow \Sigma$  is a simple closed curve in  $\Sigma$  if  $\exists$  a local parameterisation  $\varphi: U \rightarrow V$  and a simple closed curve  $\tilde{\gamma}: [a, b] \rightarrow U$  st.  $\gamma = \varphi \circ \tilde{\gamma}$ . We will write  $\text{int}(\gamma) := \varphi(\text{int}(\tilde{\gamma}))$



- (ii) A continuous parameterised curve  $\gamma: [a, b] \rightarrow \Sigma$  is a chain if  $\exists$  a plane chain  $\tilde{\gamma}: [a, b] \rightarrow U$  st.  $\gamma = \varphi \circ \tilde{\gamma}$ .

#### Def

Let  $\gamma: [a, b] \rightarrow \Sigma$  be a <sup>closed</sup> chain in an (oriented) surface  $\Sigma$  and let  $N$  be a Gauss map. We say that  $\gamma$  is positively oriented (w.r.t.  $N$ ) iff  $N \times \gamma'$  points into  $\text{int}(\gamma)$ . (i.e. the  $\text{int}(\gamma)$  is on the "left side" of the curve.)



29-11-17

Let's work on  $V$  ( $\exists$  param  $\varphi: U \rightarrow V$ ).

Suppose  $\{e_1, e_2\}$  is an orthonormal frame on  $V$ .

( $e_1, e_2 \in \mathcal{X}^\infty(V)$  vector fields,  $|e_1|=1$ ,  $|e_2|=1$ ,  $\langle e_1, e_2 \rangle = 0$ ,  $e_1 \times e_2 = N$ )

For a local curve  $\gamma: I \rightarrow V$  define an angular function  $\alpha: I \rightarrow \mathbb{R}$  st.

$$\frac{\dot{\gamma}}{|\dot{\gamma}|} = \cos(\alpha(t)) e_1 + \sin(\alpha(t)) e_2$$

### VII.3 Theorem (Turning tangents)

Suppose  $\gamma$  a <sup>simple</sup> closed curve parameterised by arc-length as above. Then  $\exists$  angular function  $\alpha: I \rightarrow \mathbb{R}$  for  $\gamma$  and

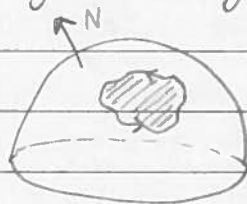
$$\int_I \dot{\alpha} = \pm 2\pi$$

with  $+$  if  $\gamma$  is positively parameterised.

### Theorem (Local Gauss Bonnet - smooth curves)

Let  $\gamma: [0, 1] \rightarrow \Sigma$  be a regular simple positively oriented closed curve, parameterised by arc length.

$$\text{Then } \int_\gamma \kappa_g ds + \int_{\text{int}(\gamma)} K dS = 2\pi$$



### Lemma

Let  $\Sigma, \gamma$  be as in VII.3.

Let  $e_1, e_2 \in \mathcal{X}^\infty(\Sigma)$  an oriented frame on  $V$  (coord. nbhd) and let  $\alpha$  be an angular function for  $\gamma$  w.r.t.  $\{e_1, e_2\}$ .

$$\text{Then } \kappa_g = \dot{\alpha} - \langle e_1, \frac{\nabla}{ds} e_2 \rangle.$$

Proof

We have  $\dot{\gamma} = \cos \alpha e_1 + \sin \alpha e_2$ .

$$\frac{\nabla}{ds} \dot{\gamma} = \cos \alpha \frac{\nabla}{ds} e_1 + \sin \alpha \frac{\nabla}{ds} e_2 - \dot{\alpha} \sin \alpha e_1 + \dot{\alpha} \cos \alpha e_2$$

$$N \times \dot{\gamma} = \cos \alpha e_2 - \sin \alpha e_1 \quad (N = e_1 \times e_2)$$

$$\kappa_g = \left\langle \frac{\nabla}{ds} j, N \times j \right\rangle$$

#  
 $j^T \leftarrow$  tangent

$$\frac{\nabla}{ds} e_1 \perp e_1 \quad \text{since } |e_1|^2 = 1$$

$$\frac{\nabla}{ds} e_2 \perp e_2 \quad \text{similarly}$$

$$\left\langle \frac{\nabla}{ds} e_1, e_2 \right\rangle = - \left\langle e_1, \frac{\nabla}{ds} e_2 \right\rangle$$

$$\Rightarrow \kappa_g = \dot{\alpha} + \cos^2 \alpha \left\langle \frac{\nabla}{ds} e_1, e_2 \right\rangle - \sin^2 \alpha \left\langle \frac{\nabla}{ds} e_2, e_1 \right\rangle$$

$$= \dot{\alpha} - \left\langle \frac{\nabla}{ds} e_1, e_2 \right\rangle \quad \square$$

### Lemma

Let  $\{e_1, e_2\}$  be as above. By abuse of notation we write  $N(u, v) = N(\varphi(u, v))$  and  $\frac{\partial N}{\partial u} = \frac{DN}{\partial \varphi}$

similar for  $\frac{\partial N}{\partial v}$ . Then

$$(i) \quad \frac{\partial N}{\partial u} \times \frac{\partial N}{\partial v} = K \cdot \partial_u \times \partial_v$$

$$(ii) \quad \frac{\partial N}{\partial u} \times \frac{\partial N}{\partial v} = \left( \left\langle \frac{\partial e_1}{\partial u}, \frac{\partial e_2}{\partial v} \right\rangle - \left\langle \frac{\partial e_1}{\partial v}, \frac{\partial e_2}{\partial u} \right\rangle \right) N$$

### Proof

(i) For any vector  $X \in \mathbb{R}^3$ ,

$$\left\langle \frac{\partial N}{\partial u} \times \frac{\partial N}{\partial v}, X \right\rangle = \det \begin{pmatrix} \frac{\partial N}{\partial u} & \frac{\partial N}{\partial v} & X \\ 1 & 1 & 1 \end{pmatrix}$$

det doesn't see orthogonal changes of basis

so w.l.o.g. take  $e_3 = N$

$$\Rightarrow \left\langle \frac{\partial N}{\partial u} \times \frac{\partial N}{\partial v}, X \right\rangle = \det \begin{pmatrix} \frac{\partial N}{\partial u} & \frac{\partial N}{\partial v} & 0 \\ \frac{\partial u}{\partial u} & \frac{\partial v}{\partial v} & 0 \\ 0 & 0 & X_3 \end{pmatrix}$$

$$= \det \begin{pmatrix} \sum_{i=1}^2 \tilde{w}^{ui} \partial_i & -\sum_{j=1}^2 \tilde{w}^{vj} \partial_j & 0 \\ 1 & 1 & 0 \\ 0 & 0 & X_3 \end{pmatrix}$$

$$\left( \text{since } \frac{DN}{\partial u} = -W \left( \frac{\partial^2 \varphi}{\partial u^2} \right) = -\sum_{i=1}^2 \tilde{w}^{ui} \partial_i \right)$$

29-11-17

$$= \det \left( \begin{pmatrix} \tilde{\omega} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \frac{\partial \varphi}{\partial u} & \frac{\partial \varphi}{\partial v} & 0 \\ 0 & 0 & x_3 \end{pmatrix} \right)$$

$$= K \langle \partial_u \times \partial_v, X \rangle \quad \text{as required.}$$

□

05-12-17

Theorem (Gauss-Bonnet)

Let  $\gamma: [0, L] \rightarrow \Sigma$  be a regular, simple, closed, smooth curve, parameterised by arc length and positively oriented. Then

$$\int_{\gamma} \kappa_g ds + \int_{\text{int} \gamma} K dS = 2\pi$$

Lemma 1

Let  $\Sigma$  be an orientable surface,  $\varphi: U \rightarrow V$  a parameterisation of  $\Sigma$ , suppose  $b \subset V$  and we have an oriented frame  $\{e_1, e_2\}$  on  $V$  ( $e_1 \times e_2 = N$ ),

then  $\kappa_g = \dot{\alpha} - \langle e_1, \frac{\nabla}{ds} e_2 \rangle$

where  $\gamma$  is parameterised by arc length and  $\alpha$  is an angular function of  $\gamma$  w.r.t.  $\{e_1, e_2\}$ .

As we are in one coordinate patch we abuse notation and write  $N: U \rightarrow \mathbb{R}^3$   $N = N(\varphi(u, v))$ .

So we may write  $\frac{\partial N}{\partial u} = DN \left( \frac{\partial \varphi}{\partial u} \right)$ .

Similarly we write  $e_1: U \rightarrow \mathbb{R}^3$ ,  $e_2: U \rightarrow \mathbb{R}^3$

Lemma 2

Let  $\{e_1, e_2\}$  be an oriented orthonormal frame on  $V$ . Then

$$(i) \frac{\partial N}{\partial u} \times \frac{\partial N}{\partial v} = K \partial_u \times \partial_v$$

$$(ii) \frac{\partial N}{\partial u} \times \frac{\partial N}{\partial v} = \left( \langle \frac{\partial e_1}{\partial u}, \frac{\partial e_2}{\partial v} \rangle - \langle \frac{\partial e_1}{\partial v}, \frac{\partial e_2}{\partial u} \rangle \right) N$$



Proof

$$(ii) N = e_1 \times e_2$$

$$|e_1|^2 = 1$$

$$\Rightarrow \frac{\partial e_1}{\partial u} = \langle e_2, \frac{\partial e_1}{\partial u} \rangle e_2 + \langle N, \frac{\partial e_1}{\partial u} \rangle N$$

and we see similar for  $\frac{\partial e_1}{\partial v}, \frac{\partial e_2}{\partial u}, \frac{\partial e_2}{\partial v}$

$$\frac{\partial N}{\partial u} \times \frac{\partial N}{\partial v} = \left( \frac{\partial e_1}{\partial u} \times e_2 + e_1 \times \frac{\partial e_2}{\partial u} \right) \times \left( \frac{\partial e_1}{\partial v} \times e_2 + e_1 \times \frac{\partial e_2}{\partial v} \right)$$

$$e_1 \times e_2 = 0$$

$$= \left( \langle N, \frac{\partial e_1}{\partial u} \rangle N \times e_2 + e_1 \times N \langle N, \frac{\partial e_2}{\partial u} \rangle \right)$$

$$\times \left( \langle N, \frac{\partial e_1}{\partial v} \rangle N \times e_2 + e_1 \times N \langle N, \frac{\partial e_2}{\partial v} \rangle \right)$$

$$= \left( \langle N, \frac{\partial e_1}{\partial u} \rangle e_1 + \langle N, \frac{\partial e_2}{\partial u} \rangle e_2 \right)$$

$$\times \left( \langle N, \frac{\partial e_1}{\partial v} \rangle e_1 + \langle N, \frac{\partial e_2}{\partial v} \rangle e_2 \right) \quad \leftarrow \begin{array}{l} \text{minuses} \\ \text{cancel} \end{array}$$

$$= \left( \langle N, \frac{\partial e_1}{\partial u} \rangle \langle N, \frac{\partial e_2}{\partial v} \rangle - \langle N, \frac{\partial e_2}{\partial u} \rangle \langle N, \frac{\partial e_1}{\partial v} \rangle \right) N$$

$$\langle e_1, e_2 \rangle = 0 \Rightarrow \langle \frac{\partial e_1}{\partial u}, e_2 \rangle = - \langle e_1, \frac{\partial e_2}{\partial u} \rangle$$

$$\langle \frac{\partial e_1}{\partial u}, \frac{\partial e_2}{\partial v} \rangle = \langle \textcircled{?} e_2 + \langle N, \frac{\partial e_1}{\partial u} \rangle N, \textcircled{?} e_1 + \langle N, \frac{\partial e_2}{\partial v} \rangle N \rangle$$

$$= \langle N, \frac{\partial e_1}{\partial u} \rangle \langle N, \frac{\partial e_2}{\partial v} \rangle \quad \langle \frac{\partial e_1}{\partial v}, \frac{\partial e_2}{\partial u} \rangle \text{ follows similarly}$$

$$\Rightarrow \frac{\partial N}{\partial u} \times \frac{\partial N}{\partial v} = \left( \langle \frac{\partial e_1}{\partial u}, \frac{\partial e_2}{\partial v} \rangle - \langle \frac{\partial e_1}{\partial v}, \frac{\partial e_2}{\partial u} \rangle \right) N \quad \square$$

05-12-17

Proof (of Gauss - Bonnet (local smooth curves))

We require Green's Thm:

Suppose  $\gamma: [0, L] \rightarrow \mathbb{R}^2$  is a smooth, simple, regular, closed curve st.  $V = \text{int } \tilde{\gamma}$  and  $P, Q: V \rightarrow \mathbb{R}$ , and  $\tilde{\gamma}(s) = (u(s), v(s))$ . Then

$$\int_0^L (iP + vQ) ds = \int_V \left( \frac{\partial Q}{\partial v} - \frac{\partial P}{\partial u} \right) dudv$$

We consider  $\mathcal{I} = \int_0^L \left\langle e_1(\gamma(s)), \frac{\nabla}{ds} e_2(\gamma(s)) \right\rangle ds$

Let  $\gamma$  be our arc length parameterised curve on  $\Sigma$ . Choose  $\tilde{\gamma}$  such that  $\varphi(\tilde{\gamma}(s)) = \gamma(s)$   
 $\varphi(u(s), v(s))$

Since  $e_1 \perp N$ , then

$$\left\langle e_1(\gamma(s)), \frac{\nabla}{ds} e_2(\gamma(s)) \right\rangle = \left\langle e_1(\gamma(s)), \frac{d}{ds} (e_2(\gamma(s))) \right\rangle$$

Chain rule:

$$\frac{d}{ds} (e_2(\gamma(s))) = D_{e_2} (i\partial_u + v\partial_v) = i \frac{\partial e_2}{\partial u} + v \frac{\partial e_2}{\partial v}$$

$$\begin{aligned} \mathcal{I} &= \int_0^L \left( \left\langle e_1, \frac{\partial e_2}{\partial u} \right\rangle i + \left\langle e_1, \frac{\partial e_2}{\partial v} \right\rangle v \right) ds \\ &= \int_{V = \text{int } \tilde{\gamma}} \left( \frac{\partial}{\partial u} \left( \left\langle e_1, \frac{\partial e_2}{\partial v} \right\rangle \right) - \frac{\partial}{\partial v} \left( \left\langle e_1, \frac{\partial e_2}{\partial u} \right\rangle \right) \right) dudv \\ &= \int_V \left\langle \frac{\partial e_1}{\partial u}, \frac{\partial e_2}{\partial v} \right\rangle - \left\langle \frac{\partial e_1}{\partial v}, \frac{\partial e_2}{\partial u} \right\rangle dudv \quad \text{since } \frac{\partial^2 e_2}{\partial u \partial v} = \frac{\partial^2 e_2}{\partial v \partial u} \\ &= \int_V \left\langle \frac{\partial N}{\partial u} \times \frac{\partial N}{\partial v}, N \right\rangle \quad \text{by Lemma 2(ii)} \\ &= \int_V K |\partial_u \times \partial_v| dudv \quad \text{by Lemma 2(i)} \\ &= \int_{\varphi(V)} K dS \end{aligned}$$

$$\begin{aligned} \text{Also } \mathcal{I} &= \int_0^L (\dot{\alpha}(s) - \kappa_g(s)) ds \\ &= 2\pi - \int_{\gamma} \kappa_g(s) ds \end{aligned}$$

$$\Rightarrow \int_{\text{int}(\gamma)} K dS + \int_{\gamma} \kappa_g(s) ds = 2\pi \quad \square$$

Remark

Orientation is important!

Suppose  $\gamma$  as above,  $\hat{\gamma}(s) := \gamma(L-s)$   
 $\kappa_g^{\hat{\gamma}}(s) = -\kappa_g^{\gamma}(L-s)$

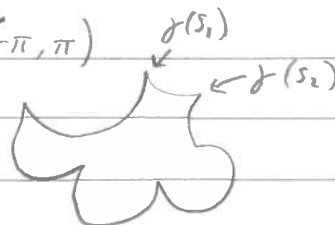
$$\begin{aligned} \int_{\hat{\gamma}} \kappa_g^{\hat{\gamma}} &= \int_0^L \kappa_g^{\hat{\gamma}} ds = - \int_0^L \kappa_g^{\gamma}(L-s) ds \\ &= \int_L^0 \kappa_g^{\gamma} ds = - \int_0^L \kappa_g^{\gamma} ds \end{aligned}$$

$\Rightarrow$  positively oriented changes sign of 2<sup>nd</sup> integral  
 in Gauss Bonnet ( $K$  doesn't change)

We may define exactly as we did in week 2  
 external angles for a chain.

So if we have an oriented frame  $\{e_1, e_2\}$  on  $V$   
 st.  $j = \cos \alpha e_1 + \sin \alpha e_2$  for some  $\alpha: I \rightarrow \mathbb{R}$ ,  
 where  $s_i$  is a non-differentiability point, then we  
 may define the exterior angle

$$\theta_i = -\lim_{s \nearrow s_i} \alpha + \lim_{s \searrow s_i} \alpha \in (-\pi, \pi)$$



05-12-17

From now on the  $\gamma(s_i) \in \Sigma$  will be called vertices and the image of the intervals  $\gamma([s_i, s_{i+1}])$  will be called edges

If  $\gamma$  is a chain which is closed and simple then it is called a generalised polygon and  $\text{int } \gamma$  exists.

Theorem (Local Gauss-Bonnet for chains)

Let  $P \subset \Sigma$  be a generalised polygon with exterior angles  $\theta_1, \dots, \theta_n$ .

Then


$$\int_{\partial P} \kappa_g dl + \int_P K dS + \sum_{i=1}^n \theta_i = 2\pi.$$

Proof

Exercise.

Examples

1) In  $\mathbb{R}^2$  we could consider polygons

$$\left. \begin{array}{l} \kappa_g = 0 \\ K = 0 \end{array} \right\} \Rightarrow \sum_{i=1}^5 \theta_i = 2\pi$$


2) Let  $P$  be a geodesic triangle in  $S^2$

$$K = 1, \kappa_g = 0 \Rightarrow \sum_{i=1}^3 \theta_i = 2\pi - \int_P K dS$$

$$= 2\pi - \text{area}(P)$$

$\theta_i$  are exterior angles.



### Def (Polygonal Covers, Euler Characteristic)

Let  $\Sigma$  be a surface,  $V \subset \Sigma$  be compact.

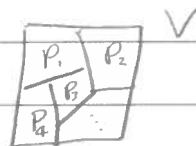
A polygonal cover is a set  $P = \{P_1, \dots, P_m\}$  where

(i) each  $P_i$  is a generalised polygon

i.e.  $\exists$  an injective chain  $\gamma_i$  in  $\Sigma$  st.  $P_i = \text{int } \gamma_i$ .

(ii)  $V = \bigcup_{i=1}^m \bar{P}_i$

(iii) The intersections  $\bar{P}_i \cap \bar{P}_j$  for  $i \neq j$  are either empty or intersect along one entire edge or intersect at one vertex.



Denote  $\cdot f(P) = \text{number of faces}$   
(polygons)  $= m$

$\cdot e(P) = \text{number of edges}$

$\cdot v(P) = \text{number of vertices}$

The Euler Characteristic of  $P$  is defined to be

$$\chi(P) = f(P) - e(P) + v(P)$$

### Remark

We may always cut our polygonal cover into smaller subdivisions - a refinement of the polygonal cover.

### Prop

Let  $V$  be a compact subset of a surface  $\Sigma$ , and suppose  $\partial V$  is piecewise smooth.

(i)  $\exists$  a finite triangulation of  $V$  (polygonal cover such that all polygons are triangles)

(ii) The Euler characteristic of two covers of  $V$  are the same.


(iii) If there is a homeomorphism  $h: V \rightarrow V'$  then  $\chi(V) = \chi(V')$ .

05-12-17

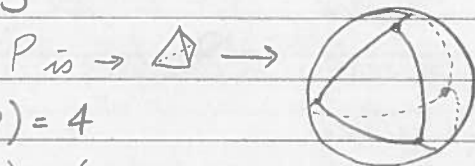
Examples1).  $S =$  a square  $P =$  just the square

$$v(P) = 4, \quad e(P) = 4, \quad f(P) = 1$$

$$\Rightarrow \chi(P) = 1 - 4 + 4 = 1$$

 $\tilde{P}$  is   $v(\tilde{P}) = 5, \quad e(\tilde{P}) = 8, \quad f(\tilde{P}) = 4$ 

$$\Rightarrow \chi(\tilde{P}) = 4 - 8 + 5 = 1$$

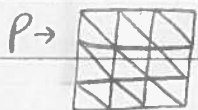
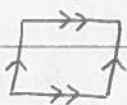
2).  $S^2$ 

$$v(P) = 4$$

$$e(P) = 6$$

$$f(P) = 4$$

$$\Rightarrow \chi(S^2) = \chi(P) = 4 - 6 + 4 = 2$$

3).  $T^2$ 

$$v(P) = 9, \quad e(P) = 27, \quad f(P) = 18$$

$$\chi(P) = 18 - 27 + 9 = 0$$

4). Consider a triangulation of some compact  $V \subset \mathbb{R}^2$ . Remove a face to obtain  $\tilde{V}$ .

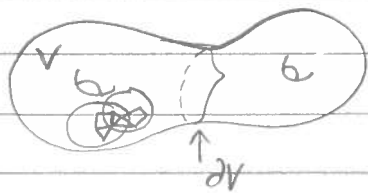
$$\text{Then } \chi(\tilde{V}) = \chi(V) - 1$$



$$\left[ \mathbb{R} \text{ (with two holes) } \quad \chi(\mathbb{R}) = -2 \right]$$



06-12-17



Plan: Cut a region into sufficiently small triangles, apply local G-B to obtain a global G-B.

Theorem (global Gauss-Bonnet)

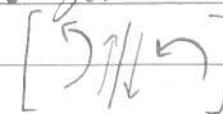
Let  $\Sigma$  be an orientable surface,  $V \subset \Sigma$  be compact and have a piecewise smooth boundary with exterior angles  $\theta_1, \dots, \theta_n$ . Then

$$\int_V K dS + \int_{\partial V} \kappa_g dl + \sum_{i=1}^n \theta_i = 2\pi \chi(V)$$

Proof

Take a polygonal cover  $\mathcal{P} = \{P_1, \dots, P_m\}$  s.t. each  $P_i$  is contained in one coordinate neighbourhood

Remark

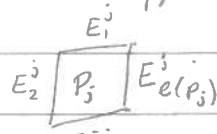
On a polygonal cover, each interior edge is positively parameterised in opposite directions for each of the two faces adjoining it. 

Apply local Gauss-Bonnet for each  $P_i$ .

$$\sum_{j=1}^m 2\pi = \sum_{j=1}^m \int_{\partial P_j} \kappa_g^j dl + \sum_{j=1}^m \int_{P_j} K dS + \sum_{j=1}^m \sum_{i=1}^{v(P_j)} \theta_i^j$$

where  $\theta_i^j$   $1 \leq j \leq v(P_j)$  are the external angles of  $P_j$

$$\sum_{j=1}^m \int_{P_j} K dS = \int_V K dS$$



$$\sum_{j=1}^m \int_{\partial P_j} \kappa_g^j dl = \sum_{j=1}^m \sum_{i=1}^{e(P_j)} \int_{E_i^j} \kappa_g dl$$

But due to our remark, <sup>along</sup> any interior edge  $\kappa_g$  is integrated

06-12-17

in both directions.

$$\Rightarrow \sum_{j=1}^m \int_{\partial P_j} x_j^i dl = \int_{\partial V} x_j^i dl$$

$$\sum_{i=1}^{v(P_j)} \theta_i^j = \sum_{i=1}^{v(P_j)} (\pi - \phi_i^j)$$

$$= \pi v(P_j) - \sum_{i=1}^{v(P_j)} \phi_i^j$$

$$= \pi e(P_j) - \sum_{i=1}^{v(P_j)} \phi_i^j$$

Define interior angles as

$$\phi_i^j = \pi - \theta_i^j$$

number of edges = number of vertices

$n$  = number of boundary edges

$$\sum_{j=1}^m \pi e(P_j) = 2\pi e(\mathcal{P}) - n\pi$$

$$\sum_{j=1}^m \sum_{i=1}^{v(P_j)} \phi_i^j = 2\pi(v(\mathcal{P}) - n) + \sum_{k=1}^n \phi_k$$

interior angles at boundary vertices

$$= 2\pi v(\mathcal{P}) - \pi n - \sum_{k=1}^n \theta_k$$

exterior boundary angles

$$\Rightarrow \sum_{j=1}^m \sum_{i=1}^{v(P_j)} \theta_i^j = 2\pi e(\mathcal{P}) - n\pi - 2\pi v(\mathcal{P}) + \pi n + \sum_{k=1}^n \theta_k$$

$$m = f(\mathcal{P})$$

$$\Rightarrow 2\pi f(\mathcal{P}) = 2\pi e(\mathcal{P}) - 2\pi v(\mathcal{P}) + \sum_{k=1}^n \theta_k + \int_V K dS + \int_{\partial V} x_j^i dl$$

$$\Rightarrow \int_V K dS + \int_{\partial V} x_j^i dl + \sum_{i=1}^n \theta_i = 2\pi \chi(\mathcal{P}) = 2\pi \chi(V)$$

□

Corollary G-B for compact surfaces.

Suppose  $\Sigma$  is compact and orientable, then

$$\int_{\Sigma} K dS = 2\pi \chi(\Sigma)$$

Corollary

Suppose  $V$  is homeomorphic to a disc,  $\partial V$  piecewise smooth with boundary angles  $\theta_1, \dots, \theta_n$ , then

$$\int_V K dS + \int_{\partial V} x_j^i dl + \sum_{i=1}^n \theta_i = 2\pi \quad [\chi(\text{disc}) = 1]$$

## Classification of compact surfaces

If  $\Sigma$  is compact, orientable, then the surface is entirely determined up to homeomorphism by its genus,  $g$ . For such a surface,  $g = \frac{1}{2}(2 - \chi(\Sigma))$   
 $\uparrow$   
 integer  $\geq 0 \Rightarrow \chi(\Sigma) \in \{2, 0, -2, -4, -6, \dots\}$

### Corollary

Suppose  $\Sigma$  is compact and  $K > 0$  everywhere. Then  $\Sigma$  is homeomorphic to a sphere. Furthermore any two <sup>simple</sup> closed geodesics intersect.

Proof

$$\int K dS > 0$$

$$\int K dS = 2\pi \chi(\Sigma) \Rightarrow \int K dS = 4\pi \text{ and } g=0, \chi(\Sigma)=2$$

$\Rightarrow \Sigma$  is homeomorphic to a sphere.


Suppose we have two simple closed geodesics  $\gamma_1, \gamma_2$  which are disjoint.

There is a region bounded by  $\gamma_1$  and  $\gamma_2$  which is homeomorphic to a cylinder.

$$\chi(S^2) = 2$$

$$\chi(\text{cube}) = 2$$

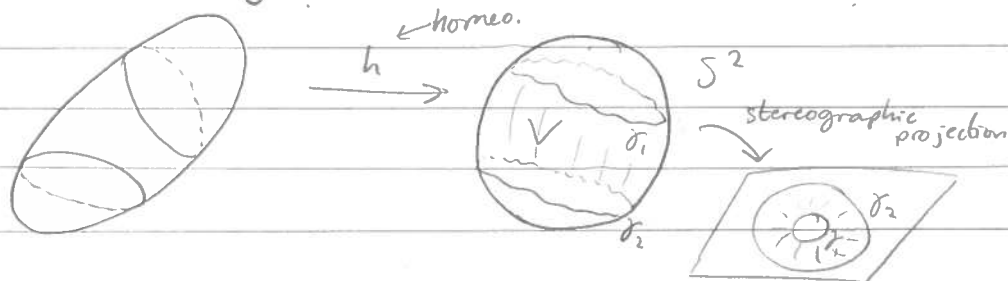
$$\chi(\text{Cylinder}) = 0$$

since cylinder is cube - 2 faces 

$$\Rightarrow \int_V K = 0$$

$\times$

$\square$



06-12-17

Suppose  $K < 0$  and  $\Sigma$  is homeomorphic to a cylinder.

Then  $\exists$  at most one <sup>simple</sup> closed geodesic.

May assume any closed simple curve on surface homeomorphic to a cylinder either bounds a disc or goes once around the cylinder.

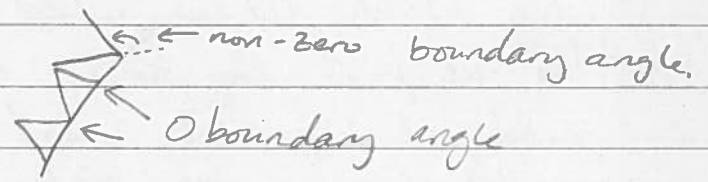
12-12-17

Last time:

Theorem G-B (general)

Suppose  $\Sigma$  is orientable,  $V \subset \Sigma$  is compact with piecewise smooth boundary  $\partial V$  and external angles  $\theta_1, \dots, \theta_n$ , then

$$\int_V K dS + \int_{\partial V} \kappa_g dl + \sum_{i=1}^n \theta_i = 2\pi \chi(V)$$



Corollary G-B with smooth boundary

Suppose  $V, \Sigma$  as above but  $\partial V$  is smooth, then

$$\int_V K dS + \int_{\partial V} \kappa_g dl = 2\pi \chi(V)$$

Corollary G-B without boundary

Suppose  $\Sigma$  is compact (as metric space), then

$$\int_{\Sigma} K dS = 2\pi \chi(\Sigma)$$

Corollary  $c_{\Sigma}$  orientable

Suppose  $V$  is homeomorphic to a disc,  $\partial V$  is smooth,

then 
$$\int_V K dS + \int_{\partial V} \kappa_g dl = 2\pi$$

### Application: Hairy Ball Theorem

You cannot comb a hairy ball:

If  $X \in \mathcal{X}^\infty(S^2)$  then  $\exists p \in S^2$  s.t.  $X(p) = 0$ .

Def

$p_0$  is an isolated stationary point of a vector field  $X \in \mathcal{X}(\Sigma)$  ( $\Sigma$  orientable surface) if  $X(p_0) = 0$  and  $\exists$  an open set  $W \subset \Sigma$  s.t.  $p_0 \in W$  and  $X(q) \neq 0 \forall q \in W, q \neq p_0$ .

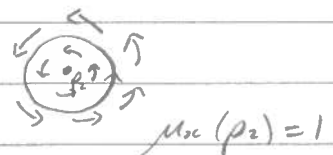
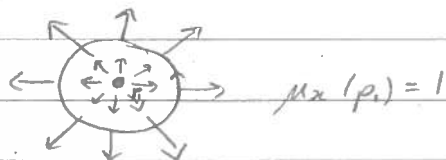
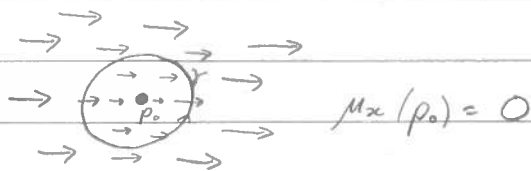
We define the index of an isolated stationary point  $p_0$  as follows:

Suppose  $\varphi: U \rightarrow V$  is a parameterisation of  $\Sigma$  and wlog  $W \subset V$  ( $W$  from isolated stationary pt.).

Then let  $\gamma$  is any simple closed positively parameterised curve s.t.  $p_0 \in \text{int} \gamma$  and let  $\{e_1, e_2\}$  be an oriented frame on  $V$ . Define  $\beta: W \setminus p_0 \rightarrow \mathbb{R}$  to be a function s.t.  $\frac{\tilde{X}}{|X|} = \cos \beta e_1 + \sin \beta e_2$ .

Then the index of  $p_0$  is defined by

$$\mu_X(p_0) = \frac{1}{2\pi} \int_\gamma \beta \, dl.$$



12-12-17

Poincaré Hopf Theorem

Suppose  $\Sigma$  is compact oriented and  $X \in \mathcal{X}^\infty(\Sigma)$  has  $n$  isolated stationary points  $p_1, \dots, p_n$ . Then (the index is well-defined and)

$$\sum_{i=1}^n \mu_x(p_i) = \chi(\Sigma)$$

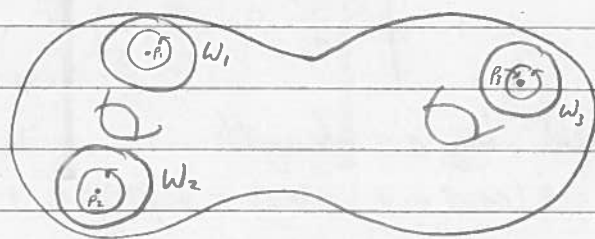
Proof of Hairy Ball Thm

Suppose  $\Sigma = S^2$  then  $\sum_{i=1}^n \mu_x(p_i) = 2$

$\Rightarrow \exists$  at least one stationary point.  $\square$

Proof

Take local <sup>disjoint</sup> neighbourhoods  $W_i$  of  $p_i$  and curves  $\gamma_i$  as above.



On  $W_i$  define  $\{e_1, e_2\}$  an oriented frame and  $Q = \bigcup_{i=1}^n W_i$

On  $\Sigma \setminus Q$  we define oriented frame  $f_1 = \tilde{X} = \frac{X}{|X|}$ ,  $f_2 = N \times f_1$ .  $\leftarrow$  well-defined ( $|X| \neq 0$  on  $\Sigma \setminus Q$ )

Recall: for any positively oriented local curve  $\gamma$

$$\int_{\text{int } \gamma} K dS = \int_{\gamma} \langle e_1, \frac{\nabla}{ds} e_2 \rangle dl.$$

From this we have that

$$\sum_{i=1}^n \int_{\text{int } \gamma_i} K dS = \sum_{i=1}^n \int_{\gamma_i} \langle e_1, \frac{\nabla}{ds} e_2 \rangle dl \quad (1) \quad \checkmark$$

$$\int_{\Sigma \setminus Q} K dS = - \sum_{i=1}^n \int_{\gamma_i} \langle f_1, \frac{\nabla}{ds} f_2 \rangle dl \quad (2)$$

(2): Observe that on any triangle  $T_j$

$$\int_{T_j} K dS = \int_{\partial T_j} \langle f_1, \frac{\nabla}{ds} f_2 \rangle dl$$



Take  $T_1, \dots, T_m$  a triangulation of  $\Sigma \setminus Q$  and sum

$$\begin{aligned} \Rightarrow \int_{\Sigma \setminus Q} K dS &= \sum_{j=1}^m \int_{T_j} K dS \\ &= \sum_{j=1}^m \int_{\partial T_j} \langle f_1, \frac{\nabla}{ds} f_2 \rangle dl \\ &= - \sum_{i=1}^n \int_{\gamma_i} \langle f_1, \frac{\nabla}{ds} f_2 \rangle dl \\ &\quad \uparrow \\ &\quad \text{due to orientation} \end{aligned}$$

Gauss - Bonnet

$$\begin{aligned} \Rightarrow 2\pi \chi(\Sigma) &= \int_{\Sigma \setminus Q} K dS + \sum_{i=1}^n \int_{\text{int } \gamma_i} K dS \\ &= \sum_{i=1}^n \int_{\gamma_i} \langle e_1, \frac{\nabla}{ds} e_2 \rangle - \langle f_1, \frac{\nabla}{ds} f_2 \rangle dl \end{aligned}$$

By definition we have

$$f_1 = \cos \beta e_1 + \sin \beta e_2$$

$$f_2 = -\sin \beta e_1 + \cos \beta e_2$$

$$\left[ \begin{aligned} \langle \frac{\nabla}{ds} e_i, e_i \rangle &= 0 \\ \langle \frac{\nabla}{ds} e_1, e_2 \rangle &= -\langle e_1, \frac{\nabla}{ds} e_2 \rangle \end{aligned} \right]$$

W.l.o.g.  $\gamma_i$  parameterised by arc length

$$\langle f_1, \frac{\nabla}{ds} f_2 \rangle = \langle f_1, \underbrace{-\dot{\beta} (\cos \beta e_1 + \sin \beta e_2)}_{f_1} - \sin \beta \frac{\nabla}{ds} e_1 + \cos \beta \frac{\nabla}{ds} e_2 \rangle$$

$$= -\dot{\beta} - \sin^2 \beta \langle \frac{\nabla}{ds} e_1, e_2 \rangle + \cos^2 \beta \langle \frac{\nabla}{ds} e_2, e_1 \rangle$$

$$= -\dot{\beta} + \langle e_1, \frac{\nabla}{ds} e_2 \rangle$$

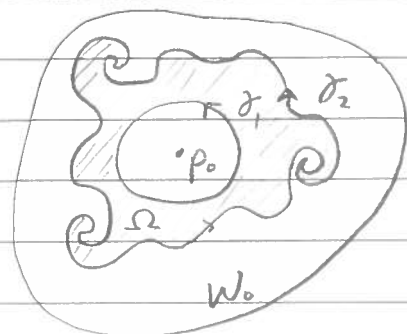
$$\Rightarrow 2\pi \chi(\Sigma) = \sum_{i=1}^n \int_{\gamma_i} \dot{\beta} dl$$

Well-defined:

Suppose  $\gamma_1, \gamma_2$  are two disjoint, <sup>positively parameterised</sup> curves around a stationary point  $p_0$  of a v.f.  $X \in \mathcal{X}^\infty(\Sigma)$ .

As before

$$\int_{\Omega} K dS = \int_{\gamma_2} \langle f_1, \frac{\nabla}{ds} f_2 \rangle dl - \int_{\gamma_1} \langle f_1, \frac{\nabla}{ds} f_2 \rangle dl$$



12-12-17

$$\Rightarrow \int_{\Omega} K dS = \int_{\gamma_2} -\beta_2 + \langle e_1, \frac{\nabla}{ds} e_2 \rangle dl - \int_{\gamma_1} -\beta_1 + \langle e_1, \frac{\nabla}{ds} e_2 \rangle dl$$

Recall from "lemma for G-B",

$$\kappa_g = \alpha - \langle e_1, \frac{\nabla}{ds} e_2 \rangle$$

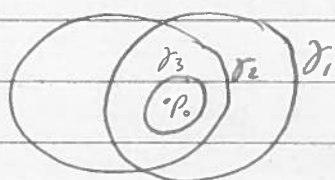
$$\Rightarrow \int_{\Omega} K dS = \int_{\gamma_2} -\beta_2 + \alpha_2 - \kappa_{g_2} dl - \int_{\gamma_1} -\beta_1 + \alpha_1 - \kappa_{g_1} dl$$

angular functions  
w.r.t.  $\gamma_2$  etc

$$[\beta_i = \beta(\gamma_i)]$$

 $\alpha_i$  cancel and so

$$\Rightarrow \int_{\Omega} K dS + \underbrace{\int_{\gamma_2} \kappa_{g_2} dl - \int_{\gamma_1} \kappa_{g_1} dl}_{= \int_{\partial\Omega} \kappa_g dl = 2\pi \chi(\Omega)} = \int_{\gamma_2} \beta_2 dl - \int_{\gamma_1} \beta_1 dl$$

 $\Omega$  is an annulus  $\Rightarrow \chi(\Omega) = 0$ This also holds for these  $\gamma_i$ 's.  $\square$ Hyperbolic PlaneClaim:  $\exists \varphi: B_1(0) \rightarrow \mathbb{R}^3$  s.t.  $\tilde{g}_{ij} = f \delta_{ij}$ ,  $f = \frac{1}{(1-u^2-v^2)^2}$ Calculate  $\Gamma_{ij}^k$ .

$$\Gamma_{ij}^k = \frac{1}{2f} \left( \frac{\partial f}{\partial u^i} \delta_{ik} + \frac{\partial f}{\partial u^i} \delta_{jk} - \frac{\partial f}{\partial u^k} \delta_{ij} \right)$$

Claim:  $\varphi(y\text{-axis})$  is a geodesic.

$$\tilde{\gamma}(t) = \varphi(0, t)$$

$$l(\tilde{\gamma}|_{[0,t]}) = \int_0^t |\tilde{\gamma}'(y)| dy = \int_0^t \frac{1}{1-y^2} dy = \tanh^{-1}(t)$$

Prove that  $\gamma(s) = \varphi(0, \tanh s)$  is a geodesic.

If  $\gamma = \varphi(u(s), v(s))$

$$u'' + (u')^2 \Gamma_{uu}^u + 2u'v' \Gamma_{uv}^u + (v')^2 \Gamma_{vv}^u = 0$$

$$v'' + (u')^2 \Gamma_{uu}^v + 2u'v' \Gamma_{uv}^v + (v')^2 \Gamma_{vv}^v = 0$$

$$u(s) = 0, \quad v(s) = \tanh s$$

$$v'(s) = 1/\cosh^2(s) \quad v''(s) = \frac{-2 \sinh s}{\cosh^3 s}$$

So WTS  $\begin{cases} (v')^2 \Gamma_{vv}^u = 0 & \textcircled{1} \\ v'' + (v')^2 \Gamma_{vv}^v = 0 & \textcircled{2} \end{cases}$

$$\Gamma_{vv}^u = \frac{-1}{2f} \frac{\partial f}{\partial u} = 0 \text{ on } v\text{-axis}$$

$$\Gamma_{vv}^v = \frac{1}{2f} \frac{\partial f}{\partial v}$$

$$\frac{\partial f}{\partial u} = \frac{4u}{(1-u^2-v^2)^3}, \quad \frac{\partial f}{\partial v} = \frac{4v}{(1-u^2-v^2)^3}$$

$\Rightarrow \textcircled{1} \checkmark$

$$\textcircled{2} : \frac{-2 \sinh s}{\cosh^3 s} + \frac{1}{\cosh^4 s} \cdot \frac{2 \tanh s}{(1 - \tanh^2 s)}$$

$$= \frac{-2 \sinh s}{\cosh^3 s} + \frac{2 \sinh s}{\cosh^3 s} = 0 \quad \checkmark$$

13-12-17

In  $\mathbb{R}^3$  we may take derivatives of v.f.'s w.r.t. v.f.'s

$$\nabla_x^{\mathbb{R}^3} Y|_p = \frac{d}{dt} \Big|_{t=0} Y(\gamma(t)) \quad , \quad \gamma(0) = p \quad , \quad \gamma'(0) = X.$$

$$= \sum_{j=1}^3 X^i \frac{\partial Y^j}{\partial x^i} e_j \quad , \quad X = \sum_{i=1}^3 X^i e_i$$

$\Sigma \subset \mathbb{R}^3$ ,  $X, Y$  v.f.'s on  $\Sigma$  then

$$\nabla_x Y = (\nabla_x^{\mathbb{R}^3} Y)^T \leftarrow \text{orthogonal projection}$$

$$= \nabla_x^{\mathbb{R}^3} Y - \langle \nabla_x^{\mathbb{R}^3} Y, N \rangle N$$

Let  $Y = Y^1 \partial_1 + Y^2 \partial_2$

$$\begin{aligned} \nabla_{\partial_i} Y &= \partial_i(Y^1) \partial_1 + Y^1 \nabla_{\partial_i} \partial_1 + \partial_i(Y^2) \partial_2 + Y^2 \nabla_{\partial_i} \partial_2 \\ &= \frac{\partial Y^1}{\partial u^i} \partial_1 + Y^1 \sum_{j=1}^2 \Gamma_{ij}^k \partial_k + \frac{\partial Y^2}{\partial u^i} \partial_2 + Y^2 \sum_{j=1}^2 \Gamma_{2j}^k \partial_k \end{aligned}$$

$$= \sum_{j=1}^2 \left[ \frac{\partial Y^j}{\partial u^i} + \sum_{k=1}^2 Y^k \Gamma_{ki}^j \right] \partial_j$$

$\varphi: B_1(0) \rightarrow \mathbb{R}^3$  st.  $\tilde{g}_{ij} = f \delta_{ij}$

$$f = \frac{1}{(1-u^2-v^2)^2}$$

We showed  is a geodesic

Calculate  $K$  at  $(0,0)$ .

Problem: we can't calculate  $\Pi$  (2nd fund. form).

$$K = \frac{R_{uvuv}}{\det \tilde{g}} = \frac{\langle \nabla_{\partial_u}(\nabla_{\partial_v} \partial_v) - \nabla_{\partial_v}(\nabla_{\partial_u} \partial_v), \partial_u \rangle}{\det \tilde{g}}$$

$$\langle \partial_u, \partial_v \rangle = 0$$

$$\Gamma_{ij}^k = \frac{1}{2f} \left( \frac{\partial f}{\partial u^i} \delta_{jk} + \frac{\partial f}{\partial u^j} \delta_{ik} - \frac{\partial f}{\partial u^k} \delta_{ij} \right)$$

$$\nabla_{\partial_u} \partial_u = \Gamma_{uu}^u \partial_u + \Gamma_{uu}^v \partial_v$$

$$\nabla_{\partial_v} \partial_v = \Gamma_{vv}^u \partial_u + \Gamma_{vv}^v \partial_v$$

$$\nabla_{\partial_u} \partial_v = \Gamma_{vu}^u \partial_u + \Gamma_{vu}^v \partial_v = \nabla_{\partial_v} \partial_u$$

$$\langle \nabla_{\partial_u} (\Gamma_{vv}^u \partial_u + \Gamma_{vv}^v \partial_v) - \nabla_{\partial_v} (\Gamma_{vu}^u \partial_u + \Gamma_{vu}^v \partial_v), \partial_u \rangle$$

can drop some terms since  $\langle \partial_v, \partial_u \rangle = 0$

$$\nabla_{\partial_k} (\nabla_{\partial_i} \partial_j) = \nabla_{\partial_k} \left( \sum_{l=1}^2 \Gamma_{ij}^l \partial_l \right)$$

$$= \sum_{l=1}^2 \frac{\partial \Gamma_{ij}^l}{\partial u^k} \partial_l + \sum_{\substack{l=1 \\ m=1}}^2 \Gamma_{ij}^l \Gamma_{lk}^m \partial_m$$

Leibnitz rule

$$= \sum_{l=1}^2 \left[ \frac{\partial \Gamma_{ij}^l}{\partial u^k} + \sum_{n=1}^2 \Gamma_{ij}^n \Gamma_{nk}^l \right] \partial_l$$

$$K \det \tilde{g} = \langle \nabla_{\partial_u} (\nabla_{\partial_v} \partial_v) - \nabla_{\partial_v} (\nabla_{\partial_u} \partial_v), \partial_u \rangle$$

$$= \langle \partial_u, \partial_u \rangle \left[ \frac{\partial \Gamma_{vv}^u}{\partial u} + \sum_{n=1}^2 \Gamma_{vv}^n \Gamma_{nu}^u - \frac{\partial \Gamma_{uv}^u}{\partial v} - \sum_{m=1}^2 \Gamma_{uv}^m \Gamma_{mv}^u \right]$$

$$\frac{\partial f}{\partial u} = \frac{4u}{(1-u^2-v^2)^3}, \quad \frac{\partial f}{\partial v} = \frac{4v}{(1-u^2-v^2)^3}$$

$$\Rightarrow \text{at } (0,0), \Gamma_{ij}^k = 0$$

$$\Rightarrow K \det \tilde{g} = \langle \partial_u, \partial_u \rangle \left[ \frac{\partial \Gamma_{vv}^u}{\partial u} - \frac{\partial \Gamma_{uv}^u}{\partial v} \right]$$

$$\Gamma_{vv}^u = \frac{1}{2f} \left( -\frac{\partial f}{\partial u} \right)$$

$$\Gamma_{uv}^u = \frac{1}{2f} \left( \frac{\partial f}{\partial v} \right)$$

$$\tilde{g}_{ij} = f \delta_{ij}$$

$$K|_{(0,0)} \det \tilde{g} = -f \left[ \frac{\partial}{\partial u} \left( \frac{\partial f}{\partial u} / 2f \right) + \frac{\partial}{\partial v} \left( \frac{\partial f}{\partial v} / 2f \right) \right]$$

$$\frac{\partial}{\partial u} \left( \frac{\partial f}{\partial u} / 2f \right) \Big|_{(0,0)} = \frac{2f f_{uu} - 2f_u^2}{4f^2} \Big|_{(0,0)}$$

$$f_{uu} = \frac{4(1-u^2-v^2)^3 - 4u(1-u^2-v^2)^2(3(-2u))}{(1-u^2-v^2)^3}$$

$$= \frac{2f \cdot 4}{4f^2} \Big|_{(0,0)}$$

$$\Rightarrow K|_{(0,0)} \det \tilde{g} = -f \left( \frac{2}{f} + \frac{2}{f} \right) \Big|_{(0,0)} = -4$$

$$\det \tilde{g}|_{(0,0)} = f^2|_{(0,0)} = 1 \quad \Rightarrow K = -4.$$

13-12-17

Suppose  $\Sigma$  a surface st.  $K < 0$  everywhere and  $\Sigma$  homeomorphic to a cylinder. Show there is at most one closed injective geodesic.



Suppose  $\exists$  2 such geodesics.

Aim: G-B.

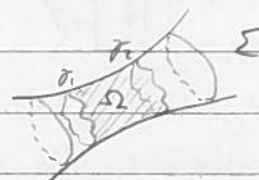
Suppose  $\gamma$  "Type 2" geodesic curve

G-B (homeo. to a disc)

$$\Rightarrow \int_{\text{int } \gamma} K = 2\pi \quad \# \text{ since } K < 0.$$

$\Rightarrow$  both curves wind once around  $\Sigma$ .

Do they intersect?



Suppose not.

$\Rightarrow \gamma_1 \cap \gamma_2 = \emptyset$  and they both wind once around  $\Sigma$

$\Rightarrow \gamma_1, \gamma_2$  bound a region  $\Omega$  (compact)

G-B on  $\Omega$ :

$$\int_{\Omega} K ds = 2\pi \chi(\Omega) = 0 \quad \#$$

$\Rightarrow \gamma_1 \cap \gamma_2 \neq \emptyset$

Suppose  $\gamma_1, \gamma_2$  distinct.

Suppose they intersect at 1 point

$\Rightarrow \gamma_1, \gamma_2$  must intersect tangentially at  $p$ .

$$\Rightarrow \dot{\gamma}_1(p) = \dot{\gamma}_2(p) \Rightarrow \dot{\gamma}_1(p) = \dot{\gamma}_2(p)$$

$\Rightarrow$  locally  $\gamma_1 = \gamma_2$  — due to existence and uniqueness of geodesic.

$\Rightarrow \gamma_1 = \gamma_2$  everywhere

$\Rightarrow \gamma_1, \gamma_2$  intersect in at least 2 points

$\Rightarrow \gamma_1, \gamma_2$  bound some region

not allowed due to h.w?

not allowed due to injectivity

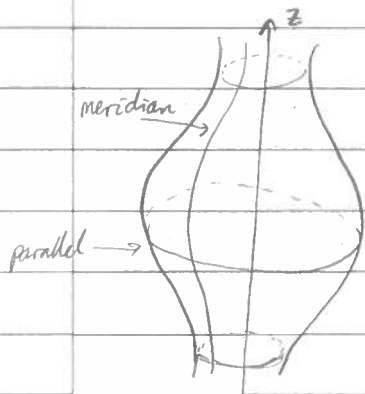


needs some topological stuff!

We will always get  $\rightarrow$  each time we

push one curve over the other giving regions which are not allowed.

□



$$\varphi: (-\pi, \pi) \times \mathbb{R} \rightarrow \mathbb{R}^3$$

$$\varphi(u, v) = (f(v)\cos u, f(v)\sin u, g(v))$$

where  $(f(v), g(v))$  parameterise the profile curve.

What are the geodesics?

Suppose we have a geodesic param. by arc length  $\varphi(u(t), v(t))$ .

$$g = \begin{pmatrix} f^2 & 0 \\ 0 & f'^2 + g'^2 \end{pmatrix}$$

$$u'' + \frac{2f(v)f'(v)u'v'}{f^2(v)} = 0,$$

$$v'' - \frac{f(v)f'(v)}{(f'(v))^2 + (g'(v))^2} (u')^2 + \frac{f'(v)f''(v) + g'(v)g''(v)}{(f'(v))^2 + (g'(v))^2} (v')^2 = 0$$

meridians are given by  $u = \text{const}$

parallels " " "  $v = \text{const}$

integrating 1st eqn

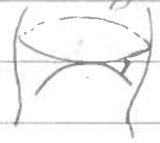
$$\frac{(u' f^2)'}{f^2} = 0$$

$$\Rightarrow u' f^2 = \text{const on geodesic}$$

$$\Rightarrow r \cos \theta = \text{const on geodesic}$$

$$\Rightarrow v'' + \frac{f'f'' + g'g''}{f'^2 + g'^2} v'^2 = 0$$

$$= \frac{(v'(f'^2 + g'^2))'}{f'^2 + g'^2} \text{ const.}$$



when  $f' = 0$

