

3503 Graph Theory and Combinatorics Notes  
Based on the spring 2013 lectures  
by Dr J Talbot.

To those who understand and accept that the way and only way to learn mathematics is to solve mathematics problems and to do them honestly and faithfully.

Lin Qian

9/1/13

## Graph theory + Combinatorics

Introduction; an example:



$$G = (V, E)$$

$$V = \{1, 2, 3, 4, 5, 6\}$$


$$E = \{\{1, 2\}, \{1, 3\}, \{2, 3\}, \{2, 4\}, \{5, 6\}\}$$

Notation:

# = "the number of"



Ramsey  
Theory.

So  $K_5 =$   #edges in  $K_5$   
 $=$  # unordered pairs from  $\{1, 2, 3, 4, 5\}$   
 $= \binom{5}{2} = \frac{5 \times 4}{2} = 10.$

Def: If  $X$  is a set then  $|X|$  is the size or cardinality of  $X$ .

Def: For any  $k \geq 1$  we define  $k$  factorial to be  $k! = k(k-1) \cdots 2 \cdot 1$ . We define  $0! = 1$ .





$|A| \leq 2^{n-1}$  Because have at most one of each complementary pairs:  $(B, X - B)$ .

$$[x] = \{1, 2, \dots, n\}$$

$$\lfloor x \rfloor \quad \lceil x \rceil$$

floor      ceiling

$$A = \{A \subseteq [n] : \emptyset \in A\}$$

$$|A| = 2^{n-1} = \# \text{subset of an } (n-1) \text{-set}$$

$$|X|$$

$$4! = 4 \times 3 \times 2 \times 1 = 24$$

$$0! = 1$$

Lemma 1.1

(i) #  $k$ -tuples from  $X = [n] = n^k$ .

(ii) #  $k$ -tuples with distinct elements from  $X$  is  $n(n-1)\dots(n-k+1)$ .

Proof:

(i)  $n$  choices for each  $k$  positions

(ii)  $n$  choices for 1st entry  
 $n-1$     "            "            2nd entry etc.

$n - (k-1)$  choices for  $k^{\text{th}}$  entry  $\square$

Def:  $\binom{X}{k} := \{ A \subseteq X : |A| = k \}$

eg.  $\binom{5}{2} = 10$

$$\binom{[5]}{2} = \{12, 13, 14, 15, 23, 24, 25, 34, 35, 45\}$$

$$= \{ \{1, 2\}, \{1, 3\}, \dots, \{4, 5\} \}$$

Lemma 1.2 :  $|X| = n$ , and  $0 \leq k \leq n$  then

$$\left| \binom{X}{k} \right| = \binom{n}{k}$$

Each  $k$ -set from  $X$  correspond to  $k!$  different  $k$ -tuples of distinct : Hence, Lemma 1.1 (ii)

$$\Rightarrow \left| \binom{X}{k} \right| = \frac{n(n-1) \dots (n-k+1)}{k!}$$

$$= \frac{n!}{k!(n-k)!}$$

$\square$

— / —

## Probabilistic Method:

Idea: Want an example of some mathematical object. Invent a probabilistic "experiment" where  $\Pr(\text{that the experiment generates a good example})$ .

$0! = 1 \Rightarrow \binom{n}{n} = 1$  and  $\binom{n}{0} = 1$  (there is only one way to choose a set with no elements). By convention we define  $\binom{n}{k} = 0$  for  $k \in \mathbb{Z} - \{0, 1, \dots, n\}$ .  
i.e. define  $\binom{n}{k} = 0$  if  $k < 0$  or  $k > n$  integer.

Def: The powerset of a set  $X$  is:  
 $\mathcal{P}(X) = \{A : A \subseteq X\}$

Lemma 1.3. If  $|X| = n \geq 0$  and  $0 \leq k \leq n$  then  
i)  $|\mathcal{P}(X)| = 2^n$ .

$$\text{ii) } \binom{n}{k} = \binom{n}{n-k}$$

$$\text{iii) } \binom{n+1}{k} = \binom{n}{k} + \binom{n}{k-1}$$

Proof:

i)  $n$  elements in or out



ii) Observe that  $\frac{n!}{k!(n-k)!} = \frac{n!}{(n-k)!k!}$

So  $B \rightarrow X - B$  is a bijection.

$$\text{iii) } \binom{n+1}{k} = \binom{n}{k} + \binom{n}{k+1} = \# \text{ subset of } [n+1] \text{ of size } k, \text{ containing } n+1$$

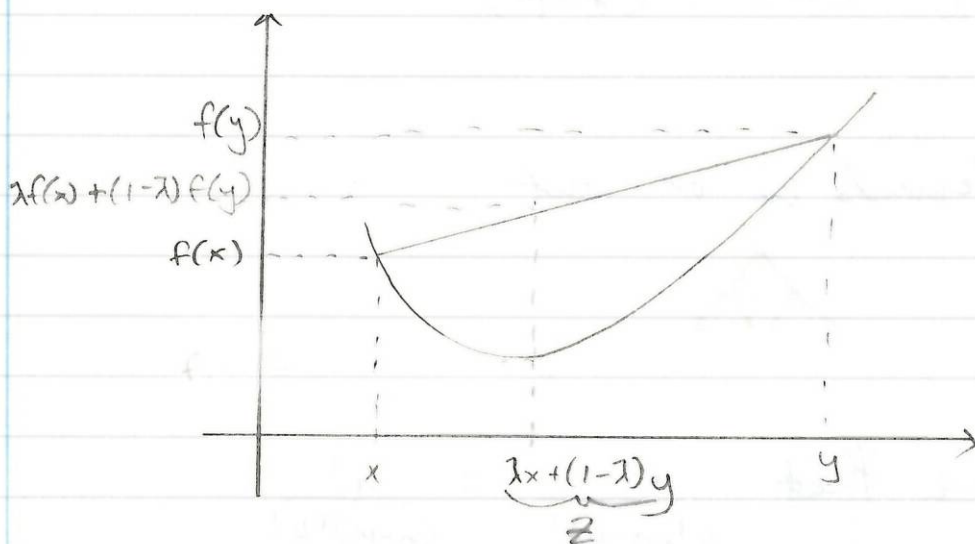
$$\left| \binom{[n+1]}{k} \right| \quad // \quad // \quad \# \text{ subset of } [n+1] \text{ of size } k! \text{ not containing } n+1$$

$x \in \mathbb{R}, s \geq 0$  integer

$$\binom{x}{s} = \begin{cases} \frac{x(x-1)\dots(x-s+1)}{s!}, & x \geq s-1 \\ 0, & x \leq s-1. \end{cases}$$

Def:  $f: (a, b) \rightarrow \mathbb{R}$  convex iff  $\forall x, y \in (a, b)$   
 $\lambda \in [0, 1]$

$$f(\lambda x + (1-\lambda)y) \leq \lambda f(x) + (1-\lambda)f(y). \quad \textcircled{1}$$





Lemma 1.4: If  $f: (a, b) \rightarrow \mathbb{R}$  diff<sup>ble</sup>  $f'(x)$  non-decreasing on  $(a, b)$  then  $f$  is convex on  $(a, b)$

Proof: Let  $x, y \in (a, b)$ ,  $\lambda \in [0, 1]$ ,  $x < y$  If  $z = \lambda x + (1 - \lambda)y$  apply Mean-Value theorem there exist  $\xi_1 \in (x, z)$ ,  $\xi_2 \in (z, y)$  st

$$\frac{f(z) - f(x)}{z - x} = f'(\xi_1), \quad \frac{f(y) - f(z)}{y - z} = f'(\xi_2)$$

.. Rearrange to give ① using  $f'(\xi_1) \leq f'(\xi_2)$ .

Lemma 1.5:  $s \geq 1$ ,  $\varphi_s: \mathbb{R} \rightarrow \mathbb{R}$ ,  $\varphi_s(x) = \binom{x}{s}$ , then  $\varphi_s(x)$  is convex.

Proof: By induction on  $s$ , show  $\varphi_s'(x), \varphi_s''(x) \geq 0$  for  $x \in (s-1, \infty)$ .  $\varphi_1'(x), \varphi_1''(x) \geq 0$

$\therefore$  True for  $s=1$   $\varphi_s(x) = \frac{x(x-1)\dots(x-s+1)}{s!}$

Fact  $s\varphi_s(x) = (x-s+1)\varphi_{s-1}(x)$

Differentiate  $s\varphi_s'(x) = \varphi_{s+1}(x) + (x-s+1)\varphi_{s+1}'(x) \geq 0$   
(by induction step on  $s-1$ )

Similarly for  $\varphi_s''(x)$ .

$$s\varphi_s''(x) = 2\varphi_{s+1}'(x) + (x-s+1)\varphi_{s+1}''(x) \geq 0.$$

$\therefore \varphi_s'(x), \varphi_s''(x) \geq 0 \Rightarrow$  (by lemma 1.4),  $\varphi_s(x)$  is convex  $\square$

Thm 1.6 (Jensen's Inequality): If  $\varphi: (a, \infty) \rightarrow \mathbb{R}$  is convex,  $x_1, \dots, x_n > a$ ,  $\lambda_1, \dots, \lambda_n \in [0, 1]$ ,  $\sum_{i=1}^n \lambda_i = 1$  then  $\varphi(\sum_{i=1}^n \lambda_i x_i) \leq \sum_{i=1}^n \lambda_i \varphi(x_i)$

Proof: True for  $n=1, n=2$  (by induction).

Now suppose  $n \geq 3$ , assume  $\lambda_{n-1} + \lambda_n > 0$ .

$$y_i = \begin{cases} x_i & 1 \leq i \leq n-2 \\ \frac{\lambda_{n-1} x_{n-1} + \lambda_n x_n}{\lambda_{n-1} + \lambda_n} & i = n-1. \end{cases}$$

$y_1, \dots, y_{n-1} > a$ ,  $\mu_1, \dots, \mu_{n-1} \in [0, 1]$ ,  $\sum_{i=1}^{n-1} \mu_i = 1$   
 $\therefore$  Apply induction hypothesis for  $n-1$ .

$$\Rightarrow \varphi\left(\sum_{i=1}^{n-1} \mu_i y_i\right) \leq \sum_{i=1}^{n-1} \mu_i \varphi(y_i)$$

$$\Rightarrow \varphi\left(\sum_{i=1}^n \lambda_i x_i\right) \leq \sum_{i=1}^{n-2} \lambda_i \varphi(x_i)$$

$$+ (\lambda_{n-1} + \lambda_n) \varphi\left(\frac{\lambda_{n-1} x_{n-1} + \lambda_n x_n}{\lambda_{n-1} + \lambda_n}\right)$$

Convexity  $\Rightarrow$  result.

□

Corollary 1.7: Let  $s \geq 1$  be an integer  $\lambda_1, \dots, \lambda_n \in [0, 1]$  with  $\sum_{i=1}^n \lambda_i = 1$  and  $x_1, \dots, x_n \geq 0$

$$\text{(Simple Cauchy-Schwarz)}: \frac{1}{n} \left(\sum_{i=1}^n x_i\right)^2 \leq \sum_{i=1}^n x_i^2$$



(Bin Coeff Convexity)  $\left(\frac{1}{n} \sum_{i=1}^n x_i\right)^s \leq \frac{1}{n} \sum_{i=1}^n \binom{x_i}{s}$

Proof: Directly from Th<sup>m</sup> 1.6 by convexity of  $f(x) = x^2$  and  $f(x) = \binom{x}{s}$

□

Lemma 1.8:  $\frac{(n-s+1)^s}{s!} \leq \binom{n}{s} \leq \frac{n^s}{s!}$

$\frac{n(n-1)\dots(n-s+1)}{s!}$

## Graphs

vertices  edges 

Def: A graph is a pair  $G = (V, E)$  of sets, with  $E \subseteq \binom{V}{2}$ . The elements of  $V$  are vertices and the elements of  $E$  are edges.

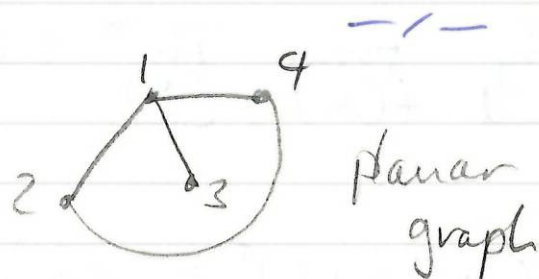
We denote the vertices and edges of a graph  $G$  by  $V(G)$  and  $E(G)$  respectively

Def: The order of a graph is the number of vertices  $|V|$ . The size of a graph is the number of edges  $|E|$ .

Def: If  $G$  is a graph and  $v \in V(G)$  then the neighbourhood (or nbhd) of  $v$  is

$$N(v) = \{u \in V(G) : uv \in E(G)\}$$

The degree of a vertex  $v \in V$  is the size of its neighbourhood  $d(v) = |\Gamma^+(v)|$ .



Eg:  $G([4], \{12, 13, 14\})$

order is 4

size 3

$$\Gamma^+(1) = \{2, 3, 4\}$$

$$d(1) = 3$$



Lemma 1.9 (Handshake lemma): For any graph  $G = (V, E)$ .

$$\sum_{v \in V} d(v) = 2|E|. \quad (*)$$

Proof: Each edge has two endpoints so to count twice in the LHS of (\*).

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Last time :  $G = (V, E)$  ,  $\sum_{v \in V} d(v) = 2|E|$ .  
a graph.

Lemma 1.10 : In any graph the number of vertices of odd degree is even.

Proof :  $G = (V, E)$  ,  $V = A \dot{\cup} B$ . *disjoint union.*

$A = \{v : d(v) \text{ odd}\}$  ,  $B = \{v : d(v) \text{ even}\}$

$\sum_{v \in V} d(v) = 2|E|$  is even (via Handshake Lemma)

$\sum_{v \in B} d(v)$  is even since it is a sum of even numbers.

Hence  $\sum_{v \in A} d(v) = 2|E| - \sum_{v \in B} d(v)$  is even.

Hence  $|A|$  is even □

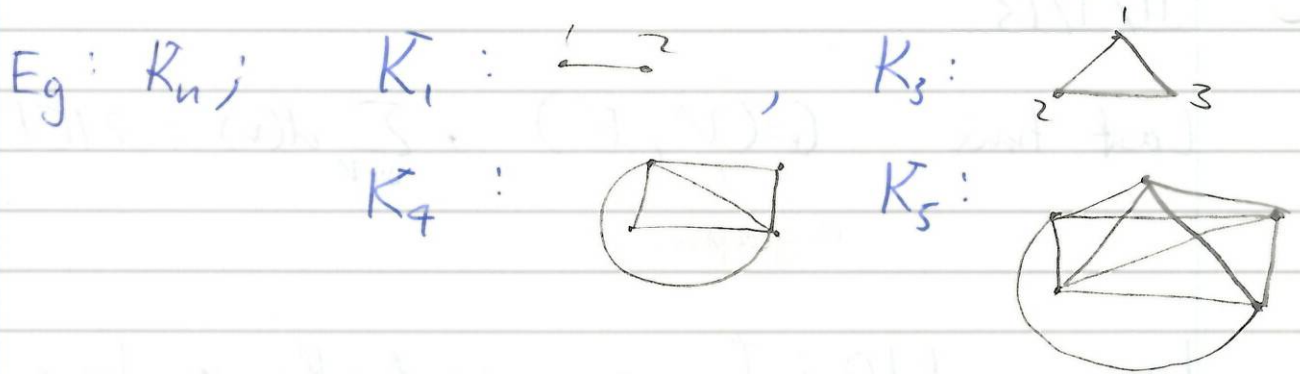
#### 1.4 Special Graphs.

We define  $[n] = \{1, 2, \dots, n\}$ .

1) The complete graph of order  $n \geq 2$  :  $K_n$

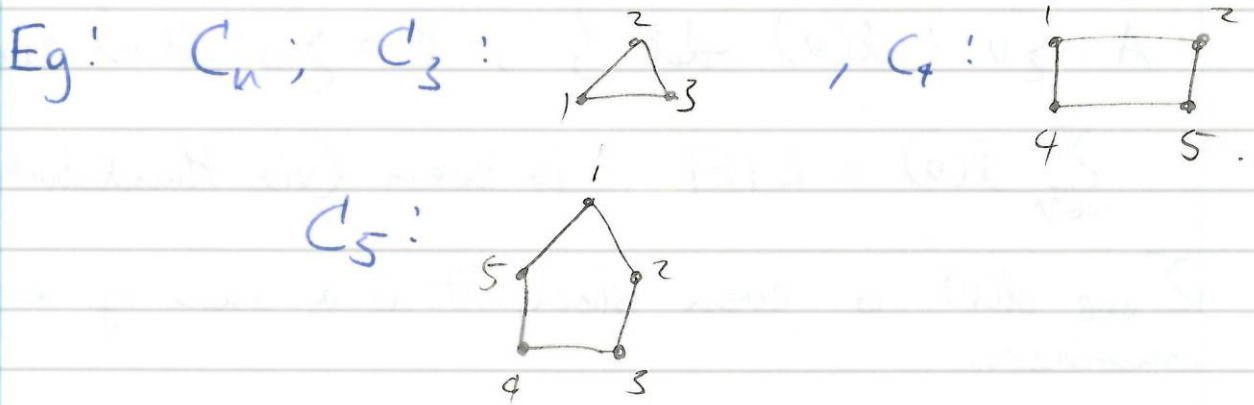
$$V = [n] , E = \binom{[n]}{2}$$





2) The cycle of length  $n \geq 3$ :  $C_n$ .

$$V = [n], E = \{\{i, i+1\} : i = 1, 2, \dots, n-1\} \cup \{\{1, n\}\}$$



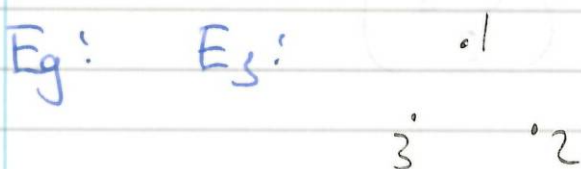
3) The path of length  $n$ :  $P_n$  ( $n$  edges and  $n+1$  vertices).

$$V = \{0, 1, 2, \dots, n\}, E = \{\{i-1, i\} : i \in [n]\}$$



4) The empty graph of order  $n$ :  $E_n$ .

$$V = [n], E = \emptyset$$

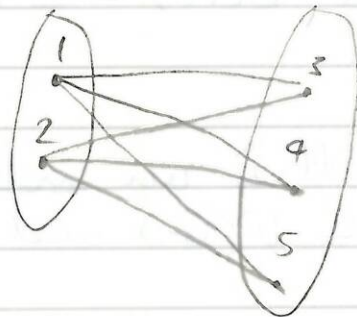


5) The complete bipartite graph with classes  $a$  and  $b$  is  $K_{a,b}$ :

$$V = \{1, 2, \dots, a\} \cup \{a+1, a+2, \dots, a+b\}$$

$$E = \{\{i, j\} : 1 \leq i \leq a, a+1 \leq j \leq a+b\}$$

Eg:  $K_{a,b}$  ;  $K_{2,3}$ :

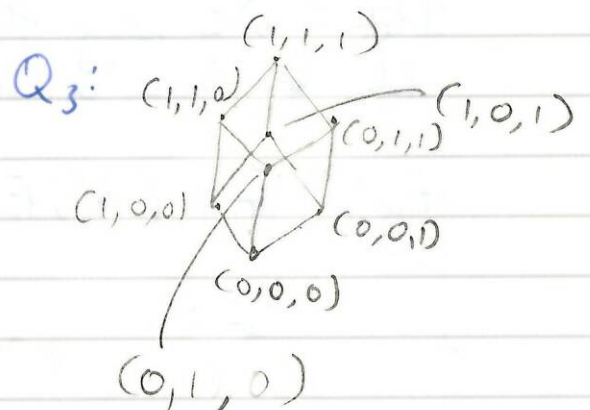
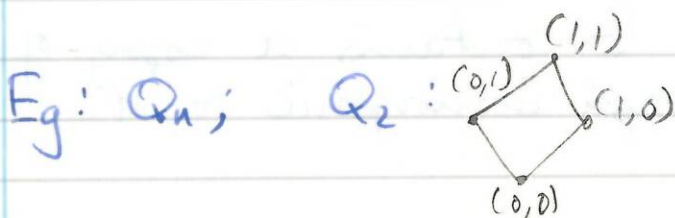


6) The (discrete) hypercube of dimension  $n$ :  $Q_n$ .

$$V(Q_n) = \{0, 1\}^n$$

where  $\{0, 1\}^n = \{(x_1, \dots, x_n) : x_i \in \{0, 1\} \forall i\}$

$E(Q_n) = \{xy : x \text{ and } y \text{ differ in exactly one coordinate}\}$ .



Note:  $\mathcal{P}([n]) = \{A : A \subseteq [n]\} \xleftrightarrow{\text{bijection}} \{0, 1\}^n$   
 $A \rightarrow \{x_1, \dots, x_n\} \quad x_i = 1 \text{ iff } i \in A.$

## 1.5 Subgraphs

Def: If  $G$  and  $H$  are graphs satisfying  $V(H) \subset V(G)$  and  $E(H) \subset E(G)$  then  $H$  is a subgraph of  $G$ .

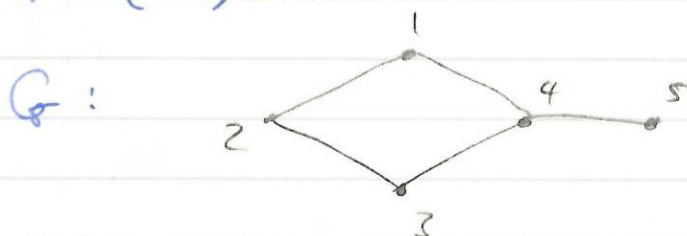
Def: We say that  $H$  is an induced subgraph of  $G$  if  $V(H) \subset V(G)$  and  $E(H) = E(G) \cap \binom{V(H)}{2}$ .

Def: If  $G = (V, E)$  is a graph and  $A \subset V$  then  $G[A]$  is the subgraph induced by  $A$ : its vertex set is  $V(G[A]) = A$  and the edge set is  $E(G[A]) = \binom{A}{2} \cap E(G)$ .

Def: Graphs  $G$  and  $H$  are isomorphic iff there is a bijection  $f: V(G) \rightarrow V(H)$  such that  $uv \in E(G) \Leftrightarrow f(u)f(v) \in E(H)$ .

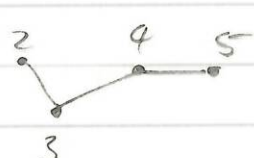
Def: We say that  $G$  contains a copy of  $H$  if  $G$  has a subgraph isomorphic to  $H$ .

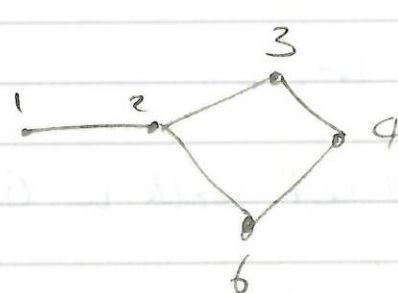
Eg:  $G = (V, E)$ .



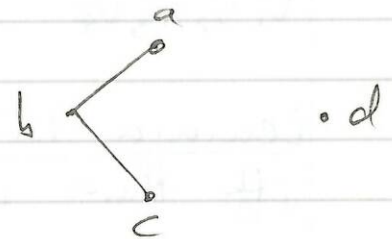


$H_1$ :  is a subgraph of  $G$ , not induced.

$H_2$ :  is an induced subgraph.

$H_3$ :   $H_3$  and  $G$  are isomorphic.

$G$  contains a copy of  $H =$



## 1.6: Components + connectness.

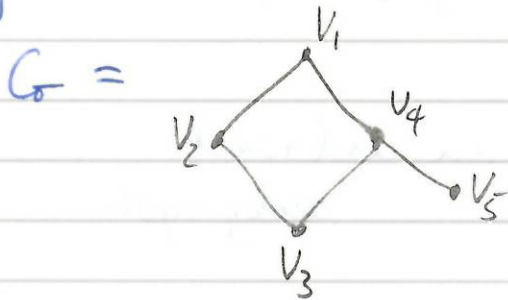
Def: A path in a graph  $G$  is a subgraph to  $P_t$  for some  $t \geq 0$ .

Def: An  $x$ - $y$  path in  $G$  is simply a path that starts at  $x$  and ends at  $y$ .

Def: A walk in  $G$  is a sequence of vertices (not necessarily distinct)  $v_0, v_1, \dots, v_t$  such that  $v_i, v_{i+1} \in E$  for all  $i \in [t]$ . The walk is closed if  $v_0 = v_t$ .

Def: A walk in which an edge is used more than once (but vertices may be revisited) is called a tour.

Eg:



$v_1, v_4, v_5$  is a path in  $G$ .

$v_1, v_4, v_5, v_4, v_3, v_2, v_1$  is a closed walk in  $G$ .

$v_1, v_2, v_3, v_4$  is a tour in  $G$ .

Lemma 1.11: There is an  $x$ - $y$  path in  $G$  iff there is an  $x$ - $y$  walk in  $G$ .

Proof: ( $\Rightarrow$ ) A path is a walk.

( $\Leftarrow$ ) Take a shortest walk from  $x$  to  $y$ . If any vertex is revisited we could shorten this walk. Hence it is a path.  $\square$

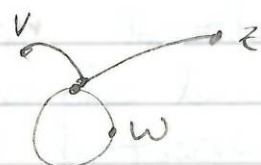
Lemma 1.12: Define a relation  $\sim$  on  $V(G)$  by  $v \sim w$  iff there is a walk from  $v$  to  $w$  in  $G$ . This is an equivalence relation.

Proof: Reflexive  $v \sim v$  take walk  $v$ .

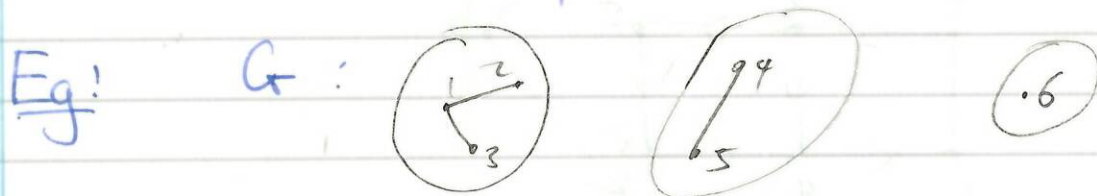
Symmetric  $v \sim w \Rightarrow \exists$  walk  $v$  to  $w$ , reverse it.

Transitivity  $v \sim w$  and  $w \sim z$  then concatenate the  $v \sim w$  and  $w \sim z$  walks to give a  $v \sim z$  walk.  $\square$

Note; this lemma does not work for a path, take:



Def:  $\nu$  induces a partition of  $V(G) = V_1 \cup V_2 \cup \dots \cup V_k$  each  $V_i$  is a component

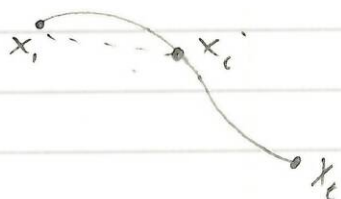


Def:  $G$  is connected iff there is a single component.

Lemma 1.13:  $P = x_1, x_2, \dots, x_\ell$  is a path in  $G$ . If  $P$  is the shortest  $x_1 - x_\ell$  path in  $G$  then  $x_1, \dots, x_i$  and  $x_i, \dots, x_\ell$  are shortest  $x_1 - x_i$  and  $x_i - x_\ell$  paths in  $G$  for each  $1 < i < \ell$ .

Proof: If not; could shorten  $P$

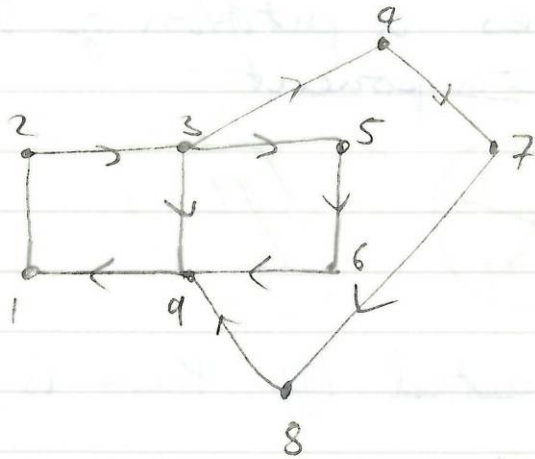
□



## 1.7 Euler circuits.

Def: An Euler circuit in a graph  $G$  is a closed  $v_0 v_1 \dots v_k v_0$  containing all vertices and edges of  $G$ , the vertices may be repeated but each edge is used exactly once.

Eg!





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## 1.7. Euler Circuits

An Euler Circuit in a graph is a closed tour containing all vertices and edges of  $G$ .

start = end

no repeated edges

Th<sup>m</sup> 1.14. A graph  $G$  has Euler circuit iff it is connected and all vertices have even degree.

Proof ( $\Rightarrow$ ) Assume  $G$  has an Euler circuit  $T = v_0 v_1 \dots v_k$   <sup>$v_0 = v_k$</sup> . So  $G$  is certainly connected. Follow  $T$  counting the contribution to the degree of each vertex we visit. Add 2 each time (except at start + end). Hence all degree are even.

( $\Leftarrow$ ) So suppose  $G$  is connected and all vertices have even degree. Take a longest tour  $T = v_0 v_1 \dots v_k$  in  $G$ .

Claim:  $v_0 = v_k$ , if not let  $j = \#\{i : v_i = v_k\}$  then if  $v_0 \neq v_k$  then we have used  $2j - 2 + 1 = 2j - 1$  edges incident to  $v_k$ .

$\therefore$  An unused edge  $v_k v^* \Rightarrow T' = v_0 \dots v_k v^*$  is a longer tour  $\#$  Hence  $v_0 = v_k$

If there is unused edge say  $e = uv$ , there two cases to consider:

Case ①  $u$  or  $v$  is in  $T$ , say  $v = v_i \therefore T' = uv_i \dots v_k \dots v_0 v_1 \dots v_{i-1}$  is a longer tour  $\#$

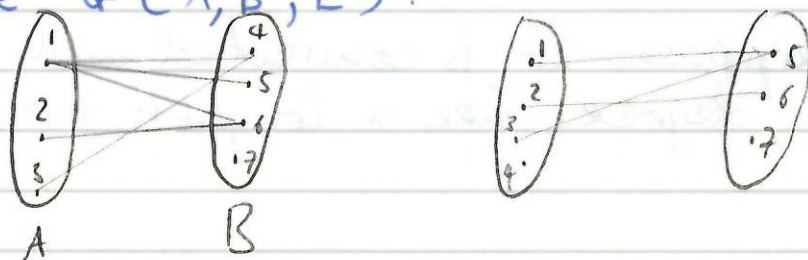
Case ②  $u, v \notin T$ .  $G$  is connected so  $\exists$  a  $v_0-u$ -path in  $G$ . Consider the first edge in this path that leaves  $T$  but this gives us case ① again  $\times$

All vertices have degree  $\geq 2$  so they are visited by  $T$ .  $\square$

## 1.8 Bipartite Graphs

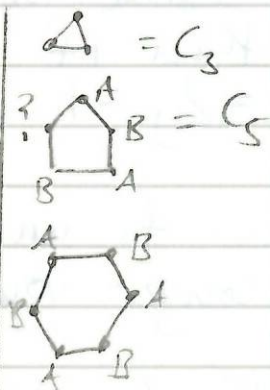
Def: A graph  $G$  is bipartite if  $V(G) = A \dot{\cup} B$  and  $E(G) \subseteq \{ab : a \in A, b \in B\}$ . We say that  $A, B$  is a bipartition and sometimes write  $G = (A, B, E)$  to emphasise this.

Eg:  $G = (V, E)$ ,  $V(G) = A \dot{\cup} B$ ,  $E(G) \subseteq \{ab : a \in A, b \in B\}$   
 i.e.  $G = (A, B; E)$ .



Th<sup>m</sup> 1.15: A graph is bipartite iff it contains no odd cycles

Proof: ( $\Rightarrow$ ) Suppose  $G$  is bipartite with bipartition  $V = A \dot{\cup} B$ . If  $C = v_1 \dots v_\ell$  is a cycle in  $G$  and w.l.o.g.  $v_1 \in A$  then  $v_3, v_5, \dots \in A$ ,  $v_2, v_4, v_6, \dots \in B$ . Hence we must have  $\ell$  is even.



( $\Leftarrow$ ) Suppose  $G = (V, E)$  is connected (otherwise repeat this argument for each connected component)



For  $x, y \in V$ , let  $d(x, y) =$  length of a shortest  $x - y$  path.

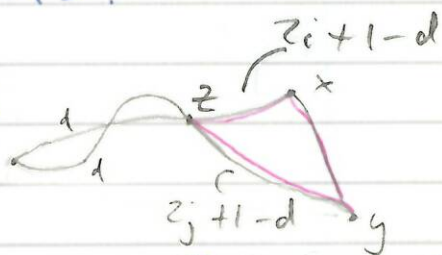
Fix a vertex  $w \in V$

Define  $A = \{v : d(v, w) \text{ is odd}\}$

$B = \{v : d(v, w) \text{ is even}\}$

Note  $V(G) = A \cup B$ . Need to check  $A$  and  $B$  do not contain any edges. Suppose there is an edge  $xy$  inside  $A$  (i.e.  $x, y \in A$ ).

Let  $P_{wx}$  be a shortest  $w - x$  path  
"  $P_{wy}$  " " "  $w - y$  path



Let  $z$  be the last common vertex of  $P_{wx}$  and  $P_{wy}$

Then the part of  $P_{wx}$  from  $w$  to  $z$  is a shortest  $w - z$  path  
" " " "  $P_{wy}$  " " " " " " "  $w - z$  path

Now suppose  $d(w, x) = 2i+1$ ,  $d(w, y) = 2j+1$ ,  
 $i, j$  integers. Then the cycle that follows  $P_{wx}$  from  
 $z$  to  $x$ , then  $xy$ , then  $P_{wy}$  from  $y$  to  $z$  has  
length  $= 2i+1-d+1+2j+1-d$   
 $= 2(i+j+1-d) + 1$  is odd ~~✗~~.

Hence  $G$  is bipartite

□

## 1.9 Graph colouring.

A set  $A \subset V$  is independent iff it contains

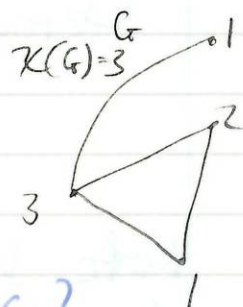
$$c: A \rightarrow [k] \quad vw \in E \Rightarrow c(v) \neq c(w)$$

$k$ -colourable  $\equiv k$ -partite

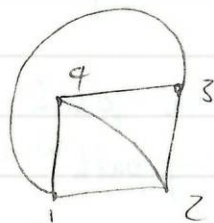
$2$ -colourable  $\equiv$  bipartite

Chromatic number of  $G$

$$\chi(G) = \min \{k : \exists k\text{-colouring of } G\}$$



Eg:



$$\begin{aligned}\chi(K_n) &= n \\ \chi(C_{2n}) &= 2 \\ \chi(C_{2n-1}) &= 3\end{aligned}$$

Def: If  $G$  is a graph then  $A \subseteq V(G)$  is an independent set iff there are no edges with both endpoints in  $A$ .

Def: For  $k \in \mathbb{N}$  a  $k$ -colouring graph  $G$  is  $c: V(G) \rightarrow [k]$  such that if  $vw \in E$  then  $c(v) \neq c(w)$ .

Def: A graph  $G$  is said to be  $k$ -colourable iff it has a  $k$ -colouring. Note that a graph is bipartite iff it is  $2$ -colourable.

Def: A graph  $G$  is said to be  $k$ -partite iff there is a partition  $V(G) = V_1 \cup V_2 \cup \dots \cup V_k$ , of  $V(G)$  into independent sets. Note that a graph is  $k$ -partite iff it is  $k$ -colourable.

Def: We define the chromatic number of  $G$  to be 
$$\chi(G) = \min \{k \geq 1 : G \text{ is } k\text{-colourable}\}.$$

If  $H$  is a subgraph of  $G$  then  $\chi(H) \leq \chi(G)$ .

Def: We define the maximum degree of  $G$  to be 
$$\Delta(G) = \max \{d(v) : v \in V(G)\}.$$



Th<sup>m</sup> 1.6: If  $G$  is a graph then  $\chi(G) \leq \Delta(G) + 1$   
( $\Delta(G) = \max \{d(v) : v \in V(G)\}$ )

Proof: Let  $V = \{v_1, \dots, v_n\}$ . Let  $k = \Delta(G) + 1$ .  
Define a  $k$ -colouring  $c: V(G) \rightarrow [k]$  as follows  
 $c(v_1) = 1$ . If  $v_1, \dots, v_{i-1}$  have been coloured,

Let  $C = \{c \in [k] : \exists j \in [i-1] \text{ st } v_j \in \Gamma^1(v_i) \text{ and } c(v_j) = c\}$

Define  $c(v_i) = \min [k] \setminus C$ . This is well-defined  
since  $|C| \leq d(v_i) \leq \Delta(G) = k - 1$ .

So  $[k] \setminus C = \emptyset \quad \square$

"Greedy Algorithm"

## 1.10 Large girth + Chromatic number

Def: If  $G$  is a graph containing cycles then girth of  $G$   
is the length of the shortest cycle. We denote this  
by  $g(G)$ . If  $G$  contains no cycles then we denote  
 $g(G) = \infty$ .

Thm 1.7 (Erdős) For  $k, \ell \geq 3$   $\exists G$  a graph  
with  $\chi(G) \geq k$ ,  $g(G) \geq \ell$ .

Def: For a graph  $G$  we define the independence  
number of  $G$  to be:

$$\alpha(G) = \max \{ |A| : A \subset V(G) \text{ is independent} \}$$

Lemma 1.18 For any graph  $G$ ,  $\chi(G) \geq n/\alpha(G)$ .  
 $n = |V(G)|$ .

Proof: If  $c: V(G) \rightarrow [k]$  is a  $k$ -colouring of  $G$ , then each colour class  $c^{-1}(i) = \{v \in V(G) : c(v) = i\}$  is an independent set, so  $|c^{-1}(i)| \leq \alpha(G)$ . (\*)

But  $V(G) = c^{-1}(1) \cup c^{-1}(2) \cup \dots \cup c^{-1}(k)$   
so  $\sum_{i=1}^k |c^{-1}(i)| = n$ .

Hence (\*)  $\Rightarrow k\alpha(G) \geq n \Rightarrow k \geq n/\alpha(G)$ .

Thus  $\chi(G) \geq n/\alpha(G)$   $\square$

We will give a probabilistic proof of Theorem 1.7, we will only be interested in the simplest type of probability space: finite (and discrete).

A probability space is a pair  $(\Omega, P)$  where  $\Omega$  is a finite set of outcomes (e.g.  $\{H, T\}$  or  $\{1, 2, \dots, 6\}$ ) and  $P: \Omega \rightarrow [0, 1]$  st  $\sum_{y \in \Omega} P(y) = 1$ . For  $A \subset \Omega$  we define  $P[A] = \sum_{y \in A} P(y)$ .

A random variable is a function  $X: \Omega \rightarrow \mathbb{R}$ . For example, if our probability space is  $(\{1, 2, \dots, 6\}, P_0)$ , where  $P_0(y) = 1/6$  for all  $y \in [6]$  then we could have

$$X_1(y) = \begin{cases} 1, & y = 1, 3, 5 \\ 0, & \text{otherwise} \end{cases}$$

$$X_2(y) = \begin{cases} 1, & y \geq 4 \\ 0, & \text{otherwise} \end{cases}$$



Def: The expectation of a random variable is simply its average value.

If  $\Omega_x = \{X(y) | y \in \Omega\}$  is the set of values taken by  $X$  then

$$E[X] = \sum_{z \in \Omega_x} z P(X=z)$$

Eg: A die  $(\Omega, P_u)$ ,  $\Omega = \{1, 2, 3, 4, 5, 6\}$ .

$$P_u(i) = 1/6 \quad 1 \leq i \leq 6.$$

$$X_1(y) = \begin{cases} 1, & y \in \{1, 3, 5\} \\ 0, & \text{o/w} \end{cases}$$

$$X_2(y) = \begin{cases} 1, & y \geq 4 \\ 0, & \text{o/w} \end{cases}$$

$$E[X] = \sum_{z \in \Omega_x} z P(X=z), \quad \Omega_x = \{X(y) | y \in \Omega\}$$

Lemma 1.19 (Linearity of Expectation). If  $X_1, \dots, X_n$  are random variables then:

$$E\left[\sum_{i=1}^n X_i\right] = \sum_{i=1}^n E[X_i]$$

Proof: Follows from def<sup>n</sup> of expectation.

Thm 1.20. If  $G$  has  $e$  edges then  $G$  contains a bipartite subgraph with at least  $\lceil e/2 \rceil$  edges

Proof: Consider a random bipartition of  $V = A \dot{\cup} B$ . For each vertex  $v \in V$  flip an independent fair coin, if Heads then put  $v$  in  $A$ , if Tails then put  $v$  in  $B$ .

For an edge  $uv \in E$  let  $X_{uv} = \begin{cases} 1, & uv \text{ goes from } A \text{ to } B \\ 0, & \text{o/w.} \end{cases}$

$L$  of  $E$

Let  $X = \sum_{uv \in E(G)} X_{uv}$ , then  $E[X] = \sum_{uv \in E(G)} E[X_{uv}]$

$$= \sum_{uv \in E(G)} P(uv \text{ goes from } A \text{ to } B)$$

$$P(uv \text{ goes from } A \text{ to } B) = \frac{1}{2}$$

$$\text{Hence } E[X] = \sum_{uv \in E(G)} \frac{1}{2} = \frac{e}{2}$$

Thus  $\exists$  a bipartition  $V = A \cup B$  with at least  $\frac{e}{2}$  edges between  $A$  and  $B$ . Hence (since the number of edges is an integer) at least  $\lceil \frac{e}{2} \rceil$  edges between  $A$  and  $B$ .  $\square$



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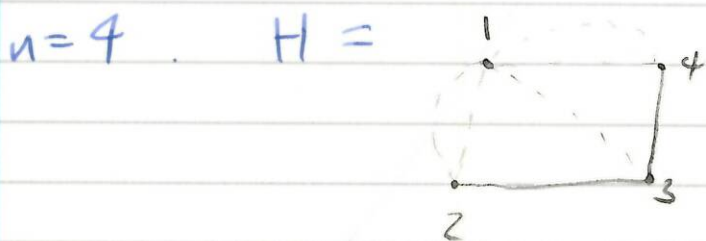
Thm: 1.17: For all  $k, t \geq 3$   $\exists$  graph  $G$  with  $\chi(G) \geq k$  and  $g(G) \geq t$ .

For a graph  $G$  we define the independence number of  $G$  to be  $\alpha(G) = \max\{|A| : A \subset V(G) \text{ is an independent set}\}$ .

Lemma 1.18:  $\chi(G) \geq \frac{|V(G)|}{\alpha(G)}$

$G(n, p)$  = random graphs on  $[n]$  (Erdős - Rényi)

$V(G) = [n]$ , for each  $ij$  ( $1 \leq i < j \leq n$ ) flip an independent coin with  $\text{prob}(\text{Heads}) = p$ . Insert the edge  $ij$  in  $E(G)$  iff the coin is Heads.



$$G \in G(n, p) \\ P(G=H) = p^2(1-p)^2$$

Lemma 1.21: (Markov): If  $X$  is non-negative,  $\lambda > 0$

$$P[X \geq \lambda] \leq \frac{E[X]}{\lambda}$$

Proof: Let  $X$  take values of  $O_X$

$$E[X] = \sum_{y \in O_X} y P[X=y] \geq \sum_{y \geq \lambda} \lambda P[X=y]$$

$$= \lambda \sum_{y \geq x} P[X=y]$$

$$= \lambda P[X \geq y].$$

The probability space we will consider is called  $\mathcal{G}(n, p)$ : the space of Erdős-Rényi random graphs. The underlying set of outcomes is: □

$$\Omega = \left\{ G \mid V(G) = [n], E(G) = \binom{[n]}{2} \right\}$$

For a graph  $H \in \Omega$  the probability,  $P[H]$ , is simply the probability that the following random process produces the graph  $H$ .

Generating a random in  $\mathcal{G}(n, p)$ :

Start with the empty graph  $E_n$ . For each pair of vertices  $ij \in \binom{[n]}{2}$  toss a coin  $C_{ij}$  that has probability  $p$  of being Heads. If the coin Heads then insert the edge  $ij$  otherwise do not insert the edge  $ij$ . Repeat with independent coins for each pair of vertices.

Lemma 1.22 Let  $G \in \mathcal{G}(n, p)$  and  $X_t = \# t\text{-cycles in } G$ . Then

$$E[X_t] = \binom{n(n-1)\dots(n-t+1)}{2t} p^t.$$

Proof: Fix  $t$ -cycle  $C$ , let  $Y_C = \begin{cases} 1, & C \text{ is in } G \\ 0, & \text{o/w.} \end{cases}$

$$X_t = \sum_{C \text{ a } t\text{-cycle}} Y_C \Rightarrow E[X_t] = \sum_{C \text{ a } t\text{-cycle}} E[Y_C]$$

$$= \sum_{C \text{ a } t\text{-cycle}} P[C \text{ in } G]$$

But  $P[C \text{ is in } G] = p^t$  for any  $t$ -cycle  $C$ .

$E[X_t] = p^t \times \# \text{ possible } t\text{-cycles in } G$ .

Any  $t$ -tuple of distinct vertices  $v_1, \dots, v_t$  gives rise to a  $t$ -cycle.

$\# \text{ such } t\text{-tuples} = n(n-1)\dots(n-t+1)$ .

$$\begin{array}{cc} v_1 \dots v_t & v_t v_{t-1} \dots v_1 \\ v_2 \dots v_t v_1 & v_{t-1} v_{t-2} \dots v_t \\ \vdots & \vdots \\ v_t v_1 \dots v_{t-1} & v_1 v_t v_{t-1} \dots v_2 \end{array}$$

$2t$  different  $t$ -tuples gives the same  $t$ -cycle.

$$v_t v_1 v_2$$



Hence # possible  $\ell$ -cycle =  $\frac{n(n-1)\dots(n-\ell+1)}{2\ell}$ .

①  $\Rightarrow$  result.  $\square$

Proof of Theorem 1.17 Let  $k, \ell$  be given. Call a cycle short if it has length  $\leq \ell$ .

Claim: If  $\exists$  a graph  $G$  with  $n$  vertices and at most  $n/2$  short cycles with  $\alpha(G) < n^{1/2k}$  then  $\exists G'$  with  $\chi(G') > k$  and  $g(G') > \ell$ .

Proof: Remove a vertex from each short cycle to give  $G'$ .

$$|V(G')| \geq n/2, \quad g(G') > \ell, \quad \alpha(G') \leq \alpha(G) < n^{1/2k}$$

$$\text{Thus } \chi(G') \geq \frac{|V(G')|}{\alpha(G')}$$

lemma  
1.8.

$$\text{So } \chi(G') > \frac{n/2}{2 \cdot n^{1/2k}} = k \quad \square$$

Now need to need  $\exists G$  with  $V(G) = n$ , at most  $n/2$  short cycles and  $\alpha(G) < n^{1/2k}$ .

$$\text{Let } n \geq 36 \ell^2, \quad \frac{n^{1/2}}{8 \log n} \geq 2k.$$

①'

$$\text{Let } p = \frac{1}{n^{1-1/2\epsilon}}$$

Let  $G \in \mathcal{G}(n, p)$ . Let  $X_\epsilon$  be the number of  $\epsilon$ -cycles in  $G$ .

$$\text{Lemma 1.22} \Rightarrow E[X_\epsilon] = \frac{n(n-1) \dots (n-\epsilon+1) p^\epsilon}{2\epsilon}$$

$X = \sum_{\epsilon=3}^c X_\epsilon$  be the number of short cycles in  $G$ .

$$E[X] = \sum_{\epsilon=3}^c \frac{n(n-1) \dots (n-\epsilon+1) p^\epsilon}{2\epsilon}$$

$$\leq \sum_{\epsilon=3}^c \frac{n^\epsilon}{2\epsilon n^{\epsilon(1-1/2\epsilon)}} \leq c n^{1/2} \leq \frac{n}{6} \text{ by } \textcircled{1}$$

$$P(X \geq n/2) \stackrel{\text{Markov}}{\leq} \frac{E[X]}{(n/2)} \leq \frac{1}{3}$$

So we have  $P(G \text{ has } \leq n/2 \text{ short cycles}) \geq 2/3$ .

Next: need to show  $P(\alpha(G) \geq n/2k) \leq 1/3$ .

Because then  $P(\alpha(G) < n/2k) \geq 2/3$

And then  $P(G \text{ satisfies conditions of the claim}) \geq 1/3$

$\therefore \exists$  a graph  $G$  with those properties.

□

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Proof of Thm 1.17:

Last time: Need to show  $\exists G$  with  $\leq n/2$  short cycles. and  $\alpha(G) \leq n/2k$ .

Let  $G \in \mathcal{G}(n, p)$ .  $n \geq 36k^2$ ,  $\frac{n^{1/2}k}{8 \log n} \geq 2k$ .

$$p = \frac{1}{n^{1-1/2}k}$$

$A = "G \text{ has } \geq n/2 \text{ short cycles}"$

$B = "\alpha(G) \geq n/2k"$

$P(A) \leq 1/3$ . If we show  $P(B) \leq 1/3$  then  $P(\text{not } A \text{ and not } B) \geq 1/3 > 0$ .

Let  $s = (8/p) \log n + 1$

$$\frac{n/2k \geq \frac{8n \log n}{n^{1/2}k} = \frac{8}{p} \log n \geq s$$

$P(B) \leq P(\alpha(G) \geq s) = P(\exists \text{ an ind. set of size } s)$

For a set  $T \subset V(G)$ ,  $|T| = s$  let  $E_T = "T \text{ is an ind. set}"$

If  $E_1, \dots, E_t$  are events  
 $P(\bigcup_{i=1}^t E_i) \leq \sum_{i=1}^t P(E_i)$



$$P(B) = P\left(\bigcup_{T \in \binom{V}{s}} E_T\right) \leq \sum_{T \in \binom{V}{s}} P(E_T) = \binom{n}{s} (1-p)^{\binom{s}{2}}$$

$$\leq n^s e^{-p \binom{s}{2}}$$

$$P(B) \leq n^s e^{-p \binom{s}{2}} = \left(n e^{-p \frac{s-1}{2}}\right)^s$$

$$= \left(n e^{-2 \log n}\right)^s = \frac{1}{n^s} \leq \frac{1}{3} \text{ for } n \text{ large}$$

□

$\alpha(G) \geq s \iff$  the max size of an ind set  
in  $G$  is  $\geq s$ .

## 2. Extremal Graph Theory

2.1 Hamilton Cycles: A Ham cycle in a graph is a cycle containing all vertices of  $G$  (exactly once)

$$\delta(G) = \min \{d(v) : v \in V(G)\}$$

minimum degree  
of  $G$

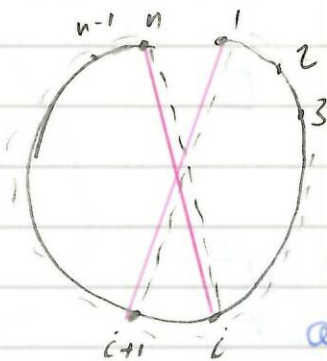
$u, v$  adjacent iff  $uv \in E(G)$  otherwise they are non-adjacent.

Thm 2.1 (Dirac 1952): If  $G$  has  $n \geq 3$  vertices and  $\delta(G) \geq n/2$  then  $G$  contains a Ham. cycle.

Thm 2.2. (Ore 1960) If  $G$  has  $n \geq 3$  vertices and every pair of non-adj. vertices  $u, v$  satisfy  $d(u) + d(v) \geq n$  then  $G$  has a Ham. cycle.

Proof: (By contradiction) Assume  $G$  satisfies the conditions of Thm 2.2 but does not contain a Ham. cycle. If there is an edge that can be added to  $G$  without creating a Ham. cycle then do so, repeat until can't add any more edges.

Now know that  $G$  contains a Ham cycle with one edge removed.



So wlog let  $V(G) = [n]$  and  $12, 23, 34, \dots, n-1n \in E(G)$ ,  $1n \notin E(G)$ . Note from any  $i=2, \dots, n-1$  we cannot have both  $1(i+1) \in E(G)$  and  $in \in E(G)$ , because otherwise we would have Ham. cycle:  $1(i+1)(i+2) \dots n(i+1)(i+2) \dots 2$ . Consider  $d(1) + d(n)$  since we have at most no edge from each pair.

$$\left. \begin{array}{l} 13, 2n \\ 14, 3n \\ \vdots \\ 1n-1, n-2n \end{array} \right\} \text{ gives } \leq n-3 \text{ edges.}$$

Hence (since  $12 \in E(G)$  and  $n-1n \in E(G)$ ) we have  $1n \notin E(G)$ .

$$d(1) + d(n) \leq n-3 + 2 = n-1$$

~~X~~

## 2.2. Forbidden subgraphs.

Given  $G$  and  $H$ , we say  $G$  is  $H$ -free if  $G$  has no subgraph isomorphic to  $H$ .

$$ex(n, H) = \max \{ |E(G)| : G(V, E), |V|=n, G \text{ is } H\text{-free} \}.$$

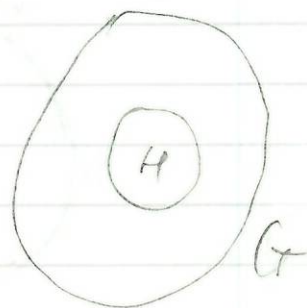
Lemma 2.3 If  $G$  and  $H$  are graphs  $\chi(H) > \chi(G)$  then  $G$  is  $H$ -free.

Proof: If  $G$  contains  $H$  then any colouring of  $G$  gives a colouring of  $H$  hence  $\chi(H) \leq \chi(G)$ .

□

Thm 2.4 (Mantel 1907)

If  $n \geq 1$  then  $ex(n, K_3) = \lfloor \frac{n^2}{4} \rfloor$



Proof: Take  $K_{\lfloor \frac{n}{2} \rfloor, \lceil \frac{n}{2} \rceil}$  the complete bipartite graph with vertex classes of size  $\lfloor \frac{n}{2} \rfloor$  and  $\lceil \frac{n}{2} \rceil$

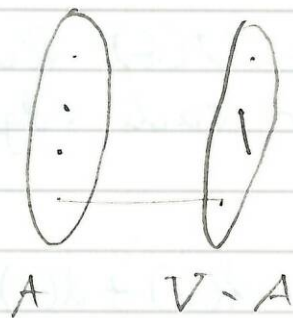
This is  $K_3$ -free (by Lemma 2.3) and has  $\lfloor \frac{n^2}{4} \rfloor$  edges hence  $ex(n, K_3) \geq \lfloor \frac{n^2}{4} \rfloor$

Now let  $G$  be  $K_3$ -free of order  $n$ .

Need to show that  $|E(G)| \leq \lfloor \frac{n^2}{4} \rfloor$

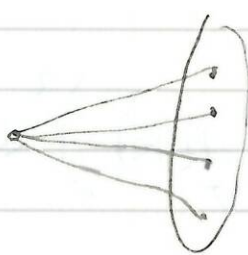
Let  $A \subseteq V(G)$  be a largest independent set in  $G$ .  $|A| = a$ .





Consider  $\sum_{v \in V \setminus A} d(v) \geq |E(G)|$

Since we count every at least once (in fact we count those in  $V \setminus A$  twice).



Since  $G$  is  $K_3$ -free,  $I(v)$  is an independent set for each  $v \in V$ . Hence  $d(v) = |I(v)| \leq a$ . Since no independent set is larger than  $a$ .

$$|E(G)| \leq \sum_{v \in V \setminus A} d(v) \leq (n-a)a \leq \frac{n^2}{4} \quad (\text{by basic calculus})$$

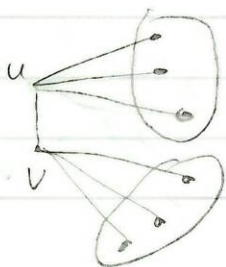
$|V \setminus A| = n-a$

So  $|E(G)| \leq \frac{n^2}{4}$ . Since  $|E(G)|$  is an integer we have  $|E(G)| \leq \lfloor \frac{n^2}{4} \rfloor$ . Thus  $ex(n, K_3) \leq \lfloor \frac{n^2}{4} \rfloor$ .  $\square$

Proof [2nd]:  $K_{\lfloor \frac{n}{2} \rfloor, \lfloor \frac{n}{2} \rfloor}$  is  $K_3$ -free  $\Rightarrow ex(n, K_3) \geq \lfloor \frac{n^2}{4} \rfloor$ .

Let  $G$  have order  $n$ , be  $K_3$ -free.

Let  $|E(G)| = e$ . If  $uv \in E(G)$  then  $I(u) \cap I(v) = \emptyset$ . Since  $G$  is  $K_3$ -free. So  $d(u) + d(v) \leq n - 2 + 2 = n$ .



$$\text{So } \sum_{uv \in E(G)} (d(u) + d(v)) \leq en.$$

Note, if we fix a vertex  $x \in V(G)$  then " $d(x)$ " occurs once in this sum for each edge containing  $x$ , i.e. it occurs  $d(x)$  times.

$$\text{So } \sum_{x \in V(G)} (d(x))^2 = \sum_{uv \in E(G)} d(u) + d(v) \leq en.$$

-/-

$$\text{Know } \sum_{x \in V} d(x) = 2e.$$

$$\text{Cauchy-Schwarz: } \frac{1}{n} \left( \sum_{x \in V} d(x) \right)^2 \leq \sum_{x \in V} (d(x))^2$$

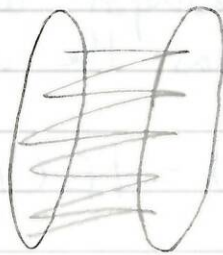
$$\text{So } \frac{4e^2}{n} \leq en.$$

$$\Rightarrow e \leq n^2/4$$

□

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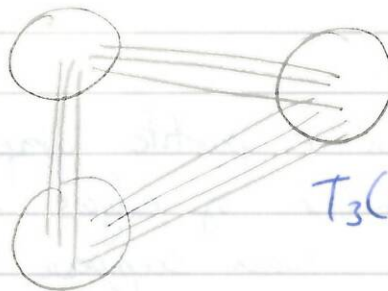
$K_3$ -free  $\rightarrow$



$$\lfloor \frac{n^2}{4} \rfloor$$

$T_2(n)$

$K_4$ -free



$T_3(n)$

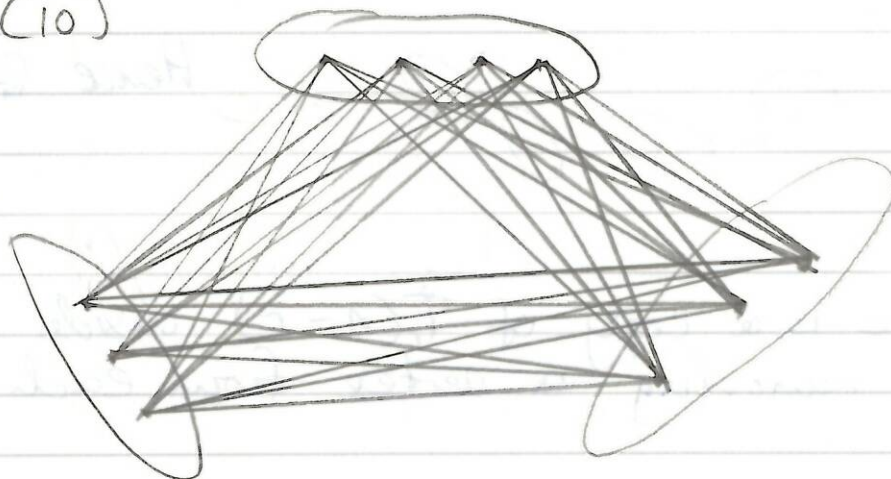
$G$  is

$r$ -partite :  $V(G) = V_1 \cup V_2 \cup \dots \cup V_r$

$$E(G) = \{vw : v \in V_i, w \in V_j, i \neq j\}$$

Turán graph :  $T_r(n)$  is the complete  $r$ -partite graph, of order  $n$  with vertex classes as equal as possible.  $\equiv$  all vertex class sizes differ by at most one.

Eg  $T_3(10)$





Lemma 2.5: Amongst all  $r$ -partite graphs with  $n$  vertices  $T_r(n)$  has the most edges.

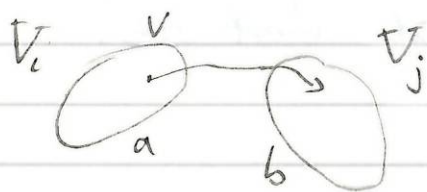
Moreover if  $\epsilon_r(n) = |E(T_r(n))|$

then  $\epsilon_r(n) = \epsilon_r(n-r) + (r-1)(n-r) + \binom{r}{2}$

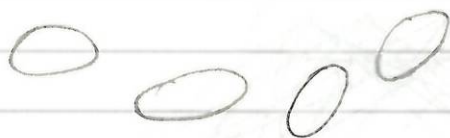
Proof: Take an  $r$ -partite graph  $G$  of order  $n$ , with maximum number of edges. Suppose vertex classes are  $V_1, \dots, V_r$ . Can suppose  $G$  is complete  $r$ -partite.

If  $G \neq T_r(n)$  then  $\exists V_i, V_j$  vertex classes with  $|V_i| = a, |V_j| = b$  and  $a \geq b+2$ .

Remove a vertex  $v$  from  $V_i$  and add a vertex to  $V_j$ , and take the complete  $r$ -partite graph on these new vertex classes.



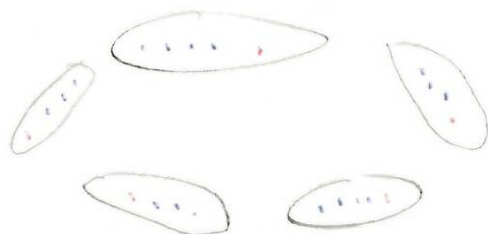
$$\begin{aligned} \text{lose} &: n-a \\ \text{Gain} &: n-(b+1) \\ \text{Change} &: (n-(b+1)) - (n-a) \\ &= a-b-1 \geq 1 \end{aligned}$$



Hence  $G = T_r(n)$

#

There is a copy of  $T_r(n-r)$  inside  $T_r(n)$  given by removing a vertex from each class.



Colour the  $r$  vertices in  $T_r(n) \setminus T_r(n-r)$  red.  
 Colour the rest blue.

Number of blue-blue edges =  $t_r(n-r)$

" " red-red " =  $\binom{r}{2}$

" " blue-red " =  $(n-r)(r-1)$

Since each blue vertex is joined to every red vertex except the one in "the vertex" class  $D$ .

Th<sup>m</sup> 2.6. If  $2 \leq r \leq n$  and  $G$  is  $K_{r+1}$  free, of order  $n$  with  $ex(n, K_{r+1})$  edges then  $G$  is  $T_r(n)$

Proof: (Induction on  $n$ ). If  $n \leq r$  then  $ex(n, K_{r+1}) = \binom{n}{2}$  and  $T_r(n) = K_n$  so result holds. So suppose  $n \geq r+1$ .

Let  $G$  have  $n$  vertices and  $ex(n, K_{r+1})$  edges. Then by maximality of  $|E(G)|$  there is a copy  $K$  of  $K_r$   $V(K) = \{v_1, \dots, v_r\}$ . By our ind. hyp.  $G - K$  has  $t_r(n-r)$  edges and each  $v \in V(G - K)$  has at most  $r-1$  neighbours in  $V(K)$

So  $|E(G)| \leq \binom{r}{2} + t_r(n-r) + (n-r)(r-1)$

\* edges in  $K$

# edges in  $G - K$


# edges from  $G - K$  to  $K$

So  $|E(G)| \leq t_r(n)$  (by lemma 2.5)

By maximality of  $|E(G)|$  must have equality above.

For equality to hold each  $v \in V(G - K)$  must have exactly  $r-1$  vertices neighbour in  $V(K)$

For  $1 \leq i \leq r$  let  $W_i = \{v \in V(G) : vv_i \notin E(G)\}$ .  
Note  $v_i \in W_i$  for each  $i$ , and  $v_i \notin W_j$  for  $i \neq j$ .  
If  $v \in V(G - K)$  then  $v$  has exactly  $r-1$  neighbours in  $V(K)$  and hence there is a unique  $1 \leq i \leq r$  such that  $vv_i \notin E(G)$ , hence  $v \in W_i$  for some unique  $i$ . Thus  $W_1 \cup W_2 \cup \dots \cup W_r$  is a partition of  $V(G)$ .

If  $u, v \in W_i$  and  $w \in E(G)$  then  $u, v, v_1, v_2, \dots, v_i, v_{i+1}, \dots, v_r$  from a  $K_{r+1}$   (omitted) \*

Hence  $G$  is an  $r$ -partite graph with vertex classes  $W_1, \dots, W_r$ . Then by lemma 2.5 and maximality of  $E(G)$  we must have  $G = T_r(r)$

□



30/1/12

Homework due next wed 12pm.

Def: If  $G = (V, E)$  is a graph then the complement of  $G$ , is  $G^c = (V, \binom{V}{2} - E)$

Theorem 2.7 (Caro and Wei) If  $G$  is a graph of order  $n$  with vertex degree  $d_1, \dots, d_n$  then

$$\alpha(G) \geq \sum_{i=1}^n \frac{1}{d_i + 1}$$

In particular if all vertices have degree  $d$  then  $\alpha(G) \geq n/(d+1)$ .

— / —

$$a + b, \quad a, b \mapsto \frac{a+b}{2}, \frac{a+b}{2}$$

$$\frac{1}{a} + \frac{1}{b} \geq \frac{2}{a+b} + \frac{2}{a+b}$$

— / —

Proof:  $V(G) = [n]$  choose  $\pi \in S_n$  uniformly at random. Let  $A_i$  be the event " $\pi(i) < \pi(j)$  for every  $j \in I^+(i)$ ".

i.e.  $A_i$  holds iff amongst  $\{i\} \cup I^+(i)$ ,  $i$  is "first" under the ordering given by  $\pi$ .

Let  $U = \{i \in V(G) : A_i \text{ holds}\}$ .

Suppose  $a, b \in U$  and  $ab \in E$  so  $a \in I'(b)$  and  $b \in I'(a)$ .

But  $A_a \Rightarrow \pi(a) < \pi(b)$

While  $A_b \Rightarrow \pi(b) < \pi(a)$  \* Hence  $U$  is an independent set.

$P(A_i \text{ holds}) = P_r(\text{In a random ordering of } \{i\} \cup I'(i) \text{ the element } i \text{ is first})$ .

$= \frac{1}{d_i+1}$  (since each of the  $d_i+1$  elements is equally likely to be first).

Since  $U$  is an independent set

$$E \alpha(G) \geq E|U|$$

$$\text{so } \alpha(G) \geq E|U| = \sum_{i=1}^n P(A_i \text{ holds})$$

$$= \sum_{i=1}^n \frac{1}{d_i+1} \quad \square$$

$C_5 =$



$$\text{ex}(n, C_5^*) , \text{ex}(n, H)$$

Turán density of  $H$  is  $\pi(H) = \lim_{n \rightarrow \infty} \frac{\text{ex}(n, H)}{\binom{n}{2}}$

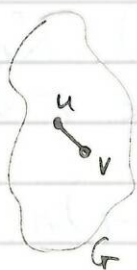
Lemma 2.8 : For any graph  $H$ ,  $\pi(H)$  is well-defined  
 Also  $\pi(K_{r+1}) = 1 - \frac{1}{r}$ ,  $r \geq 2$ .

Proof : N.T.S  $\left\{ \frac{\text{ex}(n, H)}{\binom{n}{2}} \right\}_{n=1}^{\infty}$  is monotonic decreasing  
 is bounded below by zero, it must converge.

Let  $G$  be  $H$ -free, order  $n$ , with  $\text{ex}(n, H)$  edges:

$$\sum_{v \in V(G)} |E(G-v)| \leq \text{ex}(n-1, H) \quad \begin{array}{l} \swarrow \text{since } G-v \text{ has order } n-1 \text{ and is} \\ \text{H-free.} \end{array}$$

$$\stackrel{=}{=} (n-2) \text{ex}(n, H)$$



$$\frac{2 \text{ex}(n, H)}{n(n-1)} \leq \frac{2 \text{ex}(n-1, H)}{(n-2)(n-1)}$$

i.e.  $\frac{\text{ex}(n, H)}{\binom{n}{2}} \leq \frac{\text{ex}(n-1, H)}{\binom{n-1}{2}} \quad \square$

Now show  $\pi(K_{r+1}) = 1 - \frac{1}{r}$  is true.

Turán Th<sup>m</sup>  $\Rightarrow \text{ex}(n, K_{r+1}) = \text{tr}(n)$

# edges in a complete  $r$ -partite graph with vertex classes of size  $\lceil \frac{n}{r} \rceil$  or  $\lfloor \frac{n}{r} \rfloor$

$$\binom{r}{2} \left\lfloor \frac{n}{r} \right\rfloor^2 \leq \text{tr}(n) \leq \binom{r}{2} \left\lceil \frac{n}{r} \right\rceil^2$$



$$\frac{\binom{r}{2} \left(\frac{n-r}{r}\right)^2}{\binom{n}{2}} \leq \frac{tr(n)}{\binom{n}{2}} \leq \frac{\binom{r}{2} \left(\frac{n-r}{r}\right)^2}{\binom{n}{2}}$$

$$\frac{\binom{r-1}{r} \frac{(n-r)^2}{n(n-1)}}{\binom{n}{2}} \leq \frac{tr(n)}{\binom{n}{2}} \leq \frac{\binom{r-1}{r} \frac{(n+r)^2}{n(n-1)}}{\binom{n}{2}}$$

as  $n \rightarrow \infty$ , since  $r$  is fixed

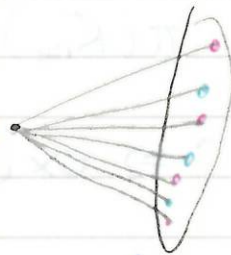
$$\text{Hence } \lim_{n \rightarrow \infty} \frac{tr(n)}{\binom{n}{2}} = \pi(K_{r+1}) = 1 - \frac{1}{r}. \quad \square$$

Thm 2.9: (Kővari-Sós-Turán 1954).  $K_{r,s}$  = complete bipartite graph with class size  $r$  and  $s$ .

$$ex(n, K_{r,s}) \leq \frac{1}{2} (r-1)^{1/s} n^{2-1/s} + \frac{1}{2} (s-1)n.$$

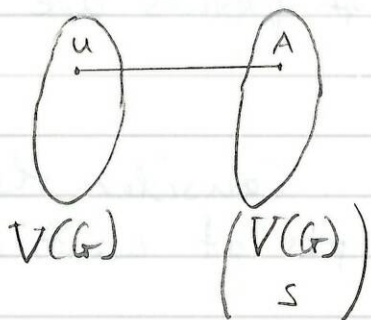
Proof: Let  $G$  be  $K_{r,s}$ -free, order  $n$  with  $e$  edges. If  $u \in V(G)$  and  $A = \{v_1, \dots, v_s\} \in \binom{V(G)}{s}$  then  $u$  covers  $A$  if  $uv_1, uv_2, \dots, uv_s \in E(G)$ . So  $u$  covers  $\binom{d(u)}{s}$ ,  $s$ -sets

How many different vertices can cover the same  $s$ -set  $A$ ?



Since  $G$  is  $K_{r,s}$ -free at most  $r-1$  vertices can cover the same  $s$ -set.

Form a bipartite graph  $H$ .



Edge from  $u \in V(G)$  to  $A \in \binom{V(G)}{s}$   
iff  $u$  covers  $A$ .

Now count the number of edges in  $H$ .

$$|E(H)| = \sum_{u \in V(G)} d_H(u) = \sum_{u \in V(G)} \binom{d_G(u)}{s} \quad |E(H)| = \sum_{A \in \binom{V(G)}{s}} d_H(A)$$

$$\text{Thus } \sum_{u \in V(G)} \binom{d(u)}{s} \leq (r-1) \binom{n}{s}$$

$$\leq \sum_{A \in \binom{V(G)}{s}} (r-1)$$

$\sum_{u \in V(G)} d(u) = 2e$  By convexity of binomial coefficients and Jensen's Inequality:

$$n \binom{2e/n}{s} \leq (r-1) \binom{n}{s}$$

Let  $\alpha \geq 0$  be defined by  $e = n^{2-\alpha}$

$$\text{So } n \binom{2n^{1-\alpha}}{s} \leq (r-1) \binom{n}{s}$$

Use:

$$\frac{(a-b+1)^b}{b!} \leq \binom{a}{b} \leq \frac{a^b}{b!}$$

$$\frac{(2n^{1-\alpha} - s + 1)^s}{s!} \leq (r-1) \frac{n^{s-1}}{s!}$$

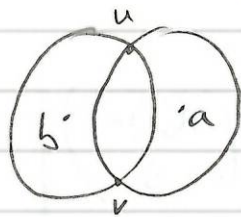
$$2n^{1-\alpha} - s + 1 \leq (r-1)^{1/s} n^{1-1/s}$$

$$e = n^{2-\alpha} \leq \frac{1}{2} (r-1)^{1/s} n^{2-1/s} + \frac{(s-1)n}{2}$$

□

Corollary 2.10 (Erdős)  $X \subseteq \mathbb{R}^2$ ,  $|X| = n$ , then at most  $\frac{n^{3/2}}{\sqrt{2}} + \frac{n}{2}$  pairs of points are at unit distance.

Proof: Let  $X$  be as above. Consider the graph on  $X$  formed by pairs of pts at unit distance



Claim: this graph is  $K_{3,2}$ -free

Proof: Two unit circles meet at most twice. \*

So \* pairs of pts at unit distance

$$= |E(G)|$$

$$\leq \text{ex}(n, K_{3,2})$$

$$\leq \frac{\sqrt{2}}{2} n^{3/2} + \frac{n}{2} \quad \square$$

Thm 2.11 (Erdős-Stone) If  $\chi(H) = r$  then  $\pi(H) = 1 - \frac{1}{r-1}$  (e.g.  $\pi(C_5) = \frac{1}{2}$ ).

Proof: Let  $H$  be given.

Suppose  $\chi(H) = r \geq 2$ . So  $H$  is  $r$ -partite, so  $T_{r-1}(n)$  is  $H$ -free. So



$$\text{ex}(n, H) \geq |E(T_{r-1}(n))| = t_{r-1}(n).$$

$$\frac{\text{ex}(n, H)}{\binom{n}{2}} \geq \frac{t_{r-1}(n)}{\binom{n}{2}} \rightarrow 1 - \frac{1}{r-1}$$

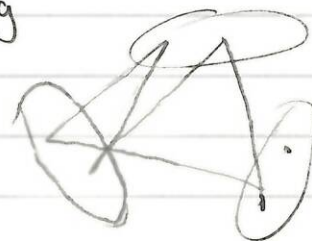
$$\text{Hence } \pi(H) \geq 1 - \frac{1}{r-1}$$

Let  $K_r(t)$  is the complete  $r$ -partite graph with  $t$  vertices in each class (it has  $rt$  vertices).

If  $t \geq |V(H)|$  then  $K_r(t)$  contains a copy of  $H$ .

Hence  $\pi(H) \leq \pi(K_r(t))$   
So sufficient to prove that  
 $\pi(K_r(t)) \leq 1 - \frac{1}{r-1}$

Eg



$C_5 \subset K_3(3)$



1/2/13

Thm 2.11 (Erdős - Stone) If  $\chi(H) = r$  then  $\pi(H) = 1 - \frac{1}{r-1}$ .

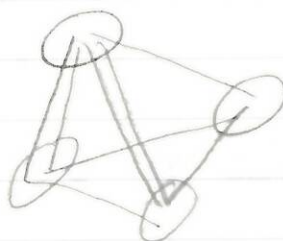
Lemma 2.12: If  $0 < c, \epsilon < 1$  and  $n > \frac{2}{\epsilon}(1 + \frac{1}{c})$ . If  $G$  is a graph with  $n$  vertices and at least  $(c + \epsilon) \binom{n}{2}$  edges then  $G$  contains a subgraph  $G'$  of order  $\epsilon^{1/2} n$  with  $\delta(G') \geq cn$ .

Theorem 2.13: Let  $r, t \geq 1, 0 < \epsilon < \frac{1}{r}$ . Then  $\exists n_0(r, t, \epsilon)$  st if  $G$  has  $n \geq n_0(r, t, \epsilon)$  vertices and  $\delta(G) \geq (1 - \frac{1}{r-1} + \epsilon)n$ , then  $G$  contains  $K_r(t)$ .

$$\text{density} \equiv \frac{|E(G)|}{\binom{n}{2}}$$

Proof of Thm 2.11: Know  $T_r(n)$  is  $H$ -free so  $\pi(H) \geq \pi(K_r) = 1 - \frac{1}{r-1}$ . Also if  $t \geq |V(H)|$  then  $H \subseteq K_r(t)$  so  $\pi(H) \leq \pi(K_r(t))$ .

$\chi(H) = r \Rightarrow H =$   
Then  $K_r(t)$  contains  $H$



no edges inside classes

So need to show  $\pi(K_r(t)) \leq 1 - \frac{1}{r-1}$ . Suppose this fails to hold then  $\exists \epsilon > 0$  st  $\pi(K_r(t)) > 1 - \frac{1}{r-1} + 3\epsilon$



Let  $n \geq \frac{n_0(r, \epsilon, \epsilon)}{\epsilon^{1/2}}$  and let  $G$  be a free graph of order  $n$  and at least  $(1 - \frac{1}{r-1} + 2\epsilon) \binom{n}{2}$  edges. ← given by Th<sup>m</sup> 2.13

By lemma 2.12 with  $c = 1 - \frac{1}{r-1} + \epsilon$ ,  $G$  contains a subgraph  $G'$  of order  $n' \geq \epsilon^{1/2} n \geq n_0(r, \epsilon, \epsilon)$  and  $\delta(G') \geq (1 - \frac{1}{r-1} + \epsilon)n'$  vertices. So Th<sup>m</sup> 2.13  $\Rightarrow K_r(t) \subset G'$ . Since  $G' \subseteq G$  is  $K_r(t)$ -free.  $\square$

Proof of lemma 2.12. We find  $G'$  as follows. Let  $G_n = G$ . If the  $\delta(G_n) \geq cn$  then let  $G' = G_n$ . Otherwise  $\delta(G_n) < cn$ , so remove a vertex of min. degree to give  $G_{n-1}$ . If  $\delta(G_{n-1}) \geq c(n-1)$  then  $G' = G_{n-1}$  otherwise repeat. Construct a sequence  $G_n, G_{n-1}, \dots, \dots$ , where  $G_k$  has order  $k$  and  $G_{k-1}$  from  $G_k$  by deleting a vertex of min. degree. We claim this process terminates at some  $k \geq \epsilon^{1/2} n$ . Since otherwise if  $s = \lceil \epsilon^{1/2} n \rceil$  then:

$$\begin{aligned}
 |E(G_s)| &> |E(G)| - \underbrace{\sum_{k=s+1}^n ck}_{\substack{\geq c \left( \binom{n+1}{2} - \binom{s+1}{2} \right)}} \geq \\
 &\geq (c+\epsilon) \binom{n}{2} - c \left( \binom{n+1}{2} - \binom{s+1}{2} \right) \\
 &\geq \epsilon \binom{n}{2} - cn + c \binom{s+1}{2}
 \end{aligned}
 \quad \left| \quad \sum_{k=1}^n k = \binom{n+1}{2} \right.$$

By our choice of  $s = \lceil \epsilon^{1/2} n \rceil$  and  $n$  satisfies

$$n > \frac{2}{\epsilon} (1 + \frac{1}{c}) \Rightarrow \binom{s+1}{2} > \frac{s^2}{2} \geq \frac{\epsilon n^2}{2} > \left(1 + \frac{1}{c}\right) n = n + \frac{n}{c}$$

Hence  $|E(G_S)| > \varepsilon \binom{n}{2} + n.$

so  $\varepsilon \binom{n}{2} + n \leq \binom{S}{2} \leq \frac{(\varepsilon^{1/2}n + 1)(\varepsilon^{1/2}n)}{2}$

so  $\varepsilon n^2 - \varepsilon n + 2n \leq \varepsilon n^2 + \varepsilon^{1/2}n.$

$2 \leq \varepsilon^{1/2} + \varepsilon < 2.$

#

□



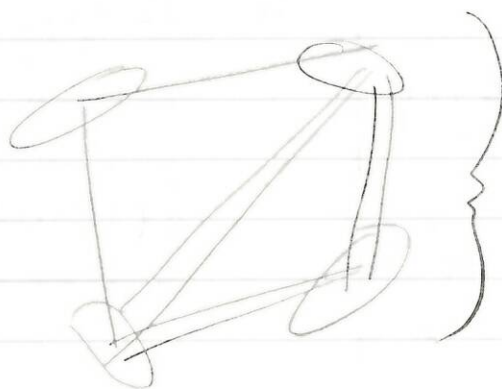


6/2/13

Th<sup>m</sup> 2.11 (Erdős - Stone) If  $\chi(H) = r$  then  $\pi(H) = 1 - 1/r - 1$

Th<sup>m</sup> 2.13: Let  $r \geq 2$ ,  $t \geq 1$  and  $0 < \epsilon < 1/r$ . There exist  $n_0(r, \epsilon, \epsilon)$  such that if  $G$  has  $n \geq n_0$  vertices and  $\delta(G) \geq (1 - \frac{1}{r-1} + \epsilon)n$  then  $G$  contains a copy of  $K_r(t)$

$$\delta(G) = \min_{v \in V(G)} d(v)$$



$r$  classes  
 $t$  vertices  
in each class all edges  
between.

Proof (of Thm 2.13) Induction on  $r$ .

$r=2$   $K_2(t) = K_{t,t}$  Kovari - Sos Turan theorem

$$\text{So } \text{ex}(n, K_2(\epsilon)) \leq \frac{1}{2}(\epsilon-1)^{1/\epsilon} n^{2-1/\epsilon} + \frac{1}{2}(\epsilon-1)n$$
$$\leq \epsilon n^{2-1/\epsilon} \quad \oplus$$

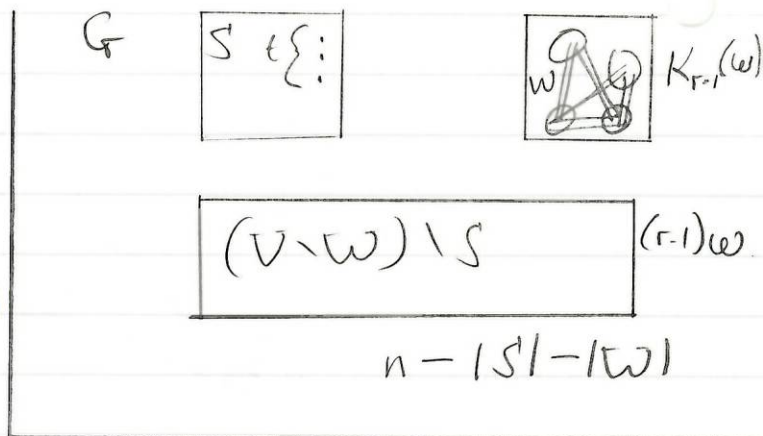
Given  $\epsilon > 0$  and  $t \geq 1$  define  $n_0(2, \epsilon, \epsilon)$  so that for  $n \geq n_0$  we have  $\epsilon > 2\epsilon/n^{1/\epsilon}$  (\*). Let  $G$  be a graph with  $n \geq n_0$  vertices and  $\delta(G) \geq \epsilon n$ . Then  $G$  has at least  $\epsilon n^2/2 > \epsilon n^{2-1/\epsilon}$  by (\*). So  $|E(G)| > \text{ex}(n, K_2(\epsilon))$  by  $\oplus$ , so  $G$  contains

$K_r(\epsilon)$ .

Now suppose  $r \geq 3$ ,  $t \geq 1$  and  $0 < \epsilon < \frac{1}{r}$  is given, and the result holds for  $r-1$ . Let  $G$  have  $n$  vertices,  $\delta(G) \geq (1 - \frac{1}{r-1} + \epsilon)n$ . We need to show that for  $n$  sufficiently large,  $G$  contains  $K_r(\epsilon)$ .

Let  $\omega = \lceil \frac{2t}{\epsilon} \rceil$  and let  $n \geq n_0(r-1, \omega, \epsilon)$

Since  $\delta(G) \geq (1 - \frac{1}{r-1} + \epsilon)n$   
 $> (1 - \frac{1}{r-2} + \epsilon)n$



We know  $G$  contains a copy of  $K_{r-1}(\omega)$  with vertex  $w$ ,  $|W| = (r-1)\omega$ .

Let  $S' = \{v \in V \setminus W : v \text{ has } \geq (r-2)\omega + \epsilon \text{ neighbour inside } W\}$

Notice if  $v \in S'$  then  $v$  has  $\geq \epsilon$  neighbours in each vertex class  $W$ , so  $v$  is adjacent to all the vertices of a copy of  $K_{r-1}(\epsilon)$ .

Claim:  $|S'| \rightarrow \infty$  as  $|n| \rightarrow \infty$ , in particular if  $n$  is sufficiently large then  $|S'| > (t-1) \binom{\omega}{t}^{r-1}$

Call a vertex  $v \in S$  good for a copy  $\hat{K}$  of  $K_{r-1}(t)$  in  $W$  if  $v$  is adjacent to every vertex in  $\hat{K}$ .

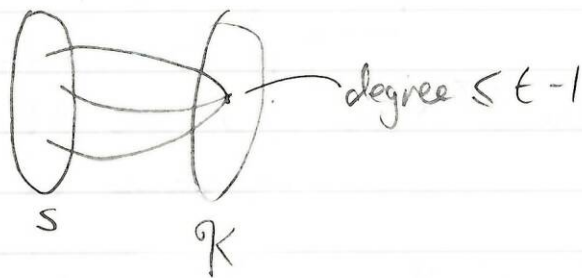
If  $G$  is  $K_r(t)$ -free, then for each copy of  $K_{r-1}(t)$  in  $W$  there are at most  $t-1$  good vertices in  $S$ .

By definition of  $S$ , every vertex in  $S$  is good for at least one copy of  $K_{r-1}(t)$  in  $W$ .

Qu: How many copies of  $K_{r-1}(t)$  are there in  $W$ ?

Ans:  $\binom{\omega}{t}^{r-1}$

So we have the following bipartite graph  $H$ :



$\mathcal{K} = \{ \hat{K} : \hat{K} \text{ is a copy of } K_{r-1}(t) \text{ in } W \}$   
 $v \in S$  is joined by an edge in  $H$  to  $\hat{K} \in \mathcal{K}$  iff  $v$  is good for  $\hat{K}$ .

$$|S| \leq \sum_{v \in S} d_H(v) = |E(H)|$$



$$= \sum_{\hat{K} \in \mathcal{K}} d_H(\hat{K}) \leq (\epsilon - 1) \binom{\omega}{\epsilon}^{r-1}$$

$$\text{So } |S| \leq (\epsilon - 1) \binom{\omega}{\epsilon}^{r-1}$$

Contradicting the Claim  $\#$

Need to prove the claim. Let  $e(W, V \setminus W)$  be the number of edges for  $W$  to  $V \setminus W$ . We know  $\delta(G) \geq (1 - \frac{1}{r-1} + \epsilon)n$ .

There are at most  $|W|^2/2$  edges inside  $W$ .

$$e(W, V \setminus W) = \sum_{v \in W} d(v) - \underbrace{2e(W)}_{\# \text{ edges inside } W}$$

$$\geq |W|n \left(1 - \frac{1}{r-1} + \epsilon\right) - |W|^2 \quad \textcircled{1}$$

Recall  $S = \{v \in V \setminus W : v \text{ has } \geq (r-2)\omega + \epsilon \text{ neighbours in } W\}$

If  $v \in (V \setminus W) \setminus S$  then  $v$  has  $< (r-2)\omega + \epsilon$  neighbours in  $W$ .

If  $v \in S$  " " "  $\leq |W|$

$$e(W, V \setminus W) < \underbrace{(|W| - (\omega - \epsilon))}_{(r-2)\omega + \epsilon} (n - |W| - |S|) + |S||W| \quad \textcircled{2}$$

$$|W| = (r-1)\omega.$$

$$e(W, V, W) < n((r-2)\omega + t) - |W|^2 \\ + |W|(\omega - t) - \cancel{|S||W|} \\ + \cancel{|S||W|} + |S|(\omega - t).$$

So ① + ②  $\Rightarrow$

$$|W| \ln \left( 1 - \frac{1}{r-1} + \epsilon \right) - \cancel{|W|^2} \\ < n((r-2)\omega + t) - \cancel{|W|^2} + |S|(\omega - \epsilon) \\ + |W|(\omega - t).$$

$$\omega n(r-2 + (r-1)\epsilon) < n((r-2)\omega + t) \\ + |S|(\omega - t) \\ + \omega(r-1)(\omega - t)$$

$$|S| > n \left( \underbrace{\frac{\epsilon(r-1)\omega - t}{\omega - t}}_{> 0} \right) - (r-1)\omega.$$

Since  $r \geq 3$ ,  $\omega \geq 2t/\epsilon$  this coefficient  $n$  is  $> 0$   $\square$





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$$ex(n, H) = \max \{ |E| : G = (V, E), |V| = n, G \text{ H-free} \}$$

Turán result

1) Turán's Theorem:  $ex(n, K_{r+1}) = t_r(n)$

2)  $\pi(H) = \lim_{n \rightarrow \infty} \frac{ex(n, H)}{\binom{n}{2}}$  exists,

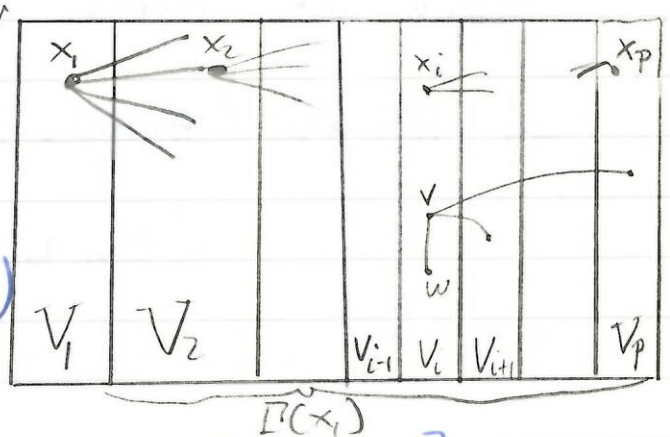
$$\chi(H) = r \geq 2 \Rightarrow \pi(H) = 1 - \frac{1}{r-1} \quad (\text{Erdős - Stone})$$

3) Stability

Theorem 2.14 (Furedi 2010): If  $G$  is  $K_{r+1}$ -free, order  $n$  with at least  $ex(n, K_{r+1}) - \epsilon$  for some  $\epsilon \geq 0$  then  $\exists H \subseteq G$  st  $|E(H)| \geq |E(G)| - \epsilon$  and  $\chi(H) = r$

Proof: Let  $G = (V, E)$  be  $K_{r+1}$ -free,  $|V| = n$  and  $|E| = ex(n, K_{r+1}) - \epsilon$ . Choose  $x_1 \in V$  of max degree. Let  $V_1 = V \setminus \Gamma(x_1)$

neighbours of  $x_1$



Now consider the graph  $G_2 = G[V \setminus V_1]$ .

Choose  $x_2 \in G_2$  of max degree. Let  $V_2 = V(G_2) \setminus \Gamma_{G_2}(x_2)$ . Repeat until have no vertices left: suppose we choose  $x_1, x_2, \dots, x_p$ .

By construction  $x_1, x_2, \dots, x_p$  form a clique (i.e. a copy of  $K_p$ ). Hence  $p \leq r$ .

Let  $d_1 = d(x_1)$ ,  $d_2 = d(x_2)$  etc. to give  $d_1, d_2, \dots, d_p$ .

Note that  $d_i = |V_{i+1}| + |V_{i+2}| + \dots + |V_p|$ .

Note for  $v \in V_i$  define  $\vec{d}(v) = \#\{w : vw \in E, w \in V_i \cup V_{i+1} \cup \dots \cup V_p\}$

If  $v \in V_i$   $\vec{d}(v) \leq d_i$  (by maximality of degree of  $x_i$  in  $G_i$ ).

$$|E(G)| + \# \text{edges inside classes} = \sum_{i=1}^p \sum_{v \in V_i} \vec{d}(v) \leq \sum_{i=1}^p d_i |V_i| = \sum_{i=1}^p |V_i| (|V_{i+1}| + \dots + |V_p|)$$

$$= |E(K(V_1, V_2, \dots, V_p))|$$

by lemma 2.5  $\leq |E(T_p(n))|$

where  $K(V_1, V_2, \dots, V_p)$  is the complete  $p$ -partite graph with vertex classes  $V_1, V_2, \dots, V_p$ .

So  $|E(G)| + \# \text{edges inside classes}$

$$\leq e_p(n) \leq e_r(n) \quad \text{since } p \leq r$$

But  $|E(G)| \geq \text{ex}(n, K_{r+1}) - \epsilon = e_r(n) - \epsilon$ .

$\Rightarrow$  #edges inside class  $\leq \epsilon$ .

Let  $H$  be  $G$  with all edges inside classes removed. So  $|E(H)| \geq |E(G)| - \epsilon$  and  $H \subseteq K(V_1, \dots, V_p)$  is  $p$ -partite.  $\square$

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### 3) Set system

$$[n] = \{1, 2, 3, \dots, n\}$$

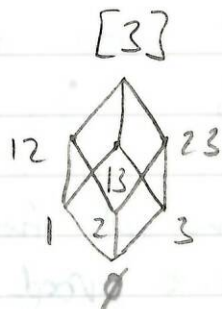
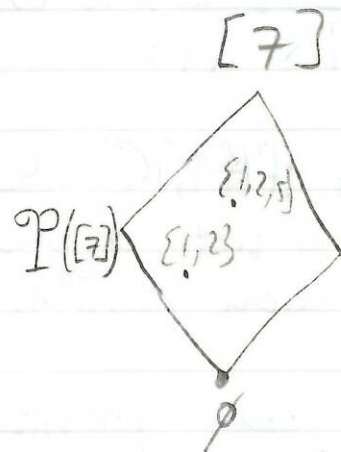
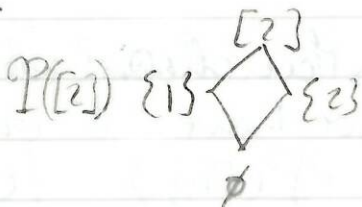
$$\mathcal{P}(X) = \{A : A \subseteq X\}$$

$$\binom{X}{k} = \{A : A \subseteq X, |A| = k\}$$

$$X = [n].$$

A family  $\mathcal{A} \subseteq \mathcal{P}([n])$  is a chain if  $A, B \in \mathcal{A}$   
 $A \subseteq B$  or  $B \subseteq A$ .

Eg:



edges  $\equiv$  covering relations.

A family  $\mathcal{A} \subseteq \mathcal{P}([n])$  is a antichain if  $\forall A, B \in \mathcal{A}$   
 $A \subseteq B \Rightarrow A = B$   
or  $A \neq B, A, B \in \mathcal{A}$  st  $A \not\subseteq B$  and  $B \not\subseteq A$ .



Examples of antichains:

$$\binom{[7]}{3}, \binom{[n]}{k}. \quad \{123, 45, 1247\}$$

Lemma 3.1: If  $\mathcal{A}$  is an antichain and  $\mathcal{C}$  is a chain then  $|\mathcal{A} \cap \mathcal{C}| \leq 1$ .

Proof: If  $|\mathcal{A} \cap \mathcal{C}| \geq 2$ , let  $A, B \in \mathcal{A} \cap \mathcal{C}$ ,  $A \neq B$ .  
Then  $A, B \in \mathcal{C}$  is a chain  $\Rightarrow$  w.l.o.g.  $A \subset B$ .  
But then  $A, B \in \mathcal{A}$  is an antichain  $\Rightarrow A = B$ .  
 $\times \square$

Lemma 3.2: If  $\mathcal{C} \subseteq \mathcal{P}([n])$  is a chain then  $|\mathcal{C}| \leq n+1$ .

Proof: If  $A, B \in \mathcal{C}$  and  $|A| = |B|$  then  $A = B$  (otherwise  $\mathcal{C}$  is not a chain). Hence we have  $\leq$  one set of each possible size from  $\mathcal{P}([n])$ .  $\therefore |\mathcal{C}| \leq n+1$ .

[We can partition  $\mathcal{P}([n])$  into  $n+1$  antichains  
 $\mathcal{P}([n]) = \binom{[n]}{0} \dot{\cup} \binom{[n]}{1} \dot{\cup} \dots \dot{\cup} \binom{[n]}{n}$ .

since  $\mathcal{C}$  contains at most one set from each anti-chain,  $|\mathcal{C}| \leq n+1$ . This is an alternative proof of lemma 3.2

We observe that  $\left| \binom{[n]}{\lfloor n/2 \rfloor} \right| = \binom{n}{\lfloor n/2 \rfloor}$

which is the largest of the binomial coefficients raised to power  $n$ , then we get. . . ]

$$\binom{[n]}{k}$$

$$n=4 \cdot \binom{4}{0} = 1 \quad \binom{4}{3} = 4$$

$$\binom{4}{1} = 4$$

$$\binom{4}{4} = 1$$

$$\binom{4}{2} = 6$$

$$n=5 \quad \binom{5}{0} = 1 \quad = \binom{5}{5}$$

$$\binom{5}{1} = 5 \quad = \binom{5}{4}$$

$$\binom{5}{2} = 10 \quad = \binom{5}{3}$$

$$\binom{[n]}{\lfloor n/2 \rfloor} = \text{has size } \binom{n}{\lfloor n/2 \rfloor}$$

Theorem 3.3 (Sperner): If  $\mathcal{A}$  is an anti chain in  $\mathcal{P}([n])$  then  $|\mathcal{A}| \leq \binom{n}{\lfloor n/2 \rfloor}$

Lemma 3.4: If  $n \geq 1$  then  $\mathcal{P}([n])$  can be partitioned  $\binom{n}{\lfloor n/2 \rfloor}$  chains

Lemma 3.4 + Lemma 3.1  $\Rightarrow$  Theorem 3.3.

A chain  $\mathcal{C} \subseteq \mathcal{P}([n])$  is symmetric iff

(i)  $\mathcal{C} = \{C_1, \dots, C_k\}$ ,  $|C_{i+1}| = |C_i| + 1$ ,  $i=1, \dots, k-1$

(ii)  $|C_1| + |C_k| = n \Rightarrow |C_1| \leq n/2$ ,  $|C_k| \geq n/2$

e.g. in  $\mathcal{P}([3])$   $\{\emptyset, 1, 12, 123\}$ ,  $\{2, 23\}$

in  $\mathcal{P}([4])$   $\{1, 12, 124\}$ ,  $\{13\}$ .

Note that any symmetric chain  $\mathcal{C} \subseteq \mathcal{P}([n])$  meets "the" middle layer  $\binom{[n]}{\lfloor n/2 \rfloor}$

Since  $\binom{[n]}{\lfloor n/2 \rfloor}$  is itself an antichain, we know that any symmetric chain contains exactly one set from  $\binom{[n]}{\lfloor n/2 \rfloor}$  by lemma 3.1.

Proof of Lemma 3.4 (Induction on  $n$ .)  $n=1$   
 $\mathcal{P}([1]) = \{\emptyset, 1\}$  is a symmetric chain. Now suppose  $n \geq 2$  and result holds for  $n-1$ . So  $\exists$  a partition of  $\mathcal{P}([n-1])$  into sym chains.

$$\mathcal{P}([n-1]) = \mathcal{C}_1 \dot{\cup} \mathcal{C}_2 \dot{\cup} \dots \dot{\cup} \mathcal{C}_t.$$

$$\mathcal{C}_i = \{C_1^i, C_2^i, \dots, C_{k_i}^i\}.$$

Form two new chains for  $\mathcal{C}_i$  (if  $k_i \geq 2$ )

$$\mathcal{C}_i' = \{C_1^i \cup \{n\}, C_2^i \cup \{n\}, \dots, C_{k_i-1}^i \cup \{n\}\}$$

$$\mathcal{C}_i'' = \{C_1^i, C_2^i, \dots, C_{k_i}^i, C_{k_i}^i \cup \{n\}\}$$



Note that  $C_i'$ ,  $C_i''$  are both chains and in fact both symmetric chains in  $\mathcal{P}([n])$ . Moreover

$$\mathcal{P}([n]) = C_1' \cup C_1'' \cup C_2' \cup C_2'' \cup \dots \cup C_n''$$

So the result holds

□



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Homework 18, 19, 20, 21 for next Wednesday.

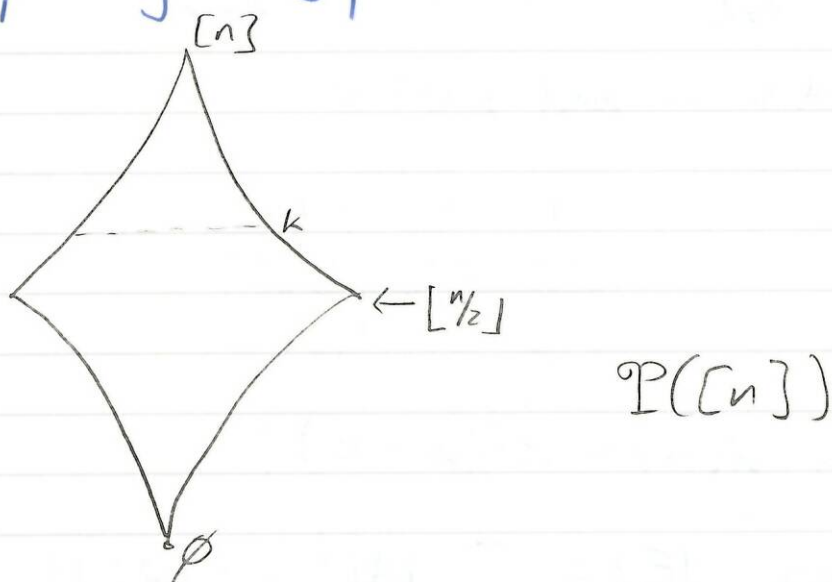
Thm 3.3 (Sperner), If  $\mathcal{A} \subseteq \mathcal{P}([n])$  is an antichain then  $|\mathcal{A}| \leq \binom{n}{\lfloor n/2 \rfloor}$  (best possible:  $\mathcal{A} = \binom{[n]}{\lfloor n/2 \rfloor}$ )

$\mathcal{A}$  is antichain  $\Leftrightarrow \forall A, B \in \mathcal{A}. A \subseteq B \Rightarrow A = B$

Thm 3.5 (LYM) If  $\mathcal{A} \subseteq \mathcal{P}([n])$  is an antichain then

$$\sum_{A \in \mathcal{A}} \frac{1}{\binom{n}{|A|}} \leq 1$$

Note that since  $\binom{n}{\lfloor n/2 \rfloor} \geq \binom{n}{k}$  any  $0 \leq k \leq n$   
LYM-inequality  $\Rightarrow$  Sperner's Theorem.

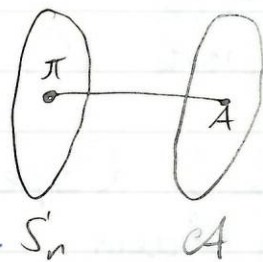


Proof (of LYM). Let  $\mathcal{A} \subseteq \mathcal{P}([n])$  be an antichain.  $S_n =$  permutations of  $[n]$ .

Construct a bipartite  $G = (S_n, \mathcal{A}; E)$



Where  $\pi \in S_n$  is joined by an edge to  $A \in \mathcal{A}$  iff all the elements of  $A$  appear before the elements of  $A^c$  in  $\pi$ .



$$n=8, \quad \pi = 13456872$$

$$A = 134, \quad \pi A \text{ is an edge.}$$

$$n=7, \quad \pi = 723\boxed{4}651$$

$$A = 237, \quad \pi A \text{ is an edge.}$$

but if  $B = 2367$  then  $\pi B$  is not an edge.

Double counting:

$$\sum_{\pi \in S_n} d(\pi) = |E| = \sum_{A \in \mathcal{A}} d(A)$$

If  $A \in \mathcal{A}$  and  $|A| = k$

$$k! \times (n-k)!$$

then  $d(A) = k!(n-k)!$

$$\text{Hence } |E| = \sum_{A \in \mathcal{A}} |A|!(n-|A|)!$$

Now if  $\pi \in S_n$ , and  $\pi A$  is an edge and  $\pi B$  is another edge then either  $A \subset B$  or  $B \subset A$  so  $A = B$

$\therefore$  At most one edge from  $\pi \therefore d(\pi) \leq 1$

$$\text{So } |E| = \sum_{\pi \in S_n} d(\pi) \leq \sum_{\pi \in S_n} 1 = n!$$

$$\text{So } \sum_{A \in \mathcal{A}} \frac{|A|!(n-|A|)!}{n!} \leq 1$$

$$\text{So } \sum_{A \in \mathcal{A}} \frac{1}{\binom{n}{|A|}} \leq 1.$$

□

$\mathcal{A}$  is intersecting  $\Leftrightarrow A, B \in \mathcal{A} \wedge B \neq \emptyset$ .

e.g.  $\{12, 13, 23\}$ .

Th<sup>m</sup> 3.6. If  $\mathcal{A} \subseteq \mathcal{P}([n])$  is intersecting then  $|\mathcal{A}| \leq 2^{n-1}$ .

Proof: Since  $A \in \mathcal{A} \Rightarrow A^c \notin \mathcal{A}$ , hence  $|\mathcal{A}| \leq 2^{n-1}$ .  
□

Examples:  $\mathcal{A}^* = \{A \subseteq [n] : 1 \in A\}$ ,  $|\mathcal{A}^*| = 2^{n-1}$

$$\mathcal{B} = \{B \subseteq [n] : |B \cap [3]| \geq 2\}.$$

$$|\mathcal{B}| = 4 \times 2^{n-3} = 2^{n-1}$$

Since  $\mathcal{B}$  consists of  $B = \hat{B} \cup B'$ , where  
 $\hat{B} \in \{12, 13, 23, 123\}$   
 $B' \subseteq \{4, 5, \dots, n\}$ .

$$\mathcal{C} = \{C \subseteq [n] : |C \cap [5]| \geq 3\}$$

if  $C \in \mathcal{E}$  then  $C = \hat{C} \cup C'$

$\hat{C} \in \{123, 124, 125, 134, 135, 145, 234, 235, 245, 345, 1234, 1235, 1245, 1345, 2345, 12345\}$

and  $C' \subseteq \{6, 7, \dots, n\}$

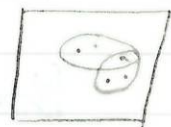
$$\therefore |\mathcal{E}| = 16 \times 2^{n-5} = 2^{n-1}$$

In general  $\mathcal{D}_k = \{D \subseteq [n] : |D \cap [2k+1]| > k+1\}$

$\mathcal{D}_0 = \mathcal{A}^*$ ,  $\mathcal{D}_1 = \mathcal{B}$ ,  $\mathcal{D} = \mathcal{E}$  |  $\mathcal{D}$  is intersecting and  $|\mathcal{D}| = 2^{n-1}$

If  $\mathcal{A} \subseteq \binom{[n]}{k}$  is intersecting, how large can  $|\mathcal{A}|$  be?

If  $2k > n$  then  $\binom{[n]}{k}$  is intersecting



Th<sup>m</sup> 3.7: (Erdős - Ko - Rado 1961). If  $2k \leq n$  and  $\mathcal{A} \subseteq \binom{[n]}{k}$  is intersecting then  $|\mathcal{A}| \leq \binom{n-1}{k-1}$

Note:  $\mathcal{A}^* = \{A \in \binom{[n]}{k} : 1 \in A\}$ .  $|\mathcal{A}^*| = \binom{n-1}{k-1}$

Proof: (Katona). Let  $n \geq 2k$  and  $\mathcal{A} \subseteq \binom{[n]}{k}$  be intersecting.

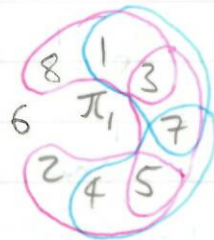
Let  $\mathcal{C}_n$  be the family of cyclic permutations of  $[n]$ . By this we mean two permutations of  $[n]$  are considered the same, if when



written around a circle, we can form one to the other by rotation.

e.g:  $n=8$ ,

$(137)$  168  
 $(357)$  138  
 $(457)$   
 $245$   
 $246$   
 $268$



$=$   
 $5$  9 2  
 $7$   $\pi_2$  6  
 $3$  1 8

$8$  3  
 $6$   $\pi_3$  1  
 $2$  4 5 7

$$\pi_1 = \pi_2 \neq \pi_3$$

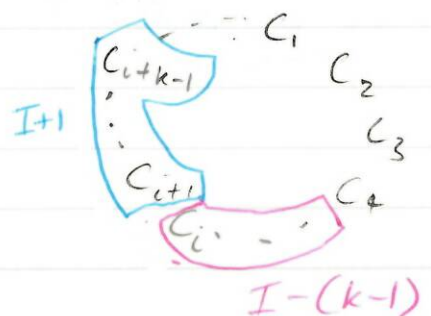
$$|\mathcal{C}_n| = \frac{n!}{n} = (n-1)!$$

Given a cyclic permutation  $\pi$  and a set  $A \in \mathcal{A}$ . Say  $A$  is an interval in  $\pi$  if the elements of  $A$  appears consecutively.

Lemma 3.8 If  $\pi \in \mathcal{C}_n$  is a cyclic permutation of  $[n]$  and  $\mathcal{I} = \{I_1, \dots, I_\ell\}$  are intersecting intervals from  $\pi$  each of length  $k$  ( $n \geq 2k$ ) then  $\ell \leq k$ .

Proof: Let  $I = \{c_i, c_{i+1}, \dots, c_{i+k-1}\} \in \mathcal{I}$

Note  $I$  meets at most  $2k-2$  other intervals, for  $\pi$ .



Namely:  $I+1, I+2, \dots, I+(k-1)$  where  $I+j = \{c_{i+j}, c_{i+j+1}, \dots, c_{i+j+k-1}\}$   
 $I-1, I-2, \dots, I-(k-1)$

But  $I+1$  and  $I-(k-1)$  are disjoint as are  $I+j$  and  $I-(k-1)$  any  $1 \leq j \leq k-1$

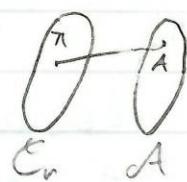
Hence there at most one of  $I+j$  and  $I-(k-j)$  in  $\mathcal{I}$  for each  $1 \leq j \leq k-1$

Thus  $|\mathcal{I}| \leq 1 + (k-1) = k$ .  $\square$

Proof of EKR. (ctd). Define a bipartite graph  $G = (C_n, \mathcal{A}; E)$ .

Join  $\pi \in C_n$  to  $A \in \mathcal{A}$  iff  $A$  is an interval in  $\pi$ .

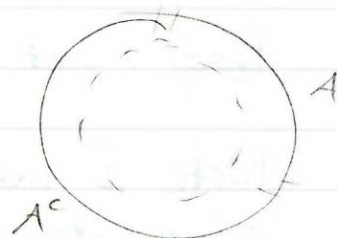
If  $\pi \in C_n$  then  $d(\pi) = \#$  intervals of  $\pi$  that belong to  $\mathcal{A}$



So  $A \in \mathcal{A}$  then  $d(A) = k!(n-k)!$

Double Counting:

$$\sum_{\pi \in C_n} d(\pi) = |E| = \sum_{A \in \mathcal{A}} d(A)$$



$$k |E_n| \geq |E| = |eA| k! (n-k)!$$

$$\text{So } |eA| \leq \frac{k(n-1)!}{k!(n-k)!} = \binom{n-1}{k-1} = \frac{k}{n} \binom{n}{k}$$

□

$n > 2k \Rightarrow$  Unique best-family.





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(left)

### Compressions

$\mathcal{C}A \subseteq \mathcal{P}([n])$  if  $1 \leq i < j \leq n$  and  $A \in \mathcal{C}A$

$$C_{ij} = \begin{cases} (A \setminus \{j\}) \cup \{i\} & j \in A, i \notin A \\ A & \text{otherwise} \end{cases}$$

$A = 246$ ,  $C_{34}(246) = 236$   
 $\mathcal{C}A = \{236, 246\}$ ,  $C_{34}(125) = 125$   
 $C_{34}(123) = 123$   
 $C_{34}(134) = 134$

} different examples



$\mathcal{C}A \subseteq \mathcal{P}([n])$

If  $1 \leq i < j \leq n$  then

$$C_{ij}(\mathcal{C}A) = \{C_{ij}(A) : A \in \mathcal{C}A\} \cup \{A : A \in \mathcal{C}A \text{ and } C_{ij}(A) \in \mathcal{C}A\}$$

example:  $\mathcal{C}A = \{146, 236, 246, 124\}$

$$C_{34}(\mathcal{C}A) = \{136, 236, 123, 246\} = \mathcal{C}A'$$

$$C_{26}(\mathcal{C}A') = \{123, 236, 246, 136\} = \mathcal{C}A''$$

$$C_{18}(\mathcal{C}A'') = \{123, 124, 136, 236\} = \mathcal{C}A'''$$

$$C_{46}(\mathcal{C}A''') = \{123, 124, 134, 234\} = \mathcal{C}\tilde{A}$$

If  $C_{ij}(\mathcal{C}A) = \mathcal{C}A \quad \forall k < j \leq n$ , ( $\mathcal{C}A \subseteq \mathcal{P}([n])$ ) then we say  $\mathcal{C}A$  is compressed.

Lemma 3.9 :  $eA \subseteq \binom{[n]}{k}$  and  $1 \leq i < j \leq n$ .

(i)  $C_{ij}(eA) \subseteq \binom{[n]}{k}$

(ii)  $|C_{ij}(eA)| = |eA|$

(iii) If  $eA$  is intersecting then so is  $C_{ij}(eA)$

(iv) Repeating apply  $i$ - $j$  - compression we will eventually reach  $\hat{eA}$  s.t.  $C_{ij}(\hat{eA}) = \hat{eA} \forall 1 \leq i < j \leq n$ .  
→ a compressed family.

Proof :

(i) + (ii) Follow instantly from definition of  $C_{ij}$

(iii) Suppose  $eA$  is intersecting

Now suppose  $\exists A, B \in C_{ij}(eA)$  such that  $A \cap B = \emptyset$

$eA$  is intersecting  $\Rightarrow$  Not both  $A, B$  are in  $eA$ .

Since every "new" set in  $C_{ij}(eA)$  contains  $i$ , so  $A, B$  are not both new. So wlog  $A \in eA$  and  $B \notin eA$ .

So  $C = (B \setminus \{i\}) \cup \{j\} \in eA$ .

Since  $A \cap B = \emptyset$  and  $A \cap C \neq \emptyset$  we must hence  $j \in A, i \notin A$ .

Hence, by definition of  $C_{ij}(eA)$ :

$D = C_{ij}(A) \in eA$ .

$D = (A \setminus \{j\}) \cup \{i\}$

So  $eA \cap D \subseteq (B \setminus \{i\}) \cap (A \setminus \{j\})$   
 $\subseteq A \cap B = \emptyset \quad \#$

Since  $C, D \in eA$

□



(iv) Definition  $\omega(cA) = \sum_{A \in cA} \sum_{a \in A} a$ .

If  $C_{ij}(cA) \neq cA$  then  $\omega(C_{ij}(cA)) \leq \omega(cA) - (j-i)\omega \geq 0$ . So apply all  $i$ - $j$ -compressions repeatedly we eventually reach a compressed family.  $\square$

Proof of EKR: Induction on  $n \geq 2r$   $n=2$  ✓  
 $n > 2$ . Let  $\mathcal{A} \subseteq \binom{[n]}{k}$  be intersecting..

If  $n=2k$  then  $\binom{n-1}{k-1} = \frac{1}{2} \binom{n}{k}$  and  $(A \in cA \Rightarrow A^c \notin cA) \Rightarrow |cA| \leq \frac{1}{2} \binom{n}{k}$  ✓

So suppose  $n \geq 2k+1$ . Now by applying compressions we may suppose  $cA$  is compressed.

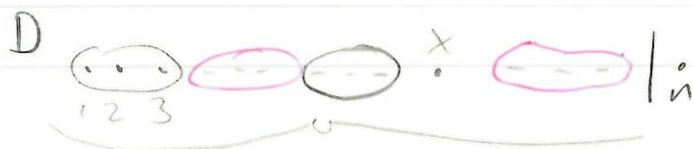
Let  $\mathcal{B} = \{A \in cA : n \notin A\}$ ,  $\mathcal{C} = \{A \in cA : n \in A\}$   $cA = \mathcal{B} \cup \mathcal{C}$   
 $\mathcal{B} \subseteq \binom{[n-1]}{k}$  Ind. hyp  $n \geq 2k+1 \Rightarrow |\mathcal{B}| \leq \binom{n-1}{k} = \binom{n-2}{k-1}$

Note:  $\binom{n-1}{k-1} = \binom{n-2}{k-1} + \binom{n-2}{k-2}$

Consider  $\mathcal{D} = \{C \setminus \{n\} : C \in \mathcal{C}\}$   
 so  $\mathcal{D} \subseteq \binom{[n-1]}{k-1}$ . If we show that  $\mathcal{D}$  is intersecting then our ind. hyp  $\Rightarrow |\mathcal{D}| \leq \binom{n-1-1}{k-1-1} = \binom{n-2}{k-2}$ .

$cA$  is compressed. Suppose  $D, E \in \mathcal{D}$  st  $D \cap E = \emptyset$ .

Then  $D \cup \{n\}, E \cup \{n\} \in cA$ .



Since  $|D| = k-1 = |E|$  and  $n \geq 2k+1$  so  
 $\exists x \in [n-1] \setminus (D \cup E)$ .

Since  $\mathcal{A}$  is compressed,  $C_{xu}(D \cup \{n\}) = (D \setminus \{n\}) \cup \{x\}$   
 $\in \mathcal{A}$ . But  $C_{xu}(D) \wedge (E \cup \{u\}) = \emptyset$ .  $\neq$  Since  
 $\mathcal{A}$  is intersecting.

□

6/3/13.

Homework: Qu 26, 27, 28, 31 next Wed.

## The Linear Algebra Method.

Lemma 3.10: If  $v_1, v_2, \dots, v_m \in V$ ,  $V$  vector space of dimension  $d$ , and  $v_1, \dots, v_m$  are linearly independent then  $m \leq d$ .

## Linear Independent:

$v_1, \dots, v_m \in V$ ,  $V$  a vector space over a field  $\mathbb{F}$ , are LI iff  $\sum_{i=1}^m \lambda_i v_i = 0 \Rightarrow \lambda_i = 0 \forall i$ .

Th<sup>m</sup> 3.11: If  $\mathcal{A} = \{A_1, \dots, A_m\} \subseteq \mathcal{P}([n])$  with  $|A_i|$  is odd  $\forall i$ , and  $|A_i \cap A_j|$  is even  $\forall i \neq j$  then  $m \leq n$ .

Proof: For  $A_i \in \mathcal{A}$  consider its incidence vector  $v_i \in \mathbb{F}_2^n$ .

[Recall  $\mathbb{F}_2$  is a field with 2 elements]

$v_{ij} = \begin{cases} 1 & , j \in A_i \\ 0 & , \text{otherwise} \end{cases}$  e.g.  $n=6, A_2 = \{135\}$

$$v_2 = \begin{pmatrix} 1 \\ 0 \\ 1 \\ 0 \\ 1 \\ 0 \end{pmatrix} = \begin{matrix} v_{21} \\ v_{22} \\ v_{23} \\ v_{24} \\ v_{25} \\ v_{26} \end{matrix}$$



So we have  $m$  vectors  $\underline{v}_1, \dots, \underline{v}_m$ .

$$\text{Consider } \underline{v}_i \cdot \underline{v}_j = \sum_{k=1}^n v_{ik} v_{jk} = |A_i \wedge A_j| = \begin{cases} 1 & i=j \\ 0 & i \neq j \end{cases}$$

So  $\underline{v}_1, \dots, \underline{v}_m$  are orthogonal.

$\Rightarrow \underline{v}_1, \dots, \underline{v}_m$  are linear independent.

Lemma 3.10  $\Rightarrow m \leq \dim(\mathbb{F}_2^n) = n$ .  $\square$

Th<sup>m</sup>: (Fisher Inequality): If  $\mathcal{A} = \{A_1, \dots, A_m\} \subseteq \mathcal{P}([n])$  and  $\exists 1 \leq k \leq n$  st  $\forall i \neq j$   
 $|A_i \wedge A_j| = k$  then  $m \leq n$ .

Proof: Let  $\mathcal{A}$  be given with the above properties.

For  $A_i \in \mathcal{A}$  let  $\underline{v}_i$  be its incidence vector

$$v_{ij} = \begin{cases} 1, & j \in A_i \\ 0, & \text{otherwise} \end{cases}$$

Want to show  $\{\underline{v}_1, \dots, \underline{v}_m\}$  is LI.

Suppose for a contradiction  $\exists \lambda_1, \dots, \lambda_m \in \mathbb{R}$  not all zero with  $\sum_{i=1}^m \lambda_i \underline{v}_i = \underline{0}$ .

$$\begin{aligned} 0 &= \underline{0} \cdot \underline{0} = \left( \sum_{i=1}^m \lambda_i \underline{v}_i \right) \cdot \left( \sum_{j=1}^m \lambda_j \underline{v}_j \right) \\ &= \sum_{i=1}^m \lambda_i^2 \underline{v}_i \cdot \underline{v}_i + \sum_{i \neq j} \lambda_i \lambda_j \underline{v}_i \cdot \underline{v}_j \end{aligned}$$

Note:  $\underline{v}_i \cdot \underline{v}_j = \begin{cases} |A_i| & i=j \\ k & i \neq j \end{cases}$

$$\begin{aligned} \dots &= \sum_{i=1}^m \lambda_i^2 |A_i| + k \sum_{i \neq j} \lambda_i \lambda_j \\ &= \underbrace{\sum_{i=1}^m \lambda_i^2 (|A_i| - k)}_{\textcircled{1}} + \underbrace{k \left( \sum_{i=1}^m \lambda_i \right)^2}_{\textcircled{2}} \end{aligned}$$

Since  $\textcircled{1} + \textcircled{2} = 0$ , and  $\textcircled{1} \geq 0$ ,  $\textcircled{2} \geq 0$  must have  $\textcircled{1} = \textcircled{2} = 0$ .

$\textcircled{1} = 0 \Rightarrow$  whenever  $|A_i| \neq k$  we must have  $\lambda_i = 0$ .

Also, since  $|A_i| \wedge |A_j| = k \quad \forall i \neq j$  we have  $|A_i| \geq k$   $\forall i$  with equality at most once.

Hence, all but one  $\lambda_i$  must be zero.

$\textcircled{2} \Rightarrow \sum_{i=1}^m \lambda_i = 0$ , this is impossible since exactly one  $\lambda_i$  is non-zero. Hence  $\{v_1, \dots, v_m\}$  is LI.

and lemma 3.10  $\Rightarrow m \leq \dim(\mathbb{R}^n) = n \quad \# \quad \square$

### Ramsey Theory.

Let  $s, t \geq 2$  be integers.

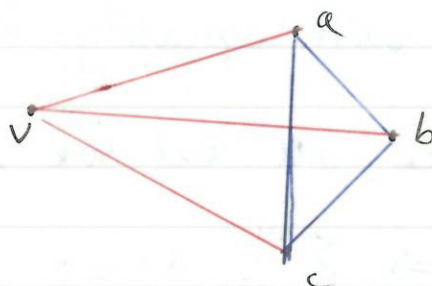
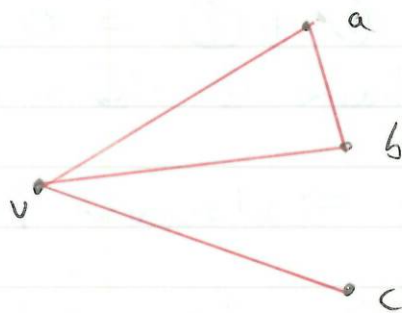
$R(s, t) = \min \{ n : \text{Whenever } K_n \text{ has its edges coloured red and blue there is always a red } K_s \text{ or a blue } K_t \}$ .

Prop 9.1  $R(3, 3) = 6$ .

Proof: (i)  $R(3, 3) \leq 6$ . Take a red-blue colouring of the edges of  $K_6$ .

Let  $v \in V(K_6)$ . Since  $d(v) = 5$  w.l.o.g.  $v$  is incident to at least 3 red edges with endpoints  $a, b, c$ . Either one of  $ab, ac, bc$  is red or they are all blue.

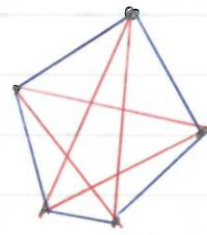
$\Rightarrow$  Either have a red  $K_3$  or blue  $K_3$ .





(2)  $R(3,3) > 5$ .

Consider the following colouring, no red  $K_3$  or blue  $K_3 \Rightarrow R(3,3) > 5$ .

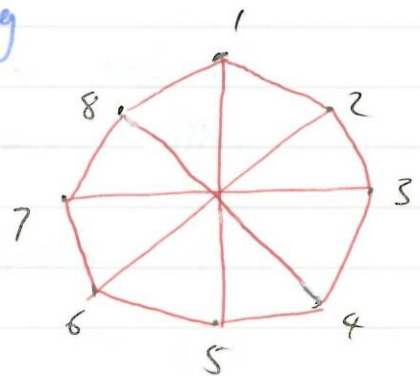


Prop<sup>n</sup> 4.2.  $R(3,4) = 9$ ,

Proof: (1)  $R(3,4) > 8$ .

Consider the red-blue edge colouring taking  $V(K_8) = [8]$ ,

$$\begin{aligned} \text{Red edges} &= \{i, i+1 : 1 \leq i \leq 8\} \\ &= \{i, i+4 : 1 \leq i \leq 4\} \end{aligned}$$



No other edges are blue. No red  $K_3$  and no blue  $K_4$ .

(2)  $R(3,4) \leq 9$ .

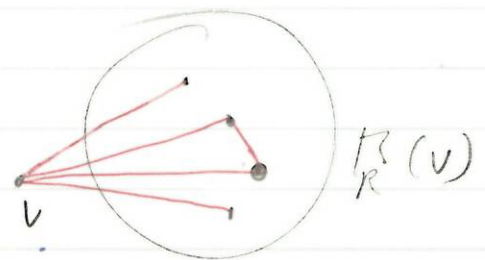
Take a red-blue edges colouring of  $K_9$ .

Let  $v \in V(K_9)$   $\Gamma_R(v) = \{w : vw \text{ is red}\}$ ,  $d_R(v) = |\Gamma_R(v)|$

$\Gamma_B(v) = \{w : vw \text{ is blue}\}$ ,  $d_B(v) = |\Gamma_B(v)|$

So  $d_R(v) + d_B(v) = d(v) = 8$ .

If  $\exists v \in V(K_9)$  with  $d_R(v) \geq 4$ , then either  $\Gamma_R(v)$  contains a red edge. So wlog can assume



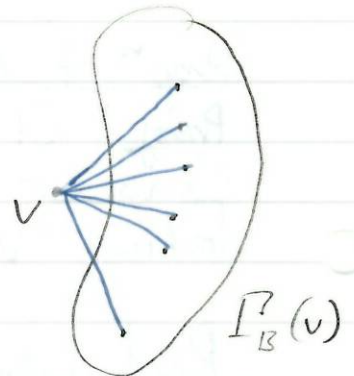
$$d_R(v) \leq 3 \quad \forall v \in V(K_9)$$

$$\Rightarrow d_B(v) \geq 5 \quad \forall v \in V(K_9)$$

If  $\exists v \in V(K_9)$  st  $d_B(v) \geq 6 = R(3,3)$

$\Rightarrow \Gamma_B^+(v)$  contains a red  $K_3$  or a blue  $K_3$ .

In former case have red  $K_3$ , in latter case have blue  $K_3$



Only remaining case is if  $d_B(v) = 5, \forall v \in V(K_9)$

But  $\sum_{v \in V(K_9)} d_B(v) = 2 \times \# \text{ blue edges}$

So  $\sum_{v \in V(K_9)} d_B(v) = 5 \times 9 = 45$  is impossible  $\square$

8/3/13

Let  $s, t \geq 2$ .

$R(s, t) = \min \{ n \in \mathbb{N} : \text{Every red-blue colouring of the edges of } K_n \text{ contains a red } K_s \text{ or a blue } K_t \}$ .

Theorem 4.3 (Ramsey)

If  $s, t \geq 2$  then  $R(s, t)$  is finite and satisfies

$$R(s, t) \leq \binom{s+t-2}{s-1}$$

Proof: Induction on  $s+t$ ,  $R(2, t) = t$ ,  $R(s, 2) = s$ .  
So result holds if  $s$  or  $t$  is 2.

So now suppose  $s, t \geq 3$  and the result holds for smaller  $s+t$ .

Let  $n = R(s-1, t) + R(s, t-1)$ . This exists by our inductive hypothesis.

Claim:  $R(s, t) \leq n$ .

Proof: Take a red-blue colouring of the edges of  $K_n$ .

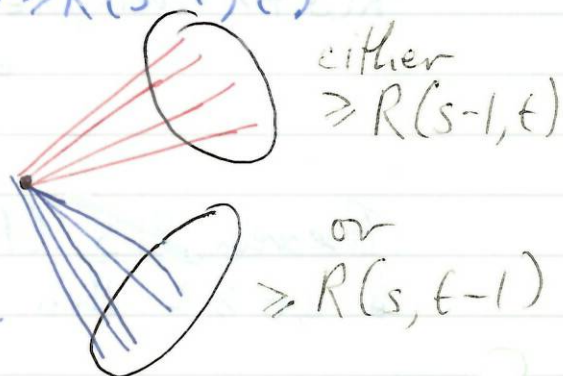
Let  $v \in V(K_n)$

Define:  $\Gamma_R(v) = \{w : vw \text{ is red}\}$   $d_R(v) = |\Gamma_R(v)|$   
and  $\Gamma_B(v) = \{w : vw \text{ is blue}\}$   $d_B(v) = |\Gamma_B(v)|$



So  $d_R(v) + d_B(v) = d(v) = n-1$ . Now since  $n = R(s-1, t) + R(s, t-1)$  we must have either  $d_R(v) \geq R(s-1, t)$  or  $d_B(v) \geq R(s, t-1)$   
 $\Rightarrow$  w.l.o.g. suppose  $d_R(v) \geq R(s-1, t)$

Then either  $\Gamma_R(v)$  contains a red  $K_{s-1}$ , which together with  $v$  forms a  $K_s$ , or  $\Gamma_R(v)$  contains a blue  $K_t$ .



Hence:

$$R(s, t) \leq n = R(s-1, t) + R(s, t-1)$$

$$\leq \binom{s-1+t-2}{s-1-1} + \binom{s+t-1-2}{s-1}$$

$$= \binom{s+t-2}{s-1} \quad \square$$

Prop: 4.4 :  $R(4, 4) = 18$ .  $x$  is a quadratic residue mod  $n$ .  
 if  $\exists y$  st  $x \equiv y^2 \pmod{n}$

Proof:  $R(4, 4) > 17$

Let  $n = 17$ . Colour the edges of  $K_{17}$  as follows:  
 $V(K_{17}) = \{0, 1, 2, \dots, 16\}$ .

Colour  $xy$  red iff  $x-y$  is a quadratic residue mod 17. (Paley graph).

All other edges are blue. Can check that there is red  $K_4$  and no blue  $K_4$ .

$$R(4,4) \leq R(3,4) + R(4,3) = 9 + 9 = 18 \quad (\text{Using proof of theorem 4.3 and } R(3,4) = 9).$$

$$\therefore R(4,4) = 18 \quad \square.$$

$$43 \leq R(5,5) \leq 49. \quad n = 45, \quad K_n$$

$$2^{\frac{(45)^2}{2}}$$

Theorem 4.5 (No proof) (Conlon 2009). There exist  $c > 0$  a constant such that

$$R(s,s) \leq \frac{1}{s^{c \log s - \log \log s}} \binom{2s-2}{s-1} \leq \binom{2s}{s-1} \leq \binom{2s}{s} \leq 4^s$$

Let  $s_1, s_2, \dots, s_k \geq 2$  define

$R(s_1, s_2, \dots, s_k) = \min\{n: \text{Whenever the edges of } K_n \text{ are coloured with colours } c_1, c_2, \dots, c_k, \text{ there is always a } c_i\text{-coloured } K_{s_i} \text{ for some } 1 \leq i \leq k\}$ .

$$R_2(s_1, s_2) = R(s_1, s_2).$$

Th<sup>m</sup> 4.12 For all  $k \geq 2$  and  $s_1, s_2, \dots, s_k \geq 2$ ,  $R_k(s_1, s_2, \dots, s_k)$  is finite.

Proof: Induction on  $k$ . Ramsey's Th<sup>m</sup>  $\Rightarrow$  true for  $k=2$ , so let  $k \geq 3$ . Suppose  $s_1, s_2, \dots, s_k \geq 2$  are given.

Let  $n = R_{k-1}(s_1, s_2, \dots, s_{k-2}, R(s_{k-1}, s_k))$

Claim:  $R_k(s_1, \dots, s_k) \leq n$ .

Take a colouring of the edges of  $K_n$  with colours  $c_1, c_2, \dots, c_k$ .

Now suppose we cannot distinguish between colours  $c_{k-1}$  and  $c_k$ .

In this way we have a colouring of the edges of  $K_n$  with  $k-1$  colours:  $c_1, c_2, \dots, c_{k-2}$  and " $c_{k-1}$  or  $c_k$ ".

By definition of  $R_{k-1}(s_1, s_2, \dots, R(s_{k-1}, s_k))$  we either have  $c_i$ -coloured  $K_{s_i}$  for some  $1 \leq i \leq k-2$  or we have a copy of  $K_{R(s_{k-1}, s_k)}$  coloured with colours  $c_{k-1}$  and  $c_k$ .

But then Ramsey's theorem implies that this contains a  $c_{k+1}$ -coloured  $K_{s_{k-1}}$  or a  $c_k$ -coloured  $K_{s_k}$ .  $\square$ .

$R_k(s) = R_k(\underbrace{s_1, \dots, s_k}_k)$



13/3/13

$s, t \geq 2$

$R(s, t) = \min \{ n : \text{Every colouring of the edges of } K_n \text{ with red and blue contains a red } K_s \text{ or a blue } K_t \}.$

$$\sqrt{2}^s < R(s, s) \leq \binom{2s-2}{s-1} \leq 4^s$$

Thm 4.6 If  $n \geq s \geq 2$  satisfy

$$\binom{n}{s} \frac{2}{2^{\binom{s}{2}}} < 1$$

then  $R(s, s) > n$ .

Proof: Let  $n, s$  satisfy  $(*)$  we need to prove there is a red-blue colouring of the edges of  $K_n$  with no monochromatic  $K_s$ .

Monochromatic  
 $\equiv$  "all the same colour"

Define a random colouring as follows. Flip independent fair coins for each edge.

If coin is Heads colours edge red.  
" " Tails " " blue.

Consider  $X = \#$  of mono. copies of  $K_s$ .

Claim  $\mathbb{E}[X] < 1$ .

$\Rightarrow \exists$  a colouring with no mono.  $K_s$ . Hence  $R(s, s) > n$ .

Fix  $A \subset V(K_n)$ .  $|A| = s$ . Let  $X_A = \begin{cases} 1, & A \text{ forms mono } K_s \\ 0, & \text{otherwise.} \end{cases}$

$$\begin{aligned} P(X_A = 1) &= P(\text{All edges between vertices in } A \text{ are red}) + P(\text{All edges between vertices in } A \text{ are blue}) \\ &= \frac{2}{2^{\binom{s}{2}}} \quad (\text{there are } \binom{s}{2} \text{ edges to consider}). \end{aligned}$$

$$\begin{aligned} X &= \sum_{\substack{A \subset V(K_n) \\ |A|=s}} X_A \Rightarrow \mathbb{E}[X] = \sum_{\substack{A \subset V(K_n) \\ |A|=s}} P_r(X_A = 1) \\ &= \binom{n}{s} \frac{2}{2^{\binom{s}{2}}} < 1 \end{aligned}$$

by  $\textcircled{*}$ .  $\square$ .

$$\binom{n}{s} \frac{2}{2^{\binom{s}{2}}} < 1 \quad \text{---} \quad (*)$$

Corollary 4.7 If  $s \geq 2$ ,  $R(s, s) \geq 2^{s/2}$ .

Pf:  $R(s, s) = 2$ ,  $R(3, 3) = 6 \geq 2^{3/2}$

Let  $s \geq 4$  and  $n = \lfloor 2^{s/2} \rfloor$  need to show  $\textcircled{*}$  holds  $s! > 2^s$ .

$$\binom{n}{s} < \frac{n^s}{2^s} \cdot \frac{2}{2^{\binom{s}{2}}} \leq \frac{2^{\frac{s^2}{2} + 1}}{2^{\frac{s^2 + s}{2}}} = \frac{1}{2^{\frac{s}{2} - 1}} \leq \frac{1}{2} < 1 \quad \square$$

$$2^{s/2} \leq R(s, s) \leq \frac{4^s}{s}$$

Fermat's last theorem: If  $n \geq 3$  there are no non trivial integer solutions to  $x^n + y^n = z^n$ .

Proof (Exercise)

Th<sup>m</sup> 4.9 For every  $n \geq 1$  there exists  $p_n$  such that if  $p \geq p_n$  is prime the congruence  $x^n + y^n = z^n \pmod{p}$  and non trivial solutions.

Th<sup>m</sup> 4.10. (Schar) For any  $k \geq 1 \exists S(k)$  such that in any  $k$ -colouring of the integers  $\{1, 2, 3, \dots, S(k)\}$  there is a monochromatic solution to  $u+v=w$  (i.e.  $u, v, w$  all the same colour).



Proof: Recall  $R_k(3) = \min \{n : \text{Every } k\text{-colouring of the edges } K_n \text{ contains a mono } K_3\}$ .

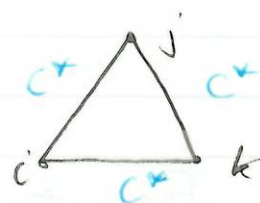
Set  $n = R_k(3)$ .

Consider a  $k$ -colouring of  $\{1, 2, \dots, n\}$  called  $c$ .

Define a  $k$ -colouring of the edges of  $K_n$  (with  $V(K_n) = \{1, 2, \dots, n\}$ ).

For  $ij \in E(K_n)$ ,  $i < j$   $c'(ij) = c(j-i)$

By definition of  $R_k(3)$  there is a mono.  $K_3$ .



Say with vertices  $i < j < k$ .

So  $c'(ij) = c'(ik) = c'(jk) = c^*$

$\Rightarrow c(j-i) = c(k-i) = c(k-j) = c^*$   
 $u = j-i, w = k-i, v = k-j$

So  $u+v=w$  and  $c(u) = c(v) = c(w) = c^*$

Hence  $S'(k)$  is well-defined and satisfies  $S'(k) \leq n = R_k(3)$

□

Lemma 4.11: If  $p$  is prime and  $\mathbb{Z}_p^* = \{1, 2, \dots, p-1\}$ .  
 then  $\mathbb{Z}_p^*$  is a cyclic group. i.e.  $\exists g \in \mathbb{Z}_p^*$  st  
 $\{g^1, g^2, \dots, g^{p-1}\} = \mathbb{Z}_p^*$ .

Example:  $p=7, g=3$  : 3, 2, 6, 4, 5, 1

Thm 4.9:  $\forall n \geq 1 \exists p_n$  st. if  $p \geq p_n$  is prime  
 there are non-trivial solutions to  $x^n + y^n = z^n \pmod p$

Pf: Let  $n \geq 1$  be given. Take  $p \geq S(n)$  (given  
 by Schur's Thm) with  $p$  prime.

- / -

$$u + v = w.$$

$$u = g^{m_u + c_u}, w = g^{m_w + c_w} \quad \text{For any } m \exists c \text{ st } m_n = a_n n + c_n$$

$$v = g^{m_v + c_v} \quad 0 \leq c_k \leq n-1.$$

- / -

By the Lemma 4.11  $\exists$  generator  $g$  for  $\mathbb{Z}_p^*$

So for any  $x \in \mathbb{Z}_p^* \exists m$  st  $x = g^m \pmod p$ .

Now define a colour for  $x$ , by  $c(x) = c$  where  
 $m = an + c, 0 \leq c \leq n-1$ .

So we have an  $n$ -colouring of  $\{1, 2, \dots, p-1\}$

Since  $p-1 \geq S(n)$ .  $\exists u, v, w$  st  $u+v=w$ .  
 st  $c(u) = c(v) = c(w) = c$ .

$$\therefore u = g^{a_u n + c}$$

$$v = g^{a_v n + c}$$

$$w = g^{a_w n + c}$$

$$\text{Let } x = g^{a_u}, y = g^{a_v}, z = g^{a_w}$$

$$x^n + y^n = u g^{-c} + v g^{-c}$$

$$= g^{-c} (u + v)$$

$$= g^{-c} w$$

$$= g^{a_w n} = z^n \quad \square$$



15/3/13

Thm: (Green + Tao 2009):

The primes contains arbitrarily long APs.

AP = arithmetic progression.

Thm: (Van der Waerden):

$\forall \epsilon, k \geq 1 \exists W(\epsilon, k)$  such that every  $k$ -colouring of  $[W(\epsilon, k)]$  contains a MAP of length  $\epsilon$ .

$a, a+d, a+2d, \dots, a+(t-1)d$   
AP length  $t$ .

MAP = monochromatic AP.

Proof: Induction on  $\epsilon$ .

$W(1, k) = 1$

$W(2, k) = k+1$ , since if we colour  $[k+1]$  with  $k$  colours, some colour is used twice  $\Rightarrow$  MAP length 2.

Eg:

① 2 3 4 ⑤ 6 7 8 ⑨  
MAP length 3.

1            5                    9  
  3            6                    9    f=9  
              7 8 9 9

So now let  $\epsilon \geq 3$  suppose  $W(\epsilon-1, k)$  exists for all choices of  $k$ .

If  $P_1, \dots, P_r$  are MAPs each of a different colour and with the property that the next term in each  $P_i$  is the same, say  $f$ . Then we say  $P_1, \dots, P_r$  are colour-focused APs (CFAPs) with focus  $f$ .

Claim: For  $1 \leq r \leq k$   
 $\exists n_r(\epsilon, k)$  such that if  $[n_r(\epsilon, k)]$  are  $k$ -coloured  
 $\exists$  either a MAP of length  $\epsilon$   
or  $\exists r$  CFAPs of length  $\epsilon-1$ .

Take the Claim with  $r = k$ . If we  $k$ -colour  $[n_k(\epsilon, k)]$  then either we have a MAP length  $\epsilon$  or have  $P_1, \dots, P_k$  CFAPs length  $\epsilon-1$ .

So one of the  $P_i$ 's has the same colour as their common focus thus we have a MAP length  $\epsilon$ . Hence can take  $W(\epsilon, k) = n_k(\epsilon, k)$ .

□

Proof of Claim. Induction on  $r$ ,  $r=1$ . Take  $n_1(\epsilon, k) = W(\epsilon-1, k)$ . Now suppose  $2 \leq r \leq k$  and  $n_{r-1}(\epsilon, k)$  exists,  $n = n_{r-1}(\epsilon, k)$ .

Let  $n_r(\epsilon, k) = W(\epsilon-1, k^{2n}) 2n$ .

Take a  $k$ -colouring of  $[W(\epsilon-1, k^{2n}) 2n]$ ,  $n = n_{r-1}(\epsilon, k)$ . Assume there is no MAP length  $\epsilon$ .

$[W(\epsilon-1, k^{2n}) 2n] = B_1 \dot{\cup} B_2 \dot{\cup} \dots \dot{\cup} B_i \dot{\cup} \dots \dot{\cup} B_{W(\epsilon-1, k^{2n})}$

where  $B_1 = \{1, \dots, 2n\}$   
 $B_2 = \{2n+1, \dots, 4n\}$  etc...

$B_i$  has been coloured with  $k$ -colours,  $\therefore$  there are  $k^{2n}$  different ways a block could be coloured.

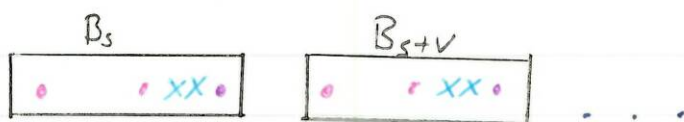
By def<sup>n</sup> of  $W(\epsilon-1, k^{2n})$  have  $B_s, B_{s+v}, B_{s+2v}, \dots, B_{s+(\epsilon-2)v}$  identically coloured blocks.

Each  $B_i$  has length  $2n_{r-1}(\epsilon, k)$ .  $\therefore$  Each  $B_s$  contains  $P_1, \dots, P_{r-1}$  CFAPs of length  $\epsilon-1$  together with their focus.

$P_i = a_i, a_i + d_i, a_i + 2d_i, \dots, a_i + (\epsilon-2)d_i$   $1 \leq i \leq r-1$



Common focus is  $f$ .



Since  $B_s, B_{s+v}, \dots, B_{s+(t-2)v}$  are all coloured identically the following are CFAPs.

$$P_i' = a_i, a_i + (d_i + 2nv), a_i + 2(d_i + 2nv), \dots, a_i + (t-2)(d_i + 2nv)$$

Clearly all MAPs length  $t-1$  different colours. Focus is  $f + (t-1)2nv$ .

Moreover  $P_r' = f, f + 2nv, f + 4nv, \dots, f + (t-2)2nv$  is another MAP of length  $t-1$  and a different colour to  $P_1', \dots, P_{r-1}'$ .

So  $P_1', \dots, P_t'$  are  $r$  colour-focussed APs length  $t-1$  with common focus  $f + (t-1)2nv$ . Thus setting  $n_t(r, k) = W(t-1, k^{2n})$  will do  $\square$ .



