

3113/M113 Differential Geometry Notes

Based on the 2012 autumn lectures by Prof R
Halburd

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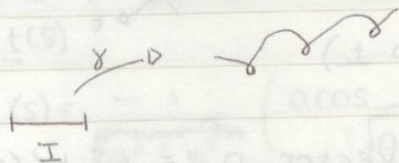
Chapter 1: Differential Geometry - The local theory of curves.

Definition:

A (parametrised) differentiable curve is a differentiable map

$$\gamma: I \rightarrow \mathbb{R}^3$$

The set $\gamma(I) \subset \mathbb{R}^3$ is called the trace of γ



For any $t \in I$, $\gamma'(t)$ is called the velocity of γ
if it is non-zero then $\gamma'(t)$ is the tangent to γ at $\gamma(t)$

Definition:

A differentiable curve $\gamma: I \rightarrow \mathbb{R}^3$ is said to be regular if $\gamma'(t) \neq 0 \forall t \in I$.

Example:

The helix $\gamma: \mathbb{R} \rightarrow \mathbb{R}^3$ given by $\gamma(t) = (a \cos t, a \sin t, bt)$ $a > 0, b > 0$ is a regular curve

Example:

The curve $\gamma: (-1, 1) \rightarrow \mathbb{R}^3$ given by $\gamma(t) = (t^3, t^2, 0)$ is not regular at $t=0$ (and on $(-1, 1)$) ($\gamma'(t) = (3t^2, 2t, 0)$)

Definition:

For any curve $\gamma: I \rightarrow \mathbb{R}^3$ and any $t \in I$ the arclength of γ from t_0 is $s \equiv s(t) = \int_{t_0}^t |\dot{\gamma}(u)| du$ ($= \int \sqrt{\left(\frac{dx}{du}\right)^2 + \left(\frac{dy}{du}\right)^2 + \left(\frac{dz}{du}\right)^2} du$)

(for most purposes the choice of t_0 is not important).

Example:

$$\gamma(t) = (a \cos t, a \sin t, bt)$$

$$\dot{\gamma}(t) = (-a \sin t, a \cos t, b) \quad |\dot{\gamma}(t)|^2 = a^2 + b^2$$

$$\text{So } s(t) = \int \sqrt{a^2 + b^2} dt = \sqrt{a^2 + b^2} t \quad (t_0 = 0)$$

We can reparametrise the curve

$$\tilde{\gamma}(s) = \gamma(t) = \left(a \cos \frac{s}{\sqrt{a^2 + b^2}}, a \sin \frac{s}{\sqrt{a^2 + b^2}}, \frac{bs}{\sqrt{a^2 + b^2}} \right)$$

If γ is parametrised by arclength then $\gamma'(s)$ is a unit vector. (unit speed curve)

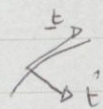
The Frenet Frame

$\underline{t}(s) = \gamma'(s)$ unit tangent vector

$(\underline{t} \cdot \underline{t} = 1 \Rightarrow 2\underline{t}' \cdot \underline{t} = 0 \Rightarrow \underline{t}'$ is orthogonal to \underline{t})

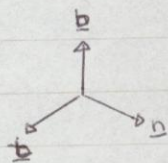
$k(s) = |\underline{t}'(s)|$ is called the curvature

If $k \neq 0$, we define the principal normal vector $\underline{n} := \frac{1}{k} \underline{t}'(s)$



The unit binormal is $\underline{b} = \underline{t} \times \underline{n}$

$\{\underline{t}, \underline{n}, \underline{b}\}$ is called the Frenet Frame of γ



$$\underline{b} = \underline{t} \times \underline{n}$$

$$\Rightarrow \underline{b}' = \underbrace{\underline{t}' \times \underline{n}}_{= 0} + \underline{t} \times \underline{n}' = \underline{t} \times \underline{n}'$$

since $\underline{t}' = k\underline{n}$.

$\Rightarrow \underline{b}'$ is orthogonal to \underline{t}

Also \underline{b}' is orthogonal to \underline{b}

So \exists scalar τ (torsion) such that $\underline{b}' = \tau \underline{n}$

$$\text{Now } \underline{n} = \underline{b} \times \underline{t}$$

$$\Rightarrow \underline{n}' = \underline{b}' \times \underline{t} + \underline{b} \times \underline{t}' = \tau \underline{n} \times \underline{t} + k \underline{b} \times \underline{n} = -k \underline{t} - \tau \underline{b}$$

Frenet Formulas

$$\underline{t}' = k \underline{n}$$

$$\underline{n}' = -k \underline{t} - \tau \underline{b}$$

$$\underline{b}' = \tau \underline{n}$$

The Frenet formulas can be written in a (compact) way as $F'(s) = A(s)F(s)$

where F is the 3×3 matrix

$$F = \begin{pmatrix} - & \underline{t} & - \\ - & \underline{n} & - \\ - & \underline{b} & - \end{pmatrix} \text{ and } A(s) = \begin{pmatrix} 0 & k & 0 \\ -k & 0 & -\tau \\ 0 & \tau & 0 \end{pmatrix}$$

Example:

$$\gamma(s) = \left(a \cos \frac{s}{\sqrt{a^2+b^2}}, a \sin \frac{s}{\sqrt{a^2+b^2}}, \frac{bs}{\sqrt{a^2+b^2}} \right)$$

$$\Rightarrow \gamma'(s) = \frac{1}{\sqrt{a^2+b^2}} \left(-a \sin \frac{s}{\sqrt{a^2+b^2}}, a \cos \frac{s}{\sqrt{a^2+b^2}}, b \right)$$

$\underline{t}(s)$

$$\underline{t}'(s) = \frac{-1}{\sqrt{a^2+b^2}} \left(a \cos \frac{s}{\sqrt{a^2+b^2}}, a \sin \frac{s}{\sqrt{a^2+b^2}}, 0 \right)$$

$$k(s) = |\underline{t}'| = \frac{a}{a^2+b^2} \quad \underline{n} = \frac{\underline{t}'}{k} = - \left(\cos \frac{s}{\sqrt{a^2+b^2}}, \sin \frac{s}{\sqrt{a^2+b^2}}, 0 \right)$$

$$\underline{b} = \underline{t} \times \underline{n} = \frac{1}{\sqrt{a^2+b^2}} \left(b \sin \frac{s}{\sqrt{a^2+b^2}}, -b \cos \frac{s}{\sqrt{a^2+b^2}}, a \right)$$

$$\underline{b}' = \frac{b}{a^2+b^2} \left(\cos \frac{s}{\sqrt{a^2+b^2}}, \sin \frac{s}{\sqrt{a^2+b^2}}, 0 \right)$$

$$= - \frac{b}{a^2+b^2} \underline{n} \quad \tau = - \frac{b}{a^2+b^2}$$

If $k \equiv 0 \Leftrightarrow \gamma$ traces out a straight line. ($\gamma'(s) = \underline{t}_0$ constant)

Theorem:

The torsion of a ~~curve~~ regular curve vanishes identically if and only if $\gamma(I)$ is contained in a plane.

Proof: If $\gamma(I)$ is contained in a plane P then \underline{t} and \underline{n} are parallel to P . So there are two choices \underline{v} and $-\underline{v}$ for \underline{b} at each point (where \underline{v} is a unit normal to P).

But \underline{b} is continuous so either $\underline{b} \equiv \underline{v}$ or $\underline{b} \equiv -\underline{v}$.

So $\underline{b}' \equiv 0 \Rightarrow \tau \equiv 0$.

Conversely if $\tau \equiv 0 \Rightarrow \underline{b} \equiv \underline{b}_0$ constant

$$\text{So } (\gamma \cdot \underline{b})' = (\gamma \cdot \underline{b}_0)'$$
$$= \underbrace{\underline{t} \cdot \underline{b}_0}_{=0} + \gamma \cdot \underbrace{\underline{b}_0'}_{=0} = 0$$

Since orthogonal.

$\Rightarrow (\gamma \cdot \underline{b}_0)' = 0 \Rightarrow \gamma \cdot \underline{b}_0 = c$ constant

$(\gamma(t)) = (x(t), y(t), z(t))$ equation of a plane.

Fundamental Theorem of the local theory of curves.

Given differentiable functions $\kappa: I \rightarrow \mathbb{R}_{>0}$, $\tau: I \rightarrow \mathbb{R}$ there exists a regular curve $\gamma: I \rightarrow \mathbb{R}^3$ such that $\kappa(s)$ and $\tau(s)$ are the curvature and torsion respectively of $\gamma(s)$ as functions of arclength.

Furthermore, γ is unique upto a rigid ~~rotation~~ motion in \mathbb{R}^3

Proof:

We begin by constructing an ~~initial~~ orthonormal frame.

Let $(\underline{t}_0, \underline{n}_0, \underline{b}_0)$ be a right-handed system of orthonormal vectors ($\underline{b}_0 = \underline{t}_0 \times \underline{n}_0$)

Now consider the initial value problem * with $\underline{t}(s_0) = \underline{t}_0$, $\underline{n}(s_0) = \underline{n}_0$, $\underline{b}(s_0) = \underline{b}_0$

for some $s_0 \in I$. (system of 9 linear scalar ODEs)

The theory of ODEs $\Rightarrow \exists$ unique solution $(\underline{t}(s), \underline{n}(s), \underline{b}(s))$ for $s \in I$

~~Now~~ We need to check that $(\underline{t}, \underline{n}, \underline{b})$ is an orthonormal frame.

$$\text{Consider } M = \begin{pmatrix} \underline{t} \cdot \underline{t} & \underline{t} \cdot \underline{n} & \underline{t} \cdot \underline{b} \\ \underline{n} \cdot \underline{t} & \underline{n} \cdot \underline{n} & \underline{n} \cdot \underline{b} \\ \underline{b} \cdot \underline{t} & \underline{b} \cdot \underline{n} & \underline{b} \cdot \underline{b} \end{pmatrix} = \underline{F} \cdot \underline{F}^t$$

want to show $M \equiv \underline{I}$

$$\text{Now } M' = \underline{F}' \cdot \underline{F}^t + \underline{F} \cdot (\underline{F}^t)'$$

$$= \underline{A} \underline{F} \underline{F}^t + \underline{F} \underline{F}^t \underline{A}^t$$

$$= \underline{A} \underline{F} \underline{F}^t - \underline{F} \underline{F}^t \underline{A} \quad (\underline{A}^t = -\underline{A})$$

$$= \underline{A} \underline{M} - \underline{M} \underline{A}$$

$$M' = \underline{A} \underline{M} - \underline{M} \underline{A} \quad (= [\underline{A}, \underline{M}]) \quad ***$$

$$\text{At } s = s_0, \underline{t} = \underline{t}_0, \underline{n} = \underline{n}_0, \underline{b} = \underline{b}_0 \Rightarrow M(s_0) = \underline{I}_{3 \times 3}$$

There is a unique solution of *** with this initial condition.

Clearly $M \equiv \underline{I}_{3 \times 3}$ is a solution of *** with this initial condition

$\Rightarrow M \equiv \underline{I}_{3 \times 3}$ is the only solution.

$\therefore (\underline{t}, \underline{n}, \underline{b})$ is an orthonormal frame.

$$\text{Also } \underline{b} = \underline{t} \times \underline{n} \text{ at } s = s_0 \Rightarrow \det(\underline{F}) = \det \begin{pmatrix} -\underline{t} & - \\ -\underline{n} & - \\ -\underline{b} & - \end{pmatrix} = 1 \text{ at } s_0.$$

$\det \underline{F} = \pm 1$ at each $s \in I$, but $\det \underline{F}$ is continuous so $\det \underline{F} \equiv 1$

$\Rightarrow (\underline{t}, \underline{n}, \underline{b})$ is a righthanded orthonormal frame.

$$\text{Define } \gamma(s) := \int_{s_0}^s \underline{t}(\hat{s}) d\hat{s} \Rightarrow \underline{t}(s) = \gamma'(s)$$

So γ is a curve with curvature κ and torsion τ .

Uniqueness: (rigid motion = rotation + translation).

Assume we have 2 curves $\gamma: I \rightarrow \mathbb{R}^3$ and $\tilde{\gamma}: I \rightarrow \mathbb{R}^3$ with the same k and τ .

Some $s_0 \in I$ $(\underline{t}(s_0), \underline{n}(s_0), \underline{b}(s_0))$ and $(\hat{t}(s_0), \hat{n}(s_0), \hat{b}(s_0))$ are 2 right handed orthonormal frames, so there is a rotation $p \in SO_3$ such that $\hat{t}(s_0) = p \cdot \underline{t}(s_0)$, $\hat{n}(s_0) = p \cdot \underline{n}(s_0)$, $\hat{b}(s_0) = p \cdot \underline{b}(s_0)$

Define a new frame $(\hat{\underline{t}}(s), \hat{\underline{n}}(s), \hat{\underline{b}}(s)) := (p^{-1} \cdot \hat{t}(s), p^{-1} \cdot \hat{n}(s), p^{-1} \cdot \hat{b}(s))$

Want to show that $\hat{\underline{t}} \equiv \underline{t}$ etc.

Note ~ and A different

$$\begin{aligned} \text{Now } \frac{d}{ds} & \left(|\underline{t}(s) - \hat{\underline{t}}(s)|^2 + |\underline{n}(s) - \hat{\underline{n}}(s)|^2 + |\underline{b}(s) - \hat{\underline{b}}(s)|^2 \right) \\ &= 2 \left((\underline{t} - \hat{\underline{t}}) \cdot (\underline{t}' - \hat{\underline{t}}') + (\underline{n} - \hat{\underline{n}}) \cdot (\underline{n}' - \hat{\underline{n}}') - (\underline{b} - \hat{\underline{b}}) \cdot (\underline{b}' - \hat{\underline{b}}') \right) \\ &= 2 \left(k(\underline{t} - \hat{\underline{t}}) \cdot (\underline{n} - \hat{\underline{n}}) + [-k(\underline{n} - \hat{\underline{n}}) \cdot (\underline{t} - \hat{\underline{t}}) - \tau(\underline{n} - \hat{\underline{n}}) \cdot (\underline{b} - \hat{\underline{b}})] \right. \\ & \quad \left. + \tau(\underline{b} - \hat{\underline{b}}) \cdot (\underline{n} - \hat{\underline{n}}) \right) = 0. \end{aligned}$$

$$G(s) := |\underline{t}(s) - \hat{\underline{t}}(s)|^2 + |\underline{n}(s) - \hat{\underline{n}}(s)|^2 + |\underline{b}(s) - \hat{\underline{b}}(s)|^2 = a \text{ constant}$$

Now at $s = s_0$

$$\hat{\underline{t}}(s_0) = \underline{t}(s_0), \quad \hat{\underline{n}}(s_0) = \underline{n}(s_0), \quad \hat{\underline{b}}(s_0) = \underline{b}(s_0)$$

$$\Rightarrow G(s_0) = 0 = a \Rightarrow G(s) \equiv 0$$

$$\Rightarrow \hat{\underline{t}} \equiv \underline{t}, \quad \hat{\underline{n}} \equiv \underline{n}, \quad \hat{\underline{b}} \equiv \underline{b}$$

$$\hat{\underline{t}} = \underline{t} \Rightarrow p^{-1} \circ \hat{\underline{t}} = \underline{t}$$

$$\Rightarrow \hat{\underline{t}} = p \cdot \underline{t} \Rightarrow \hat{\gamma}'(s) \equiv p \cdot \gamma'(s)$$

$$\Rightarrow \hat{\gamma}(s) = p \cdot \gamma(s) + \underline{c} \cdot \text{constant}$$

Let U be an open subset of \mathbb{R}^m and V be an open subset of \mathbb{R}^n . Let $f: U \rightarrow V$ be a smooth map and suppose that f is a local diffeomorphism at a point $p \in U$. Then there is a neighbourhood W of p in U such that the restriction of f to W is a diffeomorphism onto its image $f(W)$.
 A non-empty subset $\Sigma \subset \mathbb{R}^3$ is called a regular surface if for every point $p \in \Sigma$ there is an open subset U of \mathbb{R}^2 and an open set V of \mathbb{R}^3 and an onto map $\sigma: U \rightarrow V \cap \Sigma$ such that $\sigma^{-1}(p) = \{p\}$ and σ is a homeomorphism (continuous and continuous inverse).
 The differential $D\sigma: \mathbb{R}^2 \rightarrow \mathbb{R}^3$ is one-to-one.

Chapter 2: Surfaces

Differentiable functions $f: \mathbb{R}^m \rightarrow \mathbb{R}^n$

Definition:

Let U be an open subset of \mathbb{R}^m and let $f: U \rightarrow \mathbb{R}$ be a real valued function.

For any unit vector $\underline{v} \in \mathbb{R}^m$ the directional derivative of f at $\underline{x} \in U$ in the direction \underline{v} is given by $\lim_{h \rightarrow 0^+} \frac{f(\underline{x} + h\underline{v}) - f(\underline{x})}{h}$ if this limit exists

If it exists we denote it by $D_{\underline{v}} f(\underline{x})$.

Let $\underline{e}_1, \underline{e}_2, \dots, \underline{e}_m$ be the standard basis for \mathbb{R}^m .

Then $D_{\underline{e}_j} f(\underline{x})$ is called the partial derivative of f wrt x_j

$$\frac{\partial f}{\partial x_j} = D_{\underline{e}_j} f$$

eg. $f(x, y, z)$, $\frac{\partial f}{\partial y} = \lim_{h \rightarrow 0^+} \frac{f(x, y+h, z) - f(x, y, z)}{h}$

Example:

The partial derivatives f_x and f_y for $f(x, y) = \begin{cases} \frac{xy}{x^2 + y^2} & (x, y) \neq (0, 0) \\ 0 & (x, y) = (0, 0) \end{cases}$

exist for all $(x, y) \in \mathbb{R}^2$. But f is not continuous at $(0, 0)$

Definition:

Let U be an open subset of \mathbb{R}^m and $f: U \rightarrow \mathbb{R}$.

We say that f is once differentiable at a point $\underline{a} = (a_1, a_2, \dots, a_m) \in U$

if \exists real numbers b_1, \dots, b_m such that

$$\lim_{\|\underline{x} - \underline{a}\| \rightarrow 0} \frac{f(\underline{x}) - f(\underline{a}) - \sum_{j=1}^m b_j (x_j - a_j)}{\|\underline{x} - \underline{a}\|} = 0.$$

in fact $b_j = \left. \frac{\partial f}{\partial x_j} \right|_{\underline{x}=\underline{a}}$

From now on 'differentiable' will mean only differentiable.

Definition:

$$F: U \subset \mathbb{R}^m \rightarrow \mathbb{R}^n \quad (\underline{x}_1, \dots, \underline{x}_m)^T \in U$$

$F(\underline{x}) = (f_1(\underline{x}), f_2(\underline{x}), \dots, f_n(\underline{x}))^T$ we define the differential of F to be

the linear map $(DF)_{\underline{x}}: \mathbb{R}^m \rightarrow \mathbb{R}^n$ such that

$$F(x + \Delta x) = F(x) + (DF)_x(\Delta x) + R(x, \Delta x)$$

where $\Delta x \in \mathbb{R}^m$ and $\lim_{\Delta x \rightarrow 0} \frac{R(x, \Delta x)}{|\Delta x|} = 0$

In matrix form the DF can be represented by the Jacobian matrix

$$(DF)_x = \begin{pmatrix} \frac{\partial f_1}{\partial x_1} & \frac{\partial f_1}{\partial x_2} & \dots & \frac{\partial f_1}{\partial x_m} \\ \frac{\partial f_2}{\partial x_1} & \frac{\partial f_2}{\partial x_2} & \dots & \frac{\partial f_2}{\partial x_m} \\ \vdots & \vdots & \ddots & \vdots \\ \frac{\partial f_n}{\partial x_1} & \frac{\partial f_n}{\partial x_2} & \dots & \frac{\partial f_n}{\partial x_m} \end{pmatrix}$$

If $m = n$ $\frac{\partial(f_1, \dots, f_n)}{\partial(x_1, \dots, x_n)} := \det \begin{pmatrix} \frac{\partial f_1}{\partial x_1} & \dots & \frac{\partial f_1}{\partial x_n} \\ \vdots & \ddots & \vdots \\ \frac{\partial f_n}{\partial x_1} & \dots & \frac{\partial f_n}{\partial x_n} \end{pmatrix}$

Inverse function Theorem (multivariable)

Let $f: U \subset \mathbb{R}^n \rightarrow \mathbb{R}^n$ be a smooth map and suppose that $p \in U$, the differential DF_p is an isomorphism (ie the corresponding matrix is non-singular ie the Jacobian is non-zero). Then there is a neighbourhood V of p in U and a nght W of $F(p)$ in \mathbb{R}^n such that the restriction of f to V , $f: V \rightarrow W$ has a smooth inverse $f^{-1}: W \rightarrow V$.

Regular Surfaces

Definition:

A non-empty subset $\Sigma \subset \mathbb{R}^3$ is called a regular surface if, for each $p \in \Sigma$ there is an open subset $U \subset \mathbb{R}^2$ and an open nght V of p in \mathbb{R}^3 and an onto map $\sigma: U \rightarrow V \cap \Sigma$ such that

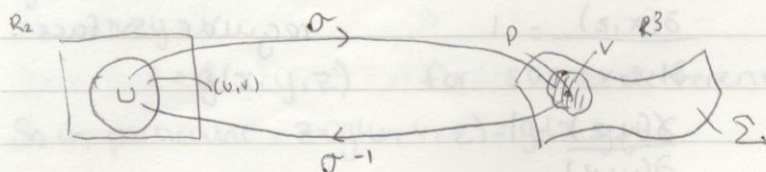
1. σ is a smooth function

(ie if $\sigma(u, v) = (x(u, v), y(u, v), z(u, v))$ then x, y, z are smooth functions)

2. σ is a homeomorphism (continuous and continuous inverse)

(ie show $\sigma^{-1}: V \cap \Sigma \rightarrow U$ is continuous)

3. The differential $D\sigma: \mathbb{R}^2 \rightarrow \mathbb{R}^3$ is one-to-one



We'll see later that 3 is required to define a nice tangent plane)

Do is one-to-one $\Leftrightarrow \frac{\partial \sigma}{\partial u} \times \frac{\partial \sigma}{\partial v} \neq 0$

\Leftrightarrow at least one of the Jacobians $\frac{\partial(x,y)}{\partial(u,v)}$, $\frac{\partial(y,z)}{\partial(u,v)}$, $\frac{\partial(z,x)}{\partial(u,v)}$ is non-zero.

$\sigma(u,v) = (x(u,v), y(u,v), z(u,v))$ $\frac{\partial \sigma(x,y)}{\partial(u,v)} = \begin{pmatrix} \frac{\partial x}{\partial u} & \frac{\partial x}{\partial v} \\ \frac{\partial y}{\partial u} & \frac{\partial y}{\partial v} \end{pmatrix}$

Example:

The paraboloid $z = x^2 + y^2$ is the image Σ_1 of the map $\sigma: \mathbb{R}^2 \rightarrow \Sigma_1$ given by $\sigma(u,v) = (u, v, u^2 + v^2)$

- 1. σ is smooth (all components are polynomials)
- 2. Different (u,v) give different $(u, v, u^2 + v^2)$.

The inverse map σ^{-1} is continuous as it is the restriction of the (continuous) projection map $(x, y, z) \mapsto (x, y)$.

3. $\frac{\partial(x,y)}{\partial(u,v)} = \frac{\partial(u,v)}{\partial(u,v)} = \begin{vmatrix} 1 & 0 \\ 0 & 1 \end{vmatrix} = 1 \neq 0$

$\Rightarrow \Sigma_1$ is a regular surface.

Theorem:

If $f: U \rightarrow \mathbb{R}$ is smooth on an open subset $U \subset \mathbb{R}^2$ then the graph of f (ie $\{(x, y, f(x,y))\}$) is a regular surface.

Example:

The sphere $S^2 = \{(x,y,z) : x^2 + y^2 + z^2 = 1\}$ cannot be covered by a single coordinate patch.

In this example we will cover S^2 using six patches.

Let $U = \{(x,y) \in \mathbb{R}^2 : x^2 + y^2 < 1\}$.

Consider the following maps:

$\sigma_j: U \rightarrow \mathbb{R}^3$, $j=1, \dots, 6$ given by

$\sigma_1(u,v) = (u, v, \sqrt{1-u^2-v^2})$

$\frac{\partial(x,y)}{\partial(u,v)} = 1$

$\sigma_2(u,v) = (u, v, -\sqrt{1-u^2-v^2})$

$\frac{\partial(x,y)}{\partial(u,v)} = 1$

$\sigma_3(u,v) = (u, \sqrt{1-u^2-v^2}, v)$

$\frac{\partial(x,z)}{\partial(u,v)} = 1$

$\sigma_4(u,v) = (u, -\sqrt{1-u^2-v^2}, v)$

$\frac{\partial(x,z)}{\partial(u,v)} = 1$

$\sigma_5(u,v) = (\sqrt{1-u^2-v^2}, u, v)$

$\frac{\partial(y,z)}{\partial(u,v)} = 1$

$\sigma_6(u,v) = (-\sqrt{1-u^2-v^2}, u, v)$

$\frac{\partial(y,z)}{\partial(u,v)} = 1$

So S^2 is a regular surface.

Theorem:

Let $f: U \rightarrow \mathbb{R}$ be a smooth function on an open subset U of \mathbb{R}^3 and $a \in f(U) = \{f(x) : x \in U\}$.

If for all $p \in f^{-1}(a) = \{(x, y, z) \in U : f(x, y, z) = a\}$

$f_x(p), f_y(p), f_z(p)$ are not all zero, then $f^{-1}(a)$ is a regular surface in \mathbb{R}^3 .

Example: $S^2 = \{(x, y, z) : x^2 + y^2 + z^2 = 1\}$.

Consider $f(x, y, z) = x^2 + y^2 + z^2$ then $S^2 = f^{-1}(1)$

$f_x = 2x, f_y = 2y, f_z = 2z$

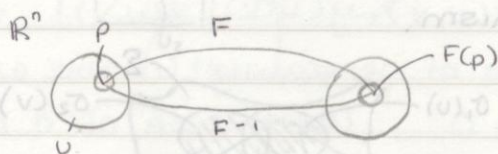
But $f_x = f_y = f_z = 0$ iff $(x, y, z) = (0, 0, 0) \notin S^2$.

So S^2 is a regular curve.

Recall: Inverse function theorem

$F: U \subset \mathbb{R}^n \rightarrow \mathbb{R}^n, p \in U$

$(DF)_p$ is an isomorphism



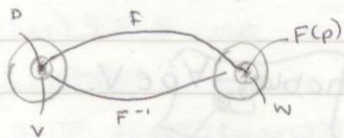
Proof: By relabelling axes if necessary, take $f_z(p) \neq 0$ and define the map

$F: U \rightarrow \mathbb{R}^3$ by $F \begin{pmatrix} x \\ y \\ z \end{pmatrix} = \begin{pmatrix} x \\ y \\ f(x, y, z) \end{pmatrix}$

$DF = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ f_x & f_y & f_z \end{pmatrix}$ for $\det(DF)|_p = f_z(p) \neq 0$

So by the inverse function theorem there is a locally defined smooth inverse F^{-1} .

Specifically, there exists a neighborhood $V \subset \mathbb{R}^3$ of p and a neighborhood $W \subset \mathbb{R}^3$ of $F(p)$ such that F restricted to V is invertible and maps onto W and $F^{-1}: W \rightarrow V$ is differentiable.



Writing $\begin{pmatrix} x \\ y \\ z \end{pmatrix} = F^{-1} \begin{pmatrix} u \\ v \\ t \end{pmatrix}$ $\left\{ \text{i.e. } \begin{pmatrix} u \\ v \\ t \end{pmatrix} = F \begin{pmatrix} x \\ y \\ z \end{pmatrix} = \begin{pmatrix} x \\ y \\ f(x, y, z) \end{pmatrix} \right\}$

gives $x = u$
 $y = v$

$z = g(x, y, z)$ for some differentiable function g .

So in particular $z = g(u, v, z) = g(x, y, t)$

Now on the surface $a = f(x, y, z) = t$

So on the surface $z = g(x, y, a) = h(x, y)$

\Rightarrow locally the set is a smooth surf graph

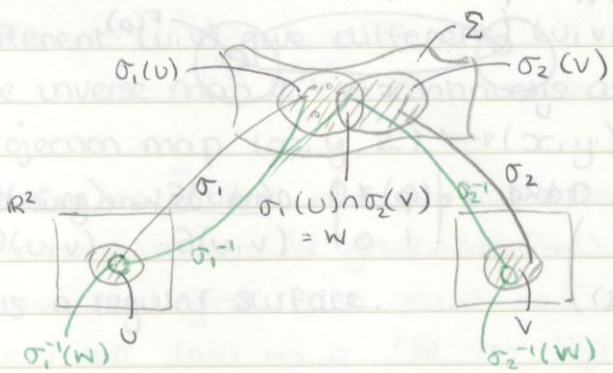
\Rightarrow regular surface.

Recall: That a function ~~map~~ $f: A \rightarrow B$ is called a diffeomorphism if it is differentiable and has a differentiable inverse $f^{-1}: B \rightarrow A$.

Theorem:

Let $\sigma_1: U \rightarrow \Sigma$ and $\sigma_2: V \rightarrow \Sigma$ be two parametrisations of a regular surface Σ , such that $W := \sigma_1(U) \cap \sigma_2(V) \neq \emptyset$.

Then the "change of coordinates" $h := \sigma_1 \circ \sigma_2^{-1}: \sigma_2^{-1}(W) \rightarrow \sigma_1^{-1}(W)$ is a diffeomorphism.

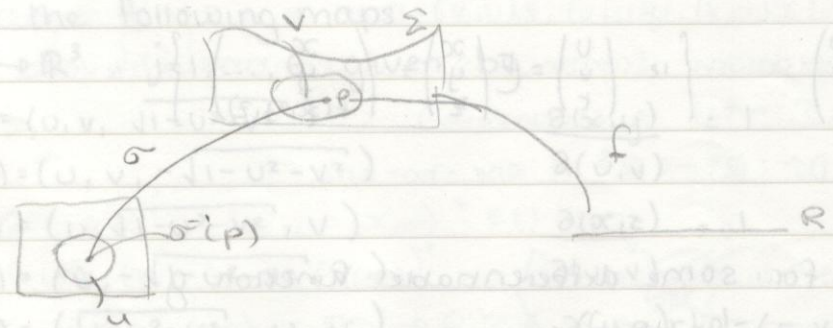


Functions on surfaces

Definition:

Let $f: V \rightarrow \mathbb{R}$ be a function on open subset V of a regular surface Σ . Then f is said to be smooth or differentiable at $p \in V$ if, for some parametrisation $\sigma: U \rightarrow \Sigma$ with $p \in \sigma(U) \subset V$, the composition $f \circ \sigma: U \rightarrow \mathbb{R}$ is differentiable at $\sigma^{-1}(p)$.

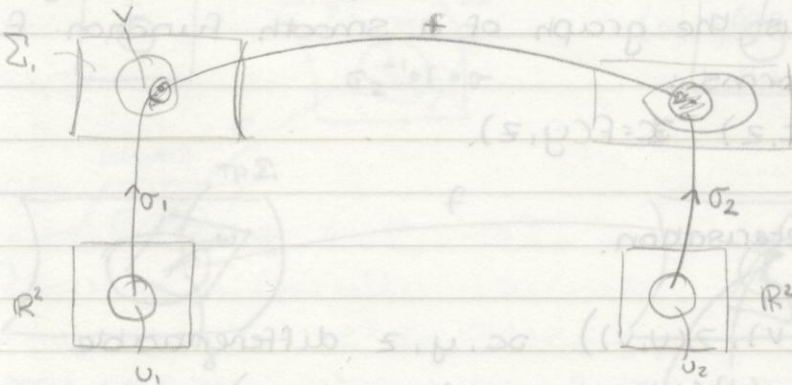
We say that f is differentiable if it is differentiable $\forall p \in V$.



(Previous thm show this definition is independent of parametrisation).

Definition:

Let Σ_1 and Σ_2 be regular surfaces. Let V be an open subset of Σ_1 . A map $f: V \rightarrow \Sigma_2$ is said to be differentiable at $p \in V$ if there are parametrisations $\sigma_1: U_1 \rightarrow \Sigma_1$, $\sigma_2: U_2 \rightarrow \Sigma_2$ with $p \in \sigma_1(U_1)$ and $f(\sigma_1(u_1)) \in \sigma_2(U_2)$ such that $\sigma_2^{-1} \circ f \circ \sigma_1: U_1 \rightarrow U_2$ is differentiable at $\sigma_1^{-1}(p)$.



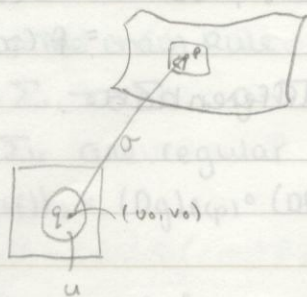
The tangent plane

Definition:

Let $\Sigma \subset \mathbb{R}^3$ be a regular surface. For any $p \in \Sigma$, a vector $v \in \mathbb{R}^3$ is called a tangent vector to Σ at $p \in \Sigma$ if there is a curve $\gamma: (-\epsilon, \epsilon) \rightarrow \Sigma$ for some $\epsilon > 0$ such that $\gamma(0) = p$ and $\gamma'(0) = v$.

The set of all vectors tangent to Σ at p is called the tangent plane to Σ at p and is denoted by $T_p \Sigma$.

Given a parametrisation $\sigma: U \rightarrow \Sigma$, $p \in \sigma(U)$, a basis for $T_p \Sigma$ is given by $\{\sigma_u(q), \sigma_v(q)\}$ where $\sigma(q) = p$.



$$\frac{\partial \sigma(u, v)}{\partial u}$$

$$q = (u_0, v_0)$$

$$\gamma(t) = \sigma(t + u_0, v_0)$$

$$\gamma(0) = p = \sigma(u_0, v_0)$$

$$\gamma'(0) = \sigma_u(u_0, v_0)$$

Note that $\sigma_u(q)$ and $\sigma_v(q)$ are linearly independent since for a regular surface $\sigma_u \times \sigma_v \neq 0$.

Let $\gamma: (-\varepsilon, \varepsilon) \rightarrow \Sigma$ be a curve through $\gamma(0) = p$.

Define $(u(t), v(t)) = \sigma^{-1} \circ \gamma(t)$

Then $\gamma(t) = \sigma(u(t), v(t))$

So $\gamma'(0) = \sigma_u(q)u'(0) + \sigma_v(q)v'(0)$ $q = \sigma^{-1}(p)$

Theorem:

Let $\Sigma \subset \mathbb{R}^3$ be a regular surface. For every $p \in \Sigma$ \exists a neighborhood V of p in Σ such that V is the graph of a smooth function f of one of the following forms:

$$z = f(x, y), \quad x = f(y, z), \quad y = f(x, z).$$

Proof: Let σ be a parameterisation.

$$\sigma: U \rightarrow \Sigma, \quad (p \in \sigma(U))$$

$$\sigma(u, v) = (x(u, v), y(u, v), z(u, v)) \quad x, y, z \text{ differentiable}$$

Without loss of generality (relabel axis if necessary)

$$\frac{\partial(x, y)}{\partial(u, v)} \neq 0 \quad (\text{definition of a regular surface}).$$

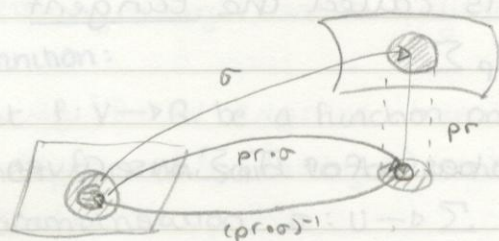
Let $pr: \mathbb{R}^3 \rightarrow \mathbb{R}^2$ be the projection onto the xy -plane.

$$pr(x, y, z) = (x, y)$$

$$\text{So } pr \circ \sigma(u, v) = (x(u, v), y(u, v))$$

$$pr \circ \sigma: U \rightarrow \mathbb{R}^2$$

So, since $\frac{\partial(x, y)}{\partial(u, v)} \neq 0$ \exists a local inverse



$$\sigma(u, v) = (x(u, v), y(u, v), z(u, v))$$

So u and v are differentiable maps of x and y .

Also $z = z(u, v)$ is a differentiable map of u and v , so $z = z(x(u, v), y(u, v)) = f(x, y)$ where f is differentiable.

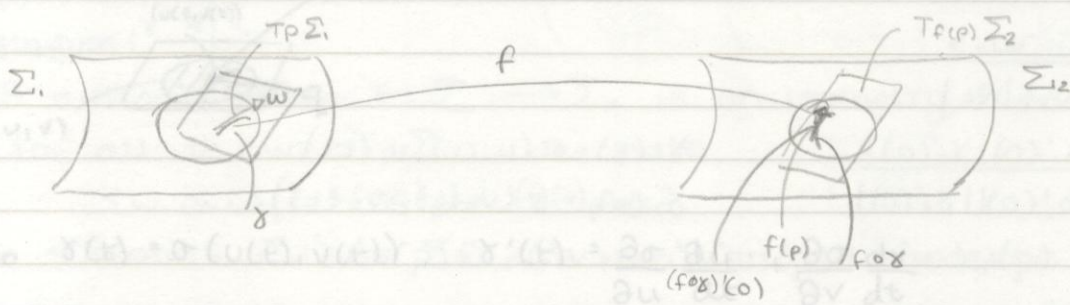
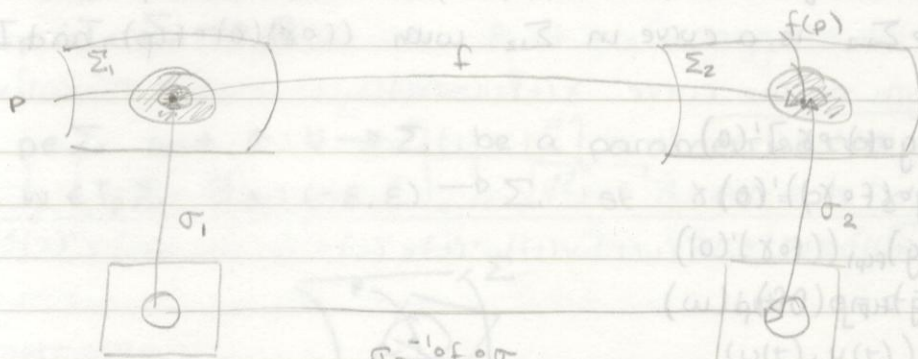
Example:

The cone $z = \sqrt{x^2 + y^2}$ is not a regular surface

If it were it could be written as a graph with respect to one of the coordinate planes in a neighborhood of $(0, 0, 0)$

1. $z = f(x, y) = \sqrt{x^2 + y^2}$ but this is not differentiable

2. $x=f(y,z)$ or $y=f(x,z)$ but it could not be single valued.



Definition:

Let $f: \Sigma_1 \rightarrow \Sigma_2$ be a differentiable function between the regular surfaces Σ_1 & Σ_2 .

For any point $p \in \Sigma_1$, and any vector $w \in T_p \Sigma_1$, let $\gamma: (-\epsilon, \epsilon) \rightarrow \Sigma_1$, be a curve such that $\gamma(0) = p$ and $\gamma'(0) = w$.

Then the map $(Df)_p: T_p \Sigma_1 \rightarrow T_{f(p)} \Sigma_2$ is called the differential of f at p and is given by

$$(Df)_p(w) = (f \circ \gamma)'(0).$$

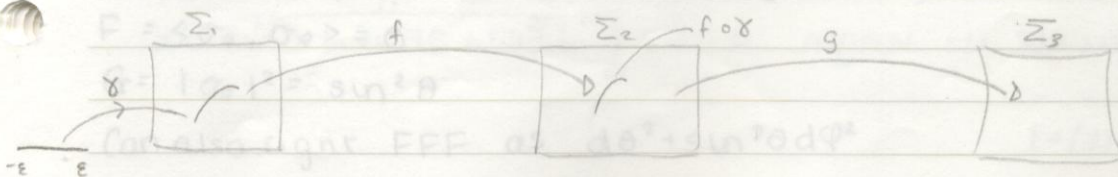
Lemma:

The differential $(Df)_p$, defined above, is independent of choice of γ .

Theorem: The Chain Rule

Let $f: \Sigma_1 \rightarrow \Sigma_2$, $g: \Sigma_2 \rightarrow \Sigma_3$ be two differentiable maps where $\Sigma_1, \Sigma_2, \Sigma_3$ are regular surfaces in \mathbb{R}^3 . For any $p \in \Sigma_1$,

$$(D(g \circ f))_p = (Dg)_{f(p)} \circ (Df)_p$$



Proof: compositions are well defined

Choose $w \in T_p \Sigma_1$ and let $\gamma: (-\epsilon, \epsilon) \rightarrow \Sigma_1$, be such that $\gamma(0) = p$ & $\gamma'(0) = w$
 Then for $\delta: (-\epsilon, \epsilon) \rightarrow \Sigma_2$ is a curve in Σ_2 with $(f \circ \gamma)(0) = f(p)$ and
 $(f \circ \gamma)'(0) = (Df)_p(w)$

Hence $D(g \circ f)_p(w) = [(g \circ f) \circ \gamma]'(0)$
 $= (g \circ (f \circ \gamma))'(0)$
 $= (Dg)_{f(p)}((f \circ \gamma)'(0))$
 $= (Dg)_{f(p)} \circ (Df)_p(w)$

Let $\Sigma_1 \subset \mathbb{R}^3$ be a surface. For every $p \in \Sigma_1$ there exists a neighborhood U of p in Σ_1 such that U is the graph of a smooth function f of one of the following forms:
 $z = f(x, y)$, $y = f(x, z)$, $x = f(y, z)$

Proof: Let σ be a parametrisation $\sigma: U \rightarrow \Sigma_1$, $(p \in \sigma(U))$
 $\sigma(u, v) = (x(u, v), y(u, v), z(u, v))$ x, y, z differentiable
 Without loss of generality (rotating axis if necessary)
 $\frac{\partial(x, y)}{\partial(u, v)} \neq 0$ (definition of a regular surface)

Let $\pi: \mathbb{R}^3 \rightarrow \mathbb{R}^2$ be the projection onto the xy -plane
 $\pi(\sigma(u, v)) = (x(u, v), y(u, v))$
 So $\pi \circ \sigma(u, v) = (x(u, v), y(u, v))$
 For any point $p \in \Sigma_1$ and any vector $w \in T_p \Sigma_1$, let $\gamma: (-\epsilon, \epsilon) \rightarrow \Sigma_1$ be a curve with $\gamma(0) = p$ and $\gamma'(0) = w$.
 Then the map $(D\pi)_p: T_p \Sigma_1 \rightarrow T_p \mathbb{R}^2$ is called the differential of π at p and is given by $(D\pi)_p(w) = \pi'(\sigma(u, v)) \cdot \sigma'(u, v)$

So w and v are differentiable maps of u and v to \mathbb{R}^2
 $(D\pi)_p(w) = \pi'(\sigma(u, v)) \cdot \sigma'(u, v)$
 $= \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} x_u & x_v \\ y_u & y_v \\ z_u & z_v \end{pmatrix} = \begin{pmatrix} x_u & x_v \\ y_u & y_v \end{pmatrix}$
 Therefore $(D\pi)_p(w) = \begin{pmatrix} x_u & x_v \\ y_u & y_v \end{pmatrix} \cdot \begin{pmatrix} u' \\ v' \end{pmatrix} = \begin{pmatrix} x_u u' + x_v v' \\ y_u u' + y_v v' \end{pmatrix}$
 Let $f: \mathbb{R}^2 \rightarrow \mathbb{R}^2$ be a differentiable map, where $f(u, v) = (x(u, v), y(u, v))$. For any $p \in \Sigma_1$

Example:
 The cone $z = \sqrt{x^2 + y^2}$ is not a regular surface.
 If it were it could be written as a graph with respect to one of the coordinate planes in a neighborhood of $(0, 0, 0)$.
 $z = f(x, y) = \sqrt{x^2 + y^2}$ but this is not differentiable

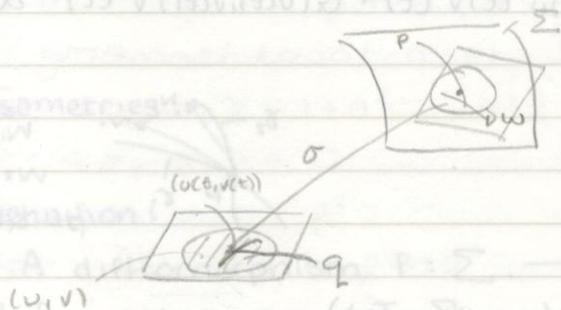
Definition:

The FFF is the function $I_p(w) = \langle w, w \rangle = |w|^2 \quad \forall w \in T_p \Sigma$

$$I_p : T_p \Sigma \rightarrow \mathbb{R}.$$

Let $p \in \Sigma$ and $\sigma : U \rightarrow \Sigma$ be a parametrisation such that $p \in \sigma(U)$

For $w \in T_p \Sigma \quad \exists \gamma : (-\varepsilon, \varepsilon) \rightarrow \Sigma$ st $\gamma(0) = p, \gamma'(0) = w$.



Let $q = \sigma^{-1}(p)$ and define

$$(u(t), v(t)) = \sigma^{-1} \circ \gamma(t)$$

$$\text{So } \gamma(t) = \sigma(u(t), v(t)) \quad \gamma'(t) = \frac{\partial \sigma}{\partial u} \frac{du}{dt} + \frac{\partial \sigma}{\partial v} \frac{dv}{dt}$$

$$w = \gamma'(0) = \sigma_u(q) u'(0) + \sigma_v(q) v'(0) \quad (q = (u(0), v(0)))$$

$$\begin{aligned} I_p(w) = \langle w, w \rangle &= \langle \sigma_u u'(0) + \sigma_v v'(0), \sigma_u u'(0) + \sigma_v v'(0) \rangle \\ &= \langle \sigma_u, \sigma_u \rangle u'(0)^2 + 2 \langle \sigma_u, \sigma_v \rangle u'(0) v'(0) + \langle \sigma_v, \sigma_v \rangle v'(0)^2 \\ &= E u'^2 + 2F u'v' + G v'^2 \quad * \end{aligned}$$

where $E = \langle \sigma_u, \sigma_u \rangle, F = \langle \sigma_u, \sigma_v \rangle, G = \langle \sigma_v, \sigma_v \rangle$

E, F, G are called the components of the FFF

Motivated by * we also call $E du^2 + 2F du dv + G dv^2$ (formal exp) the FFF

Example

Consider the part of the unit sphere covered by

$$\sigma(\theta, \phi) = (\sin \theta \cos \phi, \sin \theta \sin \phi, \cos \theta) \quad (0 < \theta < \pi, 0 < \phi < 2\pi)$$

$$\Rightarrow \sigma_\theta = (\cos \theta \cos \phi, \cos \theta \sin \phi, -\sin \theta)$$

$$\sigma_\phi = (-\sin \theta \sin \phi, \sin \theta \cos \phi, 0)$$

$$E = |\sigma_\theta|^2 = \cos^2 \theta (\cos^2 \phi + \sin^2 \phi) + \sin^2 \theta = 1$$

$$F = \langle \sigma_\theta, \sigma_\phi \rangle = 0$$

$$G = |\sigma_\phi|^2 = \sin^2 \theta$$

Can also write FFF as $d\theta^2 + \sin^2 \theta d\phi^2$

Properties that can be calculated using the FFF are called **intrinsic**.

Example:

To calculate the length of the curve $\gamma(t) = (x(t), y(t), z(t)) = \sigma(u(t), v(t))$

$$s = \int_{t_0}^{t_1} \sqrt{x'(t)^2 + y'(t)^2 + z'(t)^2} dt = \int_{t_0}^{t_1} |\dot{\gamma}(t)|^2 dt$$

$$= \int_{t_0}^{t_1} \sqrt{E(u(t), v(t))u'(t)^2 + 2F(u(t), v(t))u'(t)v'(t) + G(u(t), v(t))v'(t)^2} dt$$

Example:

Angle between curves

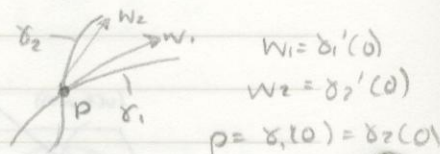
$$\cos \theta = \frac{\langle w_1, w_2 \rangle}{|w_1| |w_2|}$$

$$= \frac{\langle \dot{\gamma}_1(0), \dot{\gamma}_2(0) \rangle}{|\dot{\gamma}_1(0)| |\dot{\gamma}_2(0)|}$$

$$\dot{\gamma}_1(t) = \sigma(u_1(t), v_1(t))$$

$$\dot{\gamma}_2(t) = \sigma(u_2(t), v_2(t))$$

$$\langle \dot{\gamma}_1, \dot{\gamma}_2 \rangle = E(q)u_1' u_2' + F(q)(u_1' v_2' + u_2' v_1') + G(q)v_1' v_2'$$



Example:

Area: $\sigma: U \rightarrow \Sigma$

The area of $\sigma(U)$ is $\iint_U |\sigma_u \times \sigma_v| du dv = A(R)$

Use the identity $|\sigma_u \times \sigma_v|^2 + \langle \sigma_u, \sigma_v \rangle^2 = |\sigma_u|^2 |\sigma_v|^2$

So

$$A(R) = \iint_U \sqrt{EG - F^2} du dv$$

Example:

The helicoid is the image of \mathbb{R}^2 under the mapping $\sigma(u, v) = (v \cos u, v \sin u, au)$

$a > 0$

$$x = v \cos u, \quad y = v \sin u, \quad z = au$$

We can also calculate the FFF from

$$dx^2 + dy^2 + dz^2 = (-v \sin u du + \cos u dv)^2 + (v \cos u du + \sin u dv)^2$$

$$= (v^2 + a^2) du^2 + dv^2$$

$$\text{So } E = v^2 + a^2, \quad F = 0, \quad G = 1$$

The image of the curve $\gamma(t) = (\cos t, \sin t, at)$ $0 \leq t \leq 2\pi$ lies on the

helicoid. To calculate its length ie $s = \int_0^{2\pi} \sqrt{E\dot{u}^2 + 2F\dot{u}\dot{v} + G\dot{v}^2} dt$

where $u(t) = t$ $v(t) = 1$

$$S = \int_0^{2\pi} \sqrt{(v^2+a^2)} \cdot 1 dt = \int_0^{2\pi} \sqrt{a^2+1} dt = 2\pi\sqrt{a^2+1}$$

Also we can find the area A of the image of the region

$$U := \{(u,v) : 0 < u < 2\pi, 0 < v < 1\}$$

$$A = \int_0^{2\pi} \int_0^1 \sqrt{EG-F^2} dudv = \int_0^{2\pi} \int_0^1 \sqrt{v^2+a^2} dudv = \pi (v\sqrt{a^2+v^2} + \sinh^{-1}(v/a)) \Big|_{v=0}^{v=1} = \pi (\sqrt{a^2+1} + \sinh^{-1}(1/a))$$

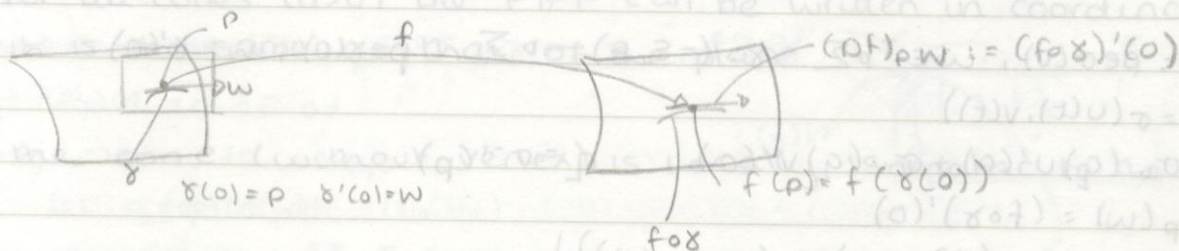
Isometries:

Definition:

A diffeomorphism $f: \Sigma_1 \rightarrow \Sigma_2$ is an isometry if for all $p \in \Sigma_1$ & for all $w_1, w_2 \in T_p \Sigma_1$, we have

$$\langle w_1, w_2 \rangle = \langle (Df)_p w_1, (Df)_p w_2 \rangle$$

The surfaces Σ_1 and Σ_2 are said to be isometric.



Clearly if f is an isometry then $I_p(w) = I_{f(p)}((Df)_p w)$

Now suppose that $I_p(w) = I_{f(p)}((Df)_p w) \quad \forall w \in T_p \Sigma_1$

$$2 \langle w_1, w_2 \rangle = \langle w_1 + w_2, w_1 + w_2 \rangle - \langle w_1, w_1 \rangle - \langle w_2, w_2 \rangle$$

$$= I_p(w_1 + w_2) - I_p(w_1) - I_p(w_2)$$

$$= \underbrace{I_{f(p)}((Df)_p w_1 + (Df)_p w_2)}_{\text{linearity}} - I_{f(p)}((Df)_p w_1) - I_{f(p)}((Df)_p w_2)$$

$$= \langle (Df)_p w_1 + (Df)_p w_2, (Df)_p w_1 + (Df)_p w_2 \rangle - \langle (Df)_p w_1, (Df)_p w_1 \rangle - \langle (Df)_p w_2, (Df)_p w_2 \rangle$$

$$= 2 \langle (Df)_p w_1, (Df)_p w_2 \rangle$$

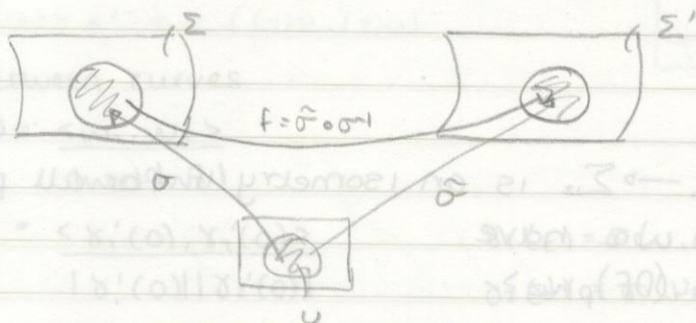
So f isometry $\Leftrightarrow I_p(w) = I_{f(p)}((Df)_p w)$

Definition:

A function $f: V \rightarrow \Sigma_2$ of a nghd V of a point $p \in \Sigma_1$ is called a local isometry if \exists a nghd \tilde{V} of $f(p)$ st $f: V \rightarrow \tilde{V}$ is an isometry.

Theorem:

Let $\sigma: U \rightarrow \Sigma$ and $\tilde{\sigma}: U \rightarrow \tilde{\Sigma}$ be parametrisations of the regular surfaces $\Sigma, \tilde{\Sigma}$ such that $E = \tilde{E}, F = \tilde{F}, G = \tilde{G}$. Then the map $f := \tilde{\sigma} \circ \sigma^{-1}: \sigma(U) \rightarrow \tilde{\Sigma}$ is a local isometry.



Proof: $p \in \sigma(U), w \in T_p \Sigma \quad \gamma: (-\epsilon, \epsilon) \rightarrow \Sigma \quad p = \gamma(0), w = \gamma'(0)$

$\gamma(t) = \sigma(u(t), v(t))$

$w = \sigma_u(q)u'(0) + \sigma_v(q)v'(0) \quad q = \sigma^{-1}(p)$

$(Df)_p(w) = (f \circ \gamma)'(0)$

$= \frac{d}{dt} \left(\underbrace{(\tilde{\sigma} \circ \sigma^{-1})}_f \circ \underbrace{\sigma(u(t), v(t))}_{\gamma(t)} \right) \Big|_{t=0}$

$= \frac{d}{dt} \left(\tilde{\sigma} \circ (\sigma^{-1} \circ \sigma)(u(t), v(t)) \right) \Big|_{t=0}$

$= \frac{d}{dt} \left(\tilde{\sigma}(u(t), v(t)) \right) \Big|_{t=0}$

$= \tilde{\sigma}_u u'(0) + \tilde{\sigma}_v v'(0)$

So $I_{f(p)}(w)(Df)_p(w) = | \tilde{\sigma}_u u'(0) + \tilde{\sigma}_v v'(0) |^2$

$= \langle \tilde{\sigma}_u, \tilde{\sigma}_u \rangle (u')^2 + \langle \tilde{\sigma}_u, \tilde{\sigma}_v \rangle u'v' + \langle \tilde{\sigma}_v, \tilde{\sigma}_v \rangle (v')^2$

$= \tilde{E}(u')^2 + 2\tilde{F}u'v' + \tilde{G}(v')^2$

$= E(u')^2 + 2Fu'v' + G(v')^2$

$= | \sigma_u u' + \sigma_v v' |^2 = I_p(w) \Rightarrow$ local isometry

Example: Consider the cone $\vec{z} = a\vec{\rho}$ in polar coordinates (without vertex), where a is a constant.

If $a=0$ then this is the plane $z=0$.

Use the parametrisation $\sigma(\rho, \theta) = (\rho \cos \theta, \rho \sin \theta, a\rho)$

$$\sigma_\rho = (\cos \theta, \sin \theta, a)$$

$$\sigma_\theta = (-\rho \sin \theta, \rho \cos \theta, 0)$$

$$E = \langle \sigma_\rho, \sigma_\rho \rangle = 1 + a^2$$

$$F = \langle \sigma_\rho, \sigma_\theta \rangle = 0$$

$$G = \langle \sigma_\theta, \sigma_\theta \rangle = \rho^2$$

$$FFF = (1+a^2)d\rho^2 + \rho^2 d\theta^2$$

Let $\tilde{\rho} = \sqrt{1+a^2} \rho$ $\tilde{\theta} = \frac{\theta}{\sqrt{1+a^2}}$

$$\Rightarrow \tilde{d\rho}^2 + \tilde{\rho}^2 d\tilde{\theta}^2$$

So for all cones ($a > 0$) the FFF can be written in coordinates st it is the same as that of the plane.

So the cone (without vertex) is locally isometric to the plane.

MICHELLE

Curvature & the 2nd Fundamental Form.

Definition:

An orientation on a surface Σ is a continuous map $N: \Sigma \rightarrow \mathbb{R}^3$ such that $\forall p \in \Sigma$, $N(p)$ is a unit normal to $T_p \Sigma$.

If Σ admits an orientation, it is called orientable.

Möbius strip is non-orientable!

Small enough pieces are orientable.

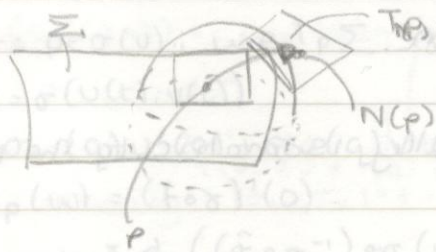
In a single coordinate map patch $\sigma: U \rightarrow \sigma(U) \subset \Sigma$, we have

$$N(p) = \pm \frac{\sigma_u \times \sigma_v}{|\sigma_u \times \sigma_v|} \quad (2 \text{ choices of orientation})$$

(With the +, we call this the standard orientation)

If we identify unit vectors with the unit sphere S^2 (in the obvious way) we have $N: \Sigma \rightarrow S^2$

Natural to consider $(DN)_p: T_p \Sigma \rightarrow T_{N(p)} S^2$



$T_{N(p)} S^2 =$ space of vectors tangent to S^2 at $N(p)$

$=$ space of vectors perp. to $N(p)$

$= T_p \Sigma$

So $(DN)_p: T_p \Sigma \rightarrow T_p \Sigma$

Unless stated otherwise, all surfaces will be assumed orientable from now on.

Self-adjoint maps

Definition:

A linear map $A: V \rightarrow V$ is self adjoint if $\langle Av, w \rangle = \langle v, Aw \rangle$ $\forall v, w \in V$ (V inner product space)

For each self-adjoint map $A: V \rightarrow V$ \exists a symmetric bilinear map

$$B(v, w) = \langle Av, w \rangle$$

If $\{e_1, e_2\}$ is an orthonormal basis for V , then the matrix $b_{ij} = \langle Ae_i, e_j \rangle$ is symmetric

For each symmetric bilinear form B on V there is a quadratic form

$$Q(v) = B(v, v)$$

Q determines B uniquely by $B(v, v) = \frac{1}{2}(Q(u+v) + Q(u) - Q(v))$.

Theorem:

Let $A: V \rightarrow V$ be a self-adjoint linear map on the real 2-dimensional inner product space V . Then the unit ^{eigen} vectors e_1 and e_2 of A form an orthonormal basis of V . The corresponding eigenvalues λ_1 and λ_2 are the max and min of $Q(v) = \langle Av, v \rangle$ on the unit circle of V .

The Second Fundamental Form.

$p \rightarrow DN(p)$ is called the Gauss map

Theorem:

The differential $(DN)_p: T_p \Sigma \rightarrow T_p \Sigma$ of the Gauss map is self-adjoint.

Proof: Let $q = \sigma^{-1}(p) = (u_0, v_0)$

Since $\{\sigma_u(q), \sigma_v(q)\}$ is a basis for $T_p \Sigma$, it suffices to show that $\langle (DN)_p \sigma_u(q), \sigma_v(q) \rangle = \langle \sigma_u(q), (DN)_p \sigma_v(q) \rangle$

Let $\gamma(t) = \sigma(u_0 + t, v_0)$.

Then $p = \gamma(0)$ and $\gamma'(0) = \sigma_u(q)$

So $(DN)_p \sigma_u(q) = (N \circ \gamma)'(0)$

$$= \frac{d}{dt} (N \circ \sigma(u_0 + t, v_0)) \Big|_{t=0}$$

$$= (N \circ \sigma)_u(q)$$

$$= \tilde{N}_u(q) \quad \text{where } \tilde{N} = N \circ \sigma$$

So we want to show $\langle \tilde{N}_u, \sigma_v \rangle = \langle \sigma_u, \tilde{N}_v \rangle$

$$\langle \sigma_u, \tilde{N} \rangle = 0$$

So diff. wrt $v: \langle \sigma_{uv}, \tilde{N} \rangle + \langle \sigma_u, \tilde{N}_v \rangle = 0$

Also $\langle \sigma_v, \tilde{N} \rangle = 0 \Rightarrow \langle \sigma_{vv}, \tilde{N} \rangle + \langle \sigma_v, \tilde{N}_u \rangle = 0$

$$\begin{pmatrix} E & F \\ F & G \end{pmatrix} \begin{pmatrix} a_1 & a_2 \\ b_1 & b_2 \end{pmatrix} = \begin{pmatrix} p & q \\ f & g \end{pmatrix}$$

Curvature & the 2nd Fundamental Form

Definition:

The quadratic form $\mathbb{I}_p(w) := -\langle (DN)_p w, w \rangle \quad \forall w \in T_p \Sigma$ is called the second Fundamental Form.

- The eigenvalues k_1 and k_2 of $-(DN)_p$ are called the principle curvatures of Σ at p .
- $K = k_1 k_2 = \det((DN)_p)$ is called the Gauss curvature
- $H = \frac{1}{2}(k_1 + k_2)$ is called the mean curvature
 $= -\frac{1}{2} \text{Tr}((DN)_p)$

For any $w \in T_p \Sigma$, $\exists \gamma$ st $\gamma(0) = p$, $\gamma'(0) = w$

$$\gamma(t) = \sigma(u(t), v(t))$$

$$w = \gamma'(0) = \sigma_u(q)u'(0) + \sigma_v(q)v'(0)$$

$$\mathbb{I}_p(w) := -\langle (DN)_p w, w \rangle$$

$$= -\langle (DN)_p (\sigma_u u' + \sigma_v v'), \sigma_u u' + \sigma_v v' \rangle$$

$$= -\langle u'(DN)_p(\sigma_u) + v'(DN)_p(\sigma_v), u'\sigma_u + v'\sigma_v \rangle$$

$$= -(u')^2 \langle (DN)_p \sigma_u, \sigma_u \rangle - u'v' \langle (DN)_p \sigma_u, \sigma_v \rangle + \langle (DN)_p \sigma_v, \sigma_u \rangle$$

$$- (v')^2 \langle (DN)_p \sigma_v, \sigma_v \rangle$$

$$= e(u,v)(u')^2 + 2f(u,v)u'v' + g(u,v)(v')^2$$

where $e(u,v) = -\langle (DN)_p \sigma_u, \sigma_u \rangle$

$$f(u,v) = -\langle (DN)_p \sigma_u, \sigma_v \rangle = -\langle (DN)_p \sigma_v, \sigma_u \rangle$$

$$g(u,v) = -\langle (DN)_p \sigma_v, \sigma_v \rangle$$

self adjoint

Also $(DN)_p \sigma_u = \tilde{N}_u$, $(DN)_p \sigma_v = \tilde{N}_v$ where $\tilde{N} = N \circ \sigma$

So $e = -\langle \tilde{N}_u, \sigma_u \rangle$

$f = -\langle \tilde{N}_u, \sigma_v \rangle = -\langle \tilde{N}_v, \sigma_u \rangle$

$g = -\langle \tilde{N}_v, \sigma_v \rangle$

Also note that $\langle \sigma_u, \tilde{N} \rangle = 0$

$$\Rightarrow \langle \sigma_{uu}, \tilde{N} \rangle + \langle \sigma_u, \tilde{N}_u \rangle = 0 \Rightarrow e = \langle \tilde{N}, \sigma_{uu} \rangle$$

$$f = \langle \tilde{N}, \sigma_{uv} \rangle$$

$$g = \langle \tilde{N}, \sigma_{vv} \rangle$$

If $\{e_i, e_3\}$ is an orthonormal basis for \mathbb{R}^3

$b_{ij} = \langle A e_i, e_j \rangle$ is symmetric

The Second Fundamental Form is often expressed as

$$e du^2 + 2f du dv + g dv^2$$

Relationships between first and second fundamental forms (FFs).

Recall that \tilde{N} is a unit vector

So \tilde{N}_u & \tilde{N}_v are orthogonal to \tilde{N} & hence in $T_p \Sigma$.

So ~~there~~ \exists scalars such that

$$\left. \begin{aligned} 1. \quad \tilde{N}_u &= a_{11} \sigma_u + a_{21} \sigma_v \\ 2. \quad \tilde{N}_v &= a_{12} \sigma_u + a_{22} \sigma_v \end{aligned} \right\} *$$

For any $w = \alpha \sigma_u + \beta \sigma_v$ we have

$$\begin{aligned} (DN)_p w &= \alpha (DN)_p(\sigma_u) + \beta (DN)_p(\sigma_v) \\ &= \alpha \tilde{N}_u + \beta \tilde{N}_v \end{aligned}$$

$$= (a_{11}\alpha + a_{12}\beta)\sigma_u + (a_{21}\alpha + a_{22}\beta)\sigma_v \quad \text{using } *$$

So $(DN)_p$ acts on the coeffs as

$$\begin{pmatrix} \alpha \\ \beta \end{pmatrix} \mapsto \begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix} \begin{pmatrix} \alpha \\ \beta \end{pmatrix}$$

So Gauss curvature $K = \det(a_{ij}) = a_{11}a_{22} - a_{12}a_{21}$

mean curvature $H = \frac{1}{2} \text{Tr}(a_{ij}) = \frac{1}{2}(a_{11} + a_{22})$

Take inner product of $*$ with σ_u :

$$\begin{aligned} \langle \tilde{N}_u, \sigma_u \rangle &= a_{11} \langle \sigma_u, \sigma_u \rangle + a_{21} \langle \sigma_v, \sigma_u \rangle \\ &= a_{11} E + a_{21} F \end{aligned}$$

Inner product of $*$ with σ_v :

$$-f = a_{11} F + a_{21} G$$

$$\langle *_{21}, \sigma_u \rangle: -f = a_{12} E + a_{22} F$$

$$\langle *_{22}, \sigma_v \rangle: -g = a_{12} F + a_{22} G.$$

These equations can be written as:

$$\begin{pmatrix} E & F \\ F & G \end{pmatrix} \begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix} = - \begin{pmatrix} e & f \\ f & g \end{pmatrix}$$

$$\Rightarrow \begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix} = - \begin{pmatrix} E & F \\ F & G \end{pmatrix}^{-1} \begin{pmatrix} e & f \\ f & g \end{pmatrix}$$

$$= \frac{1}{EG-F^2} \begin{pmatrix} \dots \end{pmatrix}$$

So $K = a_{11}a_{22} - a_{12}a_{21} = \frac{eg-f^2}{EG-F^2}$

$H = \frac{1}{2}(a_{11}+a_{22}) = \frac{1}{2} \frac{eG - 2fF + gE}{EG-F^2}$

Example: $z = \varphi(x, y)$

$\sigma(u, v) = (u, v, \varphi(u, v))$

$\sigma_u = (1, 0, \varphi_u)$

$\sigma_v = (0, 1, \varphi_v)$

$E = \langle \sigma_u, \sigma_u \rangle = 1 + \varphi_u^2$

$F = \langle \sigma_u, \sigma_v \rangle = \varphi_u \varphi_v$

$G = \langle \sigma_v, \sigma_v \rangle = 1 + \varphi_v^2$

$\sigma_u \times \sigma_v = (-\varphi_u, -\varphi_v, 1)$

Choose $\tilde{N} = \frac{\sigma_u \times \sigma_v}{|\sigma_u \times \sigma_v|} = \frac{(-\varphi_u, -\varphi_v, 1)}{\sqrt{1 + \varphi_u^2 + \varphi_v^2}}$

$\sigma_{uu} = (0, 0, \varphi_{uu}) \quad \sigma_{uv} = (0, 0, \varphi_{uv}) \quad \sigma_{vv} = (0, 0, \varphi_{vv})$

$e = -\langle \tilde{N}, \sigma_{uu} \rangle = \langle \tilde{N}, \sigma_{vv} \rangle = \frac{\varphi_{vv}}{\sqrt{1 + \varphi_u^2 + \varphi_v^2}}$

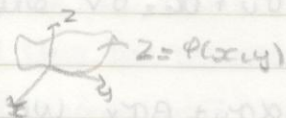
$f = \langle \tilde{N}, \sigma_{uv} \rangle = \frac{\varphi_{uv}}{\sqrt{1 + \varphi_u^2 + \varphi_v^2}}$

$g = \langle \tilde{N}, \sigma_{vv} \rangle = \frac{\varphi_{uu}}{\sqrt{1 + \varphi_u^2 + \varphi_v^2}}$

$K = \frac{eg-f^2}{EG-F^2} = \frac{\varphi_{uu}\varphi_{vv} - \varphi_{uv}^2}{1 + \varphi_u^2 + \varphi_v^2}$

$EG-F^2 = (1 + \varphi_u^2)(1 + \varphi_v^2) - \varphi_u^2\varphi_v^2 = 1 + \varphi_u^2 + \varphi_v^2$

$H = \frac{(1 + \varphi_u^2)\varphi_{vv} - 2\varphi_u\varphi_v\varphi_{uv} - (1 + \varphi_v^2)\varphi_{uu}}{2(1 + \varphi_u^2 + \varphi_v^2)^{3/2}}$



Components of 1st FF

Components of 2nd FF

Let's look at how $\{\sigma_u, \sigma_v, \hat{N}\}$ varies by considering derivatives.

$$\begin{aligned} [(\sigma_u)_u] \quad \sigma_{uu} &= \Gamma_{11}^1 \sigma_u + \Gamma_{11}^2 \sigma_v + \lambda \hat{N} & 1 & \quad \text{The scalar functions } \Gamma_{ij}^k \\ \sigma_{uv} &= \Gamma_{12}^1 \sigma_u + \Gamma_{12}^2 \sigma_v + \mu \hat{N} & 2 & \quad \text{are called} \\ \sigma_{vv} &= \Gamma_{22}^1 \sigma_u + \Gamma_{22}^2 \sigma_v + \nu \hat{N} & 3 & \quad \text{Christoffel symbols.} \end{aligned}$$

$$\langle 1, \hat{N} \rangle : \lambda = \langle \sigma_{uu}, \hat{N} \rangle = e$$

Similarly $\mu = f$ and $\nu = g$.

$$\langle 1, \sigma_u \rangle : \Gamma_{11}^1 \langle \sigma_u, \sigma_u \rangle + \Gamma_{11}^2 \langle \sigma_v, \sigma_u \rangle = \langle \sigma_{uu}, \sigma_u \rangle$$

$$\Rightarrow \Gamma_{11}^1 E + \Gamma_{11}^2 F = \frac{1}{2} E_u \quad E = \langle \sigma_u, \sigma_u \rangle$$

$$E_u = 2 \langle \sigma_u, \sigma_{uu} \rangle$$

$$\langle 1, \sigma_v \rangle : \Gamma_{11}^1 F + \Gamma_{11}^2 G = \langle \sigma_{uu}, \sigma_v \rangle$$

$$= F_u - \frac{1}{2} E_v$$

$$F_u = \langle \sigma_{uu}, \sigma_v \rangle + \langle \sigma_u, \sigma_{uv} \rangle = \frac{1}{2} E_v$$

Similarly we get four more equations from:

$$\langle 2, \sigma_u \rangle \quad \langle 2, \sigma_v \rangle \quad \langle 3, \sigma_u \rangle \quad \langle 3, \sigma_v \rangle$$

in total we get 6 equations

$$\begin{pmatrix} E & F \\ F & G \end{pmatrix} \begin{pmatrix} \Gamma_{11}^1 & \Gamma_{12}^1 & \Gamma_{22}^1 \\ \Gamma_{12}^2 & \Gamma_{12}^2 & \Gamma_{22}^2 \end{pmatrix} = \begin{pmatrix} \frac{1}{2} E_u & \frac{1}{2} E_v & F_u - \frac{1}{2} G_u \\ F_u - \frac{1}{2} E_v & \frac{1}{2} G_u & \frac{1}{2} G_v \end{pmatrix}$$

So all Christoffel symbols are determined by FFF only.

Equations 1, 2, 3 & * have three compatibility conditions

$$(\hat{N}_u)_v = (\hat{N}_v)_u \quad 6$$

$$(\sigma_{uu})_v = (\sigma_{uv})_u \quad 7$$

$$(\sigma_{vv})_u = (\sigma_{uv})_v \quad 8$$

$$\begin{cases} \tilde{N}_u = a_{11} \sigma_u + a_{21} \sigma_v & 4 \\ \tilde{N}_v = a_{12} \sigma_u + a_{22} \sigma_v & 5 \end{cases}$$

Consider 6. From 1

$$(\sigma_{uu})_v = (\Gamma_{11}^1)_v \sigma_u + \Gamma_{11}^1 \sigma_{uv} + (\Gamma_{11}^2)_v \sigma_v + \Gamma_{11}^2 \sigma_{vv} + e_v \hat{N} + e \hat{N}_v$$

(using equations 1-5 to eliminate σ_{uv} , \hat{N}_v etc.)

$$(\sigma_{uu})_v = ((\Gamma_{11}^1)_v + \Gamma_{11}^1 \Gamma_{12}^1 + \Gamma_{11}^2 \Gamma_{22}^1 + e a_{12}) \sigma_u$$

$$+ ((\Gamma_{11}^2)_v + \Gamma_{11}^1 \Gamma_{12}^2 + \Gamma_{11}^2 \Gamma_{22}^2 + e a_{22}) \sigma_v + (\Gamma_{11}^1 f + \Gamma_{11}^2 g + e_v) \tilde{N} \quad 9$$

Similarly

$$(\sigma_{vv})_u = ((\Gamma_{12}^1)_u + \Gamma_{12}^1 \Gamma_{11}^1 + \Gamma_{12}^2 \Gamma_{12}^1 + f a_{11}) \sigma_u$$

$$+ ((\Gamma_{12}^2)_u + \Gamma_{12}^1 \Gamma_{11}^2 + \Gamma_{12}^2 \Gamma_{12}^2 + f a_{21}) \sigma_v + (\dots) \tilde{N} \quad 10$$

Equations 9 and 1 gives 3 scalar equations (coeffs of $\sigma_u, \sigma_v, \hat{N}$). The coeffs of σ_v give:

$$(\Gamma_{11}^1)_v - (\Gamma_{12}^2)_u + \Gamma_{11}^1 \Gamma_{12}^2 + \Gamma_{11}^2 \Gamma_{21}^2 - \Gamma_{12}^1 \Gamma_{11}^2 - \Gamma_{12}^2 \Gamma_{12}^2 = f a_{21} - e a_{22} \quad ||$$

Using our previous expressions for a_{21} and a_{22} and the fact that

$$K = \frac{eg - f^2}{EG - F^2} \quad \text{we find that RHS of } || \text{ is } EK = \langle \hat{N}, \hat{N} \rangle$$

\Rightarrow The Gauss Curvature depends on the FFF (and its derivatives) only

Theorem: Theorema Egregium

The Gauss curvature is uniquely determined by the FFF and is therefore preserved by isometries.

The typical notion in maths is to group together things which we consider as more or less equivalent. This is what we are doing with respect to isometries

We have three vector equations (6, 7, 8) each giving 3 scalar equations but only 3 of these 9 scalar equations are independent. Together with, $|| = EK$ - called the Gauss equation we also have:

$$e_v - f_u = e \Gamma_{12}^1 + f (\Gamma_{12}^2 - \Gamma_{11}^1) - g \Gamma_{11}^2 \quad 12$$

$$f_v - g_u = e \Gamma_{22}^1 + f (\Gamma_{22}^2 - \Gamma_{12}^1) - g \Gamma_{12}^2 \quad 13$$

12 & 13 are called the Mainardi - Codazzi equations

What makes the Gauss curvature so important when considering abstract geometry is that you only need FFF, you don't need to consider embeddings.

Theorem: Bonnet

Let E, F, G, e, f, g be differentiable functions on some open set $V \subset \mathbb{R}^2$ on which E, G and $EG - F^2$ are all strictly positive. If these functions satisfy the Mainardi-Codazzi equations (where the Christoffel symbols are defined in terms of E, F, G as before) then for each $q \in V$ \exists a neighbourhood $U \subset V$ of q and a diffeomorphism $\sigma: U \rightarrow \sigma(U)$ st the regular surface $\sigma(U)$ has $Edu^2 + 2Fdu dv + Gdv^2$, and $e du^2 + 2f du dv + g dv^2$ as its FFF and 2FF respectively.

Further more if U is connected and if $\hat{\sigma}: U \rightarrow \hat{\sigma}(U)$ is another diffeomorphism satisfying the same conditions, then there is a rigid body motion R st $\hat{\sigma} = R \circ \sigma$.

MICHELLE

Definition: The vector field defined above is called the parallel transport of \mathbb{R}^3 along γ . To express the expressions for $\nabla_{\dot{\gamma}} v$ etc in terms of $T_x \mathbb{R}^3$ we have:

$$\frac{dv^i}{dt} + \Gamma_{jk}^i v^j v^k = \frac{d}{dt} (v^i + \Gamma_{jk}^i v^j v^k) = \frac{d}{dt} (v^i + \Gamma_{jk}^i v^j v^k) + \dots$$

A non-constant parameter curve $\gamma: I \rightarrow \mathbb{R}^3$ and a vector field v along γ are said to be parallel if $\nabla_{\dot{\gamma}} v = 0$. The covariant derivative of v in the direction of $\dot{\gamma}$ is $\nabla_{\dot{\gamma}} v$.

Geodesics are curves such that $\nabla_{\dot{\gamma}} \dot{\gamma} = 0$. To emphasize the difference on the surface, we write $\nabla_{\dot{\gamma}} \dot{\gamma} = 0$. Parallel vector field \Rightarrow length is constant.

Definition: A curve γ is called a geodesic if $\nabla_{\dot{\gamma}} \dot{\gamma} = 0$. A vector field v along γ is called parallel if $\nabla_{\dot{\gamma}} v = 0$.

$$\frac{d}{dt} (E \dot{u}^2 + 2F \dot{u} \dot{v} + G \dot{v}^2) = \dots$$

The Intrinsic Geometry of Surfaces

For stuff that is defined from FFF only, we will look at

- characterisation of surfaces through curvature
- geodesics - natural analogs of straight lines, surfaces
- covariant derivatives.

Covariant Derivative

Definition:

Let V be an open set in Σ . A differential (tangential) vector field on V is a smooth function $w: V \rightarrow \mathbb{R}^3$ st $\forall p \in V$ $w(p) \in T_p \Sigma$.

Let $\gamma: I \rightarrow \Sigma$ be a curve on Σ . Any vector field w restricted to $\gamma(I)$ could be written locally as

$$w(\gamma(t)) = a(t)\sigma_u(u(t), v(t)) + b(t)\sigma_v(u(t), v(t))$$

where $\gamma(t) = \sigma(u(t), v(t))$

$$\text{So } \frac{dw}{dt} = a' \sigma_u + a(\sigma_{uu} u' + \sigma_{uv} v') + b' \sigma_v + b(\sigma_{vu} u' + \sigma_{vv} v')$$

So using the expressions for σ_{uu} , σ_{uv} etc in terms of Γ 's, e, f, g we have:

$$\begin{aligned} \frac{dw}{dt} = & \left(a' + a(\Gamma_{11}^1 u' + \Gamma_{12}^1 v') + b(\Gamma_{12}^1 u' + \Gamma_{22}^1 v') \right) \sigma_u \\ & + \left(b' + \Gamma_{11}^2 a u' + \Gamma_{12}^2 a v' + \Gamma_{21}^2 b u' + \Gamma_{22}^2 b v' \right) \sigma_v \\ & + (e a u' + f(a v' + b u') + g b v') \hat{N} \end{aligned}$$

$u, v \leftrightarrow \text{determine } \gamma$
 $a, b \leftrightarrow \text{" } w$

The covariant derivative of w in the direction γ' is the projection of $\frac{dw}{dt}$ onto the tangent plane $T_p \Sigma$.

$$\nabla_{\gamma'} w = (\dots)^A \sigma_u + (\dots)^B \sigma_v$$

To emphasise dependence on t , we sometimes write $\frac{\nabla_{\gamma'} w}{dt}$.

Definition:

A smooth vector field w along a curve $\gamma: I \rightarrow \Sigma$ is said to be parallel if $\nabla_{\gamma'} w = 0 \quad \forall t \in I$

(To define $\nabla_{\gamma'} w$ we need only be defined on $\gamma(I)$, not necessarily on an open set in Σ .)

Theorem:

Let w_1 and w_2 be parallel vector fields along a smooth curve $\gamma: I \rightarrow \Sigma$. Then $\langle w_1, w_2 \rangle_p$ is a constant. In particular $|w_1|$, $|w_2|$ and the angle between them is constant.

Proof: Note: if w is a parallel vector field then $\frac{dw}{dt}|_p$ is proportional to \hat{N} and therefore orthogonal to $T_p \Sigma$. In particular $\langle w, \frac{dw}{dt} \rangle = 0$

Consider $\frac{d}{dt} \langle w_1, w_2 \rangle = \langle w_1, \frac{dw_2}{dt} \rangle + \langle \frac{dw_1}{dt}, w_2 \rangle = 0$

\uparrow orthogonal to $T_p \Sigma$
 \uparrow $T_p \Sigma$

Theorem:

Let $\gamma: I \rightarrow \Sigma$ be a curve in Σ and choose $w_0 \in T_{\gamma(t_0)} \Sigma$ for some $t_0 \in I$. Then there is a unique parallel vector field $w(t)$ along $\gamma(t)$ with $w(t_0) = w_0$.

Definition:

The vector field defined above is called the parallel transport of w_0 along γ .

Geodesics

Definition:

A non-constant parametric curve $\gamma: I \rightarrow \Sigma$ is said to be geodesic if γ' is parallel along γ

ie $\nabla_{\gamma'} \gamma' = 0$

ie Geodesics are curves such that γ'' is orthogonal to $T_p \Sigma$ at each point.

Parallel vector field \Rightarrow length is constant

γ geodesic $\Rightarrow |\gamma'|$ is constant

ie γ is constant speed, so we can always reparametrise

so that it is unit speed (parametrised by arclength)

$$1 = |\gamma'|^2 = \langle u'\sigma_u + v'\sigma_v, u'\sigma_u + v'\sigma_v \rangle \quad \gamma(t) = \sigma(u(t), v(t))$$

$$= E(u')^2 + 2F u'v' + G(v')^2$$

$$\nabla_{\gamma'} \gamma' = 0 \iff \gamma'' = \text{orthogonal to } T_p \Sigma$$

$$\gamma' = \sigma(u, v), \quad w = a\sigma_u + b\sigma_v \quad \gamma' = u'\sigma_u + v'\sigma_v$$

ie plug $a = u', b = v'$ in our formula for $\nabla_{\gamma'} w = 0$

$$\begin{cases} u'' + \Gamma_{11}^1 (u')^2 + 2\Gamma_{12}^1 u'v' + \Gamma_{22}^1 (v')^2 = 0 \\ v'' + \Gamma_{11}^2 (u')^2 + 2\Gamma_{12}^2 u'v' + \Gamma_{22}^2 (v')^2 = 0 \end{cases}$$

Corollary:

Choose $p \in \Sigma$ and $w \in T_p \Sigma$ then \exists a unique geodesic γ on Σ which passes through p and has tangent vector w there.

Theorem:

An alternate form of the geodesic equations is

$$\frac{d}{dt} (Eu' + Fv') = \frac{1}{2} (E_u u'^2 + 2F_u u'v' + G_u v'^2) \quad 1$$

$$\frac{d}{dt} (Fu' + Gv') = \frac{1}{2} (E_v u'^2 + 2F_v u'v' + G_v v'^2) \quad 2$$

Proof: γ'' is orthogonal to $T_p \Sigma$, $\gamma = \sigma(u, v)$

$$\gamma' = u'\sigma_u + v'\sigma_v$$

$$\text{So } 0 = \gamma'' \cdot \sigma_u = \left(\frac{d}{dt} (u'\sigma_u + v'\sigma_v) \right) \cdot \sigma_u$$

$$= \frac{d}{dt} ((u'\sigma_u + v'\sigma_v) \cdot \sigma_u) - (u'\sigma_u + v'\sigma_v) \cdot \frac{d\sigma_u}{dt}$$

$$= \frac{d}{dt} (u'E + v'F) - (u'\sigma_u + v'\sigma_v) \cdot (\sigma_{uu}u' + \sigma_{uv}v')$$

$$\begin{aligned} \Rightarrow \frac{d}{dt} (Eu' + Fv') &= (\sigma_u \cdot \sigma_{uu})(u')^2 + (\sigma_u \cdot \sigma_{uv} + \sigma_v \cdot \sigma_{uu})u'v' + \sigma_v \cdot \sigma_{uv}v' \\ &= \frac{1}{2} E_u (u')^2 + F_u u'v' + \frac{1}{2} G_u (v')^2 \end{aligned}$$

Same for other equation starting with $\gamma'' \cdot \sigma_v = 0$.

Example: Geodesics of rotationally symmetric surfaces

$$\sigma(u, v) = (f(v)\cos u, f(v)\sin u, g(v))$$

$$\Rightarrow \sigma_u = (-f(v)\sin u, f(v)\cos u, 0)$$

$$\sigma_v = (f'(v)\cos u, f'(v)\sin u, g'(v))$$

$$E = |\sigma_u|^2 = f(v)^2 \quad F = \sigma_u \cdot \sigma_v = 0 \quad G = (f'(v))^2 + (g'(v))^2$$

Take the curve $(f(v), g(v))$ to be unit speed (ie v is arclength for this curve)





Then $G = (f')^2 + (g')^2 = 1$

FFF $f(v)^2 du^2 + dv^2$

1 becomes $\frac{d}{dt} (f(v)^2 u') = 0$ 3

2 becomes $v'' = f(v) f'(v) (u')^2$ 4

Consider the case when $u(t) \equiv v_0$ a constant

(curves on the surface contained in a plane also containing the z-axis)

Then 3 is satisfied identically and 4 $\Rightarrow v'' = 0 \Rightarrow v(t) = \alpha t + \beta$

$(u(t), v(t)) = (v_0, \alpha t + \beta)$

So any such curve with constant speed is a geodesic.

Next consider $v(t) = v_0$ is a constant.

Then 3 becomes $u'' = 0$

and 4 becomes $0 = f(v_0) f'(v_0) u' u'^2$

$f'(v_0) = 0$ +0 since otherwise image of γ is a point.

$\Rightarrow f'(v_0) = 0$

So geodesics in planes perp to the z-axis occur at values of v for which $f(v)$ is stationary

(eg local max/min of distance from z-axis)

In general note that

$\langle \sigma_u, \gamma' \rangle = \langle \sigma_u, \sigma_u u' + \sigma_v v' \rangle$

$= E u' + F v'$

$= f(v)^2 u'$

3 $\Leftrightarrow \langle \sigma_u, \gamma' \rangle$ is a constant.

ie $|\sigma_u| |\gamma'| \cos \theta = c$

angle between them

Also $|\sigma_u| = \sqrt{E} = f(v) =$ distance to z-axis, which we call r .

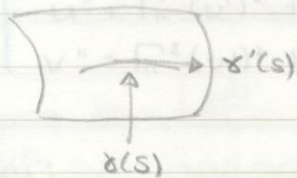
If γ is parametrised by arclength, then $|\gamma'| = 1$

So we have Clairaut's relation: $r \cos \theta = \text{const}$

We can show 4 is automatically satisfied

Geodesic and normal curvatures

Regular surface Σ , curve parametrised by arclength in Σ



$x'(s)$ is a unit tangent vector

$N(x(s))$ is the unit normal to the surface (and \perp to $x'(s)$)

Obviously $\{x'(s), N(x(s)), N(x(s)) \times x'(s)\}$ is an orthonormal basis for \mathbb{R}^3

Also $x''(s)$ is orthogonal to $x'(s)$

$\Rightarrow \exists$ scalars k_g and k_n st $x''(s) = k_n N + k_g N \times x'$

k_n normal curvature

k_g geodesic curvature

$k_g = 0 \iff x$ is a geodesic.

'Usual' curvature of curve (chapter 1)

$$K = |x''(s)| = \sqrt{k_g^2 + k_n^2}$$

Normal curvature : $k_n = \langle N, x'' \rangle$

Now $\langle N \circ x(s), x'(s) \rangle = 0$

$\langle (N \circ x)'(s), x'(s) \rangle + \langle (N \circ x)(s), x''(s) \rangle = 0$

k_n

$\Rightarrow k_n = - \langle (N \circ x)'(s), x'(s) \rangle$

$= - \langle (DN)x'(s), x'(s) \rangle$

Let $w \in T_p \Sigma$

$x(0) = p, x'(0) = w$

$\Rightarrow k_n = - \langle (DN)_w, w \rangle$

$= -\Pi_p(w)$

This gives another way of thinking about the 2nd FF

Recall : $-(DN)_p : T_p \Sigma \rightarrow T_p \Sigma$ is self adjoint

\Rightarrow orthogonal e'vectors e_1, e_2 (principal directions) E' values

k_1, k_2 are called the principle curvatures)

$[K = k_1 k_2 \quad H = \frac{1}{2}(k_1 + k_2)]$

be unit speed (ie v is arclength for this curve)

Any vector $w \in T_p \Sigma$ of unit length, can be written as

$$w = e_1 \cos \varphi + e_2 \sin \varphi$$

for some φ .

$$k_n(p) = \mathbb{I}_p(w)$$

$$= - \langle (DN)_p w, w \rangle$$

$$= - \langle (DN)_p (e_1 \cos \varphi + e_2 \sin \varphi), e_1 \cos \varphi + e_2 \sin \varphi \rangle$$

$$= \langle k_1 e_1 \cos \varphi + k_2 e_2 \sin \varphi, e_1 \cos \varphi + e_2 \sin \varphi \rangle$$

$$= k_1 (\cos \varphi)^2 + k_2 (\sin \varphi)^2$$

Euler's formula:

$$k_n(p) = \mathbb{I}_p(w) = k_1 (\cos \varphi)^2 + k_2 (\sin \varphi)^2$$

In particular if k_1, k_2 have the same sign, then $k_n(p)$ has the same sign for all curves through p (unit speed).

$$k_n(p) = \langle \gamma''(0), (N \circ \gamma)(0) \rangle$$

$$\text{Frenet frame } \gamma''(0) = \underline{t}'(0)$$

$$= k \hat{n}$$

curvature principle normal vector

$$\text{So } k_n(p) = \langle k \hat{n}, N \rangle$$

$$= k \langle \hat{n}, N \rangle$$

principle normal normal to surface

Definition:

For any $p \in \Sigma$ & $w \in T_p \Sigma$, let P_w be the plane through p parallel to w & $N(p)$



The intersection $\Sigma \cap P_w$ is called the normal section of Σ , at p in direction w .

Normal section lies in P_w

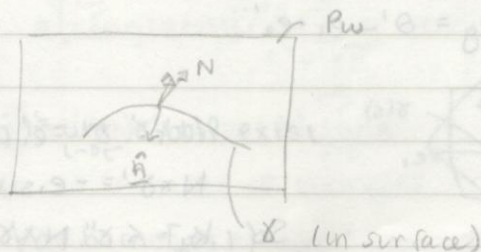
So \hat{n} lies in P_w

principle normal to normal section

N (normal to surface, ie orientation)

also lies in P_w (by construction)

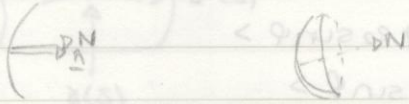
$$\text{so } N = \pm \hat{n}$$



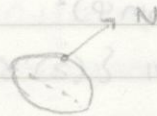
$$k_n = k \langle \hat{n}, N \rangle = \pm k$$

(+ sign when curve turns in direction of N)

From Euler's formula, if $k_1 > 0, k_2 > 0 \Rightarrow k_n(p) > 0$ (for all w)



If $k_1 < 0, k_2 < 0 \Rightarrow k_n(p) < 0$



If $k_1 > 0, k_2 < 0$



Definition:

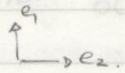
$K(p) = k_1 k_2 > 0$ p is called an elliptic point.

$K(p) < 0 \Rightarrow k_1, k_2$ have different signs, p is called a hyperbolic point.

$K(p) = 0$ and $(DN)_p = 0 \Rightarrow p$ is a planar point.

$$\gamma''(s) = k_n N + k_g N \times \gamma' \quad \gamma \text{ unit speed.}$$

In the following e_1, e_2 will be an orthonormal basis for $T_p \Sigma$.



eg $e_1 = \frac{\sigma_0}{\sqrt{E}}$ $e_2 = \frac{E\sigma_1 - F\sigma_2}{\sqrt{E(EG-F^2)}}$

Will say $N = e_1 \times e_2$

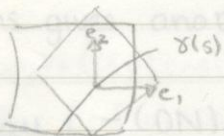
Lemma:

Let Σ be an oriented regular surface with orientation N .

Let e_1, e_2 be smooth functions st at each $p \in \Sigma$, $\{e_1, e_2\}$ is an orthonormal basis for $T_{\gamma(s)} \Sigma$ and $N = e_1 \times e_2$ (γ curve through p).

Let θ be a smooth function st $\gamma' = e_1 \cos \theta + e_2 \sin \theta$

Then $k_g = \theta' - e_1 \cdot e_2'$



Proof: $\gamma'' = e_1' \cos \theta + e_2' \sin \theta + (-e_1 \sin \theta + e_2 \cos \theta) \theta'$

$N \times \gamma' = -e_1 \sin \theta + e_2 \cos \theta$

So $k_g = \langle \gamma'', N \times \gamma' \rangle$

$= \langle e_1' \cos \theta + e_2' \sin \theta + \theta'(-e_1 \sin \theta + e_2 \cos \theta), -e_1 \sin \theta + e_2 \cos \theta \rangle$

Use $\langle e_1, e_1 \rangle = 1, \langle e_1, e_2 \rangle = 0 \Rightarrow \langle e_1, e_1' \rangle = 0, \langle e_1', e_2 \rangle + \langle e_1, e_2' \rangle = 0$ etc.

$$\Rightarrow K_g = \langle e_1', e_2 \rangle \cos^2 \theta - \langle e_2', e_1 \rangle \sin^2 \theta + \theta' (\sin^2 \theta + \cos^2 \theta) \\ = \theta' - e_1 \cdot e_2'$$

Lemma

Using the same notation as above

$$(e_1)_v \cdot (e_2)_v - (e_1)_v \cdot (e_2)_v = \frac{eg - f^2}{\sqrt{EG - F^2}} \quad 2.$$

Proof: $\{e_1, e_2, \tilde{N}\}$ is an orthonormal basis for \mathbb{R}^3

$e_1 \cdot (e_1)_v = 0$ and $e_2 \cdot (e_2)_v = 0$ etc

So \exists scalars a, b, c, d st

$$(e_1)_v = a e_2 + c \tilde{N}$$

$$(e_1)_v = b e_2 + d \tilde{N}$$

Noting $e_1 \cdot (e_2)_v = - (e_1)_v \cdot e_2$ we have

$$(e_2)_v = -a e_1 + \hat{c} \tilde{N} \quad \text{for some } \hat{c}, \hat{d}$$

$$(e_2)_v = -b e_1 + \hat{d} \tilde{N}$$

$$\text{So } (e_1)_v \cdot (e_2)_v - (e_1)_v \cdot (e_2)_v = c \hat{d} - \hat{c} d$$

$$= (\tilde{N} \cdot (e_1)_v)(\tilde{N} \cdot (e_2)_v) - (\tilde{N} \cdot (e_2)_v)(\tilde{N} \cdot (e_1)_v) \\ = (\tilde{N}_v \cdot e_1)(\tilde{N}_v \cdot e_2) - (\tilde{N}_v \cdot e_2)(\tilde{N}_v \cdot e_1)$$

Use the identity

$$(A \times B) \cdot (C \times D) = (A \cdot C)(B \cdot D) - (A \cdot D)(B \cdot C) \quad \text{to get}$$

$$(e_1)_v \cdot (e_2)_v - (e_1)_v \cdot (e_2)_v = (\tilde{N}_v \times \tilde{N}_v) \cdot (e_1 \times e_2)$$

$$= (\tilde{N}_v \times \tilde{N}_v) \cdot \tilde{N}$$

$$= \frac{eg - f^2}{\sqrt{EG - F^2}} \quad \text{using equation 4.12}$$

Definition:

A map $\gamma: [0, 1] \rightarrow \Sigma$ is a parametrised piecewise regular curve if

γ is continuous and $\exists t_0, t_1, \dots, t_{n+1} \in [0, 1]$ where $0 = t_0 < t_1 < \dots < t_n < t_{n+1} = 1$ such that the restriction of γ to $[t_j, t_{j+1}]$ is a regular curve (called a regular arc). It follows that $\gamma'(t)$

It follows that $\gamma'(t_j^+) = \lim_{t \rightarrow t_j^+} \gamma'(t)$ and $\gamma'(t_j^-) = \lim_{t \rightarrow t_j^-} \gamma'(t)$ exist.

Furthermore, γ is called simple if $\gamma(a) \neq \gamma(b) \quad \forall a, b \in [0, 1]$

It is closed $\gamma(0) = \gamma(1)$.

The points $\gamma(t_0), \dots, \gamma(t_{n+1})$ are called vertices.

Define the exterior angle $\alpha \in (-\pi, \pi]$ at $\gamma(t_j)$ as follows:

$|\alpha_j|$ is the smallest determination of the angle from $\gamma'(t_j^-)$ to $\gamma'(t_j^+)$

If $|\alpha_j| \neq 0$ or π , then $\gamma'(t_j^-) \times \gamma'(t_j^+)$ is non-zero

If it points in the same direction as N then we define α_j to be positive (otherwise it is negative)

For $|\alpha_j| = \pi$ look in online notes

Theorem: Turning Tangents Theorem

With above notation,

$$\sum_{j=0}^n [\theta(s_{j+1}^-) - \theta(s_j^+)] + \sum_{j=0}^n \alpha_j = 2\pi$$

θ is arclength

Definition:

A region R of an oriented surface is called simple if it is homeomorphic to the disc (ie bounded and has no holes), and its boundary ∂R is the trace of a simple closed piecewise regular curve $\gamma: I \rightarrow \Sigma$

Look Look up stuff about orientation in notes

Gauss - Bonnet Theorem (Local)

$U \subset \mathbb{R}^2$ homeomorphic to open disc, $\sigma: U \rightarrow \Sigma$

Let $R \subset \sigma(U)$ be a simple regular region of Σ with bounding curve $\gamma: I \rightarrow \Sigma$ parametrised by arclength

Let $\gamma(s_0), \dots, \gamma(s_n)$ and $\alpha_0, \dots, \alpha_n$ be the vertices and exterior angles respectively. Then

$$\sum_{j=0}^n \int_{s_j}^{s_{j+1}} k_g(s) ds + \iint_R K dA + \sum_{j=0}^n \alpha_j = 2\pi$$

k_g geodesic curvature, K Gauss curvature

Proof: integrating 1 gives

$$\sum_{j=0}^n \int_{s_j}^{s_{j+1}} k_g ds = \sum_{j=0}^n \int_{s_j}^{s_{j+1}} \theta' ds - \sum \int e_1 \cdot e_2' ds$$

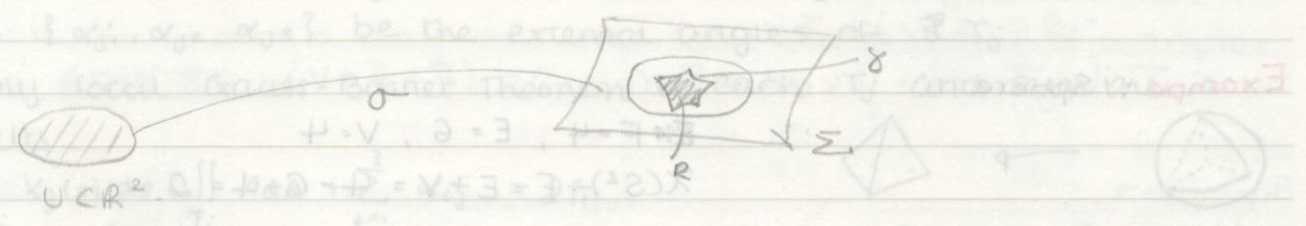
$$= \sum_{j=0}^n (\theta(s_{j+1}^-) - \theta(s_j^+)) - \sum \int e_1 \cdot e_2' ds$$

Using the turning tangents theorem, the proof is done if we can show that

$$\sum_{j=0}^n \int_{s_j}^{s_{j+1}} e_1 \cdot e_2' ds = \iint_R K dA$$

$$\begin{aligned}
 \int_{\sum} \sum_{j=0}^n \int_{S_j}^{S_{j+1}} \mathbf{e}_i \cdot \mathbf{e}_j' ds &= \sum_{j=0}^n \int_{S_j}^{S_{j+1}} \mathbf{e}_i \cdot ((\mathbf{e}_z)_u \mathbf{u}' + (\mathbf{e}_z)_v \mathbf{v}') ds \\
 &= \sum_{j=0}^n \int_{S_j}^{S_{j+1}} ([\mathbf{e}_i \cdot (\mathbf{e}_z)_u] \mathbf{u}' + [\mathbf{e}_i \cdot (\mathbf{e}_z)_v] \mathbf{v}') ds \\
 &= \iint_D ([\mathbf{e}_i \cdot (\mathbf{e}_z)_v]_u - [\mathbf{e}_i \cdot (\mathbf{e}_z)_u]_v) du dv \\
 &= \iint_D [(\mathbf{e}_i)_u \cdot (\mathbf{e}_z)_v - (\mathbf{e}_i)_v \cdot (\mathbf{e}_z)_u] du dv \\
 &= \iint_D \frac{eg-f^2}{\sqrt{EG-F^2}} du dv \\
 &= \iint_D \frac{eg-f^2}{\sqrt{EG-F^2}} \frac{dA}{\sqrt{EG-F^2}} = \iint_D k dA.
 \end{aligned}$$

use green theorem
 $\int P du + Q dv = \iint (\frac{\partial Q}{\partial u} - \frac{\partial P}{\partial v}) du dv$
 $dA = \sqrt{EG-F^2} du dv$



Definition:

A region $R \subset \Sigma$ is said to be regular if it is compact and its boundary ∂R is the finite union of non-intersecting, piecewise regular curves.

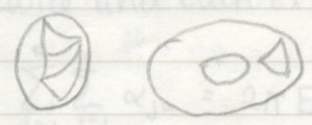
R compact $\Leftrightarrow \partial R = \emptyset$.

A simple region with only 3 vertices is called a triangle.

Definition:

A triangulation of a regular region $R \subset \Sigma$ is a finite family \mathcal{T} of triangles T_1, \dots, T_n such that

- 1 $\cup T_i = R$
- 2 For $i \neq j$, $T_i \cap T_j$ is either empty, a single vertex or a single edge



Given a triangulation, we define
 F = number of faces (number of triangles)
 E = number of edges
 V = number of vertices.

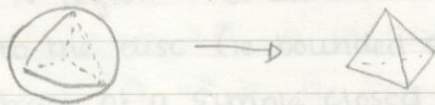
The Euler characteristic of \mathcal{T} is $\chi = F - E + V$

The following facts will be assumed:

1. Every regular region, of a regular surface admits a triangulation.
2. Euler characteristic is independent of triangulation.
3. Let Σ be an oriented surface and $\{\sigma_\alpha\}$ be a parametrisation compatible with this orientation. Then \exists a triangulation \mathcal{T} of R st each $T \in \mathcal{T}$ is contained in the image of some parametrisation $\sigma_\alpha(U_\alpha)$.

Furthermore if the boundary of every triangle in \mathcal{T} is positively oriented, then adjacent triangles determine opposite orientation on the common edge.

Example: Sphere



$$F=4, E=6, V=4$$

$$\chi(S^2) = F - E + V = 4 - 6 + 4 = 2.$$

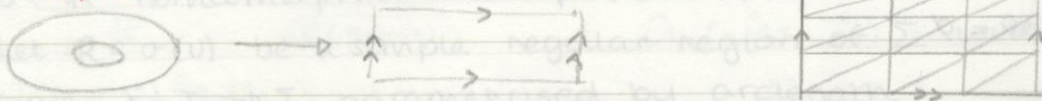
Example: Disc, D .



$$\chi(D) = F - E + V$$

$$= 1 - 3 + 3 = 1$$

Example:



$$F=18, E=27, V=9$$

$$\chi(T^2) = 18 - 27 + 9 = 0.$$

share, 2 vertices



$$\sum_i \int_{c_i} \kappa_g ds + \iint K dA + \sum \alpha_i = 2\pi \chi(R).$$



(Global) Gauss-Bonnet Theorem:

Let $R \subset \Sigma$ be a regular region of an oriented surface, and let C_1, \dots, C_p be simple closed regular curves which form the boundary ∂R of R . Suppose that each C_i is positively oriented and let $\alpha_1, \dots, \alpha_n$ be the set of external angles of the curves C_1, \dots, C_p .

$$\text{Then } \sum_{C_i} \int_{C_i} K_g(s) ds + \iint_R K dA + \sum \alpha_i = 2\pi \chi(R)$$

where s is the arclength of C_i and $\int_{C_i} K_g(s) ds$ is the sum of integrals over the regular arcs of C_i .

Proof: Consider a triangulation of R of the form above, $T = \{T_i\}$

Let $\{\alpha_{ij}, \alpha_{jk}, \alpha_{ki}\}$ be the external angles of T_j .

Apply local Gauss-Bonnet Theorem to each T_j and sum the results.

$$\int_{\partial T_i} K_g(s) ds + \iint_{T_i} K dA + \sum_{j=1}^3 \alpha_{ij} = 2\pi$$

$$\sum_{C_i} \int_{C_i} K_g(s) ds + \iint_R K dA + \sum_{k=1}^F \sum_{j=1}^3 \alpha_{jk} = 2\pi F$$

because the common edges have opposite orientations, so $\int K_g ds$ terms cancel between adjacent Δ 's.

In terms of the interior angles, $\phi_{jk} = \pi - \alpha_{jk}$, we have

$$\sum_{j=1}^F \sum_{k=1}^3 \alpha_{jk} = 3\pi F - \sum_{j=1}^F \sum_{k=1}^3 \phi_{jk}$$

Let $E_e =$ the number of external edges in T . (ie in ∂R).

$E_i =$ the number of internal edges in T .

$V_e =$ number of external vertices

$V_i =$ number of internal vertices.

Since the C_i are closed $E_e = V_e$.

$$3F = 2E_i + E_e$$

(for each Δ , if I count the 3 edges, we have counted each interior twice and each exterior edge once).

$$\sum_{j=1}^F \sum_{k=1}^3 \alpha_{jk} = 2\pi E_i + \pi E_e - \sum_{j=1}^F \sum_{k=1}^3 \phi_{jk} \quad *$$

$$V_e = V_{ec} + V_{et}$$

exterior vertices at

'corners' of bounding curves C_i

exterior vertices from triangulation only.

The sum of the internal angles at each interior point is 2π .

The sum of the interior angles at each exterior vertex that is not at a vertex of one of the C_i s is π .

The sum of the interior angles at each exterior vertex that is at a vertex of a C_i is $\pi - \alpha_i$.

$$\text{So } \sum \sum \varphi_{jk} = 2\pi V_i + \pi V_e + \sum_{i=1}^n (\pi - \alpha_i) \\ = 2\pi V_i + \pi V_e + \pi V_e - \sum_{i=1}^n \alpha_i$$

$$\text{So } * \text{ becomes, } \sum \sum \alpha_{jk} = 2\pi E_i + \pi E_e - \pi V_e - 2\pi V_i + \sum_{i=1}^n \alpha_i$$

subtract $\pi(V_e - E_e) = 0$ from RHS.

$$\text{So } \sum \sum \alpha_{ij} = 2\pi (E_i + E_e - V_e - V_i) + \sum \alpha_i \\ = 2\pi (E - V) + \sum \alpha_i$$

$$\text{So } * \sum \int K_g(s) ds + \iint_R K dA + \sum \alpha_i = 2\pi (F - E + V) = 2\pi \chi(R)$$

Applications:

For a compact connected surface Σ , the quantity $g = \frac{2 - \chi(\Sigma)}{2}$ is called the genus ('# mm of holes')

Theorem:

Let $\Sigma \subset \mathbb{R}^3$ be a compact surface. Then $\chi(\Sigma)$ takes the values $2, 0, -2, -4, \dots$ (ie $g(\Sigma) = 0, 1, 2, 3, \dots$)

Furthermore, if $\hat{\Sigma} \subset \mathbb{R}^3$ is a second compact connected surface st $\chi(\hat{\Sigma}) = \chi(\Sigma)$ then Σ is homeomorphic to $\hat{\Sigma}$ (continuous map $\varphi: \Sigma \rightarrow \hat{\Sigma}$, φ^{-1} continuous).

Also assuming Jordan curve lemma.

Corollary:

Local Gauss-Bonnet Thm is true, even if we drop the condition that $R \subset \partial(U)$

Corollary:

Let Σ be an orientable compact surface, then
Then $\iint_{\Sigma} K dA = 2\pi \chi(\Sigma)$

Corollary:

Any compact surface with positive Gauss curvature is homeomorphic to the sphere.

Proof: $k > 0 \Rightarrow \chi(\Sigma) = \frac{1}{2\pi} \iint K dA > 0$

Since $\chi(\Sigma) \in \{2, 0, -2, \dots\}$.

$\Rightarrow \chi(\Sigma) = 2$, but $\chi(S^2) = 2$

$\Rightarrow \Sigma$ homeomorphic to S^2

Corollary:

Let Σ be an orientable surface with $k \leq 0$. Then 2 geodesics cannot meet twice in such a way that they form the boundary of a simple region R of Σ .

Proof:



Gauss Bonnet mm

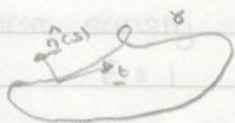
$$\iint_R K dA + \alpha_1 + \alpha_2 = 2\pi \quad (\int k_g ds = 0, k_g = 0)$$

By uniqueness of geodesics, $\alpha_1 < \pi, \alpha_2 < \pi$

But $\alpha_1 + \alpha_2 + \iint K dt < \pi + \pi + 0 < 2\pi$ Contradiction.

Jacobi's Theorem:

Let $\gamma: I \rightarrow \mathbb{R}^3$ be a closed regular curve with non-zero curvature. Assume the curve $\hat{n}(I) \subset S^2$ traced by the principle normal is simple. Then $\hat{n}(I)$ divides the sphere into 2 regions of equal area.



Proof: Let \hat{s} be the arclength of \hat{n} and k_g be the geodesic curvature of \hat{n} as a function of \hat{s} .

$k_g = \ddot{n} \cdot (\dot{n} \times n)$ Taking $N = \text{"outer normal"} = n$

$n'' = \frac{d}{ds}$

$\int k_g d\hat{s} + \iint K dA = 2\pi$

$\gamma'' = k_n N + k_g \gamma \times N$

Frenet: $\frac{dt}{ds} = k_n N$ $\frac{dn}{ds} = -k_t t - k_b N$ $\frac{db}{ds} = k_n N$

$$\hat{n} = \frac{dn}{d\hat{s}} = \frac{dn}{ds} \frac{ds}{d\hat{s}} = -(kt + zb) \frac{ds}{d\hat{s}}$$

$$\hat{s} \text{ arclength for } \underline{n} \Leftrightarrow |\hat{n}| = 1 \Rightarrow \left| \frac{ds}{d\hat{s}} \right| = \frac{1}{\sqrt{k^2 + z^2}} *$$

$$\ddot{\underline{n}} = - \frac{d}{d\hat{s}} \left((kt + zb) \frac{ds}{d\hat{s}} \right) = - \frac{d}{d\hat{s}} \left((kt + zb) \frac{1}{\sqrt{k^2 + z^2}} \right)$$

$$\hat{k}g = (\underline{n} \times \dot{\underline{n}}) * \ddot{\underline{n}} = \frac{ds}{d\hat{s}} (kb - zt) \cdot \ddot{\underline{n}}$$

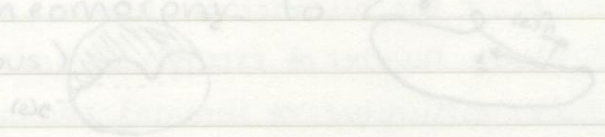
$$= 0 + \left(\frac{ds}{d\hat{s}} \right)^3 (-zk_s + kz_s) + 0$$

$$= - \frac{kz_s - zk_s}{k^2 + z^2} \frac{ds}{d\hat{s}} \text{ from } *$$

$$= - \frac{d}{ds} \tan^{-1} \left(\frac{z}{k} \right) \frac{ds}{d\hat{s}}$$

$$\text{GB Thm } ** \int \frac{d}{ds} \tan^{-1} \left(\frac{z}{k} \right) \frac{ds}{d\hat{s}} d\hat{s} + \iint_R \underbrace{k}_{\text{area of region}} dA = 2\pi$$

\Rightarrow Area of region is $2\pi = \frac{1}{2}$ total surface area.



Also assuming Jordan curve lemma.

Proof: let \hat{s} be the arclength of \underline{n} and $k\hat{s}$ be the geodesic curvature.

Corollary: Let Σ be an oriented compact surface with boundary $\partial \Sigma$ and \hat{k} be the geodesic curvature of $\partial \Sigma$ as a function of \hat{s} .

Local Gauss-Bonnet Thm: $\int_R \hat{k} ds + \int_{\partial R} \theta = 2\pi$ over $R = \sigma(v)$

Corollary: Let Σ be an oriented compact surface with boundary $\partial \Sigma$. Then $\int_{\partial \Sigma} \hat{k} ds = 2\pi \chi(\Sigma)$

The exponential map and geodesic polar coordinates.

Recall: Given $p \in \Sigma$ and $w \in T_p \Sigma$, there is a unique geodesic $\gamma: (-\varepsilon, \varepsilon) \rightarrow \Sigma$ with $\gamma(0) = p$, $\gamma'(0) = w$

To keep track write $\gamma(t) \equiv \gamma(t; p, w)$.

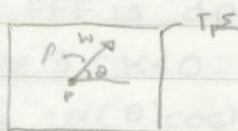
Definition:

For any $p \in \Sigma$ and sufficiently small w ($|w|$ small), we define \exp_p by $\exp_p(w) := \gamma(1, p, w)$

Recall that geodesics are constant speed and here $|\gamma'(0)| = |w|$, so $\exp_p(w)$ results in the point in Σ obtained by moving a distance $|w|$ along the geodesic through p in direction w .

Given a point $p \in \Sigma$ $\exists \varepsilon > 0$ such that \exp_p is a diffeomorphism from $B_\varepsilon(0) \subset T_p \Sigma$ onto its image in Σ .

If we describe $w \in T_p \Sigma$ using cartesian coordinates, we get coordinates on a neighbourhood of p in Σ called geodesic normal coordinates. If we use polar coordinates, we get geodesic polar coordinates.



So (ρ, θ) -pairs on $T_p \Sigma$ give a parametrisation $\exp_p(\rho, \theta)$

Theorem:

Let $\sigma: U \subset \mathbb{R}^2 \rightarrow V \subset \Sigma$ be a parametrisation by geodesic polar coordinates (ρ, θ) . Then the coeffs $E(\rho, \theta), F(\rho, \theta), G(\rho, \theta)$ of the first fundamental form satisfy,

$$E \equiv 1, \quad F \equiv 0, \quad \lim_{\rho \rightarrow 0} G \equiv 0, \quad \lim_{\rho \rightarrow 0} (\sqrt{G})_\rho \equiv 1$$

Proof: parametrisation: $\sigma(\rho, \theta) = \exp_p(\rho, \theta)$

Consider the curve $\gamma(\rho) = \sigma(\rho, \theta_0) = \exp_p(\rho, \theta_0)$ θ_0 const (not in direction of ℓ)
 ρ = distance we move along the geodesic γ , so ρ = arclength of γ .

$$\text{So } 1 = |\gamma'(\rho)|^2 = |\sigma_\rho(\rho, \theta)|^2 = E(\rho, \theta) \Rightarrow E \equiv 1$$

Geodesic equations

$$\frac{d}{dt} (E\dot{\rho} + F\dot{\theta}) = \frac{1}{2} (E_\rho \dot{\rho}^2 + 2F_\rho \dot{\rho}\dot{\theta} + G_\rho \dot{\theta}^2)$$

$$\frac{d}{dt} (F\dot{\rho} + G\dot{\theta}) = \frac{1}{2} (E_\theta \dot{\rho}^2 + 2F_\theta \dot{\rho}\dot{\theta} + G_\theta \dot{\theta}^2)$$

We know that $\partial = \partial_\theta$ is a geodesic and $E \equiv 1$

$$\frac{d}{dt}(1\dot{\rho}) = 0 \Leftrightarrow \ddot{\rho} = 0$$

$$\frac{d}{dt}(F\dot{\rho}) = 0 \Leftrightarrow F\dot{\rho} = 0 \Leftrightarrow \frac{\partial F(\rho, \theta)}{\partial \rho} = 0$$

$$\left((F_\rho \dot{\rho} + F_\theta \dot{\theta}) \dot{\rho} + F \ddot{\rho} = 0 \Rightarrow F_\rho \dot{\rho} = 0 \right)$$

$\sigma(\rho, \theta) = \exp_p(\rho, \theta)$ is a diffeomorphism (derivatives are continuous)

$\Rightarrow \frac{\partial}{\partial \theta} \exp_p(\rho, \theta)$ is continuous.

But $\exp_p(0, \theta) = p$ const

$$\text{So } \lim_{\rho \rightarrow 0} \frac{\partial}{\partial \theta} \exp_p(\rho, \theta) = \frac{\partial}{\partial \theta} \text{const} = 0$$

$$\lim_{\rho \rightarrow 0} F(\rho, \theta) = \lim_{\rho \rightarrow 0} \langle \sigma_\rho, \sigma_\theta \rangle = \lim_{\rho \rightarrow 0} \left\langle \frac{\partial}{\partial \rho} \exp_p(\rho, \theta), \frac{\partial}{\partial \theta} \exp_p(\rho, \theta) \right\rangle = 0$$

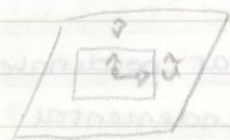
But F doesn't depend on $\rho \Rightarrow F \equiv 0$.

In terms of the geodesic normal coordinates

$$\hat{u} = \rho \cos \theta, \quad \hat{v} = \rho \sin \theta \quad (\text{usual cartesian coords on } B_2(0))$$

$$\text{We have } \sqrt{EG - F^2} = \sqrt{\hat{E}\hat{G} - \hat{F}^2} \frac{\partial(\hat{u}, \hat{v})}{\partial(\rho, \theta)}$$

$$\sqrt{G} = \sqrt{\hat{E}\hat{G} - \hat{F}^2} \rho$$



$$\hat{E} = 1, \quad \hat{G} = 1, \quad \hat{F} = 0 \quad \text{at } p.$$

$$\Rightarrow \sqrt{G} = \rho \sqrt{(1 \cdot 1 - 0^2)} + o(\rho)$$

$$\sqrt{G} \rightarrow 0 \text{ as } \rho \rightarrow 0$$

$$(\sqrt{G})_\rho \rightarrow 1$$

$$FFF \text{ is just } \frac{1}{2} dp^2 + G d\theta^2$$

Theorem (Minding)

Any 2 regular surfaces with the same constant Gauss curvature are locally isometric

Proof: Recall (HW problem)

$$\text{if } F \equiv 0 \quad K = \frac{1}{2\sqrt{EG}} \left(\left(\frac{E_v}{\sqrt{EG}} \right)_v + \left(\frac{G_u}{\sqrt{EG}} \right)_u \right)$$

In geodesic polar coordinates $E=1, F=0$

$$\Rightarrow K = -\frac{(\sqrt{G})_{pp}}{\sqrt{G}}$$

$$\Leftrightarrow (\sqrt{G})_{pp} + K\sqrt{G} = 0 \quad (\text{2nd order const coeff "ODE" for } \sqrt{G})$$

Case 1: $K=0 \Rightarrow (\sqrt{G})_{pp} = 0 \Rightarrow (\sqrt{G})_p = \alpha(\theta)$

Also $(\sqrt{G})_p \rightarrow 1$ as $\rho \rightarrow 0$ so $\alpha(\theta) = 1$,

$$\Rightarrow (\sqrt{G})_p = 1 \Rightarrow \sqrt{G} = \rho + \beta(\theta)$$

$$\sqrt{G} \rightarrow 0$$

$$\beta(\theta) = \lim_{\rho \rightarrow 0} (\sqrt{G} - \rho) = 0 - 0 = 0 \Rightarrow \sqrt{G} = \rho$$

$$\Rightarrow FFF \text{ is } d\rho^2 + \rho^2 d\theta^2$$

Case 2: $K > 0$ (look for solutions of form $\sqrt{G} = e^{r\rho}$)

$$r^2 + K = 0 \Rightarrow \sqrt{G} = \alpha(\theta) \cos \sqrt{K} \rho + \beta(\theta) \sin \sqrt{K} \rho$$

$$\lim_{\rho \rightarrow 0} \rho \rightarrow 0, \sqrt{G} \rightarrow 0$$

$$0 = \alpha(\theta) \Rightarrow \sqrt{G} = \beta(\theta) \sin \sqrt{K} \rho$$

$$\Rightarrow (\sqrt{G})_p = \sqrt{K} \beta(\theta) \cos \sqrt{K} \rho$$

$$\rho \rightarrow 0 \quad 1 = \sqrt{K} \beta(\theta) \Rightarrow \beta(\theta) = \frac{1}{\sqrt{K}}$$

$$\Rightarrow \sqrt{G} = \frac{1}{\sqrt{K}} \sin \sqrt{K} \rho$$

$$\text{So FFF is } d\rho^2 + \frac{1}{K} (\sin \sqrt{K} \rho)^2 d\theta^2$$

Case 3: $K < 0$

$$\sqrt{G} = \alpha(\theta) \cosh \sqrt{-K} \rho + \beta(\theta) \sinh \sqrt{-K} \rho$$

$$\dots \text{ FFF is } d\rho^2 + \frac{1}{(-K)} (\sinh^2 \sqrt{-K} \rho) d\theta^2$$

So any 2 surfaces with the same constant K have the same FFFs (each answer obtained above is unique.)

2 surfaces, same FFF \Leftrightarrow locally isometric.

In geodesic polars, the curves $\theta = \text{const}$ are geodesics, the curves $\rho = \text{const}$ are called "geodesic circles" (but they are not geodesics)

Theorem:

Let l be the arclength of the geodesic circle $\rho = r$ centred at $p \in \Sigma$

$$\text{Then } K(p) = \lim_{r \rightarrow 0} \frac{3}{\pi} \frac{2\pi r - l}{r^3}$$

where $K(p)$ is the Gauss curvature at p .

Proof: Work in geodesic polars (ρ, θ) centred at p .

$$* \Rightarrow (\sqrt{G})_{\rho\rho\rho} + K\rho\sqrt{G} + K(\sqrt{G})_{\rho} = 0 \quad **$$

Take the limit $\rho \rightarrow 0, \sqrt{G} \rightarrow \rho, (\sqrt{G})_{\rho} \rightarrow 1$

$$* \Rightarrow (\sqrt{G})_{\rho\rho} = -K\sqrt{G} \rightarrow -K$$

$$** \Rightarrow (\sqrt{G})_{\rho\rho\rho} = -K\rho\sqrt{G} - K(\sqrt{G})_{\rho} \rightarrow -K$$

Taylor series \sqrt{G}

$$\sqrt{G}(\rho, \theta) = \sqrt{G}(0, \theta) + \rho(\sqrt{G})_{\rho}(0, \theta) + \frac{\rho^2}{2}(\sqrt{G})_{\rho\rho}(0, \theta) + \frac{\rho^3}{6}(\sqrt{G})_{\rho\rho\rho} + o(\rho^3)$$

where $o(\rho^3)$ represents some function $g(\rho, \theta)$ st $\frac{g(\rho, \theta)}{\rho^3} \rightarrow 0$ as $\rho \rightarrow 0$.

$$\text{So } \sqrt{G} = \rho - \frac{\rho^3}{6}K + o(\rho^3)$$

Recall the length of a curve

$$s = \int_{t_0}^{t_1} \sqrt{x'^2 + y'^2 + z'^2} dt = \int_{t_0}^{t_1} \sqrt{E(u')^2 + 2F u'v' + G(v')^2} dt$$

In our case

$$L = \lim_{r \rightarrow 0} \int_0^{2\pi} \sqrt{G}(r, \theta) d\theta \quad (r = \text{const})$$

$$\Rightarrow L = 2\pi r - \frac{r^3}{3}\pi K + o(r^3)$$

$$\Rightarrow K(p) = \lim_{r \rightarrow 0} \frac{3}{\pi} \frac{2\pi r - L}{r^3}$$

Lemma: Wirtinger's inequality

For any differentiable function $F: [0, \pi] \rightarrow \mathbb{R}$ with $F(0) = 0, F(\pi) = 0$

$$\int_0^{\pi} F(t)^2 dt \leq \int_0^{\pi} \left(\frac{dF}{dt}\right)^2 dt$$

where the equality holds if and only if \exists const c, s , st

$$F(t) = csint \quad \forall t \in [0, \pi]$$

Proof: Let $G(t) = \frac{F(t)}{\sin t} \quad \forall t \in (0, \pi)$

$$\text{Then } \dot{F} = \dot{G}\sin t + G\cos t$$

$$\dot{F}^2 = \dot{G}^2\sin^2 t + 2\dot{G}G\sin t\cos t + G^2\cos^2 t \quad \#$$

Using integration by parts

$$\int_0^{\pi} \underbrace{(2G\dot{G})}_{\frac{dG^2}{dt}} \sin t \cos t dt = G^2 \sin t \cos t \Big|_0^{\pi} - \int_0^{\pi} G^2 (\cos^2 t - \sin^2 t) dt$$

$$= \int_0^{\pi} F(t)^2 dt - \int_0^{\pi} G^2 \cos^2 t dt$$

Integrating # gives

$$\int_0^\pi \dot{F}^2 dt = \int_0^\pi \dot{G}^2 \sin^2 t dt + \int_0^\pi F^2 dt > \int_0^\pi F^2 dt$$

where equality holds iff $\int_0^\pi \dot{G}^2 \sin^2 t dt = 0$

$$\Leftrightarrow \dot{G} = 0 \Leftrightarrow G = c \text{ is a const} \Leftrightarrow F = c \sin t$$

Theorem: Isoperimetric inequality

Let C be a simple closed curve with length L and let A be the area of the interior of C .

Then $A \leq \frac{L^2}{4\pi}$ where equality holds if and only if C is a circle.

Proof: Let $\tilde{\gamma} : [0, L] \rightarrow \mathbb{R}^2$ be parametrised by arclength, tracing C in the +ve direction.

Define $\gamma(t) = \tilde{\gamma}(s) - \tilde{\gamma}(0)$, where $t = \frac{\pi s}{L}$

Express γ in polar coordinates

$$\gamma(t) = (x(t), y(t)) = (p(t)\cos\theta(t), p(t)\sin\theta(t))$$

$$\dot{x} = \dot{p}\cos\theta - p\dot{\theta}\sin\theta \quad \dot{y} = \dot{p}\sin\theta + p\dot{\theta}\cos\theta$$

Recall Green's thm

$$\iint_R \left(\frac{\partial Q}{\partial x} - \frac{\partial P}{\partial y} \right) dx dy = \int_0^\pi (P\dot{x} + Q\dot{y}) dt$$

Choose $P = -y/2$, $Q = x/2$

$$\Rightarrow A = \iint_R dx dy = \frac{1}{2} \int_0^\pi (x\dot{y} - y\dot{x}) dt$$

$$= \frac{1}{2} \int_0^\pi p^2 \dot{\theta} dt$$

Also from detⁿ of $t = \pi s/L$

$$\frac{L^2}{\pi^2} = \left(\frac{ds}{dt} \right)^2 = \dot{x}^2 + \dot{y}^2 = \dot{p}^2 + p^2 \dot{\theta}^2$$

$$\text{Hence } \frac{L^2}{4\pi^2} - A = \frac{1}{4} \int_0^\pi (\dot{p}^2 + p^2 \dot{\theta}^2 - 2p^2 \dot{\theta}) dt$$

$$= \frac{1}{4} \int_0^\pi p^2 (\dot{\theta} - 1) dt + \frac{1}{4} \int_0^\pi (\dot{p}^2 - p^2) dt$$

$\underbrace{\hspace{10em}}_{I_1} \quad \underbrace{\hspace{10em}}_{I_2}$

$I_1 \geq 0$ and $I_1 = 0 \Leftrightarrow \dot{\theta} = 1 \Leftrightarrow \theta = t + c$

$I_2 \geq 0$ by Wirtinger's lemma $F = p$, $p(0) = p(\pi) = 0$

with equality $\Leftrightarrow p(t) = c \sin t$ - ellipse \Rightarrow polar eqn of circle

The Rigidity of the Sphere

Recall: $p \in \Sigma$ is umbilical if and only if the principle curvatures are equal: $k_1(p) = k_2(p)$
 Σ is called totally umbilical $\Leftrightarrow p$ is umbilical, $\forall p \in \Sigma$.

Theorem:

The only totally umbilical connected surfaces are the open subsets of planes and spheres

proof: Since Σ is totally umbilical \exists smooth function $f(u,v)$ st $(DN) = f(u,v) \text{id}$ ($f(u,v) = -K$).

$$\begin{aligned} & (k_1 = k_2) \\ & - (DN)_p e_1 = k e_1 \\ & - (DN)_p e_2 = k e_2 \\ & \Rightarrow - (DN)_p v = k v \end{aligned}$$

So in particular $(DN)\sigma_u = f\sigma_u$ and $(DN)\sigma_v = f\sigma_v$.

But $(DN)\sigma_u = \tilde{N}_u$ etc.

So $\tilde{N}_u = f\sigma_u$ and $\tilde{N}_v = f\sigma_v$ *

So $(f\sigma_u)_v = (\tilde{N}_u)_v = (f\sigma_v)_u$

$$\Leftrightarrow f_v \sigma_u + f \sigma_{uv} = f_u \sigma_v + f \sigma_{vu}$$

$$f_v \sigma_u = f_u \sigma_v$$

$\Rightarrow f_u = f_v = 0$ since σ_u, σ_v are linearly independent

$\Rightarrow f = \text{const}$

Case 1 $f=0$

Then $\tilde{N}_u = \tilde{N}_v = 0 \Rightarrow \tilde{N} = \text{const}$

$\Rightarrow \Sigma$ is a plane

Case 2 $f \neq 0$

$$\sigma \cdot \frac{1}{f} \tilde{N} = \underline{c}$$

$\Rightarrow |\sigma(u,v) - \underline{c}| = \left| \frac{1}{f} \tilde{N} \right| = \frac{1}{|f|} \Rightarrow \sigma(u,v)$ lies on the sphere centred at \underline{c} with radius $1/|f|$.

Corollary:

The only totally umbilical surfaces which are closed subsets of \mathbb{R}^3 are spheres and planes.

Lemma:

Any non-umbilical point of a regular surface has a nghd which is the image of a parametrisation for which $F = f = 0$.

Theorem: (Hilbert).

Let Σ be an oriented surface with principal curvatures $k_1 \leq k_2$.

Suppose that the following conditions hold at some point $p \in \Sigma$

1. $K(p) > 0$

2. k_1 has a local minimum at p

3. k_2 has a local maximum at p

Then p is an umbilical point.

Proof: Assume that p is not umbilical.

Therefore there exists parametrizations st $F = f = 0$

$$\Rightarrow K = \frac{eg}{EG} \quad H = \frac{1}{2} \left(\frac{e}{E} + \frac{g}{G} \right)$$

$\Rightarrow k_1 = \frac{e}{E}, k_2 = \frac{g}{G}$ (wlog changing $u \leftrightarrow v$ if necessary).

$$\begin{pmatrix} \Gamma_{11}^1 & \Gamma_{12}^1 & \Gamma_{22}^1 \\ \Gamma_{11}^2 & \Gamma_{12}^2 & \Gamma_{22}^2 \end{pmatrix} = \frac{1}{2} \begin{pmatrix} E^{-1} & 0 \\ 0 & G^{-1} \end{pmatrix} \begin{pmatrix} E_u & E_v & -G_u \\ -E_v & G_u & G_v \end{pmatrix}$$

$$= \frac{1}{2} \begin{pmatrix} E_u/E & E_v/E & -G_u/E \\ -E_v/G & G_u/G & G_v/G \end{pmatrix}$$



Mainardi - Codazzi equations.

$$e_v - f_u = e \Gamma_{12}^1 + f - g \Gamma_{11}^2$$

$$f_v - g_u = e \Gamma_{22}^1 + f - g \Gamma_{12}^2$$

$$\Rightarrow e_v = \frac{1}{2} \left(e \frac{E_v}{E} + g \frac{E_v}{G} \right) = \frac{E_v}{2} (k_1 + k_2)$$

$$g_u = \frac{1}{2} \left(e \frac{G_u}{E} + g \frac{G_u}{G} \right) = \frac{G_u}{2} (k_1 + k_2)$$

$$(k_1)_v = \frac{e_v}{E} - \frac{e}{E} \frac{E_v}{E}$$

$$= \frac{e_v}{E} - k_1 \frac{E_v}{E} = \frac{E_v}{E} \left(\frac{k_1 + k_2}{2} - k_1 \right)$$

Using 1 to eliminate e_v : $E_v = \frac{2E(k_1)_v}{k_2 - k_1}$

Similarly $G_u = \frac{2G(k_2)_u}{k_1 - k_2}$

Gauss equation: $EK = (\Gamma_{11}^2)_v - (\Gamma_{12}^2)_u + \Gamma_{11}^1 \Gamma_{12}^2 + \Gamma_{11}^2 \Gamma_{22}^2 - \Gamma_{12}^1 \Gamma_{11}^1 - \Gamma_{12}^2 \Gamma_{12}^2$ 3

Now $\Gamma_{11}^2 = \frac{-1}{2} \frac{E_v}{G} = \frac{E}{G} \frac{(k_1)_v}{k_1 - k_2}$

The Rigidity of the Sphere

$$\text{So } (\Gamma_{11}^2)_v = \frac{E}{G} \frac{(k_1)_v w}{k_1 - k_2} + \left(\frac{E}{G} \frac{1}{k_1 - k_2} \right) (k_1)_v$$

$$\text{Similarly } (\Gamma_{22}^1)_u = \frac{(k_2)_u w}{k_1 - k_2} + \left(\frac{1}{k_1 - k_2} \right) (k_2)_u$$

Assumption 1 \Rightarrow LHS of 3 is > 0 at p

2 $\Rightarrow (k_1)_v(p) = 0$ and $(k_1)_{vv}(p) \geq 0$

3 $\Rightarrow (k_2)_u(p) = 0$ and $(k_2)_{uu}(p) \leq 0$

\Rightarrow RHS of 3 ≤ 0 contradiction!

Lemma:

A regular compact surfaces $\Sigma \subset \mathbb{R}^3$ has at least one elliptic curve point.

(ie $\exists p \in \Sigma$ st $K(p) > 0$.)

Proof: \exists sphere S centred at O of maximum radius st $\Sigma \cap S \neq \emptyset$



Choose $p \in \Sigma \cap S$, Σ and S are tangent at p

Choose $w \in T_p \Sigma$

Let $\gamma_1: (-\epsilon, \epsilon) \rightarrow \Sigma$ and $\gamma_2: (-\epsilon, \epsilon) \rightarrow S$ be unit speed parametrisations of the normal sections of Σ and

S in direction w . Let $N(p)$ be the unit normal to S (and Σ) at P pointing towards O .

$$N(p) = \frac{\dot{p}}{|p|}$$

Since S is the largest sphere intersecting Σ , we must have

$$\langle \gamma_1(s), N(p) \rangle \geq \langle \gamma_2(s), N(p) \rangle$$

$$\Leftrightarrow \langle \gamma_1(s) - p, N(p) \rangle \geq \langle \gamma_2(s) - p, N(p) \rangle$$

$$\Leftrightarrow \langle \gamma_1(0) + s \gamma_1'(0) + \frac{s^2}{2} \gamma_1''(0) + O(s^3), N(p) \rangle \geq \langle \gamma_2(0) + s \gamma_2'(0) + \dots - p, N(p) \rangle$$

Now $\gamma_1(0) = \gamma_2(0) = p$, $\gamma_1'(0) = \gamma_2'(0) = w \in W_p S \perp N$

$$\text{So } \frac{s^2}{2} \langle \gamma_1''(0), N \rangle \geq \frac{s^2}{2} \langle \gamma_2''(0), N \rangle + O(s^3)$$

$$\Rightarrow \langle \gamma_1''(0), N \rangle \geq \langle \gamma_2''(0), N \rangle \quad (s \rightarrow 0)$$

\Rightarrow normal curvature of $\gamma_1 \geq$ normal curvature of $\gamma_2 = \frac{1}{R}$ + radius of sphere
(great circle on sphere)

\Rightarrow each principal curvature of Σ at $p \geq \frac{1}{R}$

$$\text{so } K(p) = k_1(p)k_2(p) \geq \frac{1}{R^2} > 0$$

Theorem: (Liebmann)

Let Σ be a compact connected regular surface with constant Gauss curvature. Then Σ is a sphere.

Proof: Since K is constant, the previous lemma shows that $K > 0$. Label principle curvatures st $k_1(q) \leq k_2(q) \forall q \in \Sigma$. Since Σ is compact k_2 must have a maximum at some point $p \in \Sigma$. Also $k_1(q) = K/k_2(q)$ so k_1 has a minimum at p .

\therefore Hilbert's Theorem $\Rightarrow p$ is umbilical.

For any $q \in \Sigma$

$$k_2(q) \leq k_2(p) \quad \text{since max of } k_2 \text{ at } p$$

$$= k_1(p) \quad p \text{ is umbilical.}$$

$$\leq k_1(q) \quad \text{since min of } k_1 \text{ is at } p$$

So $k_2(q) \leq k_1(q)$ but by defn $k_1(q) \leq k_2(q)$

$\Rightarrow k_1(q) = k_2(q) \forall q \in \Sigma \Rightarrow \Sigma$ is totally umbilical. \square

Theorem: Rigidity of spheres

Let S be a sphere of radius $R > 0$ and let Σ be a connected surface. If Σ is analocally isometric to S then Σ is a sphere of radius R .

Proof: Isometries preserve K . So Σ has constant Gauss curvature

$$K = \frac{1}{R^2}. \text{ By Liebmann's Thm } \Rightarrow \text{sphere.}$$

Initially right handed continuity shows it can't suddenly become left handed.

2. Let σ be a parametrization of a regular surface Σ with orientation N and let $\tilde{N} = N \circ \sigma$.

1. Show that $(DN)_{\sigma(u,v)}(u,v) = \tilde{N}_u(u,v)$ where $p = \sigma(u,v)$

$$\text{let } \gamma(t) = \sigma(u_0 + t, v_0)$$

$$\text{So } \gamma(0) = p = \sigma(u_0, v_0)$$

$$\gamma'(0) = \sigma_u(u_0, v_0)$$

$$\text{So } (DN)_{\sigma(u_0, v_0)}(\sigma_u(u_0, v_0)) = (N \circ \gamma)'(0) = \frac{d}{dt} (N \circ \gamma)(u_0 + t, v_0) \Big|_{t=0} = (N \circ \sigma)_u(u_0, v_0)$$

Revision Notes

- Gives important formula.

Example questions:

(a) Verify that $(s - \tan^{-1}s, \log(s^2+1), 1)$ is parametrised by arclength.

(i) Let $\underline{t}, \underline{n}, \underline{b}$ be \mathbb{R}^3 valued functions of $s \in I$ solving

$$\underline{t}' = k\underline{n} \quad \underline{n}' = -k\underline{t} - \tau\underline{b} \quad \underline{b}' = \tau\underline{n}$$

for $s \in I$, where

Define

$$M(s) = \begin{pmatrix} \underline{t}(s) \cdot \underline{t}(s) & \underline{t}(s) \cdot \underline{n}(s) & \underline{t}(s) \cdot \underline{b}(s) \\ \underline{n}(s) \cdot \underline{t}(s) & \underline{n}(s) \cdot \underline{n}(s) & \underline{n}(s) \cdot \underline{b}(s) \\ \underline{b}(s) \cdot \underline{t}(s) & \underline{b}(s) \cdot \underline{n}(s) & \underline{b}(s) \cdot \underline{b}(s) \end{pmatrix} \quad M' = AM - MA \quad *$$

Suppose that for some value s_0 of s , the vectors $\underline{t}(s_0), \underline{n}(s_0), \underline{b}(s_0)$ form a right handed orthonormal frame.

Show that $(\underline{t}(s), \underline{n}(s), \underline{b}(s))$ remains right handed orthonormal frame.

We have initial value problem * with $M(s_0) = I$. Existence and uniqueness says there is exactly one soln of the problem.

Clearly $M \equiv I$ is a solution of this initial value problem \therefore It is the only solution.

So $M \equiv I \Rightarrow \{\underline{t}, \underline{n}, \underline{b}\}$ is orthonormal.

Initially right handed continuity shows it can't suddenly become left handed.

2. Let σ be a parametrisation of a regular surface Σ with orientation \underline{N} and let $\hat{\underline{N}} = \underline{N} \circ \sigma$.

(i) Show that $(DN)_{p\sigma v}(\underline{u}_0, \underline{v}_0) = \hat{\underline{N}}_v(\underline{u}_0, \underline{v}_0)$ where $p = \sigma(\underline{u}_0, \underline{v}_0)$

let $\gamma(t) = \sigma(\underline{u}_0 + t, \underline{v}_0)$

So $\gamma(0) = p = \sigma(\underline{u}_0, \underline{v}_0)$

$\gamma'(0) = \sigma_v(\underline{u}_0, \underline{v}_0)$

So $(DN)_{p\sigma v}(\underline{u}_0, \underline{v}_0) = (\underline{N} \circ \gamma)'(0) = \left. \frac{d}{dt} ((\underline{N} \circ \gamma)(\underline{u}_0 + t, \underline{v}_0)) \right|_{t=0} = (\underline{N} \circ \sigma)_v(\underline{u}_0, \underline{v}_0)$

u) Show that $\hat{N}_u(u,v) = a(u,v)\sigma_u(u,v) + b(u,v)\sigma_v(u,v)$

$$\hat{N}_v(u,v) = c(u,v)\sigma_u(u,v) + d(u,v)\sigma_v(u,v)$$

with $\begin{pmatrix} a & b \\ c & d \end{pmatrix} = \dots$ (explicitly given in paper).

\hat{N} is a unit (ie constant length) vector, so \hat{N}_u and \hat{N}_v are orthogonal to \hat{N} and hence in $T_p\Sigma$. Furthermore σ_u, σ_v is a basis for $T_p\Sigma$, so $\exists a, b, c, d$ st 1 and 2 are true.

$$\langle \sigma_u, \hat{N} \rangle = 0 \implies \langle \hat{N}_u, \sigma_u \rangle = a \langle \sigma_u, \sigma_u \rangle + b \langle \sigma_u, \sigma_v \rangle$$

$$\langle \hat{N}_u, \sigma_u \rangle = \langle (DN)_p \sigma_u, \sigma_u \rangle \text{ from i}$$

$$= -e$$

$$-e = aE + bF$$

$$-f = aF + bG$$

$$-f = cE + dF$$

$$-g = cF + dG$$

$$\implies \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} E & F \\ F & G \end{pmatrix} = - \begin{pmatrix} e & f \\ f & g \end{pmatrix}$$

u) A surface $\hat{\Sigma}$ is said to be parallel to Σ if it has a parametrisation $\hat{\sigma}(u,v) = \alpha(u,v)\sigma(u,v) + \beta(u,v)\hat{N}(u,v)$ where α is a constant.

Show that $\hat{\sigma}_u \times \hat{\sigma}_v = (1 - 2H\alpha + K\alpha^2)\sigma_u \times \sigma_v$.

$$\hat{\sigma}_u = \sigma_u + \alpha \hat{N}_u$$

$$\hat{\sigma}_v = \sigma_v + \alpha \hat{N}_v$$

i) Show that $(DN)_p \sigma_u(u,v) = \hat{N}_u(u,v)$ when $p = \sigma(u,v)$

$$\text{let } \gamma(t) = \sigma(u(t), v(t))$$

$$\text{so } \gamma'(0) = \sigma_u(u,v) = \sigma_u(u,v)$$

$$\gamma''(0) = (DN)_p \sigma_u(u,v)$$

$$\langle \sigma_u, \sigma_u \rangle = E = \langle \sigma_u, \sigma_u \rangle + \alpha^2 \langle \hat{N}_u, \hat{N}_u \rangle + 2\alpha \langle \sigma_u, \hat{N}_u \rangle$$