

7701/3701 Number Theory Notes

Based on the 2013 spring lectures by Dr R M
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The Author has made every effort to copy down all the content on the board during lectures. The Author accepts no responsibility what so ever for mistakes on the notes nor changes to the syllabus for the current year. The Author highly recommends that reader attends all lectures, making their own notes and to use this document as a reference only

Administrative Requirements:

- office hour Wed 10-11, Room 808
- Homework (10%). Distributed on Mondays, due Wednesday at Maths office
- Calculators (scientific standard) permitted in this course.

Overview of course:

Number theory is the theory of $\mathbb{Z} = \{\dots, -2, -1, 0, 1, 2, \dots\}$ and similar rings. In this course, a ring is a set R with two operations $+$ and \times .

Rings are governed by the following axioms:

- ① $(R, +)$ is an abelian group with identity element 0.
- ② \times is commutative with identity element 1.
- ③ Distributive action: $(a+b)\times c = ac + bc$.

e.g. \mathbb{Z} is a ring, $\mathbb{Z}/n = \{0, 1, \dots, n-1\}$ with addition and multiplication defined modulo n . $\mathbb{F}[X] = \{\text{polynomials with coefficients in field } \mathbb{F}\}$.

Topics of the course are:

- 1) Review of material from other courses
- 2) Euler totient function, existence of primitive roots.
- 3) Hensel's Lemma
- 4) Power series modulo p^n , where p is a prime number.
- 5) The Gaussian integers $\mathbb{Z}[i] = \{x+iy : x, y \in \mathbb{Z}\}$
- 6) Continued fractions and Pell's equation, $x^2 - dy^2 = 1$.

Section 0

PREVIOUSLY-SEEN MATERIAL.

Let n be a positive integer. Then $x, y \in \mathbb{Z}$ are congruent mod n if $x-y$ is a multiple of n . This is denoted $x \equiv y \pmod{n}$ or $x \equiv y \pmod{n}$.

We also write \mathbb{Z}/n for the integers modulo n , i.e. the usual integers but where x and y are regarded as the same if $x \equiv y \pmod{n}$.

Solving linear congruences.

Consider the system $ax \equiv b \pmod{n}$ given a, b, n .

Case 1: Suppose a is invertible mod n ; equivalently a, n are coprime. i.e. $\exists a^{-1} \in \mathbb{Z}/n$ with $a \cdot a^{-1} \equiv 1 \pmod{n}$.

In this case, we solve by multiplying both sides by a^{-1} , which can be found by reverse Euclidean algorithm. Then $a^{-1} \cdot ax \equiv a^{-1} \cdot b \pmod{n} \Rightarrow x \equiv a^{-1} \cdot b \pmod{n}$.

Case 2: Suppose n is a multiple of a . In this case, we get the solution to $ax \equiv b \pmod{n}$ as follows.

• If b is not a multiple of a , there are no solutions.

• If b is also a multiple of a , then $x \equiv \frac{b}{a} \pmod{\frac{n}{a}}$

Ex. Solve $5x \equiv 11 \pmod{13}$.

Soln. $5, 13$ are coprime \Rightarrow Find 5^{-1} in \mathbb{Z}_{13} . $13 = 2 \cdot 5 + 3$, $5 = 1 \cdot 3 + 2$, $3 = 1 \cdot 2 + 1 \Rightarrow 1 = 1 \cdot 3 - 1 \cdot 2 = 1 \cdot 3 - 1 \cdot (1 \cdot 5 - 1 \cdot 3) = 2 \cdot 3 - 1 \cdot 5 = 2 \cdot (1 \cdot 3 - 2 \cdot 5) - 1 \cdot 5 = 2 \cdot 3 - 5 \cdot 5$

Take mod 13, $-5 \cdot 5 \equiv 1$ so $5^{-1} \equiv -5 \equiv 8 \pmod{13}$. Hence, $5x \equiv 11 \Rightarrow x \equiv 8 \cdot 11 \pmod{13} \equiv 88 \equiv 10 \pmod{13}$. $x = 13n + 10$.

Ex. Solve $7x \equiv 84 \pmod{490}$.

Soln. $7|490$. Since $7|84$ as well, a solution exists. Then $x \equiv 12 \pmod{70} \Rightarrow x = 70n + 12$.

Ex. Solve $7x \equiv 85 \pmod{490}$.

Soln. $7|490$. However, $7 \nmid 85 \Rightarrow$ no solution.

Ex. Solve $6x \equiv 3 \pmod{21}$.

Soln. $6, 21$ are not coprime. Neither case 1 or 2. Then we write $3(2x) \equiv 3 \pmod{21}$. Apply case 2, since $3|21, 3|3$. Then $2x \equiv \frac{3}{3} \pmod{\frac{21}{3}}$.

We get $2x \equiv 1 \pmod{7}$. By inspection, $2^{-1} \equiv 4 \pmod{7}$ s.t. $x \equiv 4 \pmod{7}$, $x = 7n + 4$.

Chinese Remainder Theorem.

Suppose we have an integer x and we know $x \pmod{10}$, then we know $x \pmod{5}$ and $x \pmod{2}$. e.g. if $x \equiv 7 \pmod{10}$, $x \equiv 2 \pmod{5}$, $x \equiv 7 \pmod{2}$.

The Chinese Remainder theorem allows us to go the other way.

Theorem (Chinese Remainder theorem)

Suppose n, m coprime, and $a \in \mathbb{Z}/n$ and $b \in \mathbb{Z}/m$, then \exists unique $x \in \mathbb{Z}/nm$ s.t. $x \equiv a \pmod{n}$ and $x \equiv b \pmod{m}$

Proof - (only the existence part; for proof of uniqueness see MATH1202)

Since n, m are coprime, $\exists h, k \in \mathbb{Z}$ s.t. $hn + km = 1$. $\therefore hn \equiv 1 \pmod{m}$, $km \equiv 1 \pmod{n}$. Obviously, $hn \equiv 0 \pmod{n}$, $km \equiv 0 \pmod{m}$

Let $x \equiv hnb + kma \pmod{nm}$. Then x is a solution to these equations; $\Rightarrow x \equiv kma \equiv a \pmod{n}$, $x \equiv hnb \equiv b \pmod{m}$, q.e.d.

Ex solve $x \equiv 3 \pmod{8}$ and $x \equiv 4 \pmod{5}$.

Soln. Note that $\gcd(8, 5) = 1$, so we can apply CRT. $8 = 1 \cdot 5 + 3$, $5 = 1 \cdot 3 + 2$, $3 = 1 \cdot 2 + 1 \Rightarrow 1 = 1 \cdot 3 - 1 \cdot 2 = 2 \cdot 3 - 1 \cdot 5 = 2 \cdot (1 \cdot 8 - 1 \cdot 5) - 1 \cdot 5 = 2 \cdot 8 - 3 \cdot 5$.

then $x \equiv 4 \cdot 2 \cdot 8 - 3 \cdot 3 \cdot 5 \equiv 64 - 45 \equiv 19 \pmod{40}$

We can also use the Chinese Remainder theorem to solve more complicated congruences modulo nm (where $\text{lcm}(n, m) = 1$).

We solve mod m and mod n , then put solutions together using CRT.

Ex solve $x^2 \equiv 2 \pmod{119}$

Soln. use property that 119 factorises into two coprime factors, $119 = 7 \times 17$. Then $x^2 \equiv 2 \pmod{7}$, $x^2 \equiv 2 \pmod{17}$.

$x^2 \equiv 2 \equiv 9 \pmod{7} \Rightarrow x \equiv \pm 3 \pmod{7}$. $x^2 \equiv 2 \equiv 36 \pmod{17} \Rightarrow x \equiv \pm 6 \pmod{17}$. Perform Euclid's algorithm with 7 and 17:

$17 = 2 \cdot 7 + 3$, $7 = 2 \cdot 3 + 1 \Rightarrow 1 = 1 \cdot 7 - 2 \cdot 3 = 1 \cdot 7 - 2 \cdot (1 \cdot 17 - 2 \cdot 7) = 5 \cdot 7 - 2 \cdot 17$. $x \equiv 5 \cdot 7 \cdot (\pm 6) - 2 \cdot 17 \cdot (\pm 3) \equiv \pm 210 \mp 102 \equiv \pm 210 \pm 102 \pmod{119}$

We have 4 solutions: $x \equiv \pm 210 \pm 102 \equiv \pm 28 \pm 17 \equiv \pm 11$ or $\pm 45 \equiv 11, 45, 74, 108 \pmod{119}$

Fermat's little theorem.

A prime number is an integer $p \geq 2$ s.t. its factors are $\pm 1, \pm p$ only. We write \mathbb{F}_p instead of \mathbb{Z}/p to denote the set of integers modulo p . This is because \mathbb{F}_p is a field.

Theorem \mathbb{Z}/p (or \mathbb{F}_p) is a field.

Proof - (sketch): $1, 2, \dots, p-1$ are coprime to p . Thus, $\exists h, k \in \mathbb{Z}$ s.t. $h \cdot hp + k \cdot r$ for $r \in \mathbb{Z}/p \Rightarrow \exists k \in \mathbb{Z}$ s.t. $kr \equiv 1 \pmod{p} \Rightarrow$

every element in \mathbb{Z}/p except 0 has an inverse $\Rightarrow \mathbb{Z}/p$ is a field, q.e.d.

Corollary (Fermat's little theorem)

Let $a \in \mathbb{F}_p^*$ (i.e. $a \in \mathbb{F}_p$ and $a \neq 0 \pmod{p}$). Then $a^{p-1} \equiv 1 \pmod{p}$.

Proof - $\mathbb{F}_p^* = \{1, 2, 3, \dots, p-1\}$ is a group with the operation multiplication. The group has $p-1$ elements. Let n be the order of a in \mathbb{F}_p^* .

By Lagrange's theorem, $n = o(a) \mid p-1$ i.e. $p-1 = nm$ for some $m \in \mathbb{Z}$. Then $a^{p-1} \equiv a^{nm} \equiv (a^n)^m \equiv 1^m \equiv 1 \pmod{p}$, q.e.d.

We can use Fermat's little theorem to solve congruences of the form $x^a \equiv b \pmod{p}$ where p is prime; a is coprime to $p-1$ (i.e. a is invertible mod $p-1$).

To do this, find the inverse of a mod $p-1$. Call this c , i.e. $ac \equiv 1 \pmod{p-1}$ for some $c \in \mathbb{Z}$. Raise both sides of the equation to power c .

$x^a \equiv b \pmod{p} \Rightarrow x^{ac} \equiv b^c \pmod{p} \Rightarrow x^{1+(p-1)r} \equiv x \cdot (x^{p-1})^r \equiv x \cdot 1^r \equiv x \equiv b^c \pmod{p}$. We solve from here.

Ex solve $x^5 \equiv 2 \pmod{19}$.

Soln. checking, we note that 19 is prime, and $\gcd(5, 19-1) = \gcd(5, 18) = 1$. We seek $5^{-1} \pmod{18}$. $18 \equiv 3 \cdot 5 + 3$, $1 \cdot 5 = 1 \cdot 3 + 2$, $3 = 1 \cdot 2 + 1$; so

$1 \equiv 2 \cdot 3 - 1 \cdot 5 \equiv 2 \cdot (1 \cdot 18 - 3 \cdot 5) - 1 \cdot 5 \equiv 2 \cdot 18 - 7 \cdot 5$, so $5^{-1} \equiv -7 \pmod{18} \equiv 11$. $x^5 \equiv 2 \pmod{19} \Rightarrow x^{55} \equiv 2^{11} \pmod{19} \Rightarrow x \equiv 2048 \pmod{19} \equiv 148 \equiv 15$

Theorem (Fundamental theorem of arithmetic)

Let n be a positive integer, then there is a unique factorisation $n = p_1 p_2 \dots p_r$; (p_1, \dots, p_r are primes). up to rearrangement

Proof - see MATH1201.

Theorem there are infinitely many primes.

Proof - suppose p_1, \dots, p_r are all the prime numbers. Let $N = p_1 p_2 \dots p_r + 1 \Rightarrow N$ is not a multiple of p_1, \dots, p_r . Also $N > 1$, so N is prime

\therefore there are more than n prime numbers \Rightarrow infinitely many primes, q.e.d.

Sieve of Eratosthenes:

If n is a composite number, $n > 1$, then n has a prime factor $p \leq \sqrt{n}$. We can check whether a number is prime or not, using this:

Ex Determine if 199 is prime:

Soln. $14 < \sqrt{199} < 15$. So we just need to check whether 199 is a multiple of a prime $p < 15$. The primes < 15 are 2, 3, 5, 7, 11, 13.

Checking all these potential divisors, none divide 199 \Rightarrow 199 is prime.

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Chapter 1

EULER TOTIENT FUNCTION.

Recall that $(\mathbb{Z}/n)^\times$ refers to the set of $x \in \mathbb{Z}/n$ which are invertible mod n . i.e. $\exists y \in \mathbb{Z}/n$ st. $xy \equiv 1 \pmod{n}$.

$(\mathbb{Z}/n)^\times$ is a group with the operation \cdot .

e.g. $(\mathbb{Z}/4)^\times = \{1, 3\}$, with multiplication table $\begin{matrix} \times & 1 & 3 \\ 1 & 1 & 3 \\ 3 & 3 & 1 \end{matrix}$; $(\mathbb{Z}/6)^\times = \{1, 5\}$, $\begin{matrix} \times & 1 & 5 \\ 1 & 1 & 5 \\ 5 & 5 & 1 \end{matrix}$; $(\mathbb{Z}/8)^\times = \{1, 3, 5, 7\}$, $\begin{matrix} \times & 1 & 3 & 5 & 7 \\ 1 & 1 & 3 & 5 & 7 \\ 3 & 3 & 1 & 7 & 5 \\ 5 & 5 & 7 & 1 & 3 \\ 7 & 7 & 5 & 3 & 1 \end{matrix}$.

Definition The Euler totient function is defined by $\varphi(n) = |(\mathbb{Z}/n)^\times|$.

e.g. $\varphi(4)=2, \varphi(6)=2, \varphi(8)=4$.

Proposition If p is a prime, $\varphi(p) = p-1$.

Proof - p is prime $\Rightarrow \mathbb{Z}/p = \mathbb{F}_p$ is a field. $\Rightarrow \varphi(p) = p-1$ q.e.d.

Theorem (Euler's theorem)

If $x \in (\mathbb{Z}/n)^\times$, then $x^{\varphi(n)} \equiv 1 \pmod{n}$.

Remark: If $n=p$ is prime, we get Fermat's little theorem.

Proof - $x \in (\mathbb{Z}/n)^\times$, which is a group that has $\varphi(n)$ elements. Let $r = \text{ord}(x)$, so $x^r \equiv 1 \pmod{n}$. By Lagrange's theorem, $r = \text{ord}(x) \mid \varphi(n)$.

Thus, $x^{\varphi(n)} \equiv x^{kr} \equiv (x^r)^k \equiv 1^k \equiv 1 \pmod{n}$.

In order to use this, we require a quick way of calculating $\varphi(n)$.

Lemma Let $n = p^a$ where p is prime and $a \in \mathbb{N}$. Then $\varphi(n) = (p-1)p^{a-1}$.

e.g. $\varphi(8) = \varphi(2^3) = (2-1) \cdot 2^{3-1} = 1 \cdot 2^2 = 4$, $\varphi(9) = \varphi(3^2) = (3-1) \cdot 3^{2-1} = 2 \cdot 3 = 6$.

Proof - If $x \in \mathbb{Z}/p^a$, then x is not invertible mod $p^a \Leftrightarrow \text{hcf}(x, p^a) > 1$. But this $\text{hcf}(x, p^a)$ is a factor of $p^a \Rightarrow \text{hcf}(x, p^a) = p$ or p^2 or ... or p^a .

Thus, $p \mid x$. Conversely, if $p \mid x$, then $\text{hcf}(x, p^a) > 1 \Rightarrow$ the multiples of p are the numbers which are not invertible mod p^a .

\therefore the elements of \mathbb{Z}/p^a without inverses are $a, 2p, \dots, p^a - p$. There are p^{a-1} of these. $\therefore |(\mathbb{Z}/p^a)^\times| = p^a - p^{a-1} = (p-1)p^{a-1}$ q.e.d.

Theorem Suppose n, m are coprime, then $(\mathbb{Z}/nm)^\times \cong (\mathbb{Z}/n)^\times \times (\mathbb{Z}/m)^\times$. [Recall: If G, H are groups, then $G \times H = \{(g, h) : g \in G, h \in H\}$ is a group].

Corollary If n, m are coprime, $\varphi(nm) = \varphi(n)\varphi(m)$.

Proof - $\varphi(nm) = |(\mathbb{Z}/nm)^\times| = |(\mathbb{Z}/n)^\times \times (\mathbb{Z}/m)^\times| = |(\mathbb{Z}/n)^\times| \cdot |(\mathbb{Z}/m)^\times| = \varphi(n)\varphi(m)$ q.e.d.

Remark: Given any integer $n > 0$, $n = p_1^{d_1} \dots p_r^{d_r}$, where p_1, \dots, p_r are distinct primes. By corollary, $\varphi(n) = \varphi(p_1^{d_1}) \dots \varphi(p_r^{d_r}) = \prod_{i=1}^r (p_i - 1)p_i^{d_i - 1}$.

Using this formula, we can technically calculate many values of $\varphi(n)$. Define $\varphi(1) = 1$, then e.g.

$\varphi(1) = 1, \varphi(2) = 1, \varphi(3) = 2, \varphi(4) = 2, \varphi(5) = 4, \varphi(6) = \varphi(2)\varphi(3) = 2 \cdot 2 = 4, \varphi(7) = 6, \varphi(8) = \varphi(2^3) = 4, \varphi(9) = \varphi(3^2) = 6, \varphi(10) = \varphi(2)\varphi(5) = 4$

$\varphi(300) = \varphi(4)\varphi(3)\varphi(25) = \varphi(2^2)\varphi(3)\varphi(5^2) = 2 \cdot (1 \cdot 2) \cdot (4 \cdot 5) = 80$.

e.g. $(\mathbb{Z}/20)^\times \cong (\mathbb{Z}/4)^\times \times (\mathbb{Z}/5)^\times$, so $(\mathbb{Z}/4)^\times \times (\mathbb{Z}/5)^\times = \{ (1,1), (1,2), (1,3), (1,4), (3,1), (3,2), (3,3), (3,4) \}$. $f(n) = (n \pmod{4}, n \pmod{5})$ is a bijection.

Proof - We define a function $\Phi: \mathbb{Z}/nm \cong \mathbb{Z}/n \times \mathbb{Z}/m$, n, m coprime. By Chinese remainder theorem, Φ is a bijection.

$\Phi(xy) = (xy \pmod{n}, xy \pmod{m}) = (x \pmod{n}, x \pmod{m}) \cdot (y \pmod{n}, y \pmod{m}) = \Phi(x)\Phi(y)$. So, it remains to check that $\Phi((\mathbb{Z}/nm)^\times) = (\mathbb{Z}/n)^\times \times (\mathbb{Z}/m)^\times$.

This is equivalent to showing that x is invertible mod $nm \Leftrightarrow x$ is invertible mod m and mod n . Suppose x is invertible mod nm .

Let $y = x^{-1} \pmod{nm}$, $xy \equiv 1 \pmod{nm} \Rightarrow xy = knm + 1 \Rightarrow xy \equiv 1 \pmod{n}, xy \equiv 1 \pmod{m} \Rightarrow x$ is invertible mod m and mod n . Conversely,

assume x is invertible mod n , and also mod m . Let $a \equiv x^{-1} \pmod{n}, b \equiv x^{-1} \pmod{m}$. Then $xa \equiv 1 \pmod{n}, xb \equiv 1 \pmod{m}$

By the Chinese remainder theorem, $\exists y \in \mathbb{Z}$ st. $y \equiv a \pmod{n}, y \equiv b \pmod{m} \Rightarrow xy \equiv xa \equiv 1 \pmod{n}, xy \equiv xb \equiv 1 \pmod{m}$. By the uniqueness

criterion of the Chinese remainder theorem, y is a unique solution to $xy \equiv 1 \pmod{nm} \Rightarrow x$ is invertible mod nm q.e.d.

Recall that we have a formula for $\varphi(n)$: if $n = p_1^{a_1} \dots p_r^{a_r}$, then $\varphi(n) = (p_1 - 1)p_1^{a_1 - 1} \dots (p_r - 1)p_r^{a_r - 1}$.

We now use this to solve congruences modulo n in the same way that we used Fermat's little theorem for prime n .

Solving: $x^a \equiv b \pmod{n}$, given that b, n are coprime and $a, \varphi(n)$ are coprime.

Method - Factorise n and calculate $\varphi(n)$

• Find a^{-1} modulo $\varphi(n)$. Raise both sides of equation to power a^{-1} . Then

$$x^{a \cdot a^{-1}} \equiv b^{a^{-1}} \pmod{n} \Rightarrow x \equiv b^{a^{-1}} \pmod{n}$$

Ex Solve $x^7 \equiv 3 \pmod{50}$.

Soln. $50 = 2 \times 5^2$, $\varphi(50) = 1 \times (4 \cdot 5) = 20$. $\gcd(7, 20) = 1$ and $\gcd(3, 50) = 1$. $20 = 2 \cdot 7 + 6 \Rightarrow 1 = 1 \cdot 7 - 1 \cdot 6 = 1 \cdot 7 - 1 \cdot (1 \cdot 20 - 2 \cdot 7) = 3 \cdot 7 - 1 \cdot 20$
 $7^{-1} \equiv 3 \pmod{20}$. Then $(x^7)^3 \equiv 3^3 \Rightarrow x \equiv 27 \pmod{50}$.

Remark: We can actually use negative remainders with Euclid's algorithm: $20 = 3 \cdot 7 - 1 \Rightarrow 1 \cdot 20 - 3 \cdot 7 = -1 \Rightarrow 3 \cdot 7 - 1 \cdot 20 = 1$

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Primitive Roots.

A group G is cyclic if $\exists g \in G$ s.t. every element is of form g^n for some integer n . The element g is called a generator of G .

Equivalently, if G is a finite group, $\text{ord}(g) = |G|$.

standard example: \mathbb{Z}/n with the operation of addition. 1 is a generator (every element is a multiple of 1).

Theorem (Gauss) For any prime p , \mathbb{F}_p^* is cyclic.

Definition A primitive root modulo p is a generator for \mathbb{F}_p^* , or equivalently an element of order $p-1$.

e.g. If $p=7$, 1 is not a primitive root. Try 2: $2^2=4$, $2^3=8 \equiv 1 \Rightarrow \text{ord}(2)=3$. Try 3: $3^1=3$, $3^2=9 \equiv 2$, $3^3=3^2 \cdot 3 \equiv 6$, $3^4 \equiv 4$, $3^5 \equiv 5$, $3^6 \equiv 1 \pmod{7}$.

$\text{ord}(5)=6 \Rightarrow 5$ is a primitive root mod 7. $\mathbb{F}_7^* = \{1, 3, 2, 6, 4, 5\}$

Proposition Let p be a prime and $a \in \mathbb{F}_p^*$. Then a is a primitive root modulo $p \iff \forall$ prime factors $q|p-1$, $a^{\frac{p-1}{q}} \not\equiv 1 \pmod{p}$.

Proof - Assume $a^{\frac{p-1}{q}} \equiv 1$ for some prime $q|p-1$. Then $\text{ord}(a) < p-1$. So a is not a primitive root. Conversely, assume a is not a primitive root, i.e. $\text{ord}(a) = d < p-1$. By Lagrange's theorem, $d|p-1$, $d < p-1$. Then $\frac{p-1}{d} \in \mathbb{Z}$. Let q be a prime factor of $\frac{p-1}{d}$.

i.e. $\frac{p-1}{d} = qm$ for some $m \in \mathbb{Z}$. $a^{\frac{p-1}{q}} = a^{dm} \Rightarrow a^{\frac{p-1}{q}} = (a^d)^m \equiv 1^m \equiv 1 \pmod{p}$. Take contrapositives, q.e.d.

We will use this to find the primitive roots modulo 29: $p=29$, $p-1=28$. Then $28 = 2^3 \cdot 7$; $2, 7|28 \Rightarrow$ prime factors, q , of 28 are just 2 and 7.

$\therefore a$ is a primitive root mod 29 $\iff a^{\frac{28}{2}} \not\equiv 1 \pmod{29}$ and $a^{\frac{28}{7}} \not\equiv 1 \pmod{29}$. Try $a=2$. $2^4 \equiv 16 \not\equiv 1 \pmod{29}$, $2^{14} \equiv 2^5 \cdot 2^5 \cdot 2^4 \equiv (32)^2 \cdot 16 \equiv 3^2 \cdot 16 \equiv 28 \not\equiv 1$

$\Rightarrow 2$ is a primitive root, modulo 29. 3 is also a primitive root mod 29,....

It remains to show that \mathbb{F}_p^* is cyclic i.e. primitive roots exist, modulo p prime (Gauss's theorem).

Lemma An element $x \in \mathbb{Z}/n$ (additive group) is a generator is a generator of $\mathbb{Z}/n \iff x \in (\mathbb{Z}/n)^*$.

i.e. $\varphi(n) = |(\mathbb{Z}/n)^*|$ gives the number of generators of a cyclic group of order n .

Proof - (\Leftarrow): Assume $x \in (\mathbb{Z}/n)^*$. Then it is invertible under \times , some multiple of x is 1 in \mathbb{Z}/n . \therefore every element of \mathbb{Z}/n is a multiple of x .
 $\Rightarrow x$ generates \mathbb{Z}/n .

(\Rightarrow): Conversely if x generates \mathbb{Z}/n , then every element of \mathbb{Z}/n is a multiple of x . In particular, 1 is a multiple of $x \therefore x \in (\mathbb{Z}/n)^*$.

Lemma Let $d|n$. Then \exists unique subgroup of \mathbb{Z}/n with d elements. This subgroup is $\{0, \frac{n}{d}, \frac{2n}{d}, \dots, \frac{(d-1)n}{d}\}$.

$\varphi(d)$ gives the number of elements of order d in \mathbb{Z}/n .

Proof - Clearly, $\{0, \frac{n}{d}, \dots, \frac{(d-1)n}{d}\}$ is a subgroup with d elements. Let $H = \{0, \frac{n}{d}, \dots, \frac{(d-1)n}{d}\}$. Let H' be another subgroup of d elements.

Let $x \in H'$. Then $\text{ord}(x) | d \Rightarrow dx \equiv 0 \pmod{n} \Rightarrow x \equiv 0 \pmod{\frac{n}{d}}$. Thus x is a multiple of $\frac{n}{d} \Rightarrow x \in H \Rightarrow H' \subset H$, but

$|H| = |H'| = d$, so $H = H' \Rightarrow H$ is unique, q.e.d. Now suppose x is an element of order d .

\therefore subgroup generated by x has d elements \therefore this subgroup is H . $\therefore x \in H \Rightarrow$ $\left| \begin{smallmatrix} \text{elements of} \\ \text{order } d \text{ in } \mathbb{Z}/n \end{smallmatrix} \right| = \left| \begin{smallmatrix} \text{elements of} \\ \text{order } d \text{ in } H \end{smallmatrix} \right|$. But H is cyclic of order d , so $H \cong \mathbb{Z}/d$. By the previous lemma, $\exists \varphi(d)$ elements of order d .

Proposition For any positive integer n , $\sum_{d|n} \varphi(d) = n$. (sum over all factors of n).

e.g. $n=6$, $6 = \varphi(1) + \varphi(2) + \varphi(3) + \varphi(6) = 1 + 1 + 2 + \varphi(2)\varphi(3) = 1 + 1 + 2 + 2 = 6$.

Proof - Every element of \mathbb{Z}/n has order d for some $d|n$. There are exactly $\varphi(d)$ elements of order d . $\therefore n = \sum_{d|n} \varphi(d)$.

Theorem Let p be any prime, then \exists a primitive root modulo p . In fact, there are $\varphi(p-1)$ of them.

The proof will require some other results:

- the number of elements of order d in the additive group \mathbb{Z}/n is $\varphi(d)$.
- If $d|n$, then # elements of order d in \mathbb{Z}/n is $\varphi(d)$
- $\sum_{d|n} \varphi(d) = n$

We also recall a result from MATH2201:

• Let \mathbb{F} be any field, and $f(x) \in \mathbb{F}[x]$. Then f has at most d zeros in \mathbb{F} , where $d = \text{deg}(f)$.

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Theorem Proof - Let $d|p-1$, define $H = \{x \in \mathbb{F}_p^* : x^d \equiv 1 \pmod p\}$. We note that ① H is a subgroup of \mathbb{F}_p^* . If $x, y \in H$, then $x^d \equiv y^d \equiv 1 \pmod p \therefore (xy)^d \equiv x^d y^d \equiv 1 \pmod p$.
 ② $|H| \leq d$, so any element of H is a root of the polynomial $x^d - 1$. ③ If $x \in \mathbb{F}_p^*$ has order d , then $x \in H$ (obviously). Then H is a cyclic gp of order d , generator x .
 Let $N(d) =$ number of elements of order d in \mathbb{F}_p^* . then by ③, $N(d) = \#$ elements of order d in $H = \begin{cases} \varphi(d) & \text{if } H \text{ is cyclic of order } d \\ 0 & \text{by ②} \end{cases}$.
 certainly, $N(d) \leq \varphi(d)$. then $\sum_{d|p-1} N(d) = |\mathbb{F}_p^*| = p-1$. Also, $\sum_{d|p-1} \varphi(d) = p-1$ by proposition and for any d , $N(d) \leq \varphi(d)$.
 $\therefore N(d) = \varphi(d) \forall d|p-1$. In particular, $\exists \varphi(p-1)$ elements of order $p-1$. q.e.d.

Chapter 2
 QUADRATIC RECIPROCIITY.

This is about quadratic equations modulo a prime number p . Recall thus far, to solve $x^2 \equiv b \pmod p$, we need a to be invertible mod $p-1$.

If $p \geq 3$, $p-1$ is even, and method will not work for $a=2$.

We will not actually solve quadratic equations modulo p . Instead, we will just find out whether it has solutions.

Definition Let p be an odd prime (i.e. $p \geq 3$) and let $a \in \mathbb{F}_p^*$. Then a is a quadratic residue modulo p if $a \equiv x^2$ for some $x \in \mathbb{F}_p^*$.

Otherwise, we call a a quadratic non-residue modulo p .

We define the quadratic residue symbol $\left(\frac{a}{p}\right) = \begin{cases} 1 & \text{if } a \text{ is a quadratic residue} \\ -1 & \text{if } a \text{ is a quadratic non-residue} \end{cases}$ [defined only if a, p are coprime]

Ex Calculate $\left(\frac{i}{5}\right)$ for $i \in \mathbb{F}_5^*$.

Soln. We have: $\begin{array}{c|cccc} x & 1 & 2 & 3 & 4 \\ \hline x^2 \pmod 5 & 1 & 4 & 4 & 1 \end{array} \Rightarrow \left(\frac{1}{5}\right) = \left(\frac{4}{5}\right) = +1, \left(\frac{2}{5}\right) = \left(\frac{3}{5}\right) = -1$.

Theorem Euler's Criterion

$$\left(\frac{a}{p}\right) \equiv a^{\frac{p-1}{2}} \pmod p.$$

Proof - By Fermat's little theorem, RHS squared is $(a^{\frac{p-1}{2}})^2 \equiv a^{p-1} \equiv 1 \pmod p$. $\therefore a^{\frac{p-1}{2}} \equiv \pm 1 \pmod p$.

Suppose a is a quadratic residue, i.e. $a = x^2 \pmod p$; then $a^{\frac{p-1}{2}} = x^{p-1} \equiv 1 \pmod p$.

Now suppose a is a quadratic non-residue instead. Let g be a primitive root modulo p . Then $a = g^r$ for some r . r is odd, since r even $\Rightarrow a$ is a square.
 $a^{\frac{p-1}{2}} \equiv g^{\frac{(p-1)r}{2}} \equiv (g^{p-1})^{\frac{r}{2}} \equiv 1^{\frac{r}{2}} \equiv 1$. Since r is odd, $\frac{r}{2} \notin \mathbb{Z}$ so $\frac{(p-1)r}{2}$ is not a multiple of $p-1$, but $\text{ord}(g) = p-1 \Rightarrow g^{\frac{(p-1)r}{2}} \not\equiv 1 \pmod p \Rightarrow a^{\frac{p-1}{2}} \equiv -1 \pmod p$, q.e.d.

Ex Calculate $\left(\frac{a}{7}\right)$ using Euler's Criterion.

Soln. $\begin{array}{c|cccccc} a & 1 & 2 & 3 & 4 & 5 & 6 \\ \hline \left(\frac{a}{7}\right) & 1 & 1 & -1 & -1 & 1 & 1 \end{array}$. By Euler's criterion, $\left(\frac{a}{7}\right) \equiv a^3 \pmod 7 \Rightarrow 1, 2, 4$ are quadratic residues (squares mod 7)

Corollary $\left(\frac{ab}{p}\right) \equiv \left(\frac{a}{p}\right)\left(\frac{b}{p}\right)$.

Proof - $\left(\frac{ab}{p}\right) \equiv (ab)^{\frac{p-1}{2}} \equiv a^{\frac{p-1}{2}} b^{\frac{p-1}{2}} \equiv \left(\frac{a}{p}\right)\left(\frac{b}{p}\right) \pmod p$, q.e.d.

Corollary The set of quadratic residues mod p is a subgroup of index 2 in \mathbb{F}_p^* , i.e. $\exists \frac{p-1}{2}$ quadratic residues and $\frac{p-1}{2}$ non-residues.

Proof - By previous corollary, the map $\mathbb{F}_p^* \rightarrow \{1, -1\}$ is a group homomorphism. Then quadratic residues form the kernel of this map.

We just need to show this map is surjective. Let g be a primitive root modulo p . then $\text{ord}(g) = p-1 \Rightarrow g^{\frac{p-1}{2}} \not\equiv 1 \pmod p \Rightarrow \left(\frac{g}{p}\right) \equiv -1 \pmod p$.

By Euler's criterion, $\left(\frac{g}{p}\right) \equiv -1$ q.e.d.

Quadratic Reciprocity Law

Suppose we have two distinct odd primes p and q . We can calculate $\left(\frac{p}{q}\right)$ and $\left(\frac{q}{p}\right)$.

Theorem For distinct odd primes p and q , $\left(\frac{p}{q}\right) \equiv (-1)^{\frac{(p-1)(q-1)}{4}} \left(\frac{q}{p}\right)$.

Proof will be provided later. Note that $(-1)^{\frac{(p-1)(q-1)}{4}}$ is $+1$ if $p \equiv 1 \pmod 4$ or $q \equiv 1 \pmod 4$; is -1 if $p \equiv q \equiv -1 \pmod 4$.

Theorem If p is an odd prime, then (i) $\left(\frac{-1}{p}\right) = (-1)^{\frac{p-1}{2}}$, and (ii) $\left(\frac{2}{p}\right) = (-1)^{\frac{p^2-1}{8}}$ mod p .

Proof - (i) $\left(\frac{-1}{p}\right) \equiv (-1)^{\frac{p-1}{2}}$ by Euler's criterion, q.e.d.

(ii)

These theorems allow us to calculate $\left(\frac{a}{p}\right)$ very quickly.

Ex Calculate $\left(\frac{47}{53}\right)$ and $\left(\frac{35}{199}\right)$.

Soln. $\left(\frac{47}{53}\right) \equiv (-1)^{\frac{46 \cdot 52}{4}} \left(\frac{53}{47}\right) \equiv (+1) \left(\frac{53}{47}\right) \equiv \left(\frac{6}{47}\right) \equiv \left(\frac{2}{47}\right) \left(\frac{3}{47}\right) \equiv 1 \cdot \left(\frac{3}{47}\right) \equiv -\left(\frac{47}{3}\right) \equiv -\left(\frac{2}{3}\right) \equiv -(-1) = +1$, i.e. 47 is a square mod 53 . (in fact $10^2 \equiv 47$)

$\left(\frac{35}{199}\right) \equiv \left(\frac{5}{199}\right) \left(\frac{7}{199}\right) \equiv \left(\frac{199}{5}\right) (-1) \left(\frac{199}{7}\right) \equiv \left(\frac{4}{5}\right) (-1) \left(\frac{3}{7}\right) \equiv (+1)(-1) \left(\frac{3}{7}\right) = (-1)(-1) \left(\frac{7}{3}\right) = \left(\frac{1}{3}\right) = 1$, $\therefore 1$ is a square.

We can also answer questions of the form "for which primes p is a number a a square mod p ?"

Ex For which primes p is -6 a square modulo p ? ($p \neq 2, 3$).

Soln. $\left(\frac{-6}{p}\right) = \left(\frac{-1}{p}\right) \left(\frac{2}{p}\right) \left(\frac{3}{p}\right) = (-1)^{\frac{p-1}{2}} (-1)^{\frac{p^2-1}{8}} (-1)^{\frac{(p-1)(p-1)}{4}} \left(\frac{3}{p}\right)$. Remark: each term depends only on p modulo 24.
 $\left(\frac{-6}{p}\right) = (-1)^{\frac{p-1}{2}} (-1)^{\frac{p^2-1}{8}} (-1)^{\frac{p-1}{2}} \left(\frac{3}{p}\right) = (-1)^{\frac{p^2-1}{8}} \left(\frac{3}{p}\right)$. If -6 is a square mod p , $\left(\frac{-6}{p}\right) \equiv 1$, so either $(-1)^{\frac{p^2-1}{8}}, \left(\frac{3}{p}\right)$ are both ± 1 or both -1 .

Case 1: $(-1)^{\frac{p^2-1}{8}} = +1 \Rightarrow p \equiv \pm 1 \pmod{8}$, $p \equiv 1 \pmod{3}$. Case 2: $(-1)^{\frac{p^2-1}{8}} = -1 \Rightarrow p \equiv \pm 3 \pmod{8}$, $p \equiv 2 \pmod{3}$.

$p \pmod{24}$	1	5	7	11	13	17	19	23
$\left(\frac{-6}{p}\right)$	1	1	1	1	-1	-1	-1	-1

(only numbers coprime to 24) \Rightarrow primes of form $p = 24k+1, 24k+5, 24k+7, 24k+11$ have -6 as a square mod p .

Primitive root testing.

Last time, we saw that $a \in \mathbb{F}_p^*$ is a primitive root modulo $p \Leftrightarrow \forall \text{ primes } q | p-1, a^{\frac{p-1}{q}} \not\equiv 1 \pmod{p}$.

Using this method, we always need to calculate $a^{\frac{p-1}{q}} \pmod{p}$. But this is exactly $\left(\frac{a}{p}\right)$ by Euler's criterion. We can calculate it much quicker than before by quadratic reciprocity.

Ex Find a primitive root mod 41.

Soln. The primes dividing $41-1=40$ are 2 and 5. So we need to calculate a^{20} and $a^8 \pmod{41}$. But $a^{20} \equiv \left(\frac{a}{41}\right) \pmod{41}$.

$\left(\frac{2}{41}\right) = 1$ since $41 \equiv \pm 1 \pmod{8} \Rightarrow 2$ is not a primitive root. $\left(\frac{3}{41}\right) = \left(\frac{41}{3}\right) = \left(\frac{-1}{3}\right) = -1$. $3^8 \equiv 9^4 \equiv 81^2 \equiv (-1)^2 \equiv 1 \pmod{41} \Rightarrow 3$ is not a primitive root.

4 is not a primitive root (obviously a square). $\left(\frac{5}{41}\right) = \left(\frac{41}{5}\right) = \left(\frac{1}{5}\right) \Rightarrow$ obvious square \Rightarrow not a primitive root.

$\left(\frac{6}{41}\right) = \left(\frac{2}{41}\right) \left(\frac{3}{41}\right) = (+1)(-1) = -1$. $6^8 \equiv 2^8 \cdot 3^8 \equiv 2^8 \cdot 1 \equiv 16^2 \equiv 256 \equiv 10 \pmod{41}$. $10 \not\equiv 1$, so 6 is a primitive root $\Rightarrow \neq 1 \pmod{41}$.

Ex Find $\left(\frac{45}{73}\right)$.

Soln. $\left(\frac{45}{73}\right) = \left(\frac{3^2 \cdot 5}{73}\right) = \left(\frac{3}{73}\right) \left(\frac{5}{73}\right) = \left(\frac{3}{73}\right)^2 \left(\frac{5}{73}\right) = 1 \cdot \left(\frac{5}{73}\right) = \left(\frac{73}{5}\right) = \left(\frac{3}{5}\right) = \left(\frac{5}{3}\right) = \left(\frac{2}{3}\right) = -1$, i.e. 45 is not a square mod 73 .

Given an integer a , which primes p is a a square root mod p to?

• For the primes p dividing $2a$, we can answer this by hand: For these primes, a is a square modulo p .

• For all other primes p , we calculate $\left(\frac{a}{p}\right)$. The primes which we get are congruent to $4a$ i.e. we say that this depends only on $4a$.

Note: We only need to consider the numbers in $(\mathbb{Z}/24a)$ for potential values of p . Since all the other primes are going to be congruent to these.

We can solve the equations which we get from considering $\left(\frac{a}{p}\right)$ either explicitly, or by the Chinese Remainder theorem.

Ex Let $a=5$. For which primes p is a a square mod p ?

Soln. First of all, we can easily answer the question for $p=2$ and $p=5$. Take $p=2$. $5 \equiv 1 \pmod{2}$, so 5 is certainly a square mod 2 . Take $p=5$. $5 \equiv 0 \pmod{5}$, 5 is a square mod 5 .

If $p \neq 2, 5$, then we consider $\left(\frac{5}{p}\right)$. Now, $\left(\frac{5}{p}\right) = (-1)^{\frac{(p-1)(p-1)/4}{4}} \left(\frac{p}{5}\right) = (-1)^{\frac{p-1}{2}} \left(\frac{p}{5}\right)$; so this only depends on p modulo 5.

i.e. we are only going to consider the primes modulo 5.

$p \pmod{5}$	1	2	3	4
$\left(\frac{5}{p}\right)$	1	-1	-1	1

We can read off from the table that the numbers $p \pmod{5}$ for which this works are 1 or 4. So if the final answer is that 5 is a square mod p .

$\Leftrightarrow p=2$ or $p=5$ or $p \equiv 1 \pmod{5}$ or $p \equiv 4 \pmod{5}$.

Ex Now set $a=-5$. For which primes p is a a square root mod p ?

Soln. As before, we can easily see that -5 is a square root

$\left(\frac{-5}{p}\right) = \left(\frac{-1}{p}\right) \left(\frac{5}{p}\right) = (-1)^{\frac{p-1}{2}} \left(\frac{5}{p}\right)$. Now, we know that $(-1)^{\frac{p-1}{2}}$ depends on $p \pmod{4}$ and $\left(\frac{5}{p}\right)$ depends on $p \pmod{5}$, so our solution depends on $p \pmod{20}$.

We want either $p \equiv 1 \pmod{4}, p \equiv \pm 1 \pmod{5}$ OR $p \equiv -1 \pmod{4}, p \equiv \pm 2 \pmod{5} \Rightarrow \left(\frac{5}{p}\right) = -1$.

Note that we got this from the fact that we've already found that $\left(\frac{1}{5}\right)=1, \left(\frac{2}{5}\right)=-1, \left(\frac{3}{5}\right)=-1, \left(\frac{4}{5}\right)=1$; and likewise we know values of $(-1)^{\frac{p-1}{2}}$.

So, we construct the table with all the numbers up to 20 which are coprime to 20:

$p \pmod{20}$	1	3	7	9	11	13	17	19
mod 4	1	-1	-1	1	-1	1	1	-1
mod 5	1	3	2	4	1	3	2	4
$\left(\frac{5}{p}\right)$	1	1	1	1	-1	-1	-1	-1

So our final answer is:

(-5) is a square modulo p if $p \equiv 1, 3, 7, 9 \pmod{20}$, or $p=2, p=5$.

Ex Set $a=-7$. For which primes p is a a square root modulo p ?

Soln. For $p=2, 7$, (-7) is a square root. For $p \neq 2, 7$, consider $\left(\frac{-7}{p}\right) = \left(\frac{-1}{p}\right) \left(\frac{7}{p}\right) = (-1)^{\frac{p-1}{2}} \left(\frac{7}{p}\right)$. Now $\frac{1}{4}(p-1)(p-1) = \frac{1}{4}6(p-1) = \frac{3}{2}(p-1)$.

So $(-1)^{\frac{p-1}{2}} = (-1)^{\frac{(p-1)(p-1)/4}{4}} = (-1)^{\frac{3(p-1)}{2}} = (-1)^{\frac{3(p-1)}{2}}$. So we get that $\left(\frac{-7}{p}\right) = \left(\frac{7}{p}\right)$.

So our solutions only depend on $p \pmod{7}$, and thus we need to consider the primes congruent to the numbers coprime with 7, up to 7.

p	1	2	3	4	5	6
We get $\left(\frac{7}{p}\right)$	1	1	-1	1	-1	-1

Since we have these values, (-7) is a square modulo p if $p=2, 7$ or $p \equiv 1, 2, 4 \pmod{7}$.

Ex Find the first primitive root mod 47.

Soln. $p-1=47-1=46=2 \cdot 23$, so we need to find a number a s.t. $a^2 \not\equiv 1 \pmod{47}$, $a^{23} \not\equiv 1 \pmod{47}$. i.e. we need to calculate $a^{23} = \left(\frac{a}{47}\right) \pmod{47}$, $a^2 \pmod{47}$.

Then $a=2$: $2^2=4 \not\equiv 1 \pmod{47}$, but $\left(\frac{2}{47}\right) = \left(\frac{47}{2}\right) = 1 \Rightarrow 2$ is not a primitive root. $a=3$: $3^2=9 \not\equiv 1 \pmod{47}$, but $\left(\frac{3}{47}\right) = -\left(\frac{47}{3}\right) = -\left(\frac{2}{3}\right) = -\left(-\frac{1}{3}\right) = \frac{1}{3} \equiv 3 \pmod{47} \Rightarrow 3$ is not.

$a=4$: 4 is a square, not a primitive root. $a=5$: $5^2=25 \not\equiv 1 \pmod{71}$. $(\frac{5}{71}) = (\frac{71}{5}) = (\frac{1}{5}) = -1 \not\equiv 1 \Rightarrow 5$ is the first primitive root.

Ex

Find the first primitive root mod 71.

Sol. $71-1=70=2 \cdot 5 \cdot 7$. so we need to calculate $a^{10}, a^{14}, a^{35} = (\frac{a}{71}) \pmod{71}$. For $a=2$, $(\frac{2}{71})=1 \Rightarrow$ no. For $a=3$, $(\frac{3}{71}) = -(\frac{71}{3}) = -(\frac{1}{3}) = -1 \Rightarrow$ no.

$a=4$, 4 is a square \Rightarrow no. $a=5$, $(\frac{5}{71}) = (\frac{71}{5}) = (\frac{1}{5}) = -1 \Rightarrow$ no. $a=6$, $(\frac{6}{71}) = (\frac{71}{6}) (\frac{2}{71}) = 1 \cdot 1 = 1 \Rightarrow$ no. $a=7$, $(\frac{7}{71}) = -(\frac{71}{7}) = -(\frac{1}{7}) = -1 \Rightarrow$ yes.

Now, $7^2 \equiv 49 \equiv -22 \pmod{71}$, $7^4 \equiv 484 \equiv -6 \pmod{71}$, $7^8 \equiv 36 \pmod{71}$. Hence $7^{10} = (-35)(-22) \equiv 770 \equiv 10 \not\equiv 1$. $7^{14} \equiv 7^{10} \cdot 7^4 \equiv 10(-6) \equiv -60 \not\equiv 1 \pmod{71}$

\therefore the first primitive root mod 71 is 7.

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The Ring $\mathbb{Z}[\xi_p]$

We will use the ring $\mathbb{Z}[\xi_p]$ in the proof of the quadratic reciprocity law.

Recall: $\mathbb{Q}[x]$ is the ring of polynomials $f(x)$ with coefficients in \mathbb{Q} , $\mathbb{Z}[x]$ is the ring of polynomials with coefficients in \mathbb{Z} .

Let $\alpha \in \mathbb{C}$. then we use the notation $\mathbb{Q}[\alpha] = \{f(\alpha) : f \in \mathbb{Q}[x]\}$, $\mathbb{Z}[\alpha] = \{f(\alpha) : f \in \mathbb{Z}[x]\}$. $\mathbb{Q}[\alpha]$ and $\mathbb{Z}[\alpha]$ are rings contained in \mathbb{C} .

Let p be an odd prime, $\xi_p = e^{2\pi i/p} = \cos(\frac{2\pi i}{p}) + i \sin(\frac{2\pi i}{p})$. We often just write ξ instead of ξ_p . $\xi_p^p = 1$.

Let $m(x) = \frac{x^p-1}{x-1} = 1+x+x^2+\dots+x^{p-1} \in \mathbb{Z}[x]$. $m(\xi) = 0$. we study the ring $\mathbb{Z}[\xi]$.

In fact, $\mathbb{Q}[\xi]$ is a much simpler ring, so we analyse it first.

Lemma

(a) Every element $\alpha \in \mathbb{Q}[\xi]$ can be written uniquely in the form $\alpha = \sum_{n=0}^{p-2} a_n \xi^n$ ($a_n \in \mathbb{C}$)

(b) $\mathbb{Q}[\xi]$ is a field.

Proof - (a) Existence: Let $\alpha = f(\xi)$ for some $f \in \mathbb{Q}[x]$. Divide f by m with remainder: $f = qm + r$, $\deg(r) < \deg(m) = p-1$, $q, r \in \mathbb{Q}[x]$.

substitute ξ for x in this equation: $\alpha = f(\xi) = q(\xi)m(\xi) + r(\xi) \Rightarrow \alpha = r(\xi) \Rightarrow \deg(\alpha) \leq p-2$, q.e.d.

uniqueness: If MATH202, it was shown that $m(x)$ is irreducible over \mathbb{Q} . Suppose $\alpha = \sum_{n=0}^{p-2} a_n \xi^n = \sum_{n=0}^{p-2} b_n \xi^n$. Let $c_n = a_n - b_n$, then **NP:** $\sum_{n=0}^{p-2} c_n \xi^n = 0$. Let $f(x) = \sum_{n=0}^{p-2} c_n x^n$. Assume $f \neq 0$. since $\deg(f) < \deg(m)$, m is irreducible $\Rightarrow \text{hcf}(f, m) = 1$.

By Bézout's lemma in $\mathbb{Q}[x]$, $1 = hf + km$ for some $h, k \in \mathbb{Q}[x]$. substitute $\xi = x$ in the equation. $1 = h(\xi)f(\xi) + k(\xi)m(\xi)$

$m(\xi) = 0$ and $f(\xi) = 0 \Rightarrow 1 = 0$, contradiction. $\therefore f = 0 \Rightarrow a_n = b_n \forall n \Rightarrow$ expression is unique.

(b). choose a non-zero $\alpha \in \mathbb{Q}[\xi]$. $\alpha = f(\xi)$, $\deg(f) \leq p-2$. $f \neq 0$. we **NP:** $\alpha \in \mathbb{C}[\xi]$. f, m are coprime: m irreducible, $\deg(f) < \deg(m)$

$\therefore 1 = hf + km$. substitute ξ for x : $1 = h(\xi)f(\xi) + k(\xi)m(\xi) \therefore \frac{1}{\alpha} = h(\xi) \in \mathbb{Q}[\xi]$, q.e.d.

We think of $\mathbb{Z}[\xi]$ as being a ring in $\mathbb{Q}[\xi]$ the same way that \mathbb{Z} is a ring in the field \mathbb{Q} .

Lemma

Every $\alpha \in \mathbb{Z}[\xi]$ can be written uniquely as $\alpha = \sum_{n=0}^{p-2} a_n \xi^n$ ($a_n \in \mathbb{Z}$).

Proof - uniqueness: already proven; follows from lemma for $\mathbb{Q}[\xi]$.

existence: let $\alpha = f(\xi)$ for some $f \in \mathbb{Z}[x]$. since $\xi^p = 1$, $\xi^{a+p} = \xi^a$, so we can write α in the form $\alpha = \sum_{n=0}^{p-1} a_n \xi^n$.

$m(\xi) = 1 + \xi + \dots + \xi^{p-1} = 0 \therefore \xi^{p-1} = -1 - \xi - \xi^2 - \dots - \xi^{p-2}$. so we can rewrite α as $\alpha = \sum_{n=0}^{p-2} (a_n - a_{p-1}) \xi^n$, q.e.d.

Ex

Take $p=3$, $\xi = e^{2\pi i/3}$. then $\mathbb{Z}[\xi] = \{a + b\xi : a, b \in \mathbb{Z}\}$ is a ring. Likewise, $p=5$, $\xi = e^{2\pi i/5}$, then $\mathbb{Z}[\xi] = \{a + b\xi + c\xi^2 + d\xi^3 : a, b, c, d \in \mathbb{Z}\}$ is a ring.

Definition

Let $\alpha, \beta \in \mathbb{Z}[\xi]$, and let n be a positive integer. then we say $\alpha \equiv \beta \pmod{n}$ if $\alpha - \beta = n\delta$ for some $\delta \in \mathbb{Z}[\xi]$.

Remark: If $\alpha = \sum_{m=0}^{p-2} a_m \xi^m$, $\beta = \sum_{m=0}^{p-2} b_m \xi^m$; then $\alpha \equiv \beta \pmod{n}$ just means $a_m \equiv b_m \pmod{n}$ (congruence in \mathbb{Z} !) for $m=0, 1, \dots, p-2$.

Remark: Suppose $\alpha, \beta \in \mathbb{Z}$ and n is a positive integer. Then $\alpha \equiv \beta \pmod{n}$ in $\mathbb{Z}[\xi] \Leftrightarrow \alpha \equiv \beta \pmod{n}$ in \mathbb{Z} .

$\therefore \alpha - \beta = nc \Rightarrow c \in \mathbb{Z}[\xi]$, $c \in \mathbb{Q}$, but $\mathbb{Q} \cap \mathbb{Z}[\xi] = \mathbb{Z}$.

As a point of notation, we state that an element $\alpha \in \mathbb{Z}[\xi]$ is invertible mod n if $\exists \beta \in \mathbb{Z}[\xi]$ s.t. $\alpha\beta \equiv 1 \pmod{n}$.

Remark: If $\alpha \in \mathbb{Z}$, then if α is coprime to n in \mathbb{Z} , then α is invertible mod n in \mathbb{Z} or in $\mathbb{Z}[\xi]$.

Lemma

Let q be a prime number $\alpha, \beta \in \mathbb{Z}[\xi]$. then $(\alpha + \beta)^q \equiv \alpha^q + \beta^q$ (this is a congruence in $\mathbb{Z}[\xi]$).

Proof - by the binomial theorem, $(\alpha + \beta)^q = \sum_{n=0}^q \binom{q}{n} \alpha^n \beta^{q-n}$. The first and last terms are α^q, β^q . We just must show that $\binom{q}{n} \equiv 0 \pmod{q}$ for

$n=1, 2, \dots, q-1$. then $\binom{q}{n} = \frac{q!}{n!(q-n)!} \Rightarrow q! = \binom{q}{n} n!(q-n)!$. q is prime, so q is a factor of $\binom{q}{n}$, $n!$ or $(q-n)!$. But $q \nmid n!$ and

$q \nmid (q-n)!$ since these are products of numbers $< q$. $\therefore q \mid \binom{q}{n}$, and $(\alpha + \beta)^q \equiv \alpha^q + \beta^q \pmod{q}$, q.e.d.

with this, we can begin to prove the law of quadratic reciprocity

Definition

let p be an odd prime. the Gauss sum G_p is $G_p = \sum_{n=1}^{p-1} (\frac{n}{p}) \xi_p^n$. **Remark:** $G_p \in \mathbb{Z}[\xi_p]$.

Lemma $G_p^2 = (-1)^{\frac{p-1}{2}} p$.

Proof - $G_p^2 = \sum_{a=1}^{p-1} \left(\frac{a}{p}\right) \xi^a \sum_{b=1}^{p-1} \left(\frac{b}{p}\right) \xi^b = \sum_{a,b=1}^{p-1} \left(\frac{ab}{p}\right) \xi^{a+b}$. let $b=ac$, then $G_p^2 = \sum_{a,c=1}^{p-1} \left(\frac{a^2c}{p}\right) \xi^{a+ac} = \sum_{a,c=1}^{p-1} \left(\frac{c}{p}\right) \xi^{(1+c)a}$.
 $G_p^2 = \sum_{c=1}^{p-1} \left(\frac{c}{p}\right) \sum_{a=1}^{p-1} (\xi^{1+c})^a = \begin{cases} \sum_{c=1}^{p-1} \left(\frac{c}{p}\right) \cdot (p-1) & \text{if } \xi=1 \\ \sum_{c=1}^{p-1} \left(\frac{c}{p}\right) (\xi + \xi^2 + \dots + \xi^{p-1}) & \text{if } \xi \neq 1 \end{cases}$
 $\therefore m(\xi)=1$.

Recall, we earlier stated the quadratic reciprocity law: let p, q be distinct odd primes, then $\left(\frac{p}{q}\right) = (-1)^{\frac{(p-1)(q-1)}{4}} \left(\frac{q}{p}\right)$

(QR law). Proof - We seek to calculate $(G_p)^2 \pmod q$ in two different ways. First, $(G_p)^2 = \left(\sum_{a=1}^{p-1} \left(\frac{a}{p}\right) \xi^a\right)^2 \equiv \sum_{a=1}^{p-1} \left(\frac{a}{p}\right)^2 \xi^{2a} \pmod q$. let $b=aq$.

then $(G_p)^2 \equiv \sum_{b=1}^{q-1} \left(\frac{bq}{p}\right) \xi^b \equiv \left(\frac{q}{p}\right) \sum_{b=1}^{q-1} \left(\frac{b}{p}\right) \xi^b \equiv \left(\frac{q}{p}\right) G_p \pmod q$.

For the second calculation, we use the key lemma: $G_p^2 = G_p \times (G_p^2)^{\frac{q-1}{2}} = G_p (-1)^{\frac{p-1}{2} \frac{q-1}{2}} \equiv G_p (-1)^{\frac{(p-1)(q-1)}{4}} p^{\frac{q-1}{2}} \equiv G_p (-1)^{\frac{(p-1)(q-1)}{4}} \left(\frac{p}{q}\right) \pmod q$

by Euler's criterion. By comparing both calculations, $(G_p \left(\frac{q}{p}\right)) = (-1)^{\frac{(p-1)(q-1)}{4}} \left(\frac{p}{q}\right) (G_p) \pmod q$. $G_p^2 = \pm p$ by key lemma, and p, q are distinct primes: p is invertible mod $q \Rightarrow \therefore G_p$ is invertible mod q , we can cancel $\Rightarrow \left(\frac{p}{q}\right) = (-1)^{\frac{(p-1)(q-1)}{4}} \left(\frac{q}{p}\right) \pmod q$.

Both sides of equation can only take values $\pm 1 \Rightarrow$ they are not just congruent mod q , they are equal $\Rightarrow \left(\frac{p}{q}\right) = (-1)^{\frac{(p-1)(q-1)}{4}} \left(\frac{q}{p}\right) \pmod q$.

We can also use these theories to provide a proof for $\left(\frac{2}{p}\right) = (-1)^{\frac{p^2-1}{8}} = \begin{cases} 1 & p \equiv \pm 1 \pmod 8 \\ -1 & p \equiv \pm 3 \pmod 8 \end{cases}$

Proof - let $\xi = e^{\frac{\pi i}{4}} = \frac{1+i}{\sqrt{2}}$. Note that $\xi^4 = -1$, so let $G = \xi + \xi^7 = \xi + \xi^{-1}$. Note $G^2 = (\xi + \xi^{-1})(\xi + \xi^{-1}) = \xi^2 + 2\xi\xi^{-1} + \xi^{-2} = i + 2 - i = 2$.

We will calculate $G^p \pmod p$ in two different ways in ring $\mathbb{Z}[\xi]$. First, $G^p = (\xi + \xi^{-1})^p \equiv \xi^p + \xi^{-p} \pmod p$ in $\mathbb{Z}[\xi]$.

Also, $G^p = G \cdot 2^{\frac{p-1}{2}} = G \left(\frac{2}{p}\right)$ by Euler's criterion. then $\left(\frac{2}{p}\right) \equiv \frac{\xi^p + \xi^{-p}}{\xi + \xi^{-1}} \pmod p$. since $\xi^8 = 1$, RHS depends only on $p \pmod 8$.

If $p \equiv \pm 1 \pmod 8$, $\left(\frac{2}{p}\right) = 1$; if $p \equiv \pm 3 \pmod 8$, $\left(\frac{2}{p}\right) \equiv \frac{\xi^5 + \xi^{-5}}{\xi + \xi^{-1}} \equiv \frac{\xi^5 + \xi^{-5}}{\xi + \xi^{-1}} \equiv \frac{-\xi - \xi^{-1}}{\xi + \xi^{-1}} \pmod p$ ($\because \xi^4 = -1$) = -1.

Chapter
HENSEL'S LEMMA.

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Recall that if we want to solve $f(x)=0$ for a function $f: \mathbb{R} \rightarrow \mathbb{R}$, then we can try the Newton-Raphson method.

• Start with a real number a , s.t. $f(a)$ is close to 0.

• We get a better approximation to a root by the sequence $a_{n+1} = a_n - \frac{f(a_n)}{f'(a_n)}$.

Sometimes $a_n \rightarrow a$ and $f(a)=0$.

Suppose instead that we have a polynomial $f(x) \in \mathbb{Z}[x]$, and we want to solve $f(x) \equiv 0 \pmod{p^n}$, p is a prime number.

Idea: start with an "approximate root", i.e. a solution to $f(x) \equiv 0 \pmod{p^d}$ where d is a small number. Call the approximate root a_0 .

Define a sequence by $a_{n+1} = a_n - \frac{f(a_n)}{f'(a_n)}$. If the method works for n big enough, $f(a_n) \equiv 0 \pmod{p^n}$.

Hensel's lemma tells us when this method will work.

EX Solve the congruence $x^2 + 2 \equiv 0 \pmod{81}$.

Soln. Note that $81 = 3^4$. There is an obvious root mod 3, $a_0 = 1$. $1^2 + 2 \equiv 3 \equiv 0 \pmod 3$. $f(x) = x^2 + 2$, $f'(x) = 2x$.

$a_{n+1} = a_n - \frac{a_n^2 + 2}{2a_n} = \frac{a_n^2 + 2}{2} - \frac{1}{a_n}$. $a_0 = 1$, $a_1 = \frac{1}{2} - \frac{1}{1} = -\frac{1}{2}$. $a_2 = -\frac{1}{4} - \left(-\frac{1}{2}\right) = 2 - \frac{1}{4} = \frac{7}{4}$ etc. then a_1 is a root mod 3^2 , a_2 is a root mod 3^4 .

$a_1 = -\frac{1}{2} \equiv -2^{-1} \equiv -5 \equiv 4 \pmod 9$. Indeed $4^2 + 2 \equiv 18 \equiv 0 \pmod 9$. $a_2 = \frac{7}{4} \equiv 7 \cdot 4^{-1} \equiv 7 \cdot (-20) \equiv -140 \equiv 22 \pmod{81}$. Indeed, $22^2 + 2 \equiv 486 \equiv 0 \pmod{81}$

Note: a_3 will be a root modulo 3^8 .

Remark: We have just been reducing rational numbers modulo p^n . If we have a rational number $\frac{a}{b}$, then we can reduce it mod p^n

as long as $b^{-1} \pmod{p^n}$ exists $\Leftrightarrow b$ is coprime to $p^n \Leftrightarrow p \nmid b$.

We will write \mathbb{Z}_p for the set of these numbers $\mathbb{Z}_p = \left\{ \frac{a}{b} : a, b \in \mathbb{Z} \text{ and } p \nmid b \right\}$

Lemma \mathbb{Z}_p is a ring (i.e. closed under $+$, \times , $-$).

Proof - let $\frac{a}{b}, \frac{c}{d} \in \mathbb{Z}_p$, $p \nmid b, p \nmid d$. $\frac{a}{b} + \frac{c}{d} = \frac{ad+bc}{bd} \in \mathbb{Z} \because p \nmid bd$.

$\frac{a}{b} \times \frac{c}{d} = \frac{ac}{bd} \in \mathbb{Z}_p$, $\frac{a}{b} - \frac{c}{d} = \frac{ad-bc}{bd} \in \mathbb{Z}_p$.

e.g. $\frac{35}{41} \in \mathbb{Z}_3, \mathbb{Z}_5, \dots$ but $\frac{35}{41} \notin \mathbb{Z}_7$. We defined a sequence a_0, a_1, a_2, \dots we'll need to check that the sequence is contained in \mathbb{Z}_p

Notation: Let p be a prime and $n \in \mathbb{Z}$. Define $v_p(n)$ to be the largest integer a s.t. $p^a | n$. This is the valuation of p at n . e.g. $v_2(12) = 3$, $v_3(24) = 1$, $v_5(24) = 0$.

We define $v_p(0) = \infty$. We can extend this definition to rational numbers $v_p\left(\frac{a}{b}\right) = v_p(a) - v_p(b)$. $\mathbb{Z}_p = \{x \in \mathbb{Q} : v_p(x) \geq 0\}$.

Lemma (Hensel's lemma).

Let p be prime, and let $f \in \mathbb{Z}_p[x]$. Suppose we have $a_0 \in \mathbb{Z}_p$ such that $f(a_0) \equiv 0 \pmod{p^{2c}}$ where $c = v_p(f'(a_0))$.

Define a sequence $a_{n+1} = a_n - \frac{f(a_n)}{f'(a_n)}$. then $a_n \in \mathbb{Z}_p$ for all n and $f(a_n) \equiv 0 \pmod{p^{2c+2^n}}$.

Ex 1 solve $p=2, f(x)=x^2+7$.

Soln. Check that $a_0=1$ satisfies the conditions of Hensel's lemma. $f'(a_0)=2a_0=2 \therefore c=v_2(2)=1$. Need to check $f(a_0) \equiv 0 \pmod{p^2=8}$.

$$f(a_0)=1^2+7=8 \equiv 0 \pmod{p^{2 \cdot 1}}. \text{ Define } a_{n+1} = a_n - \frac{f(a_n)}{f'(a_n)} = \frac{a_n}{2} - \frac{7}{2a_n}. a_1 = \frac{1}{2} - \frac{7}{2} = -3. a_2 = -\frac{3}{2} + \frac{7}{6} = -\frac{2}{6} = -\frac{1}{3}$$

$$a_3 = -\frac{1}{6} + \frac{21}{6} = \frac{20}{6} = \frac{10}{3}. f(a_1) = a_1^2 + 7 = 9 + 7 = 16 \equiv 0 \pmod{2^4}. f(a_2) = (-\frac{1}{3})^2 + 7 = \frac{1}{9} + 7 \equiv \frac{64}{9} \equiv 64 \cdot 9^{-1} \equiv 0 \pmod{2^6}.$$

$$f(a_3) = \frac{961}{9} + 7 \equiv \frac{1024}{9} \equiv 1024 \cdot 9^{-1} \equiv 0 \pmod{2^{10}}.$$

Remark: $\frac{21}{3} \equiv 31 \cdot 3^{-1} \equiv 31 \cdot 33 \equiv 693 \pmod{1024}$. Indeed, $693^2 + 7 \equiv 0 \pmod{1024}$.

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If $x, y \in \mathbb{Z}(p)$, then $x \equiv y \pmod{p^n} \iff \forall p(x-y) \geq n$ i.e. $x-y$ is a multiple of p^n .

We prove Hensel's lemma (see page 08).

Proof - We prove by induction on n the following statements: ① $a_n \in \mathbb{Z}(p)$ and $a_n \equiv a_0 \pmod{p^{c+1}}$. ② $\forall p(f'(a_n)) = c$. ③ $f(a_n) \equiv 0 \pmod{p^{2c+2^n}}$.

Proving these is equivalent to proving Hensel's lemma. For $n=0$, there is nothing to prove. Assume true for n and prove for $n+1$.

① $a_{n+1} = a_n - \frac{f(a_n)}{f'(a_n)}$. $a_n \in \mathbb{Z}(p)$. $\forall p \left(\frac{f(a_n)}{f'(a_n)} \right) = \forall p (f(a_n)) - \forall p (f'(a_n)) = \forall p (f(a_n)) - c$ (by inductive hypothesis ②) $\geq 2c + 2^n - c$ (by inductive hypothesis ③) $\geq c + 2^n$. In particular, ≥ 0 so $\frac{f(a_n)}{f'(a_n)} \in \mathbb{Z}(p) \Rightarrow \frac{f(a_n)}{f'(a_n)} \equiv 0 \pmod{p^{2c+2^n}} \Rightarrow a_{n+1} \in \mathbb{Z}(p)$ and $a_{n+1} \equiv a_n \pmod{p^{c+2^n}}$

$\therefore a_{n+1} \equiv a_n \pmod{p^{c+1}}$ for $c+1 < c+2^n \equiv a_0 \pmod{p^{c+1}}$ by inductive hypothesis, q.e.d.

② $a_{n+1} \equiv a_0 \pmod{p^{c+1}} \therefore f'(a_{n+1}) \equiv f'(a_n) \pmod{p^{c+1}} \therefore f'(a_{n+1}) \equiv f'(a_n) \pmod{p^c}$. We know that $f'(a_n) \equiv 0 \pmod{p^c}$ but $\not\equiv 0 \pmod{p^{c+1}}$ by inductive hypothesis. $\therefore f'(a_{n+1}) \equiv 0 \pmod{p^c} \not\equiv 0 \pmod{p^{c+1}} \Rightarrow \forall p (f'(a_{n+1})) = c$ q.e.d.

③ $\frac{f(a_n)}{f'(a_n)} \equiv 0 \pmod{p^{2c+2^n}}$. $a_{n+1} = a_n - \frac{f(a_n)}{f'(a_n)}$. $\therefore a_{n+1}^r \equiv (a_n - \frac{f(a_n)}{f'(a_n)})^r \pmod{p^{2c+2^{n+1}}} \equiv a_n^r - r a_n^{r-1} \frac{f(a_n)}{f'(a_n)} \pmod{p^{2c+2^{n+1}}}$.

suppose $f(x) = \sum c_r x^r$. then $f(a_{n+1}) = \sum c_r a_{n+1}^r = \sum c_r (a_n^r - r a_n^{r-1} \frac{f(a_n)}{f'(a_n)}) \pmod{p^{2c+2^{n+1}}} = \sum c_r a_n^r - (\sum_r r c_r a_n^{r-1}) \frac{f(a_n)}{f'(a_n)}$
 $f(a_{n+1}) = f(a_n) - f'(a_n) \frac{f(a_n)}{f'(a_n)} = 0 \pmod{p^{2c+2^{n+1}}}$.

Ex 2 let $p=3$, and solve for a solution to $f(x) \equiv 0 \pmod{81}$ for $f(x) = x^2 + x + 1$.

Soln. Take $a_0=1$. $f'(x) = 2x+1$, $f'(a_0)=4$. $c=v_3(f'(a_0))=0 \therefore 3 \nmid 4$. $f(a_0)=3 \equiv 0 \pmod{3}$, $2c+1=1$. This satisfies the conditions of Hensel's lemma.

$$a_{n+1} = a_n - \frac{f(a_n)}{f'(a_n)} = a_n - \frac{a_n^2 + a_n + 1}{2a_n + 1} = \frac{2a_n^3 - 1}{3a_n^2 + 1}. a_0=1, a_1 = \frac{2-1}{3+1} = \frac{1}{4}. \text{ We should have } f(\frac{1}{4}) \equiv 0 \pmod{3^2}.$$

$$\text{check: } \frac{1}{4} \equiv 7 \equiv -2 \pmod{9}. f(\frac{1}{4}) \equiv (-2)^2 + (-2) + 1 \equiv 0 \pmod{9}. a_2 = \frac{2 \times (\frac{1}{4})^3 - 1}{3 \times (\frac{1}{4})^2 + 1} = \frac{2-64}{12+64} = -\frac{62}{76} = -\frac{31}{38}.$$

We should have $f(-\frac{31}{38}) \equiv 0 \pmod{3^4=81}$. check: $38^{-1} \equiv 32 \pmod{81}$. $\therefore a_2 \equiv -31 \times 32 \equiv -992 \equiv 61 \pmod{81}$.

$$\therefore f(a_2) \equiv (-20)^2 - 20 + 1 \equiv -800 - 19 \equiv -819 \equiv 0 \pmod{81}.$$

Quadratic Equations modulo n .

Recall the Chinese Remainder theorem - if we have a congruence $f(x) \equiv 0 \pmod{nm}$ where n and m are coprime and $f \in \mathbb{Z}[x]$, we have solutions $\iff \begin{cases} f(x) \equiv 0 \pmod{n} \\ f(x) \equiv 0 \pmod{m} \end{cases}$ each have solutions.

We prove this quickly: if $f(x) \equiv 0 \pmod{nm}$ then $f(x) \equiv 0 \pmod{n}$ and $f(x) \equiv 0 \pmod{m}$. conversely if $f(x) \equiv 0 \pmod{n}$, $f(x) \equiv 0 \pmod{m}$, then by CRT $\exists c \in \mathbb{Z}$ s.t.

$$c \equiv a \pmod{n}, c \equiv b \pmod{m}. f(c) = f(a) \equiv 0 \pmod{n}, f(c) = f(b) \equiv 0 \pmod{m}. \therefore f(c) \equiv 0 \pmod{nm}, \text{ q.e.d.}$$

This means that if we want to know whether $f(x) \equiv 0 \pmod{n}$ has solutions, where $n = p_1^{a_1} p_2^{a_2} \dots p_r^{a_r}$, p_i distinct primes, then

it suffices to check whether $f(x) \equiv 0 \pmod{p_i^{a_i}}$ has solutions for every i . For a quadratic equation, it is easy to work out whether $f(x) \equiv 0 \pmod{p^n}$ has solutions.

Proposition let p be an odd prime, and assume $a \in \mathbb{Z}$ is not a multiple of p . then the following are equivalent:

① $x^2 \equiv a \pmod{p}$ has solutions i.e. $(\frac{a}{p}) = 1$.

② $x^2 \equiv a \pmod{p^n}$ has solutions for all n .

Proof - let $f(x) = x^2 - a$. choose a_0 s.t. $f(a_0) \equiv 0 \pmod{p}$, i.e. $a_0^2 \equiv a \pmod{p}$. $f'(x) = 2x$, $f'(a_0) = 2a_0$, $p \nmid 2a_0$. \therefore the constant c in Hensel's

lemma is $c=0$. $\therefore a_0$ satisfies the conditions of Hensel's lemma: so we get solutions $f(a_n) \equiv 0 \pmod{p^{2^n}}$ this shows ① \Rightarrow ②. ② \Rightarrow ① trivially q.e.d.

Remark: this is not true for $p=2$, eg. $a=5$. $x^2 \equiv 5 \pmod{2}$ has solution $(x=1)$ $x^2 \equiv 5 \pmod{4}$ has solutions $(x=1)$ but $x^2 \equiv 5 \pmod{8}$ has no solution.

1 is the only odd square mod 8 .

Proposition let a be an odd integer. then the following are equivalent:

① $x^2 \equiv a \pmod{8}$ has solutions, ② $a \equiv 1 \pmod{8}$ ③ $x^2 \equiv a \pmod{2^n}$ has solutions for every n .

Proof -

Now we will answer this type of question: For which n is b a square mod n ? ($b \in \mathbb{Z}$).

Ex For which n is 2 a square mod n ? 5 a square?

Soln. (a) Call a prime "bad" if $p=2$ (in general, bad primes are factors of $2b$). For a "good" prime p , we know: 2 is a square mod $p^n \iff 2$ is a square mod p

and $\iff \left(\frac{2}{p}\right) = 1 \iff p \equiv \pm 1 \pmod{8}$. For the bad prime 2 , 2 is a square mod 2 , but not a square mod $4, 8, 16, \dots$

$\therefore 2$ is a square mod $n \iff n = cd$ where $c = 1$ or 2 , d is a product of primes $p \equiv \pm 1 \pmod{8}$.

For instance 2 is not a square mod $2 \times 7 \times 3^2 \times 5^3$, but 2 is a square mod $7^{105} \times 17^{38} \times 2$.

(b) Bad primes = $\{2, 5\}$. All other primes are good. For a good prime p , 5 is a square mod $p^n \iff 5$ is a square mod $p \iff \left(\frac{5}{p}\right) = 1$
 $\left(\frac{5}{p}\right) = \left(\frac{p}{5}\right) = 1 \iff p \equiv 1$ or $4 \pmod{5}$. For the bad primes, 5 is a square mod $2, 4$ but not mod 8 . (if it was a square mod 8 , it would be a power of 2).

5 is a square mod 5 , but not mod 25 . The powers of bad primes that we can have in n are factors of $4 \times 5 = 20$. i.e. $1, 2, 4, 5, 10, 20$.

Hence, 5 is a square mod $n \iff n = ct$ where $c \in \{1, 2, 4, 5, 10, 20\}$, d is product of primes $\equiv 1 \pmod{5}$ (to any powers).

Chapter
p-ADIC CONVERGENCE

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Let p be a prime number. If n is an integer, then we write $v_p(n) = \max \{a : p^a | n\}$. Then $v_p(0) = \infty$.

We can extend this to \mathbb{Q} by $v_p\left(\frac{a}{m}\right) = v_p(a) - v_p(m)$.

We have also defined $\mathbb{Z}_p = \left\{ \frac{a}{m} : v_p\left(\frac{a}{m}\right) \geq 0 \right\}$. These are the rational numbers which we can reduce modulo powers of p .

Remark: \mathbb{Z}_p is closed under $+$, $-$, \times so it is a ring.

Suppose we have a series $\sum_{n=0}^{\infty} x_n$, $x_n \in \mathbb{Z}_p$. We say that the series converges p-adically if $\forall a \in \mathbb{N}$, \exists only finitely many terms x_n that are non-zero. i.e. $x_n \neq 0 \pmod{p^a}$.

Since there are only finitely many terms that are non-zero, we can reduce the whole series mod p^a . Series gives a value mod p^a (for any a).

Consider $1 + p + p^2 + p^3 + \dots$ Taken mod p^a , the only non-zero terms are $1 + p + \dots + p^{a-1} \equiv \frac{1-p^a}{1-p} \pmod{p^a}$. Note: this is actually $\frac{1-p^a}{1-p}$, but $p^a \equiv 0 \pmod{p^a}$.

Lemma $\sum_{n=0}^{\infty} x_n$ converges p-adically $\iff v_p(x_n) \rightarrow \infty$.

Proof - (\Leftarrow). Assume $v_p(x_n) \rightarrow \infty$. Then $\exists N$ s.t. $n > N \Rightarrow v_p(x_n) > N$ i.e. $p^N | x_n \Rightarrow x_n \equiv 0 \pmod{p^N}$.

i.e. \exists only finitely many terms which are non-zero mod $p^N \Rightarrow \sum_{n=0}^{\infty} x_n$ converges p-adically.

(\Rightarrow). Assume p-adic convergence. Take any $m \in \mathbb{N}$, \exists only finitely many x_n terms which are non-zero mod p^m .

Choose N large enough s.t. $x_n \equiv 0 \pmod{p^m} \forall n > N \Rightarrow p^m | x_n \Rightarrow v_p(x_n) \geq m \therefore v_p(x_n) \rightarrow \infty$ q.e.d.

Ex Take $p=3$. The binomial expansion of $(1+3x)^{\frac{1}{2}} = 1 + \binom{1/2}{1}(3x) + \frac{\binom{1/2}{2}}{2!} 9x^2 + \frac{\binom{1/2}{3}}{3!} 27x^3 + \dots$ then for any $x \in \mathbb{Z}_3$, the series $(1+3x)^{\frac{1}{2}}$ converges 3-adically. The series in fact plays the same role in Number Theory as it does in Analysis (it is a square root of $1+3x$). Show this.

Soln. clearly, $(1+3x)^{\frac{1}{2}} \equiv 1 + 0 + 0 + \dots \equiv 1 \pmod{3}$. (3). $(1+3x)^{\frac{1}{2}} \equiv 1 + \frac{3}{2}x \pmod{9}$. (4). $(1+3x)^{\frac{1}{2}} \equiv 1 + \frac{3}{2}x - \frac{9}{8}x^2 \pmod{27}$ etc.

We can reduce our fractions to get: $(1+3x)^{\frac{1}{2}} \equiv 1 \pmod{3}$ (3) $\equiv 1 + 6x \pmod{9}$ (4) $\equiv 1 + 15x + 9x^2 \pmod{27}$ (27).

likewise, $(1+3x)^{\frac{1}{2}} \equiv 1 + \frac{3}{2}x - \frac{9}{8}x^2 + \frac{27}{16}x^3 \pmod{81} \equiv 1 + 42x + 9x^2 + 27x^3 \pmod{81}$ (81). We can check that these are square roots of $1+3x$.

For instance, $(1+15x+9x^2)^2 = 1 + 15^2x^2 + 9^2x^4 + 2(15x+9x^2+15^2x^2) \equiv 1 + 3x + (18+225)x^2 + 0x^3 + 0x^4 \pmod{27} \equiv 1+3x \pmod{27}$ q.e.d.

As an example, we can calculate a square root of $7 \pmod{81}$. Take $x=2$. $(1+3 \cdot 2)^{\frac{1}{2}} \equiv 1 + 42(2) + 9(4) + 27(8) \pmod{81} \equiv 1 + 3 + 36 + 54 \equiv 13$.

check: $13^2 \equiv 169 \equiv 2(81) + 7 \equiv 7 \pmod{81}$.

Notation: We will write $\mathbb{Z}_p[[X]]$ for the set of power series $\sum_{n=0}^{\infty} a_n X^n$, $a_n \in \mathbb{Z}_p$. By this, we mean all power series regardless of whether they converge.

We can add, subtract and multiply power series, so $\mathbb{Z}_p[[X]]$ is a ring as well. Furthermore, we can also compose some power series.

We can compose f and g to get $f(g(x))$ as long as $g(0) = 0$ i.e. $g(x) = \sum_{n=1}^{\infty} a_n x^n$. let $f(x) = \sum_{n=0}^{\infty} a_n x^n$, $g(x) = \sum_{m=1}^{\infty} b_m x^m$

We'll see that $f(g(x))$ is also a power series in $\mathbb{Z}_p[[X]]$. then $f(g(x)) = \sum_{n=0}^{\infty} a_n (g(x))^n = \sum_{n=0}^{\infty} a_n \left(\sum_{m=1}^{\infty} b_m x^m \right)^n = \sum_{n=0}^{\infty} a_n \sum_{m_1, m_2, \dots, m_n \geq 1} b_{m_1} b_{m_2} \dots b_{m_n} x^{m_1 + \dots + m_n}$

this can be re-expressed as $\sum_{d=0}^{\infty} c_d x^d$ where $c_d = \sum_{n, m_1, m_2, \dots, m_n} a_n b_{m_1} b_{m_2} \dots b_{m_n}$ where each $m_i > 0$. Since the sum defining c_d is finite,

it converges and is in \mathbb{Z}_p . $\therefore f(g(x)) \in \mathbb{Z}_p[[X]]$.

i.e. we can compose power series in $\mathbb{Z}_p[[X]]$ as long as $x | g(x)$.

Lemma (the Power Series Trick).

Suppose we have $f, g, h \in \mathbb{Z}_p[[X]]$. Assume that

• for all $x \in \mathbb{Z}_p$, $f(x), g(x), h(x)$ converge periodically, • for any $x \in \mathbb{R}$ sufficiently small, $f(x), g(x), h(x)$ converge and $h(x) = f(g(x))$.

then for any a , and any $x \in \mathbb{Z}_p$, $f(g(x)) \equiv h(x) \pmod{p^a}$.

Note: In the example $(1+3x)^{\frac{1}{2}}$, $f(x) = x^2$, $g(x) = (1+3x)^{\frac{1}{2}}$, $h(x) = 1+3x$. For small $x \in \mathbb{R}$, $((1+3x)^{\frac{1}{2}})^2 = 1+3x$.

The lemma tells us that the same is true mod p^a for every a . In order to use the lemma for the example $(1+3x)^{\frac{1}{2}}$, we need to check that this converges p -adically for all $x \in \mathbb{Z}_p$.

Lemma $v_p(n!) \leq \frac{n}{p-1}$.

Proof - $v_p(n!) = v_p(1) + v_p(2) + \dots + v_p(n)$. Then $\lfloor \frac{n}{p} \rfloor$ of the numbers $1, \dots, n$ are multiples of p , $\lfloor \frac{n}{p^2} \rfloor$ of the numbers $1, \dots, n$ are multiples of p^2 .

$\therefore \lfloor \frac{n}{p} \rfloor - \lfloor \frac{n}{p^2} \rfloor$ gives the numbers from $1, \dots, n$ that are multiples of p but not p^2 (i.e. valuation is 1). Similarly,

$\lfloor \frac{n}{p^2} \rfloor - \lfloor \frac{n}{p^3} \rfloor$ of $1, \dots, n$ are multiples of p^2 but not p^3 etc. $\therefore v_p(n!) = (\lfloor \frac{n}{p} \rfloor - \lfloor \frac{n}{p^2} \rfloor)(1) + (\lfloor \frac{n}{p^2} \rfloor - \lfloor \frac{n}{p^3} \rfloor)(2) + \dots$

We rearrange to give $v_p(n!) = \lfloor \frac{n}{p} \rfloor + \lfloor \frac{n}{p^2} \rfloor(2-1) + \lfloor \frac{n}{p^3} \rfloor(3-2) + \dots = \lfloor \frac{n}{p} \rfloor + \lfloor \frac{n}{p^2} \rfloor + \lfloor \frac{n}{p^3} \rfloor + \dots \leq \frac{n}{p} + \frac{n}{p^2} + \frac{n}{p^3} + \dots = \frac{n}{p} (1 + \frac{1}{p} + \frac{1}{p^2} + \dots) = \frac{n}{p} \cdot \frac{1}{1-\frac{1}{p}} = \frac{n}{p-1}$, q.e.d.

Now, we look again at our example $(1+3x)^{\frac{1}{2}} = 1 + (\frac{1}{2})3x + \frac{(\frac{1}{2})(\frac{1}{2}-1)}{2!}9x^2 + \dots$. The n^{th} term is $\frac{(\frac{1}{2})(\frac{1}{2}-1)\dots(\frac{1}{2}-n+1)}{n!}3^n x^n$.

To show that the series converges p -adically, we just need to show that $v_p(n^{\text{th}} \text{ term}) \rightarrow \infty$. Take $p=3$. Then we evaluate $v_3(n^{\text{th}} \text{ term})$.

$v_3(n^{\text{th}} \text{ term}) = v_3(\frac{1}{2}) + v_3(\frac{1}{2}-1) + v_3(\frac{1}{2}-2) + \dots + v_3(\frac{1}{2}-n+1) + n + n v_3(3) - v_3(n!) \geq 0 + 0 + \dots + 0 + n + n(1) - \frac{n}{2-1} = n - \frac{n}{2-1} = \frac{n}{2}$.

Hence, $v_3(n^{\text{th}} \text{ term}) \geq \frac{n}{2}$ i.e. $v_3(n^{\text{th}} \text{ term}) \rightarrow \infty$ as $n \rightarrow \infty \Rightarrow$ series converges p -adically.

We now move on to prove the power series trick stated above.

Proof - Let $f(x) = \sum_{n=0}^{\infty} a_n x^n$, $g(x) = \sum_{m=0}^{\infty} b_m x^m$, $h(x) = \sum_{n=0}^{\infty} c_n x^n$. We know that for small $x \in \mathbb{R}$, $h(x) = f(g(x))$.

By uniqueness of power series expansions, $c_d = \sum_{m_1+\dots+m_n=d} a_n b_{m_1} b_{m_2} \dots b_{m_n}$.

Since the series converges p -adically, $\exists N$ s.t. if $n > N$, $m > N$, then $a_n, b_m \equiv 0 \pmod{p^a}$. Let $f_0(x) = \sum_{n=0}^N a_n x^n$, $g_0(x) = \sum_{m=0}^N b_m x^m$. These are polynomials, and $f_0(g_0(x)) = \sum c'_d x^d$ where $c'_d = \sum_{m_1+\dots+m_n=d} a_n b_{m_1} \dots b_{m_n}$, $0 \leq n \leq N$, $0 < m_i \leq N$.

The difference between c_d and c'_d is just some terms which are 0 mod p^a , i.e. $c_d \equiv c'_d \pmod{p^a}$, i.e. $h(x) \equiv \sum c'_d x^d \pmod{p^a}$.

then $h(x) \equiv \sum c'_d x^d \pmod{p^a} \equiv f_0(g_0(x)) \pmod{p^a}$ but $f_0(x) \equiv f(x)$, $g_0(x) \equiv g(x) \pmod{p^a}$, so $h(x) \equiv f(g(x)) \pmod{p^a}$, q.e.d.

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Definition Let p be an odd prime. We write $p\mathbb{Z}/p^n$ for the elements of \mathbb{Z}/p^n which are multiples of p .

e.g. $3\mathbb{Z}/3^2 = 3\mathbb{Z}/9 = \{0, 3, 6, \dots, 21, 24\}$. $p\mathbb{Z}/p^n$ is closed under $+$, and is an additive subgroup of \mathbb{Z}/p^n with p^{n-1} elements.

We write $1+p\mathbb{Z}/p^n$ for the elements $1+px$, where $x \in \mathbb{Z}/p^n$ i.e. these are the elements of \mathbb{Z}/p^n which are congruent to 1 mod p .

e.g. $1+3\mathbb{Z}/3^2 = \{1, 4, 7, 10, \dots, 22, 25\}$. $1+p\mathbb{Z}/p^n$ is closed under \times , and is a multiplicative subgroup of $(\mathbb{Z}/p^n)^\times$ with p^{n-1} elements.

We will eventually establish that $p\mathbb{Z}/p^n \cong 1+p\mathbb{Z}/p^n$.

Proposition For any odd prime p , the exponential power series $\exp(px) = 1 + px + \frac{(px)^2}{2!} + \frac{(px)^3}{3!} + \dots$ converges p -adically for any $x \in \mathbb{Z}_p$.

Proof - MIP: $v_p(\text{general term}) \rightarrow \infty$. So we have $v_p(\frac{p^m x^m}{m!}) = v_p(p^m) + v_p(x^m) - v_p(m!) = m v_p(p) + m v_p(x) - v_p(m!) \geq m - \frac{m}{p-1} = m(\frac{p-2}{p-1})$.

Since p is an odd prime, $p \neq 2$. $p \geq 3 \Rightarrow p-2 > 0$ i.e. $m(\frac{p-2}{p-1}) \rightarrow \infty$ as $m \rightarrow \infty$, q.e.d.

Now we consider the logarithmic series. Recall that $1+x+x^2+\dots = \frac{1}{1-x}$, $1-x+x^2-\dots = \frac{1}{1+x}$. Since $\int \frac{dx}{1+x} = \log(1+x)$, we integrate term by term: $\log(1+x) = x - \frac{x^2}{2} + \frac{x^3}{3} - \frac{x^4}{4} + \dots$

Proposition For any odd prime p , the $\log(1+x)$ power series $\log(1+px) = px - \frac{(px)^2}{2} + \frac{(px)^3}{3} - \frac{(px)^4}{4} + \dots$ converges p -adically $\forall x \in \mathbb{Z}_p$.

Proof - General term of series is $\frac{p^m x^m}{m}$. then $v_p(\frac{p^m x^m}{m}) = m v_p(p) + m v_p(x) - v_p(m)$. Suppose $v_p(x) = c$, then $p \nmid n$. $n = mp^c$. Take $\log p$ both sides:

$\log_p(n) = c \log_p(m) \Rightarrow c = \frac{\log_p(n)}{\log_p(m)} \leq \log_p(n)$. Hence, $v_p(\frac{p^m x^m}{m}) \geq m - \log_p(n) \rightarrow \infty$ as $m \rightarrow \infty$, q.e.d.

Lemma $p\mathbb{Z}/p^n \cong 1+p\mathbb{Z}/p^n$ are isomorphic, with isomorphism $px \mapsto \exp(px)$, $\log(1+py) \mapsto 1+py$.

Proof - We have already shown that power series for $\log(1+px)$ and $\exp(px)$ converge p -adically. Also, for small $x \in \mathbb{R}$, \exp and \log are inverse functions.

\therefore From power series trick, $\exp(\log(1+py)) \equiv (1+py) \pmod{p^n} \forall n$, $\log(\exp(px)) \equiv px \pmod{p^n} \forall n$. In particular, there is a bijection between them.

Only remains to show homomorphism: $\exp(px+py) \equiv \sum_{m=0}^{\infty} p^m \frac{(x+y)^m}{m!} \equiv \sum_{m=0}^N p^m \frac{(x+y)^m}{m!} \pmod{p^N}$ for N sufficiently large. So,

$\sum_{m=0}^N \frac{p^m}{m!} \sum_{a=0}^m \frac{m!}{a!(m-a)!} x^a y^{m-a} \pmod{p^N} \equiv \sum_{a=0}^N \frac{1}{a!} \sum_{b=0}^N \frac{1}{b!} (px)^a (py)^b$ for $b=m-a = \sum_{a=0}^N \frac{1}{a!} \sum_{b=0}^N \frac{1}{b!} (px)^a (py)^b = \exp(px) \exp(py) \pmod{p^N}$, q.e.d.

Remark: If $a \in \mathbb{Z}(p)$ and $a \equiv 1 \pmod{p}$, we can define a^b for all $b \in \mathbb{Z}(p)$ practically i.e. we can define this modulo p^n for every n .

Definition $a^b = \exp(b \log a)$ i.e. $a^b \equiv \exp(b \log a) \pmod{p^n} \forall n$.

Ex Expand mod 27, $\exp(3x)$ and $\log(1+3x)$. Check that $\log(\exp(3x)) \equiv 3x \pmod{27}$.

Soln. $27=3^3$. $\exp(3x) \equiv 1+3x+\frac{9}{2}x^2+\frac{27}{6}x^3 \equiv 1+3x+18x^2+18x^3 \pmod{27}$, $\log(1+3x) \equiv 3x-\frac{9x^2}{2}+\frac{27}{3}x^3 \equiv 3x+9x^2+9x^3 \pmod{27}$.

$\log(\exp(3x)) \equiv \log(1+3x+18x^2+18x^3) \equiv \log(1+3(x+6x^2+6x^3)) \equiv 3(x+6x^2+6x^3)+9(x+6x^2+6x^3)^2+9(x+6x^2+6x^3)^3 \pmod{27}$.

The expressions $(x+6x^2+6x^3)^2$ and $(x+6x^2+6x^3)^3$ are multiplied by 9. To find them mod 27, we only need to find them mod 3.

Expanding, only non-zero elements are x^2, x^3 , hence $\Rightarrow \log(\exp(3x)) \equiv 3x+18x^2+18x^3+9x^2+9x^3+0 \equiv 3x \pmod{27}$ q.e.d.

We can use this to calculate 4^x 3-adically: i.e. $4^x \pmod{27}$, as $4 \equiv 1 \pmod{3}$. We can use the result from the previous remark:

$\log(4) \equiv \log(1+3x) \equiv 3+9+21 \equiv -6 \pmod{27} \therefore 4^x \equiv \exp(x \log(4)) \equiv \exp(-6x) \equiv 1-6x+\frac{36}{2}x^2-\frac{216}{6}x^3 \equiv 1-6x+18x^2-9x^3 \pmod{27}$.

So, for example, when $x = \frac{1}{2}$, $1-\frac{6}{2}+\frac{9}{4}-\frac{9}{8} \equiv 1-3-\frac{9}{4}+\frac{9}{8} \equiv 1-3-9(\frac{1}{4}+\frac{1}{8}) \equiv 1-3 \equiv -2 \pmod{27}$. So $4^{\frac{1}{2}} \equiv -2 \pmod{27}$.

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Teichmüller lifts.

Last time we saw that $1+p\mathbb{Z}/p^n \cong p\mathbb{Z}/p^n \cong \mathbb{Z}/p^{n-1}$. So we understand completely the subgroup $1+p\mathbb{Z}/p^n$ or $(\mathbb{Z}/p^n)^*$.

Today we will find another group G s.t. $(\mathbb{Z}/p^n)^* \cong G \times (1+p\mathbb{Z}/p^n)$. G is called the group of **Teichmüller lifts**.

Let $x \in \mathbb{Z}(p)$ with $x \not\equiv 0 \pmod{p}$. By Fermat's little theorem, $x^{p-1} \equiv 1 \pmod{p}$. $\therefore x \equiv x^p \equiv (x^p)^p \equiv ((x^p)^p)^p \equiv \dots \equiv x \pmod{p}$. i.e. the sequence x, x^p, x^{p^2}, \dots is constant mod p . It will turn out that the sequence is eventually constant mod p^d for any d .

Definition The Teichmüller lift $T(x)$ of $x \pmod{p}$ is $T(x) \equiv x^{p^{d-1}} \pmod{p^d}$, i.e. $T(x) \in \mathbb{Z}/p^d$.

Note: We assume that p is an odd prime ($p \neq 2$) when discussing Teichmüller lifts.

Lemma Suppose $T(x) \equiv a \pmod{p^n}$. Then $T(x) \equiv a^p \pmod{p^{n+1}}$.

Proof - If $T(x) \equiv a \pmod{p^n}$, then $T(x) = a + p^n b$ for some b . $T(x) \equiv (a + p^n b)^p \pmod{p^{n+1}} \equiv a^p + p a^{p-1} p^n b + \dots \equiv a^p \pmod{p^{n+1}}$.

Ex Calculate $T(2) \pmod{125=5^3}$.

Soln. $T(2) \equiv 2^{5^{2-1}} \pmod{125} \equiv 2^5 \pmod{125} \equiv 32 \pmod{125} \equiv 7 \pmod{125} \therefore T(2) \equiv 7^5 \pmod{125}$ (by Lemma above) $\equiv (2+5)^5$

By Binomial theorem, $7^5 \equiv 2^5 + 5 \binom{5}{1} 2^4 \cdot 5 + 10 \binom{5}{2} (2^3) 5^2 + \dots \equiv 2^5 + 5 \cdot 2^4 \pmod{125}$ (take mod 5). $\equiv 32 + 5 \cdot 16 \equiv 32 + 80 \equiv 112 \equiv 7 \pmod{125}$.

Theorem Let p be an odd prime. Then

- ① $\forall r > n-1, T(x) \equiv x^{p^r} \pmod{p^n}$, ② $T(x)^{p-1} \equiv 1 \pmod{p^n}$ ③ $T(x)$ only depends on $x \pmod{p}$ and $T(x) \equiv x \pmod{p}$. ④ $T: \mathbb{F}_p^* \rightarrow (\mathbb{Z}/p^n)^*$ is an injective homomorphism.

Proof - By Euler's theorem, $\varphi(p^n) = (p-1)p^{n-1} \Rightarrow x^{(p-1)p^{n-1}} \equiv 1 \pmod{p^n} \Rightarrow T(x)^{p-1} \equiv 1 \pmod{p^n} \Rightarrow$ ② q.e.d. Consider that

$(x^{p^{n-1}})^{p-1} \equiv 1 \Rightarrow (x^{p^{n-1}})^p \equiv x^{p^{n-1}} \pmod{p^n}$. Then $x^{p^n} \equiv x^{p^{n-1}} \pmod{p^n} \Rightarrow$ ① q.e.d. Suppose $x \equiv y \pmod{p} \Rightarrow \frac{x}{y} \equiv 1 \pmod{p} \equiv \exp(pz) \pmod{p^n}$

$\frac{T(x)}{T(y)} \equiv \exp(pz) \pmod{p^n} \equiv \exp(p^{n-1}pz) \equiv \exp(p^n z) \equiv \exp(0 \cdot z) \equiv 1 \pmod{p^n} \Rightarrow T(x) = T(y) \Rightarrow T(x)$ only depends on $x \pmod{p}$ q.e.d.

$x \equiv x^p \equiv x^{p^2} \equiv \dots \equiv x^{p^{n-1}} \pmod{p}$ by Fermat's little theorem \Rightarrow ③ q.e.d. Finally, we show that T is a homomorphism:

$T(xy) = (xy)^{p^{n-1}} \equiv x^{p^{n-1}} y^{p^{n-1}} = T(x)T(y)$. For injectivity, suppose $T(x) \equiv T(y) \pmod{p^n} \therefore T(x) \equiv T(y) \pmod{p} \Rightarrow x \equiv y \pmod{p}$, by ③.

So $x \equiv y \pmod{p^n}$ q.e.d.

Ex We have already shown that $T(2) \equiv 7 \pmod{125}$. Find all Teichmüller lifts modulo 125.

Soln. $T(1) \equiv 1 \pmod{125}$, $T(2) \equiv 7 \pmod{125}$, $T(4) \equiv T(1) \equiv 1 \pmod{125}$, $T(3) \equiv T(4)T(2) \equiv -57 \equiv 68 \pmod{125}$.

$\frac{x \pmod{5}}{T(x) \pmod{125}} \begin{matrix} 1 & 2 & 3 & 4 \\ 1 & 7 & 68 & 124 \end{matrix}$ these form a subgroup of $(\mathbb{Z}/125)^*$. It is the image of $T: \mathbb{F}_5^* \rightarrow (\mathbb{Z}/125)^*$.

Corollary Let p be an odd prime. Every element $a \in (\mathbb{Z}/p^n)^*$ can be written uniquely as $a = T(x) \cdot \exp(py)$ for $x \in \mathbb{F}_p^*$, $y \in \mathbb{Z}/p^{n-1}$. i.e. $(\mathbb{Z}/p^n)^* \cong \mathbb{F}_p^* \times \mathbb{Z}/p^{n-1}$.

Proof - (Existence) Let $x \equiv a \pmod{p}$, $T(x) \equiv a \pmod{p}$. $a T(x)^{-1} \equiv 1 \pmod{p}$. Let $py = \log(a T(x)^{-1}) \pmod{p^n}$. $\therefore a = T(x) \exp(py)$.

(Uniqueness) Suppose $T(x) \exp(py) \equiv T(x') \exp(py')$ mod p^n . $\exp(py) \equiv \exp(py') \equiv 1 \pmod{p} \Rightarrow T(x) \equiv T(x') \pmod{p} \Rightarrow x \equiv x' \pmod{p}$

$\therefore \exp(py) \equiv \exp(py')$. Take logarithms: $\therefore py \equiv py' \pmod{p^n} \Rightarrow y \equiv y' \pmod{p^{n-1}}$ q.e.d.

Ex Write $22 \equiv T(x) \exp(py) \pmod{125}$. Then evaluate $22^{37} \pmod{125}$.

Soln. $22 \equiv T(x) \exp(py) \pmod{125} \Rightarrow 22 \equiv x \pmod{5}$. $22 \equiv T(2) \exp(py)$. $\exp(py) \equiv 22 \cdot [T(2)]^{-1} \equiv 22 T(2)^{-1} \equiv 22 T(3) \equiv 22 \cdot 68 \pmod{125}$

$\exp(py) \equiv 1496 \equiv 121 \equiv -4 \pmod{125}$. $py \equiv \log(-4) \equiv \log(1-5) \equiv -5 - \frac{25}{2} + \dots \equiv -5 - 25(3) \equiv -80 \pmod{125} \equiv 45$.

Then $22 \equiv T(2) \exp(45) \equiv T(2) \exp(5 \cdot 9)$. Therefore, we can calculate $22^{37} \equiv T(2)^{37} \exp(37 \cdot 5 \cdot 9) \equiv T(2) \exp[5 \cdot (37 \cdot 9)] \pmod{125}$

$\equiv T(2) \exp[5 \cdot 12 \cdot 9] \equiv T(2) \exp[5 \cdot 8] \equiv T(2) \exp(40) \equiv 57 \cdot (1+40 + \frac{160}{2}) \equiv 57 \cdot 841 \equiv 57 \cdot (-34) \equiv -1938 \equiv 62 \pmod{125}$ (take mod 25).

Definition A Gaussian integer is a complex number of the form $x+iy$ ($x, y \in \mathbb{Z}$). These form a ring, and we write $\mathbb{Z}[i]$ for this ring ($i^2 = -1$).

$\mathbb{Z}[i]$ is contained in the field $\mathbb{Q}[i] = \{x+iy : x, y \in \mathbb{Q}\}$.

We use the notation $N(x+iy) = x^2+y^2$ to denote the norm of $x+iy$. Note: $N(x+iy) = (x+iy)(x-iy)$, i.e. $N(\alpha) = \alpha\bar{\alpha} \forall \alpha \in \mathbb{Z}[i]$, where $\bar{\alpha}$ is the complex conjugate of α .

From this, we get $N(\alpha\beta) = N(\alpha)N(\beta)$. In general for a ring R , we write R^\times for the invertible elements in R i.e. $R^\times = \{x \in R : \exists y \in R \text{ s.t. } xy = 1\}$.

Lemma $\mathbb{Z}[i]^\times = \{1, i, -1, -i\}$.

Proof - suppose α is invertible, then $N(\alpha)N(\alpha^{-1}) = N(1) = 1$. But $N(\alpha), N(\alpha^{-1})$ are the integers: $N(\alpha) = N(\frac{1}{\alpha}) = 1$. If $\alpha = x+iy$, $N(\alpha) = x^2+y^2$, so $\alpha = \pm 1$ or $\pm i$. q.e.d.

Definition Let $\alpha, \beta \in \mathbb{Z}[i]$. An element $\gamma \in \mathbb{Z}[i]$ is a highest common factor of α and β if

- $\gamma | \alpha$ and $\gamma | \beta$ in $\mathbb{Z}[i]$ (i.e. $\frac{\alpha}{\gamma}, \frac{\beta}{\gamma} \in \mathbb{Z}[i]$), and
- if $\delta | \alpha, \delta | \beta$, then $N(\delta) \leq N(\gamma)$.

Remark: If γ is a highest common factor of α, β , then $i\gamma, -\gamma, -i\gamma$ are also highest common factors (i.e. there are four).

there is a version of the Euclidean algorithm for $\mathbb{Z}[i]$.

Lemma Let $\alpha, \beta \in \mathbb{Z}[i]$ ($\beta \neq 0$). Then $\exists Q, R \in \mathbb{Z}[i]$ s.t. $\alpha = Q\beta + R$ and $N(R) \leq \frac{1}{2}N(\beta)$.

Proof - let $\frac{\alpha}{\beta} = x+iy \in \mathbb{C}$. Choose integers a, b s.t. $|x-a| \leq \frac{1}{2}, |y-b| \leq \frac{1}{2}$. Clearly, a, b are well-defined. Let $Q = a+ib$.

$\frac{\alpha}{\beta} - Q = (x-a) + i(y-b)$. Then $N(\frac{\alpha}{\beta} - Q) = (x-a)^2 + (y-b)^2 \leq (\frac{1}{2})^2 + (\frac{1}{2})^2 = \frac{1}{2}$. Let $R = \alpha - Q\beta$. Then $N(R) = N(\beta)N(\frac{\alpha}{\beta} - Q) \leq \frac{1}{2}N(\beta)$ q.e.d.

Remark: If $\alpha = Q\beta + R$, then the common factors of α and β are the same as the common factors of β and R . $\therefore \text{hcf}(\alpha, \beta) = \text{hcf}(\beta, R)$.

Thus, we can calculate $\text{hcf}(\alpha, \beta)$ by an iterative process exactly as in \mathbb{Z} by Euclidean algorithm.

Ex Take $\alpha = 8+10i, \beta = 3+2i$. Find $\text{hcf}(\alpha, \beta)$.

Soln. $\frac{\alpha}{\beta} = \frac{(8+10i)(3-2i)}{(3+2i)(3-2i)} = \frac{1}{13} [24-16i+30i+20] = \frac{44}{13} + i\frac{14}{13}$. Take $Q = 3+i$. $R = \alpha - Q\beta = 8+10i - (3+i)(3+2i) = (8+10i) - (9+6i+3i+2) = (8+10i) - (11+9i) = -3+i$.

Then $R = 8+10i - (9+6i) = -1+i$. Hence, $(8+10i) = (3+i)(3+2i) + (-1+i)$. Then $\frac{3+2i}{-1+i} = \frac{(3+2i)(1-i)}{(1+i)(1-i)} = \frac{1}{2} (3-3i+2i+2) = \frac{5}{2} - \frac{1}{2}i$. Take $Q = 2$.

then $(3+2i) = 2(1+i) + 1$. Then $\frac{1+i}{1} = 1+i \Rightarrow (1+i) = (1+i)(1) + 0 \Rightarrow \text{hcf}(\alpha, \beta) = \text{hcf}(8+10i, 3+2i) = 1$. (or $i, -1, -i$).

Exactly as in \mathbb{Z} , we have a variant of Bezout's lemma:

Lemma $\exists H, K \in \mathbb{Z}[i]$ s.t. $\text{hcf}(\alpha, \beta) = H\alpha + K\beta$.

Ex (cont'd) Express $1 = H(8+10i) + K(3+2i)$, finding K and H .

Soln. $1 = (3+2i) - 2(1+i) = (3+2i) - 2((8+10i) - (3+i)(3+2i)) = (1+2(3+i))(3+2i) - 2(8+10i) = (7+2i)(3+2i) - 2(8+10i)$.

so $H = -2, K = 7+2i$.

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Definition An element π is called a Gaussian prime if

- ① $N(\pi) \geq 2$, ② If $\pi = \alpha\beta$ with $\alpha, \beta \in \mathbb{Z}[i]$, then $\alpha \in \mathbb{Z}[i]^\times$ or $\beta \in \mathbb{Z}[i]^\times$.

Lemma Let π be a Gaussian prime. Suppose $\pi | \alpha\beta$. Then $\pi | \alpha$ or $\pi | \beta$.

Proof - Assume $\pi \nmid \alpha$, then $\text{hcf}(\pi, \alpha) = 1$. $\exists H, K \in \mathbb{Z}[i]$ s.t. $1 = H\pi + K\alpha$. $\therefore \beta = H\pi\beta + K\alpha\beta$. Since $\pi | \alpha\beta$, $\pi | \text{RHS} \Rightarrow \pi | \text{LHS} \Rightarrow \pi | 1$ q.e.d.

Using this, we can also prove:

Theorem (Uniqueness of Factorisation).

Let $\alpha \in \mathbb{Z}[i], \alpha \neq 0$. Then \exists Gaussian primes π_1, \dots, π_n s.t. $\alpha = \pi_1 \dots \pi_n$. If $\alpha = \varphi_1 \dots \varphi_m$ (φ_i prime) then $n=m$ and

we can reorder the φ_i s.t. $\frac{\pi_i}{\varphi_i} \in \mathbb{Z}[i]^\times$

Lemma Let π be any Gaussian prime. Then \exists unique prime $p \in \mathbb{Z}$ s.t. $\pi | p$.

Proof - let $n = \pi \bar{\pi} = N(\pi)$. $\therefore \pi | n$ but $n \in \mathbb{Z}$, so we can factorise n into primes in \mathbb{Z} . $n = p_1 \dots p_r$. By the lemma, $\pi | p_i$ for some i .

For uniqueness, suppose $\pi | p$ and $\pi | q$ with p, q coprime. $\text{hcf}(p, q) = 1$. $1 = hp + kq$ for some $h, k \in \mathbb{Z}$. $\therefore \pi | hp + kq = 1 \Rightarrow$ contradiction q.e.d.

This tells us that in order to find all the Gaussian primes, we need to factorise each prime $p \in \mathbb{Z}$ into Gaussian primes.

Suppose p is a prime with \mathbb{Z} ; with a factorisation $p = \pi_1 \dots \pi_r$ into Gaussian primes.

$N(p) = p^2 \therefore p^2 = N(\pi_1)N(\pi_2) \dots N(\pi_r)$, $N(\pi_i) \geq 2$. This then shows one of three cases:

- ① $p = \pi_1 \pi_2$ with $N(\pi_1) = N(\pi_2) = p$ and $\frac{\pi_1}{\pi_2} \notin \mathbb{Z}[i]^\times$ p is split in $\mathbb{Z}[i]$
- ② p is a Gaussian prime itself with norm p^2 . p is inert in $\mathbb{Z}[i]$
- ③ $p = \pi^2$ where π is a Gaussian prime with norm p and $n \in \mathbb{Z}[i]^\times$. p is ramified in $\mathbb{Z}[i]$

For example: $2 = -i(1+i)^2$ (2 is ramified). 3 is inert (does not factorise). $5 = (2+i)(2-i)$, so 5 is split in $\mathbb{Z}[i]$.

Theorem (Decomposition theorem for Gaussian integers)

① $2 = (-i)(1+i)^2$, so 2 is ramified. ② If $p \equiv 1 \pmod{4}$, p is split in $\mathbb{Z}[i]$. ③ If $p \equiv 3 \pmod{4}$, p is inert in $\mathbb{Z}[i]$.

e.g. $37 \equiv 1 \pmod{4}$: $37 = (6+i)(6-i)$. $41 \equiv 1 \pmod{4}$: $41 = (5+4i)(5-4i)$.

Proof - ① is clearly true. For ②, suppose $p \equiv 1 \pmod{4}$. Suppose \exists Gaussian prime of norm p .

$$\pi = x+iy \Rightarrow x^2+y^2 = p, \quad x^2+y^2 \equiv 3 \pmod{4}. \quad \text{But the squares mod 4 are } 0^2 \equiv 0, 1^2 \equiv 1, 2^2 \equiv 0, 3^2 \equiv 1 \pmod{4}.$$

Hence, $x^2+y^2 = N = 3 \Rightarrow$ contradiction. For ③, suppose $p \equiv 1 \pmod{4}$ by quadratic reciprocity. -1 is a quadratic residue modulo p .

Choose $a \in \mathbb{Z}$ s.t. $a^2 \equiv -1 \pmod{p}$. i.e. $a^2+1 \equiv 0 \pmod{p}$. Let $\alpha = a+i$. Then $\alpha\bar{\alpha} = a^2+1 \equiv 0 \pmod{p}$. So $p \mid \alpha\bar{\alpha}$.

Let $\pi = \text{hcf}(\alpha, p)$, $\bar{\pi} = \text{hcf}(\bar{\alpha}, p)$. Since $\pi \bar{\pi} = p$, we know $N(\pi) \mid p^2 \therefore N(\pi) = 1$ or p or p^2 .

α and $\bar{\alpha}$ are not both coprime to $p \therefore p \mid \alpha\bar{\alpha}$, so either π or $\bar{\pi}$ is not in $\mathbb{Z}[i]^{\times} \therefore$ neither π nor $\bar{\pi}$ are in $\mathbb{Z}[i]^{\times}$.

If $N(\pi) = p^2$ and $\pi \bar{\pi} = p$, then $p/\pi \in \mathbb{Z}[i]^{\times}$ since its norm is 1. $\therefore p \mid \alpha \Rightarrow a+i \mid \alpha \Rightarrow$ clearly contradiction. $\therefore N(\pi) = p, p = \pi\bar{\pi}$.

Remains to check that $\frac{\pi}{\bar{\pi}} \notin \mathbb{Z}[i]^{\times}$, i.e. π and $\bar{\pi}$ are coprime. Suppose $\beta \mid \pi$ and $\beta \mid \bar{\pi}$. $\therefore \beta \mid \alpha, \beta \mid \bar{\alpha}, \beta \mid p$.

$\therefore \beta \mid \alpha - \bar{\alpha} = 2i \Rightarrow \beta \mid 2$. Choose h, k s.t. $1 = 2h + pk \therefore \text{hcf}(2, p) = 1 \therefore \beta \mid 2, \beta \mid p \Rightarrow \beta \mid 1 \therefore \text{hcf}(\pi, \bar{\pi}) = 1$ q.e.d.

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sums of two squares.

Some numbers can be written as a sum of two squares: $1 = 1^2 + 0^2, 2 = 1^2 + 1^2, 4 = 2^2 + 0^2, 5 = 2^2 + 1^2, 8 = 2^2 + 2^2, 9 = 3^2 + 0^2, 10 = 3^2 + 1^2$.

Some cannot: e.g. 3, 6, 7. Which integers can be expressed as the sum of two squares: $a^2 + b^2, a, b \in \mathbb{Z}$?

We recall the decomposition theorem for the Gaussian integers: $N(1+i) = 2, N(\pi) = p$ etc...

The question above is equivalent to - which integers n are of the form $n = N(x+iy) = x^2 + y^2$?

For instance, if p is a prime s.t. $p \equiv 3 \pmod{4}$, then there is no element of $\mathbb{Z}[i]$ with norm 3. $\therefore 3$ is not a sum of two squares.

Theorem (Two Squares Theorem).

Let n be a positive integer, $n = p_1^{a_1} p_2^{a_2} \dots p_r^{a_r}$ (p_i are distinct primes). Then the following are equivalent:

① n is a sum of two squares, ② for each prime $p_i \equiv 3 \pmod{4}$, the power of a_i is even.

Proof - ① \Rightarrow ② Assume $n = x^2 + y^2 = N(x+iy) = N(\alpha)$ where $\alpha = x+iy$. Factorise α into Gaussian primes: $\alpha = \pi_1 \pi_2 \dots \pi_d$. Then $n = N(\alpha)$, and

$$n = N(\alpha) = N(\pi_1) N(\pi_2) \dots N(\pi_d). \quad \text{Then } N(\pi_i) = \begin{cases} p_i^2, & \text{where } p_i \equiv 1 \pmod{4} \\ p_i, & \text{where } p_i \equiv 3 \pmod{4} \end{cases} \therefore \text{if } p_i \equiv 3 \pmod{4}, \text{ then power of } p_i \text{ in } n \text{ must be even.}$$

② \Rightarrow ① Define Gaussian integer α_i depending on $p_i^{a_i}$. If $p_i \equiv 1 \pmod{4}$ or $p_i = 2$, then \exists a Gaussian prime π_i of norm p_i . Let $\alpha_i = \pi_i^{a_i}$.

$\therefore N(\alpha_i) = p_i^{a_i}$. If $p_i \equiv 3 \pmod{4}$, then a_i is even $\Rightarrow \alpha_i$ is then $\alpha_i = p_i^{a_i/2} \in \mathbb{Z}[i]$. $N(\alpha_i) = p_i^{a_i}$. Let $\alpha = \alpha_1 \dots \alpha_r$.

Then $N(\alpha) = N(\alpha_1) \dots N(\alpha_r) = p_1^{a_1} \dots p_r^{a_r} = n \therefore n$ is a sum of two squares.

The proof shows how to write n as a sum of two squares.

Ex Write 585 as a sum of two squares, if possible.

Soln. $585 = 5 \times 3^2 \times 13$. Since power of 3 is even, 585 is a sum of two squares. $5 = 2^2 + 1^2 = (2+i)(2-i), 13 = 3^2 + 2^2 = (3+2i)(3-2i)$.

Let $\alpha = (2+i)3(3+2i)$ or $(2-i)3(3+2i)$ or $(2+i)3(3-2i)$ or $(2-i)3(3-2i)$. Each of these elements α has norm $5 \times 9 \times 13 = 585$.

We have $\alpha = 12+21i, 12-21i, 24-3i, 24+3i$. Thus, $585 = 2^2 + 12^2 = 24^2 + 3^2$.

Chapter 6.

CONTINUED FRACTIONS and PELL'S EQUATION.

Definition A finite continued fraction is $a_0 + \frac{1}{a_1 + \frac{1}{a_2 + \dots + \frac{1}{a_n}}}$ where $a_i \in \mathbb{Z}$ and $a_i > 0$ for $i=1, \dots, n$. We write this as $[a_0, a_1, \dots, a_n]$.

Ex Find $[1, 2, 3, 2]$ in simplified form.

$$\text{Soln. } [1, 2, 3, 2] = 1 + \frac{1}{2 + \frac{1}{3 + \frac{1}{2}}} = 1 + \frac{1}{2 + \frac{1}{\frac{7}{2}}} = 1 + \frac{1}{2 + \frac{2}{7}} = 1 + \frac{1}{\frac{16}{7}} = 1 + \frac{7}{16} = \frac{23}{16}.$$

obviously, every finite continued fraction is a rational number. the converse is also true: every rational number is a finite continued fraction.

Ex Express $\frac{89}{35}$ as a continued fraction.

Soln. Go through Euclidean algorithm with 89, 35. $89 = 2 \cdot 35 + 19, 35 = 1 \cdot 19 + 16, 19 = 1 \cdot 16 + 3, 16 = 5 \cdot 3 + 1, 3 = 3 \cdot 1 + 0$.

We rewrite this as $\frac{89}{35} = 2 + \frac{19}{35}, \frac{35}{19} = 1 + \frac{16}{19}, \frac{19}{16} = 1 + \frac{3}{16}, \frac{16}{3} = 5 + \frac{1}{3} \therefore \frac{89}{35} = 2 + \frac{19}{35} = 2 + \left(\frac{35}{19}\right)^{-1} = 2 + \left(1 + \frac{16}{19}\right)^{-1} = 2 + \left(1 + \left(1 + \frac{3}{16}\right)^{-1}\right)^{-1}$

$$\therefore \frac{89}{35} = 2 + \left[1 + \left(1 + \left[5 + \frac{1}{3}\right]^{-1}\right)^{-1}\right]^{-1} = 2 + \frac{1}{1 + \frac{1}{5 + \frac{1}{3}}} = [2, 1, 1, 5, 3]_{\#}$$

Note: We can perform these more quickly by noting that $[2, 1, 1, 5, 3]$ are the coefficients in the Euclidean algorithm process.

Ex Express $\frac{102}{47}$ as a continued fraction.

Soln. $102 = 2 \times 47 + 8, \quad 47 = 5 \times 8 + 7, \quad 8 = 1 \times 7 + 1, \quad 7 = 7 \times 1 + 0 \Rightarrow \frac{102}{47} = [2, 5, 1, 7]_f$.

Suppose we have a sequence of integers a_0, a_1, a_2, \dots with $a_i > 0$ for all $i > 0$. For every n , we have a finite continued fraction $x_n = [a_0, a_1, \dots, a_n]$. The infinite continued fraction $[a_0, a_1, a_2, \dots]$ is the limit of x_n as $n \rightarrow \infty$.

We will prove that this limit exists. $x_0 = [a_0] = a_0, \quad x_1 = [a_0, a_1] = a_0 + \frac{1}{a_1} = \frac{a_0 a_1 + 1}{a_1}, \quad x_2 = [a_0, a_1, a_2] = a_0 + \frac{1}{a_1 + \frac{1}{a_2}} = a_0 + \frac{1}{\frac{a_1 a_2 + 1}{a_2}} = a_0 + \frac{a_2}{a_1 a_2 + 1}$.

$x_2 = \frac{a_2(a_0 a_1 + 1) + a_0}{a_1 a_2 + 1}$. Define two sequences of integers h_n, k_n by $h_0 = a_0, \quad h_1 = a_0 a_1 + 1, \dots, \quad h_n = a_n h_{n-1} + h_{n-2} \quad (n \geq 2)$.

$k_0 = 1, \quad k_1 = a_1, \quad k_n = a_n k_{n-1} + k_{n-2}$.

Lemma $[a_0, \dots, a_n] = \frac{h_n}{k_n}$. More generally for $d \in \mathbb{R}, \quad [a_0, \dots, a_n, d] = \frac{h_n d + h_{n-1}}{k_n d + k_{n-1}}$ where $[a_0, \dots, a_n, d] = a_0 + \frac{1}{a_1 + \frac{1}{\dots + \frac{1}{a_n + \frac{1}{d}}}}$.
Proof - By induction on n . We can check this for $n=0, n=1$. Assume true for $n-1$. Then $[a_0, \dots, a_n, d] = [a_0, \dots, a_{n-1}, d] = \frac{h_{n-1} d + h_{n-2}}{k_{n-1} d + k_{n-2}}$
 (true by inductive hypothesis) $= \frac{h_{n-1} a_n d + h_{n-1} + h_{n-2} d}{k_{n-1} a_n d + k_{n-1} + k_{n-2} d} = \frac{(a_n h_{n-1} + h_{n-2}) d + h_{n-1}}{(a_n k_{n-1} + k_{n-2}) d + k_{n-1}} = \frac{h_n d + h_{n-1}}{k_n d + k_{n-1}}$ (by definition), q.e.d.
 Take limits: $[a_0, \dots, a_n] = \lim_{d \rightarrow \infty} [a_0, \dots, a_n, \frac{1}{d}] = \lim_{d \rightarrow \infty} \frac{h_n d + h_{n-1}}{k_n d + k_{n-1}} = \frac{h_n}{k_n}$ q.e.d.

Lemma h_n, k_n are coprime, and $h_{n+1} k_n - h_n k_{n+1} = (-1)^n$.

Proof - The formula implies that h_n, k_n are coprime, if it holds. We just prove the formula: we can check this for $n=0,1$. Assume true for $n-1$.

then $h_{n+1} k_n - h_n k_{n+1} = (a_{n+1} h_n + h_{n-1}) k_n - h_n (a_{n+1} k_n + k_{n-1}) = h_{n-1} k_n - h_n k_{n-1} = (-1)^{n-1} [h_n k_{n-1} - h_{n-1} k_n] = (-1)^{n-1} \cdot (-1) = (-1)^n$ q.e.d.

Theorem The numbers $x_n = \frac{h_n}{k_n} = [a_0, \dots, a_n]$ converge to a limit $x \in \mathbb{R}$. The limit x lies between x_n and x_{n+1} ; and $|x - x_n| \leq \frac{1}{k_n k_{n+1}} < \frac{1}{k_n^2}$.

Pell's equation: let $d \in \mathbb{N}$, not a square. Pell's equation is $x^2 - dy^2 = 1$. Solution corresponds to invertible elements in $\mathbb{Z}[\sqrt{d}] = \{x + y\sqrt{d} : x, y \in \mathbb{Z}\}$.

the method for solving Pell's equation involves continued fractions: $(\frac{x}{y})^2 - d = \frac{1}{y^2}$, so $\frac{x}{y}$ is approximately \sqrt{d} .

To find rational numbers close to \sqrt{d} , we write \sqrt{d} as an infinite continued fraction: $\sqrt{d} = [a_0, a_1, \dots]$. The solutions will be (h_n, k_n) i.e. $x = h_n, y = k_n$.

(of thm).
Proof - $x_{n+1} - x_n = \frac{h_{n+1}}{k_{n+1}} - \frac{h_n}{k_n} = \frac{h_{n+1} k_n - h_n k_{n+1}}{k_n k_{n+1}} = \frac{(-1)^n}{k_n k_{n+1}}$ by the lemma. Then $x_n = x_0 + (x_1 - x_0) + (x_2 - x_1) + \dots + (x_n - x_{n-1})$
 $\Rightarrow x_n = x_0 + \frac{1}{k_0 k_1} + \frac{1}{k_1 k_2} + \dots + \frac{1}{k_{n-1} k_n}$, so $\lim_{n \rightarrow \infty} x_n = x_0 + \sum_{i=0}^{\infty} \frac{(-1)^i}{k_i k_{i+1}}$. This converges by alternating series test. $\therefore \lim_{n \rightarrow \infty} x_n$ also converges, q.e.d.
 Also by alternating series test, $\sum_{n=0}^{\infty} \frac{(-1)^n}{k_n k_{n+1}}$ is between $\sum_{n=0}^N \frac{(-1)^n}{k_n k_{n+1}}$ and $\sum_{n=0}^{N+1} \frac{(-1)^n}{k_n k_{n+1}}$. (Equivalently, $x \in (x_n, x_{n+1})$.)
 $\therefore |x - x_n| < |x_{n+1} - x_n| = \frac{1}{k_n k_{n+1}} < \frac{1}{k_n^2}$, q.e.d.

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Ex let $x = [1, 2, 1, 2, 1, 2, \dots]$. calculate x .

Soln. We can write $x = 1 + \frac{1}{2+x}$ since the pattern just repeats. then just solving for x , we get $x = 1 + \frac{1}{2+x} = 1 + \frac{x}{2x+1} = \frac{2x+1+x}{2x+1}$
 $\Rightarrow x(2x+1) = 3x+1 \Rightarrow 2x^2 + x - 3x - 1 = 0 \Rightarrow 2x^2 - 2x - 1 = 0 \Rightarrow x = \frac{2 \pm \sqrt{4+8}}{4} = \frac{1 \pm \sqrt{3}}{2}$. Since x is clearly positive, we choose the solution, $x = \frac{1 + \sqrt{3}}{2}$.

Remark: if we have any finite periodic continued fraction, then it is always of the form $a + b\sqrt{d}$ where $a, b \in \mathbb{Q}, d \in \mathbb{Z}$. We can calculate them by the same method.

Proposition if $d \in \mathbb{R} \setminus \mathbb{Q}$, then \exists a sequence of integers $a_0, a_1, a_2, \dots \in \mathbb{Z}$, with $a_n > 0$ for $n > 0$, st. $d = [a_0, a_1, a_2, \dots]$.

Proof - We define sequences $a_n \in \mathbb{R}, a_n \in \mathbb{Z}$ st. $d_0 = d, \quad d_{n+1} = \frac{1}{d_n - a_n}$. Note that $0 < d_n - a_n < 1$ and so $d_{n+1} > 1 \Rightarrow a_{n+1} = \lfloor d_{n+1} \rfloor \geq 1$.

Now write $d = d_0 = a_0 + (d_0 - a_0) = a_0 + \frac{1}{\frac{1}{d_0 - a_0}} = a_0 + \frac{1}{a_1 + \frac{1}{d_1}}$ etc.

In general, $x = [a_0, a_1, \dots, a_n, d_{n+1}]$ for any n . We want to prove that $[a_0, a_1, \dots, a_n] \rightarrow d$ as $n \rightarrow \infty$. It suffices to show that d lies between $[a_0, \dots, a_n]$ and $[a_0, \dots, a_{n+1}]$.

$0 < a_n < d_n \therefore 0 < \frac{1}{d_n} < \frac{1}{a_n} \therefore a_{n+1} < a_{n+1} + \frac{1}{d_n} < a_{n+1} + \frac{1}{a_n}$ where $a_{n+1} = a_0 + \frac{1}{a_1 + \frac{1}{\dots + \frac{1}{a_n + \frac{1}{d_{n+1}}}}}$, $a_n = a_0 + \frac{1}{a_1 + \frac{1}{\dots + \frac{1}{a_n}}}$
 and clearly $a_{n+1} + \frac{1}{d_n} = a_0 + \frac{1}{a_1 + \frac{1}{\dots + \frac{1}{a_n + \frac{1}{d_{n+1}}}}}$ is between them. But it is equal to d , so we have that $d \in ([a_0, \dots, a_n], [a_0, \dots, a_{n+1}])$.

the proof of this gives us an algorithm to approach this: finding the continued fraction expansion of an irrational number d .

1. Define $a_n = \lfloor d_n \rfloor, \quad d_{n+1} = \frac{1}{d_n - a_n}, \quad d_0 = d$ and $a_0 = \lfloor d \rfloor$.
2. Find the terms of the sequence a_n (not always easy! possible compute as many as needed if a pattern seems to be emerging).
3. Then $d = [a_0, a_1, a_2, \dots]$

Ex Find the continued fraction of $\alpha = \sqrt{2}$.

Soln. We write $\alpha_0 = \sqrt{2}, \quad a_0 = \lfloor \sqrt{2} \rfloor = 1$ and define $a_n = \lfloor \alpha_n \rfloor, \quad \alpha_{n+1} = \frac{1}{\alpha_n - a_n}$. Then $\alpha_1 = \frac{1}{\sqrt{2} - 1} = \frac{\sqrt{2} + 1}{(\sqrt{2} - 1)(\sqrt{2} + 1)} = \sqrt{2} + 1 \Rightarrow a_1 = \lfloor \sqrt{2} + 1 \rfloor = 2$.
 $\alpha_2 = \frac{1}{\alpha_1 - a_1} = \frac{1}{\sqrt{2} + 1 - 2} = \sqrt{2} + 1 = a_1 \Rightarrow a_2 = 2 = a_1$. Continuing in this way, we get $a_3 = 2, \quad a_n = 2 \forall n \geq 1$, so $\sqrt{2} = [1, 2, 2, 2, \dots] = [1, \overline{2}]_f$

Further Exercise: Find a continued fraction expression for $2^{\frac{1}{3}}$.

Pell's Equation: $x^2 - dy^2 = 1$.

let $d=2$. Then $x^2 - 2y^2 = 1 \Rightarrow (\frac{x}{y})^2 - 2 = \frac{1}{y^2}$. Then if $x^2 - 2y^2 = 1$, we get by dividing by y^2 : $(\frac{x}{y})^2 - 2 = \frac{1}{y^2}$. If y is very big, $\frac{1}{y^2}$ is negligible so $\frac{x}{y} \approx \sqrt{2}$. But we find $\frac{h_n}{k_n} \in \mathbb{Q}$ st. $\frac{h_n}{k_n} \rightarrow \sqrt{2}$, where $\frac{h_n}{k_n} = [1, 2, 2, 2, \dots]$.

We can now find solutions of Pell's equation, i.e. pairs (x, y) which satisfy $x^2 - 2y^2 = 1$.

- $\frac{h_0}{k_0} = [1] = \frac{1}{1}$, $1^2 - 2 \cdot 1^2 = -1 \neq 1$, not a solution.
- $\frac{h_1}{k_1} = [1, 2] = 1 + \frac{1}{2} = \frac{3}{2}$. $3^2 - 2 \cdot 2^2 = 1 \Rightarrow (3, 2)$ is a solution.
- $\frac{h_2}{k_2} = [1, 2, 2] = 1 + \frac{1}{2 + \frac{1}{2}} = 1 + \frac{1}{\frac{5}{2}} = \frac{7}{5}$. $7^2 - 2 \cdot 5^2 = -1 \Rightarrow$ not a solution.
- $\frac{h_3}{k_3} = [1, 2, 2, 2] = 1 + \frac{1}{2 + \frac{1}{2 + \frac{1}{2}}} = 1 + \frac{1}{2 + \frac{1}{\frac{5}{2}}} = \frac{17}{12}$. $17^2 - 2 \cdot 12^2 = 289 - 288 = 1 \Rightarrow$ so $(17, 12)$ is a solution.

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Best approximations.

let α be an irrational real number. We can write α as an infinite continued fraction: $\alpha = [a_0, a_1, a_2, \dots]$ $a_n \in \mathbb{Z}$, $a_n > 0$ if $n > 0$.

consider $\frac{h_n}{k_n} = [a_0, \dots, a_n]$: this is the n^{th} convergent to α ; $\frac{h_n}{k_n} \rightarrow \alpha$. $|\alpha - \frac{h_n}{k_n}| < \frac{1}{k_n^2}$. α is between $\frac{h_n}{k_n}$ and $\frac{h_{n+1}}{k_{n+1}}$.

$h_0 = a_0$, $h_1 = a_1 a_0 + 1$, ..., $h_n = a_n h_{n-1} + h_{n-2}$. $k_0 = 1$, $k_1 = a_1$, $k_n = a_n k_{n-1} + k_{n-2}$. k_n is an increasing sequence of positive integers.

hcf $(h_n, k_n) = 1$: $h_{n+1} k_n - h_n k_{n+1} = (-1)^n$.

Definition A rational number $\frac{a}{b}$ is called a best approximation to α if for all rational numbers $\frac{c}{d}$ if $|\alpha - \frac{c}{d}| < |\alpha - \frac{a}{b}| \Rightarrow d > b$.

For instance, 3 and $\frac{22}{7}$ are best approximations to π .

Theorem $\forall n$, $\frac{h_n}{k_n}$ is a best approximation to α .

Lemma suppose $\frac{a}{b} \in \mathbb{Q}$, $\frac{a}{b} \neq \frac{h_n}{k_n}$, $0 < b < k_{n+1}$. then $|b\alpha - a| > |k_n \alpha - h_n|$.

Proof - consider the simultaneous equations $h_n x + h_{n+1} y = a$; $k_n x + k_{n+1} y = b$. since $\det \begin{pmatrix} h_n & h_{n+1} \\ k_n & k_{n+1} \end{pmatrix} = \pm 1 \neq 0$, unique solution exists:

i.e. $\exists (x, y)$, $x, y \in \mathbb{Z}$ that solves this system. Neither x nor y is 0. $\therefore \frac{a}{b} \neq \frac{h_n}{k_n}$, $\frac{a}{b} \neq \frac{h_{n+1}}{k_{n+1}}$.

Also, x and y have opposite signs. this follows from second equation, because $0 < b < k_{n+1}$. $\alpha - \frac{h_n}{k_n}$ and $\alpha - \frac{h_{n+1}}{k_{n+1}}$ have opposite signs ($\therefore \alpha$ is between them). $|b\alpha - a| = |(k_n x + k_{n+1} y)\alpha - (h_n x + h_{n+1} y)| = |x(k_n \alpha - h_n) + y(k_{n+1} \alpha - h_{n+1})|$. By parity, $|b\alpha - a| = |x||k_n \alpha - h_n| + |y||k_{n+1} \alpha - h_{n+1}|$.

$\therefore x(k_n \alpha - h_n)$ and $y(k_{n+1} \alpha - h_{n+1})$ have the same sign $\Rightarrow |b\alpha - a| > |k_n \alpha - h_n|$.

(thm). Proof - chose $\frac{a}{b}$ with $0 < b \leq k_n$. if $\frac{a}{b} \neq \frac{h_n}{k_n}$, then NTP: $|\alpha - \frac{a}{b}| > |\alpha - \frac{h_n}{k_n}|$. By the lemma, $|b\alpha - a| > |k_n \alpha - h_n|$. Then

$$|\alpha - \frac{a}{b}| > \frac{k_n}{b} |\alpha - \frac{h_n}{k_n}| \geq |\alpha - \frac{h_n}{k_n}| \text{ q.e.d.}$$

Proposition suppose $\frac{a}{b} \in \mathbb{Q}$ with $|\alpha - \frac{a}{b}| < \frac{1}{2b^2}$. then $\frac{a}{b}$ is one of the convergents $\frac{h_n}{k_n}$.

Proof - Assume $\frac{a}{b}$ is not one of the convergents. The denominators k_n are increasing so $\exists n$ st. $k_n \leq b < k_{n+1}$; and also $\frac{a}{b} \neq \frac{h_n}{k_n}$.

\therefore By the lemma, $|b\alpha - a| > |k_n \alpha - h_n|$. $\therefore |\alpha - \frac{h_n}{k_n}| < \frac{1}{k_n} |b\alpha - a| < \frac{1}{2bk_n}$. $\frac{a}{b} \neq \frac{h_n}{k_n} \Rightarrow |\alpha - \frac{h_n}{k_n}| \geq \frac{1}{bk_n}$

$\frac{1}{bk_n} \leq |\alpha - \frac{h_n}{k_n}| = |(\frac{a}{b} - \alpha) + (\alpha - \frac{h_n}{k_n})| \leq |\frac{a}{b} - \alpha| + |\alpha - \frac{h_n}{k_n}| < \frac{1}{2b^2} + \frac{1}{2bk_n} \leq \frac{1}{bk_n}$. $\therefore \frac{1}{bk_n} < \frac{1}{bk_n} \Rightarrow$ contradiction q.e.d.

Pell's equation.

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Let d be a positive integer, non-square. Pell's equation is $x^2 - dy^2 = 1$. let $\mathbb{Z}[\sqrt{d}] = \{x + y\sqrt{d} : x, y \in \mathbb{Z}\}$, $\mathbb{Z}[\sqrt{d}]$ is a ring (closed under $+$, \times , $-$).

We define $N(x + y\sqrt{d}) = x^2 - dy^2$. Finding solutions to Pell's equation is equivalent to finding elements of norm 1 in $\mathbb{Z}[\sqrt{d}]$.

Lemma if $\alpha, \beta \in \mathbb{Z}[\sqrt{d}]$ then $N(\alpha\beta) = N(\alpha)N(\beta)$.

Proof - suppose $\alpha = a + b\sqrt{d}$, $\beta = x + y\sqrt{d}$. $\therefore \alpha\beta = (ax + byd) + \sqrt{d}(ay + bx)$. $N(\alpha\beta) = (ax + byd)^2 - d(ay + bx)^2$. $N(\alpha) = a^2 - db^2$, $N(\beta) = x^2 - dy^2$.

$N(\alpha)N(\beta) = a^2x^2 - da^2y^2 - db^2x^2 + d^2b^2y^2$. $N(\alpha\beta) = a^2x^2 + 2abxyd + b^2y^2d^2 - da^2y^2 - 2dabxy - db^2x^2$. $\therefore N(\alpha\beta) = N(\alpha)N(\beta)$ q.e.d.

Corollary The elements of $\mathbb{Z}[\sqrt{d}]$ with norm 1 form a group with operation \times .

Proof - suppose α, β have norm 1, $N(\alpha\beta) = N(\alpha)N(\beta) = 1 \times 1 = 1$. \therefore elements of norm 1 are closed under \times . If $\alpha = x + y\sqrt{d}$, $N(\alpha) = 1$.

$\therefore (x + y\sqrt{d})(x - y\sqrt{d}) = 1$. $\therefore \frac{1}{\alpha} = x - y\sqrt{d}$. this also has norm 1 q.e.d.

$x^2 - dy^2 = 1$: the solutions $(1, 0)$ and $(-1, 0)$ are called the trivial solutions. The fundamental solution is the smallest non-trivial solution with $x, y > 0$.

Corollary let (x_0, y_0) be the fundamental solution to Pell's equation. then every element of $\mathbb{Z}[\sqrt{d}]$ with norm 1 has the form $\pm(x_0 + y_0\sqrt{d})^n$, $n \in \mathbb{Z}$.

e.g. $d=3$, $x^2 - 3y^2 = 1$. $(2, 1)$ is the fundamental solution: $2 + \sqrt{3}$ has norm 1. $(2 + \sqrt{3})^2 = 8 + 3 \times 4 \times \sqrt{3} + 3 \times 2 \times 3 + 3\sqrt{3} = 26 + 15\sqrt{3}$ has norm 1.

$\therefore (26, 15)$ is another solution to Pell's equation.

Proof - let $\alpha = x_0 + y_0\sqrt{d}$. then α is the smallest element of norm 1, which is > 1 . let β be any element of norm 1 ($\beta > 0$). For some $n \in \mathbb{Z}$,

$\alpha^n \leq \beta \leq \alpha^{n+1}$. $\therefore 1 \leq \frac{\beta}{\alpha^n} < \alpha \Rightarrow \frac{\beta}{\alpha^n}$ has norm 1. $\therefore \frac{\beta}{\alpha^n} = 1$. $\therefore \beta = \alpha^n$. if $\beta < 0$, do some thing with $-\beta$.

Theorem Let α be an irrational number. Suppose $\frac{a}{b}$ is a rational number with $|\alpha - \frac{a}{b}| < \frac{1}{2b^2}$. Then $\frac{a}{b} = [a_0, a_1, \dots, a_n]$ where $\alpha = [a_0, a_1, a_2, \dots]$

Corollary Suppose x, y is a solution to Pell's equation with $x, y > 0$. Then $\frac{x}{y} = [a_0, \dots, a_n]$ for some n , where $\sqrt{d} = [a_0, a_1, a_2, \dots]$

Proof - $x^2 - dy^2 = 1 \Rightarrow (x+y\sqrt{d})(x-y\sqrt{d}) = 1$. $\therefore x-y\sqrt{d} = \frac{1}{x+y\sqrt{d}}$. $\therefore \frac{x}{y} - \sqrt{d} = \frac{1}{xy+y^2\sqrt{d}}$. $\therefore |\frac{x}{y} - \sqrt{d}| < \frac{1}{2y^2}$

By the theorem, $\frac{x}{y} = [a_0, \dots, a_n]$ for some n ; q.e.d.

To solve Pell's Equation:

- Write \sqrt{d} as a continued fraction
- Calculate a few convergents. Check to see if (h_0, k_0) is a solution to Pell's equation
- Let (h_1, k_1) be the first solution that we find. Then (h_1, k_1) is the fundamental solution.
- If (x, y) is any solution, $x+y\sqrt{d} = \pm (h_1+k_1\sqrt{d})^n$ for some n .

Ex Solve $x^2 - 7y^2 = 1$.

soln. Write $\sqrt{7}$ as a continued fraction. $a_0 = [\sqrt{7}] = 2$. $\alpha_0 = \sqrt{7}$. $\alpha_1 = \frac{1}{\sqrt{7}-a_0} = \frac{1}{\sqrt{7}-2} = \frac{\sqrt{7}+2}{3}$. $\therefore a_1 = [\alpha_1] = 1$. $\alpha_2 = \frac{1}{\alpha_1 - a_1} = \frac{1}{\frac{\sqrt{7}+2}{3} - 1} = \frac{3}{\sqrt{7}-1} = \frac{\sqrt{7}+1}{2}$. $a_2 = 1$.

$\alpha_3 = \frac{1}{\alpha_2 - a_2} = \frac{1}{\frac{\sqrt{7}+1}{2} - 1} = \frac{2}{\sqrt{7}-1} = \frac{\sqrt{7}+1}{3}$, $a_3 = [\frac{\sqrt{7}+1}{3}] = 1$. $\alpha_4 = \frac{1}{\alpha_3 - a_3} = \frac{3}{\frac{\sqrt{7}+1}{3} - 1} = \frac{3(\sqrt{7}+2)}{3} = \sqrt{7}+2$. $a_4 = 4$. $\alpha_5 = \frac{1}{\alpha_4 - a_4} = \frac{1}{\sqrt{7}+2-4} = \frac{1}{\sqrt{7}-2} = \alpha_1$, $a_5 = a_1$. etc.

$\sqrt{7} = [2, 1, 1, 1, 4, 1, 1, 1, 4, \dots] = [2, 1, 1, 1, 4]$. The smallest solution with $x > 0, y > 0$ is called the fundamental solution.

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Dr. RM Hill
Remind 4.

All solutions are of form (x, y) , where $x+y\sqrt{7} = \pm (x_0 + \sqrt{7}y_0)^n$, $n \in \mathbb{Z}$.

To find fundamental solution, check (h_n, k_n) . $\frac{h_0}{k_0} = [2] = 2 = \frac{2}{1}$. Check: $2^2 - 7 \cdot 1^2 \neq 1$, so not a solution. $\frac{h_1}{k_1} = [2, 1] = 2 + \frac{1}{1} = \frac{3}{1}$. Check: $3^2 - 7 \cdot 1^2 \neq 1$, not.

$\frac{h_2}{k_2} = [2, 1, 1] = 2 + \frac{1}{1 + \frac{1}{1}} = 2 + \frac{1}{2} = \frac{5}{2}$. Check: $5^2 - 7 \cdot 2^2 = -3 \neq 1$. $\frac{h_3}{k_3} = [2, 1, 1, 1] = 2 + \frac{1}{1 + \frac{1}{1 + \frac{1}{1}}} = 2 + \frac{2}{3} = \frac{8}{3}$. Then we check: $8^2 - 7 \cdot 3^2 = 64 - 63 = 1$.

Hence, $(8, 3)$ is fundamental solution. Any other solution (x, y) obey $x+y\sqrt{7} = \pm (8+3\sqrt{7})^n$.

END OF SYLLABUS.

